PS3.17

| DICOM PS3.17 2014b 2014c - Explanatory Information |
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Foreword

This DICOM Standard was developed according to the procedures of the DICOM Standards Committee.

The DICOM Standard is structured as a multi-part document using the guidelines established in [ISO/IEC Directives, Part 3].

PS3.1 should be used as the base reference for the current parts of this standard.
1 Scope and Field of Application

This part of the DICOM Standard contains explanatory information in the form of Normative and Informative Annexes.
2 Normative References

The following standards contain provisions which, through reference in this text, constitute provisions of this Standard. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this Standard are encouraged to investigate the possibilities of applying the most recent editions of the standards indicated below.

2.1 International Organization for Standardization (ISO) and International Electrotechnical Commission (IEC)

3 Definitions

For the purposes of this Standard the following definitions apply.
4 Symbols and Abbreviations

The following symbols and abbreviations are used in this Part of the Standard.

**FHIR**  HL7 Fast Healthcare Interoperability Resources (draft standard)
5 Conventions

Terms listed in Section 3 are capitalized throughout the document.
A Explanation of Patient Orientation (Normative)

This Annex was formerly located in Annex E in PS3.3 in the 2003 and earlier revisions of the standard.

This Annex provides an explanation of how to use the patient orientation data elements.

The standard anatomic position is standing erect with the palms facing anterior. This position is used to define a label for the direction of the fingers and toes (toward the Feet (F)) while the direction of the wrist and ankle is towards the Head (H). This labeling is retained despite changes in the position of the extremities. For bilaterally symmetric body parts, a laterality indicator (R or L) should be used.

Figure A-1. Standard Anatomic Position Directions - Whole Body
For the hands, the direction labels are based on the standard anatomic position. For the left hand illustrated for example, LEFT will always be in the direction of the thumb, irrespective of position changes.

Figure A-2. Standard Anatomic Position Directions - Hand
As for the hand, the direction labels are based on the foot in the standard anatomic position. For the right foot, for example, RIGHT will be in the direction of the 5th toe. This assignment will remain constant through movement or positioning of the extremity. This is also true of the HEAD and FOOT directions.

**Figure A-3. Standard Anatomic Position Directions - Foot**

As for the hand, the direction labels are based on the foot in the standard anatomic position. For the right foot, for example, RIGHT will be in the direction of the 5th toe. This assignment will remain constant through movement or positioning of the extremity. This is also true of the HEAD and FOOT directions.
Figure A-4. Views - Anterior and Lateral
Since the major direction of the transverse plane is right-to-left (or anterior-to-posterior), the first letter of the combined direction will indicate this. For example, RH - moving right also moves towards the head.

Figure A-5. Planes - Whole Body - Transverse
Figure A-6. Planes - Whole Body - Sagittal
Figure A-7. Planes - Whole Body - Coronal
Figure A-8. Planes - Hand
Combined tilt planes and possible labels are based on major plane directions.

Figure A-9. Planes - Double Obliquity
A single image containing two paired body parts oriented the same way with respect to the anatomical position (e.g., both PA or AP or AP oblique, both pronated or supinated) and exposed at the same time can be described with a single set of orientation attributes.

Figure A-10. Standard Anatomic Position Directions - Paired Hands

Figure A-11. Breast - MedioLateral Oblique
Figure A-12. Panoramic Zonogram Directions
B Integration of Modality Worklist and Modality Performed Procedure Step in The Original DICOM Standard (Informative)

This Annex was formerly located in Annex G in PS3.3 in the 2003 and earlier revisions of the standard.

DICOM was published in 1993 and effectively addresses image communication for a number of modalities and Image Management functions for a significant part of the field of medical imaging. Since then, many additional medical imaging specialties have contributed to the extension of the DICOM Standard and developed additional Image Object Definitions. Furthermore, there have been discussions about the harmonization of the DICOM Real-World domain model with other standardization bodies. This effort has resulted in a number of extensions to the DICOM Standard. The integration of the Modality Worklist and Modality Performed Procedure Step address an important part of the domain area that was not included initially in the DICOM Standard. At the same time, the Modality Worklist and Modality Performed Procedure Step integration make steps in the direction of harmonization with other standardization bodies (CEN TC 251, HL7, etc.).

The purpose of this Annex is to show how the original DICOM Standard relates to the extension for Modality Worklist Management and Modality Performed Procedure Step. The two included figures outline the void filled by the Modality Worklist Management and Modality Performed Procedure Step specification, and the relationship between the original DICOM Data Model and the extended model.

Figure B-1. Functional View - Modality Worklist and Modality Performed Procedure Step Management in the Context of DICOM Service Classes

The management of a patient starts when the patient enters a physical facility (e.g., a hospital, a clinic, an imaging center) or even before that time. The DICOM Patient Management SOP Class provides many of the functions that are of interest to imaging departments. Figure B-1 is an example where one presumes that an order for a procedure has been issued for a patient. The order for an imaging procedure results in the creation of a Study Instance within the DICOM Study Management SOP Class. At the same time (A) the Modality Worklist Management SOP Class enables a modality operator to request the scheduling information for the ordered procedures.
A worklist can be constructed based on the scheduling information. The handling of the requested imaging procedure in DICOM Study Management and in DICOM Worklist Management are closely related. The worklist also conveys patient/study demographic information that can be incorporated into the images.

Worklist Management is completed once the imaging procedure has started and the Scheduled Procedure Step has been removed from the Worklist, possibly in response to the Modality Performed Procedure Step (B). However, Study Management continues throughout all stages of the Study, including interpretation. The actual procedure performed (based on the request) and information about the images produced are conveyed by the DICOM Study Component SOP Class or the Modality Performed Procedure Step SOP Classes.

Figure B-2 shows the relationship between the original DICOM Real-World model and the extensions of this Real-World model required to support the Modality Worklist and the Modality Performed Procedure Step. The new parts of the model add entities that are needed to request, schedule, and describe the performance of imaging procedures, concepts that were not supported in the original model. The entities required for representing the Worklist form a natural extension of the original DICOM Real-World model.

Common to both the original model and the extended model is the Patient entity. The Service Episode is an administrative concept that has been shown in the extended model in order to pave the way for future adaptation to a common model supported by other standardization groups including HL7, CEN TC 251 WG 3, CAP-IEC, etc. The Visit is in the original model but not shown in the extended model because it is a part of the Service Episode.

There is a 1 to 1 relationship between a Requested Procedure and the DICOM Study (A). A DICOM Study is the result of a single Requested Procedure. A Requested Procedure can result in only one Study.
A n:m relationship exists between a Scheduled Procedure Step and a Modality Performed Procedure Step (B). The concept of a Modality Performed Procedure Step is a superset of the Study Component concept contained in the original DICOM model. The Modality Performed Procedure Step SOP Classes provide a means to relate Modality Performed Procedure Steps to Scheduled Procedure Steps.
C Waveforms (Informative)

This Annex was formerly located in Annex J in PS3.3 in the 2003 and earlier revisions of the standard.

C.1 Domain of Application

Waveform acquisition is part of both the medical imaging environment and the general clinical environment. Because of its broad use, there has been significant previous and complementary work in waveform standardization of which the following are particularly important:

- ASTM E31.16 - E1467: Specification for Transferring Digital Neurophysiological Data Between Independent Computer Systems
- CEN TC251 PT5-021 - draft: Vital Signs Information Representation Standard (VITAL)
- HL7 Automated Data SIG: HL7 Version 2.3, Chapter 7.14-20
- IEEE P1073 - draft: Medical Information Bus Standard (MIB)
- DICOM Section A.10 in PS3.3: Standalone Curve Information Object Definition

For DICOM, the domain of waveform standardization is waveform acquisition within the imaging context. It is specifically meant to address waveform acquisitions that will be analyzed with other data that is transferred and managed using the DICOM protocol. It allows the addition of waveform data to that context with minimal incremental cost. Further, it leverages the DICOM persistent object capability for maintaining referential relationships to other data collected in a multi-modality environment, including references necessary for multi-modality synchronization.

Waveform interchange in other clinical contexts may use different protocols more appropriate to those domains. In particular, HL7 may be used for transfer of waveform observations to general clinical information systems, and MIB may be used for real-time physiological monitoring and therapy.

The waveform information object definition in DICOM has been specifically harmonized at the semantic level with the HL7 waveform message format. The use of a common object model allows straightforward transcoding and interoperation between systems that use DICOM for waveform interchange and those that use HL7, and may be viewed as an example of common semantics implemented in the differing syntaxes of two messaging systems.

Note

HL7 allows transport of DICOM SOP Instances (information objects) encapsulated within HL7 messages. Since the DICOM and HL7 waveform semantics are harmonized, DICOM Waveform SOP Instances need not be transported as encapsulated data, as they can be transcoded to native HL7 Waveform Observation format.

C.2 Use Cases

The following are specific use case examples for waveforms in the imaging environment.

- **Case 1: Catheterization Laboratory** - During a cardiac catheterization, several independent pieces of data acquisition equipment may be brought together for the exam. An electrocardiographic subsystem records surface ECG waveforms; an X-ray angiographic subsystem records motion images; a hemodynamic subsystem records intracardiac pressures from a sensor on the catheter. These subsystems send their acquired data by network to a repository. These data are assembled at an analytic workstation by retrieving from the repository. For a left ventriculographic procedure, the ECG is used by the physician to determine the time of maximum and minimum ventricular fill, and when coordinated with the angiographic images, an accurate estimate of the ejection fraction can be calculated. For a valvuloplasty procedure, the hemodynamic waveforms are used to calculate the pre-intervention and post-intervention pressure gradients.

- **Case 2: Electrophysiology Laboratory** - An electrophysiological exam will capture waveforms from multiple sensors on a catheter; the placement of the catheter in the heart is captured on an angiographic image. At an analytic workstation, the exact location of the sensors can thus be aligned with a model of the heart, and the relative timing of the arrival of the electrophysiological waves at different cardiac locations can be mapped.
• **Case 3: Stress Exam** - A stress exam may involve the acquisition of both ECG waveforms and echocardiographic ultrasound images from portable equipment at different stages of the test. The waveforms and the echocardiograms are output on an interchange disk, which is then input and read at a review station. The physician analyzes both types of data to make a diagnosis of cardiac health.

### C.3 Time Synchronization Frame of Reference

Synchronization of acquisition across multiple modalities in a single study (e.g., angiography and electrocardiography) requires either a shared trigger, or a shared clock. A Synchronization Module within the Frame of Reference Information Entity specifies the synchronization mechanism. A common temporal environment used by multiple equipment is identified by a shared Synchronization Frame of Reference UID. How this UID is determined and distributed to the participating equipment is outside the scope of the standard.

The method used for time synchronization of equipment clocks is implementation or site specific, and therefore outside the scope of this proposal. If required, standard time distribution protocols are available (e.g., NTP, IRIG, GPS).

*An informative description of time distribution methods can be found at: [http://www.bancomm.com/cntpApp.htm](http://www.bancomm.com/cntpApp.htm)*

A second method of synchronizing acquisitions is to utilize a common reference channel (temporal fiducial), which is recorded in the data acquired from the several equipment units participating in a study, and/or that is used to trigger synchronized data acquisitions. For instance, the "X-ray on" pulse train that triggers the acquisition of frames for an X-ray angiographic SOP Instance can be recorded as a waveform channel in a simultaneously acquired hemodynamic waveform SOP Instance, and can be used to align the different object instances. Associated with this Supplement are proposed coded entry channel identifiers to specifically support this synchronization mechanism (DICOM Terminology Mapping Resource Context Group ID 3090).

### C.4 Waveform Acquisition Model

Figure C.4-1 shows a canonical model of waveform data acquisition. A patient is the subject of the study. There may be several sensors placed at different locations on or in the patient, and waveforms are measurements of some physical quality (metric) by those sensors (e.g., electrical voltage, pressure, gas concentration, or sound). The sensor is typically connected to an amplifier and filter, and its output is sampled at constant time intervals and digitized. In most cases, several signal channels are acquired synchronously. The measured signal usually originates in the anatomy of the patient, but an important special case is a signal that originates in the equipment, either as a stimulus, such as a cardiac pacing signal, as a therapy, such as a radio frequency signal used for ablation, or as a synchronization signal.

![Waveform Acquisition Model](image)

*Figure C.4-1. Waveform Acquisition Model*
C.5 Waveform Information Model

The part of the composite information object that carries the waveform data is the Waveform Information Entity (IE). The Waveform IE includes the technical parameters of waveform acquisition and the waveform samples.

The information model, or internal organizational structure, of the Waveform IE is shown in Figure C.5-1. A waveform information object includes data from a continuous time period during which signals were acquired. The object may contain several multiplex groups, each defined by digitization with the same clock whose frequency is defined for the group. Within each multiplex group there will be one or more channels, each with a full technical definition. Finally, each channel has its set of digital waveform samples.

Figure C.5-1. DICOM Waveform Information Model

C.6 Harmonization With HL7

This Waveform IE definition is harmonized with the HL7 waveform semantic constructs, including the channel definition attributes and the use of multiplex groups for synchronously acquired channels. The use of a common object model allows straightforward transcoding and interoperation between systems that use DICOM for waveform interchange and those that use HL7, and may be viewed as an example of common semantics implemented in the differing syntaxes of two messaging systems.

This section describes the congruence between the DICOM Waveform IE and the HL7 version 2.3 waveform message format (see HL7 version 2.3 Chapter 7, sections 7.14 - 7.20).

C.6.1 HL7 Waveform Observation

Waveforms in HL7 messages are sent in a set of OBX (Observation) Segments. Four subtypes of OBX segments are defined:

- The CHN subtype defines one channel in a CD (Channel Definition) Data Type
- The TIM subtype defines the start time of the waveform data in a TS (Time String) Data Type
- The WAV subtype carries the waveform data in an NA (Numeric Array) or MA (Multiplexed Array) Data Type (ASCII encoded samples, character delimited)
The ANO subtype carries an annotation in a CE (Coded Entry) Data Type with a reference to a specific time within the waveform to which the annotation applies.

Other segments of the HL7 message definition specify patient and study identification, whose harmonization with DICOM constructs is not defined in this Annex.

C.6.2 Channel Definition

The Waveform Module Channel Definition sequence attribute (003A,0200) is defined in harmonization with the HL7 Channel Definition (CD) Data Type, in accordance with the following Table. Each Item in the Channel Definition sequence attribute corresponds to an OBX Segment of subtype CHN.

<table>
<thead>
<tr>
<th>DICOM Attribute</th>
<th>DICOM Tag</th>
<th>HL7 CD Data Type Component</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waveform Channel Number</td>
<td>(003A,0202)</td>
<td>Channel Identifier (number&amp;name)</td>
</tr>
<tr>
<td>Channel Label</td>
<td>(003A,0203)</td>
<td></td>
</tr>
<tr>
<td>Channel Source Sequence</td>
<td>(003A,0208)</td>
<td>Waveform Source</td>
</tr>
<tr>
<td>Channel Source Modifier Sequence</td>
<td>(003A,0209)</td>
<td></td>
</tr>
<tr>
<td>Channel Sensitivity</td>
<td>(003A,0210)</td>
<td>Channel Sensitivity and Units</td>
</tr>
<tr>
<td>Channel Sensitivity Units Sequence</td>
<td>(003A,0211)</td>
<td></td>
</tr>
<tr>
<td>Channel Sensitivity Correction Factor</td>
<td>(003A,0212)</td>
<td>Channel Calibration Parameters</td>
</tr>
<tr>
<td>Channel Baseline</td>
<td>(003A,0213)</td>
<td>(correctionfactor&amp;baseline&amp;timeskew)</td>
</tr>
<tr>
<td>Channel Time Skew</td>
<td>(003A,0214)</td>
<td></td>
</tr>
<tr>
<td>[Group] Sampling Frequency</td>
<td>(003A,001A)</td>
<td>Channel Sampling Frequency</td>
</tr>
<tr>
<td>Channel Minimum Value</td>
<td>(5400,0110)</td>
<td>Minimum and Maximum Data Values</td>
</tr>
<tr>
<td>Channel Maximum Value</td>
<td>(5400,0112)</td>
<td>(minimum &amp; maximum)</td>
</tr>
<tr>
<td>Channel Offset</td>
<td>(003A,0218)</td>
<td>not defined in HL7</td>
</tr>
<tr>
<td>Channel Status</td>
<td>(003A,0205)</td>
<td></td>
</tr>
<tr>
<td>Filter Low Frequency</td>
<td>(003A,0220)</td>
<td></td>
</tr>
<tr>
<td>Filter High Frequency</td>
<td>(003A,0221)</td>
<td></td>
</tr>
<tr>
<td>Notch Filter Frequency</td>
<td>(003A,0222)</td>
<td></td>
</tr>
<tr>
<td>Notch Filter Bandwidth</td>
<td>(003A,0223)</td>
<td></td>
</tr>
</tbody>
</table>

In the DICOM information object definition, the sampling frequency is defined for the multiplex group, while in HL7 it is defined for each channel, but is required to be identical for all multiplexed channels.

Note that in the HL7 syntax, Waveform Source is a string, rather than a coded entry as used in DICOM. This should be considered in any transcoding between the two formats.

C.6.3 Timing

In HL7, the exact start time for waveform data is sent in an OBX Segment of subtype TIM. The corresponding DICOM attributes, which must be combined to form the equivalent time string, are:

<table>
<thead>
<tr>
<th>DICOM Attribute</th>
<th>Tag</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition DateTime</td>
<td>(0008,002A)</td>
</tr>
<tr>
<td>Multiplex Group Time Offset</td>
<td>(0018,1068)</td>
</tr>
</tbody>
</table>
C.6.4 Waveform Data

The DICOM binary encoding of data samples in the Waveform Data attribute (5400,1010) corresponds to the ASCII representation of data samples in the HL7 OBX Segment of subtype WAV. The same channel-interleaved multiplexing used in the HL7 MA (Multiplexed Array) Data Type is used in the DICOM Waveform Data attribute.

Because of its binary representation, DICOM uses several data elements to specify the precise encoding, as listed in the following Table. There are no corresponding HL7 data elements, since HL7 uses explicit character-delimited ASCII encoding of data samples.

<table>
<thead>
<tr>
<th>Number of Waveform Channels</th>
<th>(003A,0005)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of Waveform Samples</td>
<td>(003A,0010)</td>
</tr>
<tr>
<td>Waveform Bits Stored</td>
<td>(003A,021A)</td>
</tr>
<tr>
<td>Waveform Bits Allocated</td>
<td>(5400,1004)</td>
</tr>
<tr>
<td>Waveform Sample Interpretation</td>
<td>(5400,1006)</td>
</tr>
<tr>
<td>Waveform Padding Value</td>
<td>(5400,100A)</td>
</tr>
</tbody>
</table>

C.6.5 Annotation

In HL7, Waveform Annotation is sent in an OBX Segment of subtype ANO, using the CE (Coded Entry) Data Type CE. This corresponds precisely to the DICOM Annotation using Coded Entry Sequences. However, HL7 annotation ROI is to a single point only (time reference), while DICOM allows reference to ranges of samples delimited by time or by explicit sample position.

C.7 Harmonization With SCP-ECG

The SCP-ECG standard is designed for recording routine resting electrocardiograms. Such ECGs are reviewed prior to cardiac imaging procedures, and a typical use case would be for SCP-ECG waveforms to be translated to DICOM for inclusion with the full cardiac imaging patient record.

SCP-ECG provides for either simultaneous or non-simultaneous recording of the channels, but does not provide a multiplexed data format (each channel is separately encoded). When translating to DICOM, each subset of simultaneously recorded channels may be encoded in a Waveform Sequence Item (multiplex group), and the delay to the recording of each multiplex group shall be encoded in the Multiplex Group Time Offset (0018,1068).

The electrode configuration of SCP-ECG Section 1 may be translated to the DICOM Acquisition Context (0040,0555) sequence items using TID 3401 “ECG Acquisition Context” and Context Groups 3263 and 3264.

The lead identification of SCP-ECG Section 3, a term coded as an unsigned integer, may be translated to the DICOM Waveform Channel Source (003A,0208) coded sequence using CID 3001 “ECG Leads”.

Pacemaker spike records of SCP-ECG Section 7 may be translated to items in the Waveform Annotations Sequence (0040,B020) with a code term from CID 3335 “ECG Annotations”. The annotation sequence item may record the spike amplitude in its Numeric Value and Measurement Units attributes.
D SR Encoding Example (Informative)

This Annex was formerly located in Annex K in PS3.3 in the 2003 and earlier revisions of the standard.

The following is a simple and non-comprehensive illustration of the encoding of the Informative SR Content Tree Example in PS3.3.

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### Table: DICOM Attributes Example

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E Mammography CAD (Informative)

This Annex was formerly located in Appendix L in PS3.3 in the 2003 and earlier revisions of the standard.

E.1 Mammography CAD SR Content Tree Structure

The templates for the Mammography CAD SR IOD are defined in Mammography CAD SR IOD Templates in PS3.16. Relationships defined in the Mammography CAD SR IOD templates are by-value, unless otherwise stated. Content items referenced from another SR object instance, such as a prior Mammography CAD SR, are inserted by-value in the new SR object instance, with appropriate original source observation context. It is necessary to update Rendering Intent, and referenced content item identifiers for by-reference relationships, within content items paraphrased from another source.

![Diagram of Mammography CAD SR Content Tree Structure]

Figure E.1-1. Top Levels of Mammography CAD SR Content Tree

The Document Root, Image Library, Summaries of Detections and Analyses, and CAD Processing and Findings Summary sub-trees together form the content tree of the Mammography CAD SR IOD. There are no constraints regarding the 1-n multiplicity of the Individual Impression/Recommendation or its underlying structure, other than the TID 4001 “Mammography CAD Overall Impression/Recommendation” and TID 4003 “Mammography CAD Individual Impression/Recommendation” requirements in PS3.16. Individual Impression/Recommendation containers may be organized, for example per image, per finding or composite feature, or some combination thereof.
Figure E.1-2. Summary of Detections and Analyses Levels of Mammography CAD SR Content Tree

The Summary of Detections and Summary of Analyses sub-trees identify the algorithms used and the work done by the CAD device, and whether or not each process was performed on one or more entire images or selected regions of images. The findings of the detections and analyses are not encoded in the summary sub-trees, but rather in the CAD Processing and Findings Summary sub-tree. CAD processing may produce no findings, in which case the sub-trees of the CAD Processing and Findings Summary sub-tree are incompletely populated. This occurs in the following situations:

a. All algorithms succeeded, but no findings resulted

b. Some algorithms succeeded, some failed, but no findings resulted

c. All algorithms failed

Note

1. If the tree contains no Individual Impression/Recommendation nodes and all attempted detections and analyses succeeded then the mammography CAD device made no findings.

2. Detections and Analyses that are not attempted are not listed in the Summary of Detections and Summary of Analyses trees.

3. If the code value of the Summary of Detections or Summary of Analyses codes in TID 4000 "Mammography CAD Document Root" is "Not Attempted" then no detail is provided as to which algorithms were not attempted.
Figure E.1-3. Example of Individual Impression/Recommendation Levels of Mammography CAD SR Content Tree

The shaded area in Figure E.1-3 demarcates information resulting from Detection, whereas the unshaded area is information resulting from Analysis. This distinction is used in determining whether to place algorithm identification information in the Summary of Detections or Summary of Analyses sub-trees.

The clustering of calcifications within a single image is considered to be a Detection process that results in a Single Image Finding. The spatial correlation of a calcification cluster in two views, resulting in a Composite Feature, is considered Analysis. The clustering of calcifications in a single image is the only circumstance in which a Single Image Finding can result from the combination of other Single Image Findings, which must be Individual Calcifications.

Once a Single Image Finding or Composite Feature has been instantiated, it may be referenced by any number of Composite Features higher in the tree.

E.2 Mammography CAD SR Observation Context Encoding

- Any content item in the Content tree that has been inserted (i.e., duplicated) from another SR object instance has a HAS OBS CONTEXT relationship to one or more content items that describe the context of the SR object instance from which it originated. This mechanism may be used to combine reports (e.g., Mammography CAD 1, Mammography CAD 2, Human).

- By-reference relationships within Single Image Findings and Composite Features paraphrased from prior Mammography CAD SR objects need to be updated to properly reference Image Library Entries carried from the prior object to their new positions in the present object.

The Impression/Recommendation section of the SR Document Content tree of a Mammography CAD SR IOD may contain a mixture of current and prior single image findings and composite features. The content items from current and prior contexts are target content items that have a by-value INFERRED FROM relationship to a Composite Feature content item. Content items that come from a context other than the Initial Observation Context have a HAS OBS CONTEXT relationship to target content items that describe the context of the source document.
In Figure E.2-1, Composite Feature and Single Image Finding are current, and Single Image Finding (from Prior) is duplicated from a prior document.

![Figure E.2-1. Example of Use of Observation Context](image)

**E.3 Mammography CAD SR Examples**

The following is a simple and non-comprehensive illustration of an encoding of the Mammography CAD SR IOD for Mammography computer aided detection results. For brevity, some Mandatory content items are not included, such as several acquisition context content items for the images in the Image Library.

**E.3.1 Example 1: Calcification and Mass Detection With No Findings**

A mammography CAD device processes a typical screening mammography case, i.e., there are four films and no cancer. Mammography CAD runs both density and calcification detection successfully and finds nothing. The mammograms resemble:

![Figure E.3-1. Mammograms as Described in Example 1](image)

The content tree structure would resemble:

<table>
<thead>
<tr>
<th>Node</th>
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<th>Code Meaning or Example Value</th>
<th>TID</th>
</tr>
</thead>
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<td>TID 4000</td>
</tr>
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<td>Image Library</td>
<td></td>
<td>TID 4000</td>
</tr>
<tr>
<td>1.1.1</td>
<td>Image Laterality</td>
<td>Right</td>
<td>TID 4020</td>
</tr>
<tr>
<td>1.1.1.1</td>
<td>Image View</td>
<td>Cranio-caudal</td>
<td>TID 4020</td>
</tr>
<tr>
<td>1.1.1.3</td>
<td>Study Date</td>
<td>19980101</td>
<td>TID 4020</td>
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<td>-----------------------------</td>
<td>------------------------------</td>
<td>-------</td>
</tr>
<tr>
<td>1.1.2</td>
<td>IMAGE 2</td>
<td></td>
<td>TID 4020</td>
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<td>1.1.2.1</td>
<td>Image Laterality</td>
<td>Left</td>
<td>TID 4020</td>
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<td>Image View</td>
<td>Cranio-caudal</td>
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<td>IMAGE 3</td>
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<td>1.1.3.3</td>
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<td>IMAGE 4</td>
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<td>TID 4020</td>
</tr>
<tr>
<td>1.1.4.1</td>
<td>Image Laterality</td>
<td>Left</td>
<td>TID 4020</td>
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<td>Medio-lateral oblique</td>
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<td>TID 4020</td>
</tr>
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<td>All algorithms succeeded; without findings</td>
<td></td>
<td>TID 4001</td>
</tr>
<tr>
<td>1.3</td>
<td>Succeeded</td>
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<td>&quot;Density Detector&quot;</td>
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<td>TID 4019</td>
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<td>TID 4017</td>
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### E.3.2 Example 2: Calcification and Mass Detection With Findings

A mammography CAD device processes a screening mammography case with four films and a mass in the left breast. Mammography CAD runs both density and calcification detection successfully. It finds two densities in the LCC, one density in the LMLO, a cluster of two calcifications in the RCC and a cluster of 20 calcifications in the RMLO. It performs two clustering algorithms. One identifies individual calcifications and then clusters them, and the second simply detects calcification clusters. It performs mass correlation and combines one of the LCC densities and the LMLO density into a mass; the other LCC density is flagged Not for Presentation, therefore not intended for display to the end-user. The mammograms resemble:
Figure E.3-2. Mammograms as Described in Example 2

The content tree structure in this example is complex. Structural illustrations of portions of the content tree are placed within the content tree table to show the relationships of data within the tree. Some content items are duplicated (and shown in boldface) to facilitate use of the diagrams.

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<th>Code Meaning or Example Value</th>
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<td>TID 4000</td>
</tr>
<tr>
<td>1.1</td>
<td>Image Library</td>
<td></td>
<td>TID 4000</td>
</tr>
<tr>
<td>1.2</td>
<td>CAD Processing and Findings Summary</td>
<td>All algorithms succeeded; with findings</td>
<td>TID 4001</td>
</tr>
<tr>
<td>1.3</td>
<td>Summary of Detections</td>
<td>Succeeded</td>
<td>TID 4000</td>
</tr>
<tr>
<td>1.4</td>
<td>Summary of Analyses</td>
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### Figure E.3-4. Image Library Branch of Example 2 Content Tree

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<td>Left</td>
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<td>IMAGE 3</td>
<td>TID 4020</td>
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<td>1.1.3.1</td>
<td>Image Laterality</td>
<td>Right</td>
<td>TID 4020</td>
</tr>
<tr>
<td>1.1.3.2</td>
<td>Image View</td>
<td>Medio-lateral oblique</td>
<td>TID 4020</td>
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<tr>
<td>1.1.3.3</td>
<td>Study Date</td>
<td>19990101</td>
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<tr>
<td>1.1.4</td>
<td></td>
<td>IMAGE 4</td>
<td>TID 4020</td>
</tr>
<tr>
<td>1.1.4.1</td>
<td>Image Laterality</td>
<td>Left</td>
<td>TID 4020</td>
</tr>
<tr>
<td>1.1.4.2</td>
<td>Image View</td>
<td>Medio-lateral oblique</td>
<td>TID 4020</td>
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### Figure E.3-5. CAD Processing and Findings Summary Bifurcation of Example 2 Content Tree
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<td>All algorithms succeeded; with findings</td>
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<td>Individual Impression/Recommendation</td>
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<td>TID 4003</td>
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<td>TID 4003</td>
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Figure E.3-6. Individual Impression/Recommendation 1.2.1 from Example 2 Content Tree
Figure E.3-7. Single Image Finding Density 1.2.1.2.6 from Example 2 Content Tree

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<tr>
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<td>1.2.1.2.6.4.1</td>
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<td>TID 4021</td>
</tr>
<tr>
<td>1.2.1.2.6.5</td>
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<td>SCOORD</td>
<td>TID 4021</td>
</tr>
<tr>
<td>1.2.1.2.6.5.1</td>
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Figure E.3-8. Single Image Finding Density 1.2.1.2.7 from Example 2 Content Tree

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</tr>
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**Figure E.3-9. Individual Impression/Recommendation 1.2.2 from Example 2 Content Tree**

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Figure E.3-11. Individual Impression/Recommendation 1.2.4 from Example 2 Content Tree

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### Summary of Detections Branch of Example 2 Content Tree

**Figure E.3-14. Summary of Detections Branch of Example 2 Content Tree**

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### Figure E.3-15. Summary of Analyses Branch of Example 2 Content Tree

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### E.3.3 Example 3: Calcification and Mass Detection, Temporal Differencing With Findings

The patient in Example 2 returns for another mammogram. A more comprehensive mammography CAD device processes the current mammogram; analyses are performed that determine some content items for Overall and Individual Impression/Recommendations. Portions of the prior mammography CAD report (Example 2) are incorporated into this report. In the current mammogram the number of calcifications in the RCC has increased, and the size of the mass in the left breast has increased from 1 to 4 cm².
Figure E.3-16. Mammograms as Described in Example 3

Italicized entries (xxx) in the following table denote references to or by-value inclusion of content tree items reused from the prior Mammography CAD SR instance (Example 2).

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While the Image Library contains references to content tree items reused from the prior Mammography CAD SR instance, the images are actually used in the mammography CAD analysis and are therefore not italicized as indicated above.

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Included content from prior mammography CAD report (see Example 2, starting with node 1.2.4.2)

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### E.4 CAD Operating Point

Computer-aided detection algorithms often compute an internal "CAD score" for each Single Image Finding detected by the algorithm. In some implementations the algorithms then group the findings into "bins" as a function of their CAD score. The number of bins is a function of the algorithm and the manufacturer's implementation, and must be one or more. The bins allow an application that is displaying CAD marks to provide a number of operating points on the Free-response Receiver-Operating Characteristic (FROC) curve for the algorithm, as illustrated in Figure E.4-1.
This is accomplished by displaying all CAD marks of Rendering Intent "Presentation Required" or "Presentation Optional" according to the following rules:

- if the display application's Operating Point is 0, only marks with a Rendering Intent = "Presentation Required" are displayed
- if the display application's Operating Point is 1, then marks with a Rendering Intent = "Presentation Required" and marks with a Rendering Intent = "Presentation Optional" with a CAD Operating Point = 1 are displayed
- if the display application's Operating Point is n, then marks with a Rendering Intent = "Presentation Required" and marks with a Rendering Intent = "Presentation Optional" with a CAD Operating Point <= n are displayed

E.5 Mammography CAD SR and For Processing / For Presentation Images

If a Mammography CAD SR Instance references Digital Mammography X-ray Image Storage - For Processing Instances, but a review workstation has access only to Digital Mammography X-Ray Image Storage - For Presentation Instances, the following steps are recommended in order to display such Mammography CAD SR content with Digital Mammography X-Ray Image - For Presentation Instances.

- In most scenarios, the Mammography CAD SR Instance is assigned to the same DICOM Patient and Study as the corresponding Digital Mammography "For Processing" and "For Presentation" image Instances.
- If a workstation has a Mammography CAD SR Instance, but does not have images for the same DICOM Patient and Study, the workstation may use the Patient and Study attributes of the Mammography CAD SR Instance in order to Query/Retrieve the Digital Mammography "For Presentation" images for that Patient and Study.
- Once a workstation has the Mammography CAD SR Instance and Digital Mammography "For Presentation" image Instances for the Patient and Study, the Source Image Sequence (0008,2112) attribute of each Digital Mammography "For Presentation" Instance will reference the corresponding Digital Mammography "For Processing" Instance. The workstation can match the referenced Digital Mammography "For Processing" Instance to a Digital Mammography "For Processing" Instance referenced in the Mammography CAD SR.
- The workstation should check for Spatial Locations Preserved (0028,135A) in the Source Image Sequence of each Digital Mammography "For Presentation" image Instance, to determine whether it is spatially equivalent to the corresponding Digital Mammography "For Processing" image Instance.
- If the value of Spatial Locations Preserved (0028,135A) is YES, then the CAD results should be displayed.
- If the value of Spatial Locations Preserved (0028,135A) is NO, then the CAD results should not be displayed.
- If Spatial Locations Preserved (0028,135A) is not present, whether or not the images are spatially equivalent is not known. If the workstation chooses to proceed with attempting to display CAD results, then compare the Image Library (see TID 4020 “CAD Image Library Entry”) content item values of the Mammography CAD SR Instance to the associated attribute values in the corresponding
Digital Mammography "For Presentation" image Instance. The content items ((111044, DCM, "Patient Orientation Row"), (111043, DCM, "Patient Orientation Column"), (111026, DCM, "Horizontal Pixel Spacing") and (111066, DCM, "Vertical Pixel Spacing") may be used for this purpose. If the values do not match, the workstation needs to adjust the coordinates of the findings in the Mammography CAD SR content to match the spatial characteristics of the Digital Mammography "For Presentation" image Instance.
F Chest CAD (Informative)

This Annex was formerly located in Annex M in PS3.3 in the 2003 and earlier revisions of the standard.

F.1 Chest CAD SR Content Tree Structure

The templates for the Chest CAD SR IOD are defined in Annex A “Structured Reporting Templates (Normative)”. Relationships defined in the Chest CAD SR IOD templates are by-value, unless otherwise stated. Content items referenced from another SR object instance, such as a prior Chest CAD SR, are inserted by-value in the new SR object instance, with appropriate original source observation context. It is necessary to update Rendering Intent, and referenced content item identifiers for by-reference relationships, within content items paraphrased from another source.

Figure F.1-1. Top Levels of Chest CAD SR Content Tree

The Document Root, Image Library, CAD Processing and Findings Summary, and Summaries of Detections and Analyses sub-trees together form the content tree of the Chest CAD SR IOD. See Annex E for additional explanation of the Summaries of Detections and Analyses sub-trees.
Figure F.1-2. Example of CAD Processing and Findings Summary Sub-Tree of Chest CAD SR Content Tree

The shaded area in Figure F.1-2 demarcates information resulting from Detection, whereas the unshaded area is information resulting from Analysis. This distinction is used in determining whether to place algorithm identification information in the Summary of Detections or Summary of Analyses sub-trees.

The identification of a lung nodule within a single image is considered to be a Detection, which results in a Single Image Finding. The temporal correlation of a lung nodule in two instances of the same view taken at different times, resulting in a Composite Feature, is considered Analysis.

Once a Single Image Finding or Composite Feature has been instantiated, it may be referenced by any number of Composite Features higher in the CAD Processing and Findings Summary sub-tree.

F.2 Chest CAD SR Observation Context Encoding

- Any content item in the Content tree that has been inserted (i.e., duplicated) from another SR object instance has a HAS OBS CONTEXT relationship to one or more content items that describe the context of the SR object instance from which it originated. This mechanism may be used to combine reports (e.g., Chest CAD SR 1, Chest CAD SR 2, Human).
- By-reference relationships within Single Image Findings and Composite Features paraphrased from prior Chest CAD SR objects need to be updated to properly reference Image Library Entries carried from the prior object to their new positions in the present object.

The CAD Processing and Findings Summary section of the SR Document Content tree of a Chest CAD SR IOD may contain a mixture of current and prior single image findings and composite features. The content items from current and prior contexts are target content items that have a by-value INFERRED FROM relationship to a Composite Feature content item. Content items that come from a context other than the Initial Observation Context have a HAS OBS CONTEXT relationship to target content items that describe the context of the source document.

In Figure F.2-1, Composite Feature and Single Image Finding are current, and Single Image Finding (from Prior) is duplicated from a prior document.
F.3 Chest CAD SR Examples

The following is a simple and non-comprehensive illustration of an encoding of the Chest CAD SR IOD for chest computer aided detection results. For brevity, some mandatory content items are not included, such as several acquisition context content items for the images in the Image Library.

F.3.1 Example 1: Lung Nodule Detection With No Findings

A chest CAD device processes a typical screening chest case, i.e., there is one image and no nodule findings. Chest CAD runs lung nodule detection successfully and finds nothing.

The chest radiograph resembles:

![Chest Radiograph](example.png)

**Figure F.3-1. Chest Radiograph as Described in Example 1**

The content tree structure would resemble:

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</tr>
<tr>
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<td>Detection Performed</td>
<td>Nodule</td>
<td>TID 4017</td>
</tr>
<tr>
<td>1.3.1.1.1</td>
<td>Algorithm Name</td>
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<td>TID 4019</td>
</tr>
<tr>
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<td>TID 4019</td>
</tr>
<tr>
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<td>Reference to node 1.1.1</td>
<td></td>
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<tr>
<td>1.4</td>
<td>Summary of Analyses</td>
<td>Not Attempted</td>
<td>TID 4100</td>
</tr>
</tbody>
</table>

### F.3.2 Example 2: Lung Nodule Detection With Findings and Anatomy/pathology Interpretation

A chest CAD device processes a screening chest case with one image, and a lung nodule detected. The chest radiograph resembles:

![Chest Radiograph as Described in Example 2](image)

**Figure F.3-2. Chest Radiograph as Described in Example 2**

The content tree structure in this example is complex. Structural illustrations of portions of the content tree are placed within the content tree table to show the relationships of data within the tree. Some content items are duplicated (and shown in boldface) to facilitate use of the diagrams.

![Content Tree Root of Example 2 Content Tree](image)

**Figure F.3-3. Content Tree Root of Example 2 Content Tree**

The content tree structure would resemble:

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<td>Image Library</td>
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<td>TID 4100</td>
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</table>
### CAD Processing and Findings Summary

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<th>Code Meaning or Example Value</th>
<th>TID</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.2</td>
<td>CAD Processing and Findings Summary</td>
<td>All algorithms succeeded; with findings</td>
<td>TID 4101</td>
</tr>
<tr>
<td>1.3</td>
<td>Summary of Detections</td>
<td>Succeeded</td>
<td>TID 4100</td>
</tr>
<tr>
<td>1.4</td>
<td>Summary of Analyses</td>
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<td>TID 4100</td>
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</tbody>
</table>

#### Summary of Detections

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</tr>
<tr>
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<td>IMAGE 1</td>
<td>TID 4020</td>
<td></td>
</tr>
<tr>
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<td>Image View</td>
<td>Postero-anterior</td>
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#### Summary of Analyses

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<td></td>
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</tr>
</tbody>
</table>

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**Figure F.3-4. Image Library Branch of Example 2 Content Tree**

---

**DICOM PS3.17 2014b2014c - Explanatory Information**

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### Figure F.3-5. CAD Processing and Findings Summary Portion of Example 2 Content Tree

<table>
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<td>1.2.1</td>
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<td>Abnormal Opacity</td>
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<td>1.2.1.2</td>
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<td>Presentation Required:…</td>
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<td>POINT</td>
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<td>TID 4107</td>
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<tr>
<td>1.2.1.7.1</td>
<td>Path</td>
<td>POLYLINE</td>
<td>TID 1400</td>
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<td>1.2.1.7.1.1</td>
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Summary of Detections Portion of Example 2 Content Tree

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<td>1.3.1</td>
<td>Successful Detections</td>
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<td>TID 4015</td>
</tr>
<tr>
<td>1.3.1.1</td>
<td>Detection Performed</td>
<td>Nodule</td>
<td>TID 4017</td>
</tr>
<tr>
<td>1.3.1.1.1</td>
<td>Algorithm Name</td>
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<td>TID 4019</td>
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<td>1.3.1.1.2</td>
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<td></td>
<td>Reference to node 1.1.1</td>
<td>TID 4017</td>
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</tbody>
</table>

F.3.3 Example 3: Lung Nodule Detection, Temporal Differencing With Findings

The patient in Example 2 returns for another chest radiograph. A more comprehensive chest CAD device processes the current chest radiograph, and analyses are performed that determine some temporally related content items for Composite Features. Portions of the prior chest CAD report (Example 2) are incorporated into this report. In the current chest radiograph the lung nodule has increased in size.

Figure F.3-8. Chest radiographs as Described in Example 3

Italicized entries (xxx) in the following table denote references to or by-value inclusion of content tree items reused from the prior Chest CAD SR instance (Example 2).
While the Image Library contains references to content tree items reused from the prior Chest CAD SR instance, the images are actually used in the chest CAD analysis and are therefore not italicized as indicated above.

The CAD processing and findings consist of one composite feature, comprised of single image findings, one from each year. The temporal relationship allows a quantitative temporal difference to be calculated:

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<td>1.2.1</td>
<td>Composite Feature</td>
<td>Abnormal Opacity</td>
<td>TID 4102</td>
</tr>
<tr>
<td>1.2.1.1</td>
<td>Composite Feature Modifier</td>
<td>Nodule</td>
<td>TID 4102</td>
</tr>
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<td>1.2.1.2</td>
<td>Rendering Intent</td>
<td>Presentation Required: …</td>
<td>TID 4102</td>
</tr>
<tr>
<td>1.2.1.3</td>
<td>Algorithm Name</td>
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<td>TID 4019</td>
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<tr>
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<td>Algorithm Version</td>
<td>&quot;V2.3&quot;</td>
<td>TID 4019</td>
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<td>1.2.1.5</td>
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<td>1.2.1.9</td>
<td>Single Image Finding</td>
<td>Abnormal Opacity</td>
<td>TID 4104</td>
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<td>TID 4104</td>
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<td>1.2.1.9.2</td>
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<td>Presentation Required: …</td>
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<td>TID 4108</td>
</tr>
<tr>
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<td>TID 1400</td>
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</table>
F.3.4 Example 4: Lung Nodule Detection in Chest Radiograph, Spatially Correlated With CT

The patient in Example 3 is called back for CT to confirm the Lung Nodule found in Example 3. The patient undergoes CT of the Thorax and the initial chest radiograph and CT slices are sent to a more comprehensive CAD device for processing. Findings are detected and analyses are performed that correlate findings from the two data sets. Portions of the prior CAD report (Example 3) are incorporated into this report.
Figure F.3-9. Chest Radiograph and CT slice as described in Example 4

Italicized entries (xxx) in the following table denote references to or by-value inclusion of content tree items reused from the prior Chest CAD SR instance (Example 3).

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<th>Code Meaning of Concept Name</th>
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While the Image Library contains references to content tree items reused from the prior Chest CAD SR instance, the images are actually used in the CAD analysis and are therefore not italicized as indicated above.

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Most recent examination content:

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<td>Abnormal opacity</td>
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</tr>
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<td>TID 4103</td>
</tr>
<tr>
<td>1.3.1.7</td>
<td>Scope of Feature</td>
<td>Feature detected on images from multiple modalities</td>
<td>TID 4103</td>
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<td>Diameter</td>
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<td>TID 1400</td>
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</tr>
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</tr>
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<td>1.3.1.14.7</td>
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<td>TID 4103</td>
</tr>
<tr>
<td>1.3.1.14.8</td>
<td>Diameter</td>
<td>4 cm</td>
<td>TID 1400</td>
</tr>
<tr>
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G Explanation of Grouping Criteria For Multi-frame Functional Group IODs (Informative)

This Annex was formerly located in Annex N in PS3.3 in the 2003 and earlier revisions of the standard.

When considering how to group an attribute, one needs to consider first of all whether or not the values of an attribute are different per frame. The reasons to consider whether to allow an attribute to change include:

• The more attributes that change, the more parsing a receiving application has to do in order to determine if the multi-frame object has frames the application should deal with. The more choices, the more complex the application becomes, potentially resulting in interoperability problems.

• The frequency of change of an attribute must also be considered. If an attribute could be changed every frame then obviously it is not a very good candidate for making it fixed, since this would result in a multi-frame size of 1.

• The number of applications that depend on frame level attribute grouping is another consideration. For example, one might imagine a pulse sequence being changed in a real-time acquisition, but the vast majority of acquisitions would leave this constant. Therefore, it was judged not too large a burden to force an acquisition device to start a new object when this happens. Obviously, this is a somewhat subjective decision, and one should take a close look at the attributes that are required to be fixed in this document.

• The attributes from the image pixel module must not change in a multi-frame object due to legacy tool kits and implementations.

• The potential frequency of change is dependent on the applications both now and likely during the life of this standard. The penalty for failure to allow an attribute to change is rather high since it will be hard/impossible to change later. Making an attribute variable that is static is more complex and could result in more header space usage depending on how it is grouped. Thus there is a trade-off of complexity and potentially header size with not being able to take advantage of the multi-frame organization for an application that requires changes per frame.

Once it is decided which attributes should be changed within a multi-frame object then one needs to consider the criteria for grouping attributes together:

• Groupings should be designed so those attributes that are likely to vary together should be in the same sequence. The goal is to avoid the case where attributes that are mostly static have to be included in a sequence that is repeated for every frame.

• Care should be taken so that we define a manageable number of grouping sequences. Too few sequences could result in many static attributes being repeated for each frame, when some other element in their sequence was varying, and too many sequences becomes unwieldy.

• The groupings should be designed such that modality independent attributes are kept separate from those that are MR specific. This will presumably allow future working groups to reuse the more general groupings. It also should allow software that operates on multi-frame objects from multiple objects maximize code reuse.

• Grouping related attributes together could convey some semantics of the overall contents of the multi-frame object to receiving applications. For instance, if a volumetric application finds the Plane Orientation Macro present in the Per-frame Functional Groups Sequence, it may decide to reject the object as inappropriate for volumetric calculations.

Specific notes on attribute grouping:

• Attributes not allowed to change: Image Pixel Module (due to legacy toolkit concerns); and Pulse Sequence Module attributes (normally do not change except in real-time - it is expected real time applications can handle the complexity and speed of starting new IODs when pulse sequence changes).

• Sequences not starting with the word "MR" could be applied to more modalities than just MR.

• All attributes that must be in a frame header were placed in the Frame Content Macro.
• Position and orientation are in separate sequences since they are changed independently.

• For real-time sequences there are contrast mechanisms that can be applied to base pulse sequences and are turned on and off by the operator depending on the anatomy being imaged and the time/contrast trade-off associated with these. Such modifiers include: IR, flow compensation, spoiled, MT, and T2 preparation… These probably are not changed in non-real-time scans. These are all kept in the MR Modifier Macro.

"Number of Averages" attributes is in its own sequence because real-time applications may start a new averaging process every time a slice position/orientation changes. Each subsequent frame will average with the preceding N frames where N is chosen based on motion and time. Each frame collected at a particular position/orientation will have a different number of averages, but all other attributes are likely to remain the same. This particular application drives this attribute being in its own group.
H Clinical Trial Identification Workflow Examples (Informative)

This Annex was formerly located in Annex O in PS3.3 in the 2003 and earlier revisions of the standard.

The Clinical Trial Identification modules are optional. As such, there are several points in the workflow of clinical trial data at which the Clinical Trial Identification attributes may be added to the data. At the Clinical Trial Site, the attributes may be added at the scanner, a PACS system, a site workstation, or a workstation provided to the site by a Clinical Trial Coordinating Center. If not added at the site, the Clinical Trial Identification attributes may be added to the data after receipt by the Clinical Trial Coordinating Center. The addition of clinical trial attributes does not itself require changes to the SOP Instance UID. However, the clinical trial protocol or the process of de-identification may require such a change.

![Workflow Diagram for Clinical Trials](image)

**Figure H-1. Workflow Diagram for Clinical Trials**

**H.1 Example Use-case**

Images are obtained for the purpose of comparing patients treated with placebo or the drug under test, then evaluated in a blinded manner by a team of radiologists at the Clinical Trial Coordinating Center (CTCC). The images are obtained at the clinical sites, collected by the CTCC, at which time their identifying attributes are removed and the Clinical Trial Identification (CTI) module is added. The de-identified images with the CTI information are then presented to the radiologists who make quantitative and/or qualitative assessments. The assessments, and in some cases the images, are returned to the sponsor for analysis, and later are contributed to the submission to the regulating authority.
I Ultrasound Templates (Informative)

I.1 SR Content Tree Structure

Figure I.1-1. Top Level Structure of Content Tree

The templates for ultrasound reports are defined in . Figure I.1-1 is an outline of the common elements of ultrasound structured reports.

The Patient Characteristics Section is for medical data of immediate relevance to administering the procedure and interpreting the results. This information may originate outside the procedure.

The Procedure Summary Section contains exam observations of immediate or primary significance. This is key information a physician typically expects to see first in the report.

Measurements typically reside in a measurement group container within a Section. Measurement groups share context such as anatomical location, protocol or type of analysis. The grouping may be specific to a product implementation or even to a user configuration. OB-GYN measurement groups have related measurements, averages and other derived results.

If present, the Image Library contains a list of images from which observations were derived. These are referenced from the observations with by-reference relationships.

I.2 Procedure Summary

The Procedure Summary Section contains the observations of most immediate interest. Observations in the procedure summary may have by-reference relationships to other content items.

I.3 Multiple Fetuses

Where multiple fetuses exist, the observations specific to each fetus must reside under separate section headings. The section heading must specify the fetus observation context and designate so using Subject ID ((121030, DCM, "Subject ID")121030,DCM,"Subject ID") and/or numerical designation ((121037, DCM, "Fetus Number")121037,DCM,"Fetus Number") as shown below. See TID 1008 “Subject Context, Fetus”.

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I.4 Explicitly Specifying Calculation Dependencies

Reports may specify dependencies of a calculation on its dependent observations using by-reference relationships. This relationship must be present for the report reader to know the inputs of the derived value.

I.5 Linking Measurements to Images, Coordinates

 Optionally, the relationship of an observation to its image and image coordinates can be encoded with by-reference content items as Figure I.5-1 shows. For conciseness, the by-reference relationship points to the content item in the Image Library, rather than directly to the image.
R-INFERRED FROM relationships to IMAGE content items specify that the image supports the observation. A purpose of reference in an SCOORD content item may specify an analytic operation (performed on that image) that supports or produces the observation.

### I.6 Ob Patterns

A common OB-GYN pattern is that of several instances of one measurement type (e.g., BPD), the calculated average of those values, and derived values such as a gestational age calculated according to an equation or table. The measurements and calculations are all siblings in the measurement group. A child content item specifies the equation or table used to calculate the gestational age. All measurement types must relate to the same biometric type. For example, it is not allowed to mix a BPD and a Nuchal Fold Thickness measurement in the same biometry group.

#### Figure I.6-1. OB Numeric Biometry Measurement group Example

The example above is a gestational age calculated from the measured value. The relationship is to an equation or table. The inferred from relationship identifies equation or table in the Concept Name. Codes from CID 12013 “Gestational Age Equations and Tables” identify the specific equation or table.

Another use case is the calculation of a growth parameter’s relationship to that of a referenced distribution and a known or assumed gestational age. CID 12015 “Fetal Growth Equations and Tables” identify the growth table. Figure I.6-2 shows the assignment of a percentile for the measured BPD, against the growth of a referenced population. The dependency relationship to the gestational age is a by-reference relationship to the established gestational age. Though the percentile rank is derived from the BPD measurement, a by-reference relationship is not essential if one BPD has a concept modifier indicating that it is the mean or has selection status (see TID 300 “Measurement”). A variation of this pattern is the use of Z-score instead of percentile rank. Not shown is the expression of the normal distribution mean, standard deviation, or confidence limits.
**Figure I.6-2. Percentile Rank or Z-score Example**

Estimated fetal weight (EFW) is a fetus summary item as shown below. It is calculated from one or more growth parameters (the inferred from relationships are not shown). TID 315 “Equation or Table” allows specifying how the value was derived. Terms from CID 12014 “OB Fetal Body Weight Equations and Tables” specify the table or equation that yields the EFW from growth parameters.

"EFW percentile rank" is another summary term. By definition, this term depends upon the EFW and the population distribution of the ranking. A Reference Authority content item identifies the distribution. CID 12016 “Estimated Fetal Weight Percentile Equations and Tables” is list of established reference authorities.

**Figure I.6-3. Estimated Fetal Weight**

**I.7 Selected Value**

When multiple observations of the same type exist, one of these may be the selected value. Typically, this value is the average of the others, or it may be the last entered, or user chosen. TID 310 “Measurement Properties” provides a content item with concept name of ((121404, DCM, "Selection Status"))121404, DCM, "Selection Status") and a value set specified by DCID 224 “Selection Method”.
There are multiple ways that a measurement may originate. The measurement value may result as an output of an image interactive, system tool. Alternatively, the user may directly enter the value, or the system may create a value automatically as the mean of multiple measurement instances. TID 300 “Measurement” provides that a concept modifier of the numeric content item specify the derivation of the measurement. The concept name of the modifier is \((121401, \text{DCM}, \text{"Derivation"})\). CID 3627 “Measurement Type” provides concepts of appropriate measurement modifiers. Figure I.7-2 illustrates such a case.

**I.8 OB-GYN Examples**

The following are simple, non-comprehensive illustrations of report sections.

**I.8.1 Example 1: OB-GYN Root with Observation Context**

The following example shows the highest level of content items for a second or third trimester OB exam. Subsequent examples show details of section content,

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<td>Left</td>
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### I.8.2 Example 2: OB-GYN Patient Characteristics and Procedure Summary

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<td>Pelvis and Uterus</td>
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### I.8.3 Example 3: OB-GYN Multiple Fetus

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### I.8.4 Example 4: Biophysical Profile

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<td>Fetal Breathing</td>
<td>2 (0:2)</td>
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<td>Fetal Tone</td>
<td>2 (0:2)</td>
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<td>1.9.4</td>
<td>Fetal Heart Reactivity</td>
<td>2 (0:2)</td>
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<td>Amniotic Fluid Volume</td>
<td>2 (0:2)</td>
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<td>1.9.6</td>
<td>Biophysical Profile Sum Score</td>
<td>10 (0:10)</td>
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### I.8.5 Example 5: Biometry Ratios

Optionally, but not shown, the ratios may have by-reference, inferred-from relationships to the content items holding the numerator and denominator values.

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<th>Code Meaning or Example Value</th>
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<td>1.9.1</td>
<td>HC/AC</td>
<td>77%</td>
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<td>1.9.2</td>
<td>FL/AC</td>
<td>22 %</td>
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<td>1.9.2.1</td>
<td>Normal Range Lower Limit</td>
<td>20 %</td>
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### 1.8.6 Example 6: Biometry

This example shows measurements and estimated gestational age.

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<td>Fetal Biometry</td>
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<td>TID 5005</td>
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<td>Biometry Group</td>
<td></td>
<td>TID 5008</td>
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<td>1.8.1.1</td>
<td>Biparietal Diameter</td>
<td>5.5 cm</td>
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<td>1.8.1.2</td>
<td>Biparietal Diameter</td>
<td>5.3 cm</td>
<td>TID 300</td>
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<td>1.8.1.3</td>
<td>Biparietal Diameter</td>
<td>5.4 cm</td>
<td>TID 300</td>
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<td>Derivation</td>
<td>Mean</td>
<td>TID 300</td>
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<td>1.8.1.4</td>
<td>Gestational Age</td>
<td>190 d</td>
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<td>1.8.1.4.1</td>
<td>Equation</td>
<td>Jeanty, 1982</td>
<td>TID 5008</td>
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<td>131 d</td>
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<td>1.8.1.4.3</td>
<td>95th Percentile Value of population</td>
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<td>Biometry Group</td>
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<td>Head Circumference</td>
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<td>Estimated</td>
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<td>1.8.4.2</td>
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<td>TID 300</td>
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<td>34.3 cm</td>
<td>TID 300</td>
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<td>Derivation</td>
<td>Mean</td>
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<td>Equation</td>
<td>Hadlock, 1984</td>
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</table>
This example shows measurements and with percentile ranking.

### I.8.7 Example 7: Amniotic Sac

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<td>Findings</td>
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<td>Finding Site</td>
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<td>1.6.2</td>
<td>Amniotic Fluid Index</td>
<td>11 cm</td>
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<td>1.6.3</td>
<td>First Quadrant Diameter</td>
<td>10 cm</td>
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<td>Third Quadrant Diameter</td>
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<td>Fourth Quadrant Diameter</td>
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### I.8.8 Example 8: OB-GYN Ovaries

The content structure in the example below conforms to TID 5012 “Ovaries Section”. The example shows the volume derived from three perpendicular diameters.
Figure I.8-1. Ovaries Example

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<td>Ovary</td>
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<td>Ovary</td>
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<td>1.9.2.1</td>
<td>Left Ovary Volume</td>
<td>6 cm³</td>
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<td>3 cm</td>
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<td>1.9.2.3</td>
<td>Left Ovary Length</td>
<td>3 cm</td>
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<td>1.9.2.4</td>
<td>Left Ovary Length</td>
<td>3 cm</td>
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<td>Derivation</td>
<td>Mean</td>
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<td>Left Ovary Width</td>
<td>2 cm</td>
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<td>Derivation</td>
<td>Mean</td>
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<td>1.9.3.1</td>
<td>Right Ovary Volume</td>
<td>7 cm³</td>
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I.8.9 Example 9: OB-GYN Follicles

The content structure in the example below conforms to TID 5013 “Follicles Section”. It uses multiple measurements and derived averages for each of the perpendicular diameters.

![Diagram of follicles example]

**Figure I.8-2. Follicles Example**

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<td>Findings</td>
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<td>Number of follicles in right ovary</td>
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<td>13 mm</td>
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<td>Left</td>
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### I.8.10 Example 10: Pelvis and Uterus

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<td>Uterus</td>
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</tr>
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<td>1.9.3</td>
<td>Cervix Length</td>
<td>5.3 cm</td>
<td>TID 5015</td>
</tr>
</tbody>
</table>
J Handling of Identifying Parameters (Informative)

This Annex was formerly located in Annex M in PS3.4 in the 2003 and earlier revisions of the standard.

J.1 Purpose of This Annex

The DICOM Standard was published in 1993 and addresses medical images communication between medical modalities, workstations and other medical devices as well as data exchange between medical devices and the Information System (IS). DICOM defines SOP Instances with Patient, Visit and Study information managed by the Information System and allows to communicate the Attribute values of these objects.

Since the publication of the DICOM Standard great effort has been made to harmonize the Information Model of the DICOM Standard with the models of other relevant standards, especially with the HL7 model and the CEN TC 251 WG3 PT 022 model. The result of these effort is a better understanding of the various practical situations in hospitals and an adaptation of the model to these situations. In the discussion of models, the definition of Information Entities and their Identifying Parameters play a very important role.

The purpose of this Informative Annex is to show which identifying parameters may be included in Image SOP Instances and their related Modality Performed Procedure Step (MPPS) SOP Instance. Different scenarios are elucidated to describe varying levels of integration of the Modality with the Information System, as well as situations in which a connection is temporarily unavailable.

Note

In this Annex, "Image SOP Instance" is used as a collective term for all Composite Image Storage SOP Instances.

The scenarios described here are informative and do not constitute a normative section of the DICOM Standard.

J.2 Integrated Environment

"Integrated" means in this context that the Acquisition Modality is connected to an Information System or Systems that may be an SCP of the Modality Worklist SOP Class or an SCP of the Modality Performed Procedure Step SOP Class or both. In the following description only the behavior of "Modalities" is mentioned, it goes without saying that the IS must conform to the same SOP Classes.

The Modality receives identifying parameters by querying the Modality Worklist SCP and generates other Attribute values during image generation. It is desirable that these identifying parameters be included in the Image SOP Instances as well as in the MPPS object in a consistent manner. In the case of a Modality that is integrated but unable to receive or send identifying parameters, e.g., link down, emergency case, the Modality may behave as if it were not integrated.

The Study Instance UID is a crucial Attribute that is used to relate Image SOP Instances (whose Study is identified by their Study Instance UID), the Modality PPS SOP Instance that contains it as a reference, and the actual or conceptual Requested Procedure (i.e., Study) and related Imaging Service Request in the IS. An IS that manages an actual or conceptual Detached Study Management entity is expected to be able to relate this Study Instance UID to the SOP Instance UID of the Detached Study Management SOP Instance, whether or not the Study Instance UID is provided by the IS or generated by the modality.

For a detailed description of an integrated environment see the IHE Radiology Technical Framework. This document can be obtained at http://www.ihe.net/

J.2.1 Modality Conforms to Modality Worklist and MPPS SOP Classes

The modality may:

• N-CREATE a MPPS SOP Instance and include its SOP Instance UID in the Image SOP Instances within the Referenced Performed Procedure Step Sequence Attribute.

• Copy the following Attribute values from the Modality Worklist information into the Image SOP Instances and into the related MPPS SOP Instance:
  • Study Instance UID
• Referenced Study Sequence
• Accession Number
• Requested Procedure ID
• Scheduled Procedure Step ID
• Scheduled Procedure Step Description
• Scheduled Protocol Code Sequence

Create the following Attribute value and include it into the Image SOP Instances and the related MPPS SOP Instance:

• Performed Procedure Step ID

Include the following Attribute values that may be generated during image acquisition, if supported, into the Image SOP Instances and the related MPPS SOP Instance:

• Performed Procedure Step Start Date
• Performed Procedure Step Start Time
• Performed Procedure Step Description

• Study ID

**J.2.2 Modality Conforms Only to The Modality Worklist SOP Class**

The modality may:

• In the absence of the ability to N-CREATE a MPPS SOP Instance, generate a MPPS SOP Instance UID and include it into the Referenced Performed Procedure Step Sequence Attribute of the Image SOP Instances. A system that later N-CREATEs a MPPS SOP Instance may use this UID extracted from the related Image SOP Instances.

• Copy the following Attribute values from the Modality Worklist information into the Image SOP Instances:

  • Study Instance UID
  • Referenced Study Sequence
  • Accession Number
  • Requested Procedure ID
  • Scheduled Procedure Step ID
  • Scheduled Procedure Step Description
  • Scheduled Protocol Code Sequence

Create the following Attribute value and include it into the Image SOP Instances:

• Performed Procedure Step ID

A system that later N-CREATEs a MPPS SOP Instance may use this Attribute value extracted from the related Image SOP Instances.

• Include the following Attribute values that may be generated during image acquisition, if supported, into the Image SOP Instances:

  • Performed Procedure Step Start Date
  • Performed Procedure Step Start Time
  • Performed Procedure Step Description
• Study ID

A system that later N-CREATEs a MPPS SOP Instance may use these Attribute values extracted from the related Image SOP Instances.

### J.2.3 Modality Conforms Only to The MPPS SOP Class

The modality may:

• N-CREATE a MPPS SOP Instance and include its SOP Instance UID in the Image SOP Instances within the Referenced Performed Procedure Step Sequence Attribute.

• Create the following Attribute values and include them in the Image SOP Instances and the related MPPS SOP Instance:
  • Study Instance UID
  • Performed Procedure Step ID

• Copy the following Attribute values, if available to the Modality, into the Image SOP Instances and into the related MPPS SOP Instance:
  • Accession Number
  • Patient ID
  • Patient's Name
  • Patient's Birth Date
  • Patient's Sex

If sufficient identifying information is included, it will allow the Image SOP Instances and the MPPS SOP Instance to be later related to the Requested Procedure and the actual or conceptual Detached Study Management entity.

• Include the following Attribute values that may be generated during image acquisition, if supported, into the Image SOP Instances and the related MPPS SOP Instance:
  • Performed Procedure Step Start Date
  • Performed Procedure Step Start Time
  • Performed Procedure Step Description

• Study ID

### J.3 Non-integrated Environment

"Non-Integrated" means in this context that the Acquisition Modality is not connected to an Information System Systems, does not receive Attribute values from an SCP of the Modality Worklist SOP Class, and cannot create a Performed Procedure Step SOP Instance.

The modality may:

• In the absence of the ability to N-CREATE a MPPS SOP Instance, generate a MPPS SOP Instance UID and include it into the Referenced Performed Procedure Step Sequence Attribute of the Image SOP Instances. A system that later N-CREATEs a MPPS SOP Instance may use this UID extracted from the related Image SOP Instances.

• Create the following Attribute values and include them in the Image SOP Instances:
  • Study Instance UID
  • Performed Procedure Step ID

A system that later N-CREATEs a MPPS SOP Instance may use these Attribute values extracted from the related Image SOP Instances.
Copy the following Attribute values, if available to the Modality, into the Image SOP Instances:

- Accession Number
- Patient ID
- Patient's Name
- Patient's Birth Date
- Patient's Sex

If sufficient identifying information is be included, it will allow the Image SOP Instances to be later related to the Requested Procedure and the actual or conceptual Detached Study Management entity.

Include the following Attribute values that may be generated during image acquisition, if supported, into the Image SOP Instances:

- Performed Procedure Step Start Date
- Performed Procedure Step Start Time
- Performed Procedure Step Description
- Study ID

A system that later N-CREATEs a MPPS SOP Instance may use these Attribute values extracted from the related Image SOP Instances.

### J.4 One MPPS Is Created in Response to Two Or More Requested Procedures

In the MPPS SOP Instance, all the specific Attributes of a Scheduled Procedure Step or Steps are included in the Scheduled Step Attributes Sequence. In the Image SOP Instances, these Attributes may be included in the Request Attributes Sequence. This is an optional Sequence in order not to change the definition of existing SOP Classes by adding new required Attributes or changing the meaning of existing Attributes.

Both Sequences may have more than one Item if more than one Requested Procedure results in a single Performed Procedure Step.

Because of the definitions of existing Attributes in existing Image SOP Classes, the following solutions are a compromise. The first one chooses or creates a value for the single valued Attributes Study Instance UID and Accession Number. The second one completely replicates the Image data with different values for the Attributes Study Instance UID and Accession Number.

#### J.4.1 Choose Or Create A Value For Study Instance UID and Accession Number

The modality may:

- In the Image SOP Instances:
  
  - create a Request Attributes Sequence containing two or more Items each containing the following Attributes:
    
    - Requested Procedure ID
    - Scheduled Procedure Step ID
    - Scheduled Procedure Step Description
    - Scheduled Protocol Code Sequence
  
  - create a Referenced Study Sequence containing two or more Items sufficient to contain the Study SOP Instance UID values from the Modality Worklist for both Requested Procedures
  
  - select one value from the Modality Worklist or generate a new value for:
    
    - Study Instance UID
• select one value from the Modality Worklist or generate a new value or assign an empty value for:
  • Accession Number
• In the MPPS SOP Instance:
  • create a Scheduled Step Attributes Sequence containing two or more Items each containing the following Attributes:
    • Study Instance UID
    • Referenced Study Sequence
    • Accession Number
    • Requested Procedure ID
    • Scheduled Procedure Step ID
    • Scheduled Procedure Step Description
    • Scheduled Protocol Code Sequence
  • include the following Attribute value that may be generated during image acquisition, if supported:
    • Procedure Code Sequence
• In both the Image SOP Instances and the MPPS SOP Instance
  • create a Performed Procedure Step ID
  • include the following Attribute values that may be generated during image acquisition, if supported:
    • Performed Procedure Step Start Date
    • Performed Procedure Step Start Time
    • Performed Procedure Step Description
    • Study ID

J.4.2 Replicate The Image IOD

An alternative method is to replicate the entire Image SOP Instance with a new SOP Instance UID, and assign each Image IOD it's own identifying Attributes. In this case, each of the Study Instance UID and the Accession Number values can be used in their own Image SOP Instance.

Both Image SOP Instances may reference a single MPPS SOP Instance (via the MPPS SOP Instance UID in the Referenced Performed Procedure Step Sequence).

Each individual Image SOP Instance may reference it's own related Study SOP Instance, if it exists (via the Referenced Study Sequence). This Study SOP Instance has a one to one relationship with the corresponding Requested Procedure.

If an MPPS SOP Instance is created, it may reference both related Study SOP Instances.

The modality may:
• For all Series in the MPPS, replicate the entire Series of Images using new Series Instance UIDs
• Create replicated Image SOP Instances with different SOP Instance UIDs that use the new Series Instance UIDs, for each of the two or more Requested Procedures
• In each of the Image SOP Instances, using values from the corresponding Requested Procedure:
  • create a Request Attributes Sequence containing an Item containing the following Attributes:
• Requested Procedure ID
• Scheduled Procedure Step ID
• Scheduled Procedure Step Description
• Scheduled Protocol Code Sequence

• copy from the Modality Worklist:
  • Study Instance UID
  • Accession Number

• create a Referenced Study Sequence containing an Item containing the following Attribute:
  • Study SOP Instance in the Referenced Study Sequence from the Worklist

• In the MPPS SOP Instance (if supported):
  • create a Scheduled Step Attributes Sequence containing two or more Items each containing the following Attributes:
    • Study Instance UID
    • Referenced Study Sequence
    • Accession Number
    • Requested Procedure ID
    • Scheduled Procedure Step ID
    • Scheduled Procedure Step Description
    • Scheduled Protocol Code Sequence
  • include the following Attribute value that may be generated during image acquisition, if supported:
    • Procedure Code Sequence

• In both the Image SOP Instances and the MPPS SOP Instance (if supported):
  • create a Performed Procedure Step ID
  • include the following Attribute values that may be generated during image acquisition, if supported:
    • Performed Procedure Step Start Date
    • Performed Procedure Step Start Time
    • Performed Procedure Step Description
    • Study ID

J.5 MPPS SOP Instance Created by Another System (not the Modality)

If for some reason the Modality was unable to create the MPPS SOP Instance, another system may wish to perform this service. This system must make sure that the created PPS SOP Instance is consistent with the related Image SOP Instances.

Depending on the availability and correctness of values for the Attributes in the Image SOP Instances, these values may be copied into the MPPS SOP Instance, or they may have to be coerced, e.g., if they are not consistent with corresponding values available from the IS.
For example, if the MPPS SOP Instance UID is already available in the Image SOP Instance (in the Referenced Performed Procedure Step Sequence), it may be utilized to N-CREATE the MPPS SOP Instance. If not available, a new MPPS SOP Instance UID may be generated and used to N-CREATE the MPPS SOP Instance. In this case there may be no MPPS SOP Instance UID in the Referenced Performed Procedure Step Sequence in the corresponding Image SOP Instances. An update of the Image SOP Instances will restore the consistency, but this is not required.

**J.6 Mapping of Study Instance UIDs to the Study SOP Instance UID**

K Ultrasound Staged Protocol Data Management (Informative)

K.1 Purpose of this Annex

The purpose of this annex is to enhance consistency and interoperability among creators and consumers of Ultrasound images within Staged Protocol Exams. An ultrasound "Staged Protocol Exam" is an exam that acquires a set of images under specified conditions during time intervals called "Stages". An example of such an exam is a cardiac stress-echo Staged Protocol.

This informative annex describes the use of ultrasound Staged Protocol attributes within the following DICOM Services: Ultrasound Image, Ultrasound Multi-frame Image, and Key Object Selection Storage, Modality Worklist, and Modality Performed Procedure Step Services.

K.2 Prerequisites For Support

The support of ultrasound Staged Protocol Data Management requires support for the Ultrasound Image SOP Class or Ultrasound Multi-frame Image SOP Class as appropriate for the nature of the Protocol. By supporting some optional Elements of these SOP Classes, Staged-Protocols can be managed. Support of Key Object Selection allows control of the order of View and Stage presentation. Support of Modality Worklist Management and Modality Performed Procedure Step allow control over specific workflow use cases as described in this Annex.

K.3 Definition of a Staged Protocol Exam

A "Staged Protocol Exam" acquires images in two or more distinct time intervals called "Stages" with a consistent set of images called "Views" acquired during each Stage of the exam. A View is of a particular cross section of the anatomy acquired with a specific ultrasound transducer position and orientation. During the acquisition of a Staged Protocol Exam, the modality may also acquire non-Protocol images at one or more Protocol Stages.

A common real-world example of an ultrasound Staged Protocol exam is a cardiac stress-echo ultrasound exam. Images are acquired in distinct time intervals (Stages) of different levels of stress and Views as shown in Figure K.3-1. Typically, stress is induced by means of patient exercise or medication. Typical Stages for such an exam are baseline, mid-stress, peak-stress, and recovery. During the baseline Stage the patient is at rest, prior to inducing stress through medication or exercise. At mid-stress Stage the heart is under a moderate level of stress. During peak-stress Stage the patient's heart experiences maximum stress appropriate for the patient's condition. Finally, during the recovery Stage, the heart recovers because the source of stress is absent.

At each Stage an equivalent set of Views is acquired. Examples of typical Views are parasternal long axis and parasternal short axis. Examination of wall motion between the corresponding Views of different Stages may reveal ischemia of one or more regions ("segments") of the myocardium. Figure K.3-1 illustrates the typical results of a cardiac stress-echo ultrasound exam.
K.4 Attributes Used in Staged Protocol Exams

The DICOM standard includes a number of attributes of significance to Staged Protocol Exams. This Annex explains how scheduling and acquisition systems may use these attributes to convey Staged Protocol related information.

Table K.4-1 lists all the attributes relevant to convey Staged Protocol related information (see PS3.3 for details about these attributes).

| Table K.4-1. Attributes That Convey Staged Protocol Related Information |
|---|---|---|
| **Modality Worklist** (Tag) [Return Key Type] | **US Image and US Multi-frame IOD** (TAG) [Type] | **MPPS IOD** (Tag) [SCU/SCP Type] |
| ---- | ---- | Scheduled Step Attributes Sequence (0040,0270) [1/1] (b) |
| Study Instance UID (0020,000D) [1] | Study Instance UID (0020,000D) [1] | >Study Instance UID (0020,000D) [1/1] |
| Scheduled Procedure Step Sequence (0040,0100) | Request Attributes Sequence (0040,0275) [3] (a,b) | ---- |
| >Scheduled Procedure Step Description (0040,0007) [1C] | >Scheduled Procedure Step Description (0040,0007) [3] | >Scheduled Procedure Step Description (0040,0007) [2/2] |
| ---- | Performed Procedure Step Description (0040,0254) [3] | Performed Procedure Step Description (0040,0254) [2/2] |
| ---- | Protocol Name (0018,1030) [3] | Performed Series Sequence (0040,0340)>Protocol Name (0018,1030) [1/1] |
| ---- | Number of Stages (0008,2124) [2C] | ---- |
| ---- | Number of Views In Stage (0008,212A) [2C] | ---- |
| ---- | Stage Name (0008,2120) [3] | ---- |
| ---- | Stage Number (0008,2122) [3] | ---- |
K.5 Guidelines

This annex provides guidelines for implementation of the following aspects of Staged Protocol exams:

- Identification of a Staged Protocol exam.
- Identification of Stages and Views within a Staged Protocol exam.
- Identification of extra-Protocol images within a Staged Protocol exam.
- Acquisition of multiple images of a View during a Stage, and identification of the preferred image for that Stage.
- Workflow management of Staged Protocol images.

K.5.1 Staged Protocol Exam Identification

The attributes Number of Stages (0008,2124) and Number of Views in Stage (0008,212A) are each Type 2C with the condition "Required if this image was acquired in a Staged Protocol." These two attributes will be present with values in image SOP Instances if the exam meets the definition of a Staged Protocol Exam stated in Section K.3. This includes both the Protocol View images as well as any extra-Protocol images acquired during the Protocol Stages.

The attributes Protocol Name (0018,1030) and Performed Protocol Code Sequence (0040,0260) identify the Protocol of a Staged Protocol Exam, but the mere presence of one or both of these attributes does not in itself identify the acquisition as a Staged Protocol Exam. If both Protocol Name and Performed Protocol Code Sequence attributes are present, the Protocol Name value takes precedence over the Performed Protocol Code Sequence Code Meaning value as a display label for the Protocol, since the Protocol Name would convey the institutional preference better than the standardized code meaning.

K.5.2 Stage and View Identification

Display devices usually include capabilities that aid in the organization and presentation of images acquired as part of the Staged Protocol. These capabilities allow a clinician to display images of a given View acquired during different Stages of the Protocol side by side for comparison. A View is a particular combination of the transducer position and orientation at the time of image acquisition. Images are acquired at the same View in different Protocol Stages for the purpose of comparison. For these features to work properly, the display device must be able to determine the Stage and View of each image in an unambiguous fashion.

There are three possible mechanisms for conveying Stage and View identification in the image SOP Instances:

- "Numbers" (Stage Number (0008,2122) and View Number (0008,2128)), which number Stages and Views, starting with one.
- "Names" (Stage Name (0008,2120) and View Name (0008,2127)), which specify textual names for each Stage and View, respectively.
"Code sequences" (Stage Code Sequence (0040,000A) for Stage identification, and View Code Sequence (0054,0220) for View identification), which give identification "codes" to the Stage and View respectively.

The use of code sequences to identify Stage and View, using Context Group values specified in PS3.16 (e.g., CID 12002 "Ultrasound Protocol Stage Types" and CID 12226 "Echocardiography Image View"), allows a display application with knowledge of the code semantics to render a display in accordance with clinical domain uses and user preferences (e.g., populating each quadrant of an echocardiographic display with the user desired stage and view). The IHE Echocardiography Workflow Profile requires such use of code sequences for stress-echo studies.

Table K.5-1 provides an example of the Staged Protocol relevant attributes in images acquired during a typical cardiac stress-echo ultrasound exam.

<table>
<thead>
<tr>
<th>Baseline Stage - View 1</th>
<th>Mid-Stress Stage - View 1</th>
<th>Mid-Stress Stage - View 2</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Study Instance UID:</strong></td>
<td>Study Instance UID:</td>
<td>Study Instance UID:</td>
</tr>
<tr>
<td>&quot;1.2.840....123.1&quot;</td>
<td>&quot;1.2.840....123.1&quot;</td>
<td>&quot;1.2.840....123.1&quot;</td>
</tr>
<tr>
<td><strong>Request Attributes Sequence:</strong></td>
<td>Request Attributes Sequence:</td>
<td>Request Attributes Sequence:</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Scheduled Procedure Step Description:</td>
<td>&gt;Scheduled Procedure Step Description:</td>
<td>&gt;Scheduled Procedure Step Description:</td>
</tr>
<tr>
<td>&quot;Exercise stress echocardiography&quot;</td>
<td>&quot;Exercise stress echocardiography&quot;</td>
<td>&quot;Exercise stress echocardiography&quot;</td>
</tr>
<tr>
<td>&gt;&gt;Coding Scheme Designator: &quot;SRT&quot;</td>
<td>&gt;&gt;Coding Scheme Designator: &quot;SRT&quot;</td>
<td>&gt;&gt;Coding Scheme Designator: &quot;SRT&quot;</td>
</tr>
<tr>
<td><strong>Performed Procedure Step Description:</strong></td>
<td><strong>Performed Procedure Step Description:</strong></td>
<td><strong>Performed Procedure Step Description:</strong></td>
</tr>
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<td>&quot;Exercise stress echocardiography&quot;</td>
<td>&quot;Exercise stress echocardiography&quot;</td>
</tr>
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<td>Protocol Name:</td>
</tr>
<tr>
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<td>&quot;EXERCISE STRESS-ECHO&quot;</td>
<td>&quot;EXERCISE STRESS-ECHO&quot;</td>
</tr>
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<td></td>
<td></td>
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<td>&gt;Coding Scheme Designator: &quot;SRT&quot;</td>
<td>&gt;Coding Scheme Designator: &quot;SRT&quot;</td>
</tr>
<tr>
<td><strong>Number of Stages:</strong></td>
<td><strong>Number of Stages:</strong></td>
<td><strong>Number of Stages:</strong></td>
</tr>
<tr>
<td>&quot;4&quot;</td>
<td>&quot;4&quot;</td>
<td>&quot;4&quot;</td>
</tr>
<tr>
<td><strong>Number of Views In Stage:</strong></td>
<td><strong>Number of Views In Stage:</strong></td>
<td><strong>Number of Views In Stage:</strong></td>
</tr>
<tr>
<td>&quot;2&quot;</td>
<td>&quot;2&quot;</td>
<td>&quot;2&quot;</td>
</tr>
<tr>
<td><strong>Stage Name:</strong></td>
<td><strong>Stage Name:</strong></td>
<td><strong>Stage Name:</strong></td>
</tr>
<tr>
<td>&quot;BASELINE&quot;</td>
<td>&quot;MID-STRESS&quot;</td>
<td>&quot;MID-STRESS&quot;</td>
</tr>
<tr>
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<td><strong>Stage Number:</strong></td>
<td><strong>Stage Number:</strong></td>
</tr>
<tr>
<td>&quot;1&quot;</td>
<td>&quot;2&quot;</td>
<td>&quot;2&quot;</td>
</tr>
<tr>
<td><strong>Stage Code Sequence:</strong></td>
<td>Stage Code Sequence:</td>
<td>Stage Code Sequence:</td>
</tr>
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<td>&gt;Coding Scheme Designator: &quot;DCM&quot;</td>
<td>&gt;Coding Scheme Designator: &quot;DCM&quot;</td>
</tr>
<tr>
<td><strong>View Name:</strong></td>
<td><strong>View Name:</strong></td>
<td><strong>View Name:</strong></td>
</tr>
<tr>
<td>&quot;Para-sternal long axis&quot;</td>
<td>&quot;Para-sternal long axis&quot;</td>
<td>&quot;Para-sternal short axis&quot;</td>
</tr>
<tr>
<td><strong>View Number:</strong></td>
<td><strong>View Number:</strong></td>
<td><strong>View Number:</strong></td>
</tr>
<tr>
<td>&quot;1&quot;</td>
<td>&quot;1&quot;</td>
<td>&quot;2&quot;</td>
</tr>
<tr>
<td>*****</td>
<td>*****</td>
<td>*****</td>
</tr>
<tr>
<td><strong>Number of Event Timers:</strong></td>
<td><strong>Number of Event Timers:</strong></td>
<td><strong>Number of Event Timers:</strong></td>
</tr>
<tr>
<td>&quot;1&quot;</td>
<td>&quot;1&quot;</td>
<td>&quot;1&quot;</td>
</tr>
<tr>
<td>*****</td>
<td>*****</td>
<td>*****</td>
</tr>
<tr>
<td><strong>Event Elapsed Time(s):</strong></td>
<td><strong>Event Elapsed Time(s):</strong></td>
<td><strong>Event Elapsed Time(s):</strong></td>
</tr>
<tr>
<td>&quot;10000&quot; (ms)</td>
<td>&quot;25000&quot; (ms)</td>
<td>&quot;Time Since Exercise Halted&quot;</td>
</tr>
<tr>
<td>*****</td>
<td>*****</td>
<td>*****</td>
</tr>
</tbody>
</table>

- Standard -
### K.5.3 Extra-protocol Image Identification

At any Stage of a Staged Protocol exam, the operator may acquire images that are not part of the Protocol. These images are so-called "extra-Protocol images". Information regarding the performed Protocol is still included because such images are acquired in the same Procedure Step as the Protocol images. The Stage number and optionally other Stage identification attributes (Stage Name and/or Stage Code Sequence) should still be conveyed in extra-Protocol images. However, the View number should be omitted to signify that the image is not one of the standard Views in the Protocol. Other View identifying information, such as name or code sequences, may indicate the image location.

**Table K.5-2. Comparison Of Protocol And Extra-Protocol Image Attributes Example**

<table>
<thead>
<tr>
<th></th>
<th>Mid-Stress Stage - View 1</th>
<th>Mid-Stress Stage - View 2</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Study Instance UID:</strong></td>
<td>&quot;1.2.840….123.1&quot;</td>
<td>&quot;1.2.840….123.1&quot;</td>
</tr>
<tr>
<td><strong>Request Attributes Sequence:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>&gt; Scheduled Procedure Step Description:</strong></td>
<td>&quot;Exercise stress echocardiography protocol&quot;</td>
<td>&quot;Exercise stress echocardiography protocol&quot;</td>
</tr>
<tr>
<td><strong>&gt; Scheduled Protocol Code Sequence:</strong></td>
<td>&quot;P5-B3050&quot;</td>
<td>&quot;P5-B3050&quot;</td>
</tr>
<tr>
<td><strong>&gt; Coding Scheme Designator:</strong></td>
<td>&quot;SRT&quot;</td>
<td>&quot;SRT&quot;</td>
</tr>
<tr>
<td><strong>&gt; Code Meaning:</strong></td>
<td>&quot;Exercise stress echocardiography&quot;</td>
<td>&quot;Exercise stress echocardiography&quot;</td>
</tr>
<tr>
<td><strong>Performed Procedure Step Description:</strong></td>
<td>&quot;Exercise stress echocardiography&quot;</td>
<td></td>
</tr>
<tr>
<td><strong>Protocol Name:</strong></td>
<td>&quot;EXERCISE STRESS-ECHO&quot;</td>
<td>&quot;EXERCISE STRESS-ECHO&quot;</td>
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<td>&quot;4&quot;</td>
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<td>&quot;2&quot;</td>
<td>&quot;2&quot;</td>
</tr>
<tr>
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<td>&quot;MID-STRESS&quot;</td>
<td>&quot;MID-STRESS&quot;</td>
</tr>
<tr>
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<tr>
<td><strong>&gt; Code Value:</strong></td>
<td>&quot;109091&quot;</td>
<td>&quot;109091&quot;</td>
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<tr>
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<td>&quot;DCM&quot;</td>
<td>&quot;DCM&quot;</td>
</tr>
<tr>
<td><strong>&gt; Code Meaning:</strong></td>
<td>&quot;Cardiac Stress state&quot;</td>
<td>&quot;Cardiac Stress state&quot;</td>
</tr>
<tr>
<td><strong>View Name:</strong></td>
<td>&quot;Para-sternal long axis&quot;</td>
<td></td>
</tr>
<tr>
<td><strong>View Number:</strong></td>
<td>&quot;1&quot;</td>
<td></td>
</tr>
</tbody>
</table>
K.5.4 Multiple Images of A Stage-view

Ultrasound systems often acquire multiple images at a particular stage and view. If one image is difficult to interpret or does not fully portray the ventricle wall, the physician may choose to view an alternate. In some cases, the user may identify the preferred image. The Key Object Selection Document can identify the preferred image for any or all of the Stage-Views. This specific usage of the Key Object Selection Document has a Document Title of ([113013, DCM, “Best In Set”]) and Document Title Modifier of ([113017, DCM, “Stage-View”]).

K.5.5 Workflow Management of Staged Protocol Images

K.5.5.1 Uninterrupted Exams - Single MPPS

Modality Performed Procedure Step (MPPS) is the basic organizational unit of Staged Protocol Exams. It is recommended that a single MPPS instance encompass the entire acquisition of an ultrasound Staged Protocol Exam if possible.

There are no semantics assigned to the use of Series within a Staged Protocol Exam other than the DICOM requirements as to the relationship between Series and Modality Performed Procedure Steps. In particular, all of the following scenarios are possible:

- one Series for all images in the MPPS.
- separate Series for Protocol View images and extra-Protocol images in the MPPS.
- separate Series for images of each Stage within the MPPS.
- more than one Series for the images acquired in a single Protocol Stage.

There is no recommendation on the organization of images into Series because clinical events make such recommendations impractical. Figure K.5.5-1 shows a possible sequence of interactions for a protocol performed as a single MPPS.

![Figure K.5.5-1. Example of Uninterrupted Staged-Protocol Exam WORKFLOW](image-url)
K.5.5.2 Interrupted Exams - Multiple MPPS

A special case arises when the acquisition during a Protocol Stage is halted for some reason. For example, such a situation can occur if signs of patient distress are observed, such as angina in a cardiac stress exam. These criteria are part of the normal exam Protocol, and as long as the conditions defined for the Protocol are met the MPPS status is set to COMPLETED. Only if the exam terminates before meeting the minimum acquisition requirements of the selected Protocol would MPPS status be set to DISCONTINUED. It is recommended that the reason for discontinuation should be conveyed in the Modality Procedure Step Discontinuation Reason Code Sequence (0040,0281). Staged Protocols generally include criteria for ending the exam, such as when a target time duration is reached or if signs of patient distress are observed.

If a Protocol Stage is to be acquired at a later time with the intention of using an earlier completed Protocol Stage of a halted Staged Protocol then a new Scheduled Procedure Step may or may not be created for this additional acquisition. Workflow management recommendations vary depending on whether the care institution decides to create a new Scheduled Procedure Step or not.

Follow-up Stages must use View Numbers, Names, and Code Sequences identical to those in the prior Stages to enable automatically correlating images of the original and follow-up Stages.

K.5.5.2.1 Unscheduled Follow-up Stages

Follow-up Stages require a separate MPPS. Since follow-up stages are part of the same Requested Procedure and Scheduled Procedure Step, all acquired image SOP Instances and generated MPPS instances specify the same Study Instance UID. If the Study Instance UID is different, systems will have difficulty associating related images. This creates a significant problem if Modality Worklist is not supported. Therefore systems should assign the same Study Instance UID for follow-up Stages even if Modality Worklist is not supported. Figure K.5.5-2 shows a possible interaction sequence for this scenario.

![Diagram](image-url)

**Figure K.5.5-2. Example Staged-Protocol Exam with Unscheduled Follow-up Stages**
K.5.5.2.2 Scheduled Follow-up Stages

In some cases a new Scheduled Procedure Step is created to acquire follow-up Stages. For example, a drug induced stress-echo exam may be scheduled because an earlier exercise induced stress-echo exam had to be halted due to patient discomfort. In such cases it would be redundant to reacquire earlier Stages such as the rest Stage of a cardiac stress-echo ultrasound exam. One MPPS contains the Image instances of the original Stage and a separate MPSS contains the follow-up instances.

If Scheduled and Performed Procedure Steps for Staged Protocol Exam data use the same Study Instance UID, workstations can associate images from the original and follow-up Stages. Figure K.5.5-3 shows a possible interaction sequence for this scenario.

---

Figure K.5.5-3. Example Staged-Protocol Exam with Scheduled Follow-up Stages
L Hemodynamics Report Structure (Informative)

The Hemodynamics Report is based on TID 3500 “Hemodynamics Report”. The report contains one or more measurement containers, each corresponding to a phase of the cath procedure. Within each container may be one or more sub-containers, each associated with a single measurement set. A measurement set consists of measurements from a single anatomic location. The resulting hierarchical structure is depicted in Figure L-1.

Figure L-1. Hemodynamics Report Structure

The container for each phase has an optional subsidiary container for Clinical Context with a parent-child relationship of has-acquisition-context. This Clinical Context container allows the recording of pertinent patient state information that may be essential to understanding the measurements made during that procedure phase. It should be noted that any such patient state information is necessarily only a summary; a more complete clinical picture may be obtained by review of the cath procedure log.

The lowest level containers for the measurement sets are specialized by the class of anatomic location - arterial, venous, atrial, ventricular - for the particular measurements appropriate to that type of location. These containers explicitly identify the anatomic location with a has-acquisition-context relationship. Since such measurement sets are typically measured on the same source (e.g., pressure waveform), the container may also have a has-acquisition-context relationship with a source DICOM waveform SOP Instance.
The "atomic" level of measurements within the measurement set containers includes three types of data. First is the specific measurement data acquired from waveforms related to the site. Second is general measurement data that may include any hemodynamic, patient vital sign, or blood chemistry data. Third, derived data are produced from a combination of other data using a mathematical formula or table, and may provide reference to the equation.
M Vascular Ultrasound Reports (Informative)

M.1 Vascular Report Structure

Figure M.2-1. Vascular Numeric Measurement Example

The vascular procedure report partitions numeric measurements into section headings by anatomic region and by laterality. A laterality concept modifier of the section heading concept name specifies whether laterality is left or right. Therefore, laterally paired anatomy sections may appear two times, once for each laterality. Findings of unpaired anatomy, are separately contained in a separate "unilateral" section container. Therefore, in vascular ultrasound, laterality is always expressed at the section heading level with one of three states: left, right, or unilateral (unpaired). There is no provision for anatomy of unknown laterality other than as a TEXT content item in the summary.

Note that expressing laterality at the heading level differs from OB-GYN Pelvic and fetal vasculature, which expresses laterality as concept modifiers of the anatomic containers.

<table>
<thead>
<tr>
<th>Section Heading Concept Name</th>
<th>Section Heading Laterality</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cerebral Vessels</td>
<td>Left, Right or Unilateral</td>
</tr>
<tr>
<td>Artery of Neck</td>
<td>Left, Right</td>
</tr>
<tr>
<td>Artery of Lower Extremity</td>
<td>Left, Right</td>
</tr>
<tr>
<td>Vein of Lower Extremity</td>
<td>Left, Right</td>
</tr>
<tr>
<td>Artery of Upper Extremity</td>
<td>Left, Right</td>
</tr>
<tr>
<td>Vein of Upper Extremity</td>
<td>Left, Right</td>
</tr>
<tr>
<td>Vascular Structure of Kidney</td>
<td>Left, Right</td>
</tr>
<tr>
<td>Artery of Abdomen</td>
<td>Left, Right or Unilateral</td>
</tr>
<tr>
<td>Vein of Abdomen</td>
<td>Left, Right or Unilateral</td>
</tr>
</tbody>
</table>

The common vascular pattern is a battery of measurements and calculations repeatedly applied to various anatomic locations. The anatomic location is the acquisition context of the measurement group. For example, a measurement group may have a measurement source of Common Iliac Artery with several measurement instances and measurement types such as mean velocity, peak systolic velocity, acceleration time, etc.
There are distinct anatomic concepts to modify the base anatomy concept. The modification expression is a content item with a modifier concept name and value selected from a Context Group as the table shows below.

<table>
<thead>
<tr>
<th>Anatomic Modifier Concept Name</th>
<th>Context Group</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>(G-C171, SRT, &quot;Laterality&quot;)</td>
<td>CID 244 “Laterality”</td>
<td>Distinguishes laterality</td>
</tr>
<tr>
<td>(G-A1F8, SRT, &quot;Topographical Modifier&quot;)</td>
<td>CID 12116 “Vessel Segment Modifiers”</td>
<td>Distinguishes the location along a segment: prox, mid, distal, …</td>
</tr>
<tr>
<td>(125101, DCM, &quot;Vessel Branch&quot;)</td>
<td>CID 12117 “Vessel Branch Modifiers”</td>
<td>Distinguishes between one of multiple branches: inferior, middle</td>
</tr>
</tbody>
</table>

M.2 Vascular Examples

The following are simple, non-comprehensive illustrations of significant report sections.

M.2.1 Example 1: Renal Vessels

<table>
<thead>
<tr>
<th>Nest</th>
<th>Code Meaning of Concept Name</th>
<th>Code Meaning or Example Value</th>
<th>TID</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Vascular Ultrasound Procedure Report</td>
<td>TID 5100</td>
<td></td>
</tr>
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<td>1.1</td>
<td>Language of Content Item and Descendants</td>
<td>English</td>
<td>TID 1204</td>
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<td>Subject Name</td>
<td>John Doe</td>
<td>TID 1007</td>
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<td>Subject ID</td>
<td>123-45-9876</td>
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<td>Patient Characteristics</td>
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<td>1.8</td>
<td>Summary</td>
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<td>Finding Site</td>
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<td>Laterality</td>
<td>Right</td>
<td>TID 5103</td>
</tr>
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<td>TID 5104</td>
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**M.2.2 Example 2: Carotids Extracranial**

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<tr>
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<td>Findings</td>
<td></td>
<td>TID 5103</td>
</tr>
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<td>Findings Site</td>
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<td>TID 5103</td>
</tr>
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<td>1.10.2</td>
<td>Laterality</td>
<td>Right</td>
<td>TID 5103</td>
</tr>
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<td>TID 300</td>
</tr>
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<td>Common Carotid Artery</td>
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<td>TID 5104</td>
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<td>Topographical Modifier</td>
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<td>1.10.5.2</td>
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</tr>
<tr>
<td>1.10.6</td>
<td>Carotid bulb</td>
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<td>TID 5104</td>
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<td>Internal Carotid Artery</td>
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<td>Topographical Modifier</td>
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<td>TID 5104</td>
</tr>
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<td>Peak Systolic Velocity</td>
<td>180 cm/s</td>
<td>TID 300</td>
</tr>
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<td>1.10.8</td>
<td>Internal Carotid Artery</td>
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<td>Topographical Modifier</td>
<td>Distal</td>
<td>TID 5104</td>
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<tr>
<td>Nest</td>
<td>Code Meaning of Concept Name</td>
<td>Code Meaning or Example Value</td>
<td>TID</td>
</tr>
<tr>
<td>----------</td>
<td>------------------------------------</td>
<td>------------------------------</td>
<td>--------</td>
</tr>
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<td>1.10.8.2</td>
<td>Peak Systolic Velocity</td>
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<td>TID 300</td>
</tr>
<tr>
<td>1.10.9</td>
<td>ICA/CCA velocity ratio</td>
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<td>TID 5103</td>
</tr>
<tr>
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<td>....</td>
<td></td>
<td>TID 300</td>
</tr>
<tr>
<td>1.11</td>
<td>Findings</td>
<td></td>
<td>TID 5103</td>
</tr>
<tr>
<td>1.11.1</td>
<td>Finding Site</td>
<td>Artery of neck</td>
<td>TID 5103</td>
</tr>
<tr>
<td>1.11.2</td>
<td>Laterality</td>
<td>Left</td>
<td>TID 5103</td>
</tr>
<tr>
<td>....</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
N Echocardiography Procedure Reports (Informative)

The templates for ultrasound reports are defined in PS3.16. Figure N.1-1 is an outline of the echocardiography report.

![Figure N.1-1. Top Level Structure of Content](image)

N.1 Echo Patterns

The common echocardiography measurement pattern is a group of measurements obtained in the context of a protocol. Figure N.1-2 shows the pattern.
Figure N.1-2. Echocardiography Measurement Group Example

N.2 Measurement Terminology Composition

DICOM identifies echocardiography observations with various degrees of pre- and post-coordination. The concept name of the base content item typically specifies both anatomy and property for commonly used terms, or purely a property. Pure property concepts require an anatomic site concept modifier. Pure property concepts such as those in CID 12222 “Orifice Flow Properties” and CID 12239 “Cardiac Output Properties” use concept modifiers shown below.

<table>
<thead>
<tr>
<th>Concept Name of Modifier</th>
<th>Value Set</th>
</tr>
</thead>
<tbody>
<tr>
<td>(G-C036, SRT, &quot;Measurement Method&quot;)</td>
<td>CID 12227 “Echocardiography Measurement Method”</td>
</tr>
<tr>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;)</td>
<td>CID 12236 “Echo Anatomic Sites”</td>
</tr>
<tr>
<td>(G-A1F8, SRT, &quot;Topographical Modifier&quot;)</td>
<td>CID 12237 “Echocardiography Anatomic Site Modifiers”</td>
</tr>
<tr>
<td>(G-C048, SRT, &quot;Flow Direction&quot;)</td>
<td>CID 12221 “Flow Direction”</td>
</tr>
<tr>
<td>(R-40899, SRT, &quot;Respiratory Cycle Point&quot;)</td>
<td>CID 12234 “Respiration State”</td>
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<tr>
<td>(R-4089A, SRT, &quot;Cardiac Cycle Point&quot;)</td>
<td>CID 12233 “Cardiac Phase”</td>
</tr>
<tr>
<td>(121401, DCM, &quot;Derivation&quot;)</td>
<td>CID 3627 “Measurement Type”</td>
</tr>
</tbody>
</table>

Further qualification specifies the image mode and the image plane using HAS ACQ CONTEXT with the value sets shown below.

<table>
<thead>
<tr>
<th>Concept Name</th>
<th>Value Set</th>
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<tr>
<td>(G-0373, SRT, &quot;Image Mode&quot;)</td>
<td>CID 12224 “Ultrasound Image Modes”</td>
</tr>
<tr>
<td>(111031, DCM, &quot;Image View&quot;)</td>
<td>CID 12226 “Echocardiography Image View”</td>
</tr>
</tbody>
</table>
N.3 Illustrative Mapping to ASE Concepts

The content of this section provides recommendations on how to express the concepts from draft ASE guidelines with measurement type concept names and concept name modifiers.

The leftmost column is the name of the ASE concept. The Base Measurement Concept Name is the concept name of the numeric measurement content item. The modifiers column specifies a set of modifiers for the base measurement concept name. Each modifier consists of a modifier concept name (e.g., method or mode) and its value (e.g., Continuity). Where no Concept Modifier appears, the base concept matches the ASE concept.

### N.3.1 Aorta

<table>
<thead>
<tr>
<th>Name of ASE Concept</th>
<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
</tr>
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<tbody>
<tr>
<td>Aortic Root Diameter</td>
<td>(18015-8, LN, &quot;Aortic Root Diameter&quot;)</td>
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<tr>
<td>Ascending Aortic Diameter</td>
<td>(18012-5, LN, &quot;Ascending Aortic Diameter&quot;)</td>
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<tr>
<td>Aortic Arch Diameter</td>
<td>(18011-7, LN, &quot;Aortic Arch Diameter&quot;)</td>
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<tr>
<td>Descending Aortic Diameter</td>
<td>(18013-3, LN, &quot;Descending Aortic Diameter&quot;)</td>
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</table>

### N.3.2 Aortic Valve

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<th>Name of ASE Concept</th>
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<th>Concept or Acquisition Context Modifiers</th>
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</thead>
<tbody>
<tr>
<td>Aortic Valve Cusp Separation</td>
<td>(17996-0, LN, &quot;Aortic Valve Cusp Separation&quot;)</td>
<td></td>
</tr>
<tr>
<td>Aortic Valve Systolic Peak Velocity</td>
<td>(11726-7, LN, &quot;Peak Velocity&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
</tr>
<tr>
<td>Aortic Valve Systolic Velocity Time Integral</td>
<td>(20354-7, LN, &quot;Velocity Time Integral&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
</tr>
<tr>
<td>Aortic Valve Systolic Area</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
</tr>
<tr>
<td>Aortic Valve Planimetered Systolic Area</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
</tr>
<tr>
<td>Aortic Valve Systolic Area by Continuity</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
</tr>
<tr>
<td>Aortic Valve Systolic Area by Continuity of Peak Velocity</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
</tr>
<tr>
<td>Aortic Valve Systolic Area by Continuity of Mean Velocity</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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### N.3.3 Left Ventricle - Linear

<table>
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<th>Name of ASE Concept</th>
<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
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<tbody>
<tr>
<td>Left Ventricle Internal End Diastolic Dimension</td>
<td>(29436-3, LN &quot;Left Ventricle Internal End Diastolic Dimension&quot;)</td>
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<tr>
<td>Left Ventricle Internal Systolic Dimension</td>
<td>(29439-9, LN, &quot;Left Ventricle Internal Systolic Dimension&quot;)</td>
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<tr>
<td>Left Ventricle Diastolic Major Axis</td>
<td>(18077-8, LN, &quot;Left Ventricle Diastolic Major Axis&quot;)</td>
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<tr>
<td>Left Ventricle Systolic Major Axis</td>
<td>(18076-0, LN, &quot;Left Ventricle Systolic Major Axis&quot;)</td>
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</tr>
<tr>
<td>Left Ventricular Fractional Shortening</td>
<td>(18051-3, LN, &quot;Left Ventricular Fractional Shortening&quot;)</td>
<td></td>
</tr>
<tr>
<td>Interventricular Septum Diastolic Thickness</td>
<td>(18154-5, LN, &quot;Interventricular Septum Diastolic Thickness&quot;)</td>
<td></td>
</tr>
<tr>
<td>Interventricular Septum Systolic Thickness</td>
<td>(18158-6, LN, &quot;Interventricular Septum Systolic Thickness&quot;)</td>
<td></td>
</tr>
<tr>
<td>Interventricular Septum % Thickening</td>
<td>(18054-7, LN, &quot;Interventricular Septum % Thickening&quot;)</td>
<td></td>
</tr>
<tr>
<td>Name of ASE Concept</td>
<td>Base Measurement Concept Name</td>
<td>Concept or Acquisition Context Modifiers</td>
</tr>
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<tr>
<td>Left Ventricle Posterior Wall Diastolic Thickness</td>
<td>(18152-9, LN, &quot;Left Ventricle Posterior Wall Diastolic Thickness&quot;)</td>
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<tr>
<td>Left Ventricle Posterior Wall Systolic Thickness</td>
<td>(18156-0, LN, &quot;Left Ventricle Posterior Wall Systolic Thickness&quot;)</td>
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<tr>
<td>Left Ventricle Posterior Wall % Thickening</td>
<td>(18053-9, LN, &quot;Left Ventricle Posterior Wall % Thickening&quot;)</td>
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<tr>
<td>Interventricular Septum to Posterior Wall Thickness ratio</td>
<td>(18155-2, LN, &quot;Interventricular Septum to Posterior Wall Thickness Ratio&quot;)</td>
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<tr>
<td>Left Ventricle Internal End Diastolic Dimension by 2-D</td>
<td>(29436-3, LN, &quot;Left Ventricle Internal End Diastolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
</tr>
<tr>
<td>Left Ventricle Internal Systolic Dimension by 2-D</td>
<td>(29438-9, LN, &quot;Left Ventricle Internal Systolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
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<tr>
<td>Left Ventricular Fractional Shortening by 2-D</td>
<td>(18051-3, LN, &quot;Left Ventricular Fractional Shortening&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
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<tr>
<td>Interventricular Septum Diastolic Thickness by 2-D</td>
<td>(18154-5, LN, &quot;Interventricular Septum Diastolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
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<tr>
<td>Interventricular Septum Systolic Thickness by 2-D</td>
<td>(18158-6, LN, &quot;Interventricular Septum Systolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
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<tr>
<td>Interventricular Septum % Thickening by 2-D</td>
<td>(18054-7, LN, &quot;Interventricular Septum % Thickening&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
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<tr>
<td>Left Ventricle Posterior Wall Diastolic Thickness by 2-D</td>
<td>(18152-9, LN, &quot;Left Ventricle Posterior Wall Diastolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
</tr>
<tr>
<td>Left Ventricle Posterior Wall Systolic Thickness by 2-D</td>
<td>(18156-0, LN, &quot;Left Ventricle Posterior Wall Systolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
</tr>
<tr>
<td>Left Ventricle Posterior Wall % Thickening by 2-D</td>
<td>(18053-9, LN, &quot;Left Ventricle Posterior Wall % Thickening&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
</tr>
<tr>
<td>Interventricular Septum/ Left Ventricle Posterior Wall Diastolic Thickness Ratio by 2-D</td>
<td>(18155-2, LN, &quot;Interventricular Septum to Posterior Wall Thickness Ratio&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Left Ventricle Internal End Diastolic Dimension by M-Mode</td>
<td>(29436-3, LN, &quot;Left Ventricle Internal End Diastolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Left Ventricle Internal Systolic Dimension by M-Mode</td>
<td>(29438-9, LN, &quot;Left Ventricle Internal Systolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Left Ventricular Systolic Fractional Shortening by M-Mode</td>
<td>(18051-3, LN, &quot;Left Ventricular Fractional Shortening&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Interventricular Septum Diastolic Thickness by M-Mode</td>
<td>(18154-5, LN, &quot;Interventricular Septum Diastolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Interventricular Septum Systolic Thickness by M-Mode</td>
<td>(18158-6, LN, &quot;Interventricular Septum Systolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Interventricular Septum % Thickening by M-Mode</td>
<td>(18054-7, LN, &quot;Interventricular Septum % Thickening&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Left Ventricle Posterior Wall Diastolic Thickness by M-Mode</td>
<td>(18152-9, LN, &quot;Left Ventricle Posterior Wall Diastolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Left Ventricle Posterior Wall Systolic Thickness by M-Mode</td>
<td>(18156-0, LN, &quot;Left Ventricle Posterior Wall Systolic Thickness&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Left Ventricle Posterior Wall % Thickening by M-Mode</td>
<td>(18053-9, LN, &quot;Left Ventricle Posterior Wall % Thickening&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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### N.3.4 Left Ventricle Volumes and Ejection Fraction

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<th>Name of ASE Concept</th>
<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Left Ventricular End Diastolic Volume</td>
<td>(18026-5, LN, &quot;Left Ventricular End Diastolic Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125209, DCM, \text{&quot;Teichholz&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular End Diastolic Volume by Teichholz Method</td>
<td>(18026-5, LN, &quot;Left Ventricular End Diastolic Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125209, DCM, \text{&quot;Teichholz&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular End Diastolic Volume by 2-D Single Plane by Method of Disks (4-Chamber)</td>
<td>(18026-5, LN, &quot;Left Ventricular End Diastolic Volume&quot;)</td>
<td>(111031, DCM, &quot;Image View&quot;) = (G-A19C, SRT, &quot;Apical Four Chamber&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = ((125208, DCM, \text{&quot;Method of Disks, Single Plane&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular End Diastolic Volume by 2-D Biplane by Method of Disks</td>
<td>(18026-5, LN, &quot;Left Ventricular End Diastolic Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125207, DCM, \text{&quot;Method of Disks, Biplane&quot;}))</td>
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<tr>
<td>Left Ventricular End Systolic Volume</td>
<td>(18148-7, LN, &quot;Left Ventricular End Systolic Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125209, DCM, \text{&quot;Teichholz&quot;}))</td>
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<tr>
<td>Left Ventricular End Systolic Volume by Teichholz Method</td>
<td>(18148-7, LN, &quot;Left Ventricular End Systolic Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125209, DCM, \text{&quot;Teichholz&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular End Systolic Volume by 2D Single Plane by Method of Disks (4-Chamber)</td>
<td>(18148-7, LN, &quot;Left Ventricular End Systolic Volume&quot;)</td>
<td>(111031, DCM, &quot;Image View&quot;) = (G-A19C, SRT, &quot;Apical Four Chamber&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = ((125208, DCM, \text{&quot;Method of Disks, Single Plane&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular End Systolic Volume by 2-D Biplane by Method of Disks</td>
<td>(18148-7, LN, &quot;Left Ventricular End Systolic Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125207, DCM, \text{&quot;Method of Disks, Biplane&quot;}))</td>
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<tr>
<td>Left Ventricular EF</td>
<td>(18043-0, LN, &quot;Left Ventricular Ejection Fraction&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125209, DCM, \text{&quot;Teichholz&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular EF by Teichholz Method</td>
<td>(18043-0, LN, &quot;Left Ventricular Ejection Fraction&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125209, DCM, \text{&quot;Teichholz&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular EF by 2D Single Plane by Method of Disks (4-Chamber)</td>
<td>(18043-0, LN, &quot;Left Ventricular Ejection Fraction&quot;)</td>
<td>(111031, DCM, &quot;Image View&quot;) = (G-A19C, SRT, &quot;Apical Four Chamber&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = ((125208, DCM, \text{&quot;Method Of Disks, Single Plane&quot;}))</td>
</tr>
<tr>
<td>Left Ventricular EF by 2-D Biplane by Method of Disks</td>
<td>(18043-0, LN, &quot;Left Ventricular Ejection Fraction&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125207, DCM, \text{&quot;Method of Disks, Biplane&quot;}))</td>
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### N.3.5 Left Ventricle Output

<table>
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<tr>
<th>Name of ASE Concept</th>
<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Left Ventricular Stroke Volume</td>
<td>(F-32120, SRT, &quot;Stroke Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = ((125207, DCM, \text{&quot;Method of Disks, Biplane&quot;}))</td>
</tr>
<tr>
<td>Name of ASE Concept</td>
<td>Base Measurement Concept Name</td>
<td>Concept or Acquisition Context Modifiers</td>
</tr>
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<td>-----------------------------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>Left Ventricular Stroke Volume by 2-D Biplane by Method of Disks</td>
<td>(F-32120, SRT, &quot;Stroke Volume&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
</tr>
<tr>
<td>Left Ventricular Cardiac Output</td>
<td>(F-32100, SRT, &quot;Cardiac Output&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125208, DCM, &quot;Method of Disks, Single Plane&quot;)}</td>
</tr>
<tr>
<td>Left Ventricular Cardiac Output by Doppler Volume Flow</td>
<td>(F-32100, SRT, &quot;Cardiac Output&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
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<tr>
<td>Left Ventricular Cardiac Output by Teichholz Method</td>
<td>(F-32100, SRT, &quot;Cardiac Output&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
</tr>
<tr>
<td>Left Ventricular Cardiac Output by 2-D Single Plane by Method of Disks (4-Chamber)</td>
<td>(F-32100, SRT, &quot;Cardiac Output&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
</tr>
<tr>
<td>Left Ventricular Cardiac Output by 2-D Biplane by Method of Disks</td>
<td>(F-32100, SRT, &quot;Cardiac Output&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
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<tr>
<td>Left Ventricular Cardiac Index</td>
<td>(F-32110, SRT, &quot;Cardiac Index&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
</tr>
<tr>
<td>Left Ventricular Cardiac Index by Doppler Volume Flow</td>
<td>(F-32110, SRT, &quot;Cardiac Index&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
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<tr>
<td>Left Ventricular Cardiac Index by Teichholz Method</td>
<td>(F-32110, SRT, &quot;Cardiac Index&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
</tr>
<tr>
<td>Left Ventricular Cardiac Index by 2-D Single Plane by Method of Disks (4-Chamber)</td>
<td>(F-32110, SRT, &quot;Cardiac Index&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
</tr>
<tr>
<td>Left Ventricular Cardiac Index by 2-D Biplane by Method of Disks</td>
<td>(F-32110, SRT, &quot;Cardiac Index&quot;)</td>
<td>(G-C036, SRT, &quot;Finding Site&quot;) = {(T-32650, SRT, &quot;Left Ventricle Outflow Tract&quot;)} {(125209, DCM, &quot;Teichholz&quot;)}</td>
</tr>
</tbody>
</table>

Note

Measurements in the Left Ventricile section have context of Left Ventricle and do not require a Finding Site modifier (G-C0E3, SRT, "Finding Site") = \{(T-35400, SRT, "Left Ventricle")\} to specify the site. The Finding Site modifier appears for more specificity.
## N.3.6 Left Ventricular Outflow Tract

<table>
<thead>
<tr>
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<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
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<tbody>
<tr>
<td>Left Ventricular Outflow Tract Systolic Diameter</td>
<td>(G-038F, SRT, &quot;Cardiovascular Orifice Diameter&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-32650, SRT, &quot;Left Ventricular Outflow Tract&quot;)</td>
</tr>
<tr>
<td>Left Ventricular Outflow Tract Systolic Cross Sectional Area</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-32650, SRT, &quot;Left Ventricular Outflow Tract&quot;)</td>
</tr>
<tr>
<td>Left Ventricular Outflow Tract Systolic Peak Velocity</td>
<td>(11726-7, LN, &quot;Peak Velocity&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-32650, SRT, &quot;Left Ventricular Outflow Tract&quot;)</td>
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<tr>
<td>Left Ventricular Outflow Tract Systolic Peak Instantaneous Gradient</td>
<td>(20247-3, LN, &quot;Peak Gradient&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-32650, SRT, &quot;Left Ventricular Outflow Tract&quot;)</td>
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<tr>
<td>Left Ventricular Outflow Tract Systolic Mean Velocity</td>
<td>(20352-1, LN, &quot;Mean Velocity&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-32650, SRT, &quot;Left Ventricular Outflow Tract&quot;)</td>
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<tr>
<td>Left Ventricular Outflow Tract Systolic Mean Gradient</td>
<td>(20256-4, LN, &quot;Mean Gradient&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-32650, SRT, &quot;Left Ventricular Outflow Tract&quot;)</td>
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<tr>
<td>Left Ventricular Outflow Tract Systolic Velocity Time Integral</td>
<td>(20354-7, LN, &quot;Velocity Time Integral&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-32650, SRT, &quot;Left Ventricular Outflow Tract&quot;)</td>
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## N.3.7 Left Ventricle Mass

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<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
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<tr>
<td>Left Ventricle Mass</td>
<td>(18087-7, LN, &quot;Left Ventricle Mass&quot;)</td>
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<tr>
<td>Left Ventricle Mass by 2-D Method of Disks, Single Plane (4-Chamber)</td>
<td>(18087-7, LN, &quot;Left Ventricle Mass&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = { (125208, DCM, &quot;Method Of Disks, single plane&quot;)</td>
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<tr>
<td>Left Ventricle Mass by 2-D Biplane by Method of Disks</td>
<td>(18087-7, LN, &quot;Left Ventricle Mass&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = { (125207, DCM, &quot;Method of disks, biplane&quot;)</td>
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<tr>
<td>Left Ventricle Mass by M-Mode</td>
<td>(18087-7, LN, &quot;Left Ventricle Mass&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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## N.3.8 Left Ventricle Miscellaneous

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<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
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<tr>
<td>Left Ventricular Isovolumic Relaxation Time</td>
<td>(18071-1, LN, &quot;Left Ventricular Isovolumic Relaxation Time&quot;)</td>
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<tr>
<td>Left Ventricular Isovolumic Contraction Time</td>
<td>(G-037E, SRT, &quot;Left Ventricular Isovolumic Contraction Time&quot;)</td>
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<tr>
<td>Left Ventricular Peak Early Diastolic Tissue Velocity at the Medial Mitral Annulus</td>
<td>(G-037A, SRT, &quot;Left Ventricular Peak Early Diastolic Tissue Velocity&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0391, SRT, &quot;Medial Mitral Annulus&quot;)</td>
</tr>
<tr>
<td>Left Ventricular Peak Early Diastolic Tissue Velocity at the Lateral Mitral Annulus</td>
<td>(G-037A, SRT, &quot;Left Ventricular Peak Early Diastolic Tissue Velocity&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0392, SRT, &quot;Lateral Mitral Annulus&quot;)</td>
</tr>
<tr>
<td>Ratio of Mitral Valve E-Wave Peak Velocity to Left Ventricular Peak Early Diastolic Tissue Velocity at the Medial Mitral Annulus</td>
<td>(G-037B, SRT, &quot;Ratio of MV Peak Velocity to LV Peak Tissue Velocity E-Wave&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0391, SRT, &quot;Medial Mitral Annulus&quot;)</td>
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<tr>
<td>Ratio of Mitral Valve E-Wave Peak Velocity to Left Ventricular Peak Early Diastolic Tissue Velocity at the Lateral Mitral Annulus</td>
<td>(G-037B, SRT, &quot;Ratio of MV Peak Velocity to LV Peak Tissue Velocity E-Wave&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0392, SRT, &quot;Lateral Mitral Annulus&quot;)</td>
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<td>Name of ASE Concept</td>
<td>Base Measurement Concept Name</td>
<td>Concept or Acquisition Context Modifiers</td>
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<tr>
<td>Left Ventricular Peak Diastolic Tissue Velocity at the Medial Mitral Annulus</td>
<td>(G-037C, SRT, &quot;LV Peak Diastolic Tissue Velocity During Atrial Systole&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0391, SRT, &quot;Medial Mitral Annulus&quot;)</td>
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<tr>
<td>Left Ventricular Peak Diastolic Tissue Velocity at the Lateral Mitral Annulus</td>
<td>(G-037C, SRT, &quot;LV Peak Diastolic Tissue Velocity During Atrial Systole&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0392, SRT, &quot;Lateral Mitral Annulus&quot;)</td>
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<tr>
<td>Left Ventricular Peak Systolic Tissue Velocity at the Medial Mitral Annulus</td>
<td>(G-037D, SRT, &quot;Left Ventricular Peak Systolic Tissue Velocity&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0391, SRT, &quot;Medial Mitral Annulus&quot;)</td>
</tr>
<tr>
<td>Left Ventricular Peak Systolic Tissue Velocity at the Lateral Mitral Annulus</td>
<td>(G-037D, SRT, &quot;Left Ventricular Peak Systolic Tissue Velocity&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (G-0392, SRT, &quot;Lateral Mitral Annulus&quot;)</td>
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**N.3.9 Mitral Valve**

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<th>Name of ASE Concept</th>
<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
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<tr>
<td>Mitral Valve Area</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<tr>
<td>Mitral Valve Area by Continuity</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = (125212, DCM, &quot;Continuity Equation&quot;)</td>
</tr>
<tr>
<td>Mitral Valve Area by Planimetry</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = (125220, DCM, &quot;Planimetry&quot;)</td>
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<tr>
<td>Mitral Valve Area by Pressure Half-time</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = (125210, DCM, &quot;Area by PHT&quot;)</td>
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<tr>
<td>Mitral Valve Area by Proximal Isovelocity Surface Area</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = (125216, DCM, &quot;Proximal Isovelocity Surface Area&quot;)</td>
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<tr>
<td>Mitral Valve Pressure Half-time</td>
<td>(20280-4, LN, &quot;Pressure Half-Time&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<tr>
<td>Mitral Valve A-Wave Peak Velocity</td>
<td>(17978-8, LN, &quot;Mitral Valve A-Wave Peak Velocity&quot;)</td>
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<tr>
<td>Mitral Valve E-Wave Peak Velocity</td>
<td>(18037-2, LN, &quot;Mitral Valve E-Wave Peak Velocity&quot;)</td>
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<tr>
<td>Mitral Valve E to A Ratio</td>
<td>(18038-0, LN, &quot;Mitral Valve E to A Ratio&quot;)</td>
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<tr>
<td>Mitral Valve E-Wave Deceleration Time</td>
<td>(G-0384, SRT, &quot;Mitral Valve E-Wave Deceleration Time&quot;)</td>
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<tr>
<td>Mitral Valve E-F Slope by M-Mode</td>
<td>(18040-6, LN, &quot;Mitral Valve E-F Slope by M-Mode&quot;)</td>
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<tr>
<td>Mitral Valve Velocity Time Integral</td>
<td>(20354-7, LN, &quot;Velocity Time Integral&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<tr>
<td>Mitral Valve Diastolic Peak Instantaneous Gradient</td>
<td>(20247-3, LN, &quot;Peak Gradient&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<tr>
<td>Mitral Valve Diastolic Mean Gradient</td>
<td>(20256-4, LN, &quot;Mean Gradient&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<tr>
<td>Name of ASE Concept</td>
<td>Base Measurement Concept Name</td>
<td>Concept or Acquisition Context Modifiers</td>
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<tr>
<td>Mitral Valve Annulus Diastolic Velocity Time Integral</td>
<td>(20354-7, LN, &quot;Velocity Time Integral&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-35313, SRT, &quot;Mitral Annulus&quot;) (G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<tr>
<td>Mitral Valve Annulus Diastolic Diameter</td>
<td>(G-038F, SRT, &quot;Cardiovascular Orifice Diameter&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-35313, SRT, &quot;Mitral Annulus&quot;) (G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
</tr>
<tr>
<td>Mitral Regurgitant Peak Velocity</td>
<td>(11726-7, LN, &quot;Peak Velocity&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;)</td>
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<tr>
<td>Mitral Valve Effective Regurgitant Orifice by Proximal Isovelocity Surface Area Method</td>
<td>(G-038E, SRT, &quot;Cardiovascular Orifice Area&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = (125216, DCM, &quot;Proximal Isovelocity Surface Area&quot;)</td>
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<tr>
<td>Mitral Valve Regurgitant Volume by Proximal Isovelocity Surface Area Method</td>
<td>(33878-0, LN, &quot;Volume Flow&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-35313, SRT, &quot;Mitral Annulus&quot;) (G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = (125216, DCM, &quot;Proximal Isovelocity Surface Area&quot;)</td>
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<tr>
<td>Mitral Valve Regurgitant Fraction</td>
<td>(G-0390, SRT, &quot;Regurgitant Fraction&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = (125216, DCM, &quot;Proximal Isovelocity Surface Area&quot;)</td>
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<tr>
<td>Mitral Valve Regurgitant Fraction by PISA</td>
<td>(G-0390, SRT, &quot;Regurgitant Fraction&quot;)</td>
<td>(G-C036, SRT, &quot;Measurement Method&quot;) = (125216, DCM, &quot;Proximal Isovelocity Surface Area&quot;)</td>
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<tr>
<td>Mitral Valve Regurgitant Fraction by Mitral Annular Flow</td>
<td>(G-0390, SRT, &quot;Regurgitant Fraction&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-35313, SRT, &quot;Mitral Annulus&quot;) (G-C036, SRT, &quot;Measurement Method&quot;) = (125219, DCM, &quot;Doppler Volume Flow&quot;)</td>
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<tr>
<td>Mitral Regurgitation Peak Gradient</td>
<td>(20247-3, LN, &quot;Peak Gradient&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;)</td>
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<tr>
<td>Left Ventricular dP/dt derived from Mitral Regurgitation velocity</td>
<td>(18035-6, LN, &quot;Mitral Regurgitation dP/dt derived from Mitral Regurgitation velocity&quot;)</td>
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Note:

Mitral Valve measurements appear in TID 5202 “Echo Section”, which specifies the Finding Site to be Mitral Valve with the concept modifier (G-C0E3, SRT, "Finding Site") = (T-35300, SRT, "Mitral Valve"). Therefore, the Finding Site modifier does not appear in the right column.

### N.3.10 Pulmonary Vein

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<th>Concept or Acquisition Context Modifiers</th>
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<td>Pulmonary Vein Systolic Peak Velocity</td>
<td>(29450-4, LN, &quot;Pulmonary Vein Systolic Peak Velocity&quot;)</td>
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<tr>
<td>Pulmonary Vein Diastolic Peak Velocity</td>
<td>(29451-2, LN, &quot;Pulmonary Vein Diastolic Peak Velocity&quot;)</td>
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<tr>
<td>Pulmonary Vein Systolic to Diastolic Ratio</td>
<td>(29452-0, LN, &quot;Pulmonary Vein Systolic to Diastolic Ratio&quot;)</td>
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<tr>
<td>Pulmonary Vein Atrial Contraction Reversal Peak Velocity</td>
<td>(29453-8, LN, &quot;Pulmonary Vein Atrial Contraction Reversal Peak Velocity&quot;)</td>
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<tr>
<td>Right Upper Pulmonary Vein Peak Systolic Velocity</td>
<td>(29450-4, LN, &quot;Pulmonary Vein Systolic Peak Velocity&quot;)</td>
<td>(G-A1F8G-A1F8, SRT, &quot;Topographical Modifier&quot;) = (R-404A0, SRT, &quot;Right Upper Segment&quot;)</td>
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### N.3.11 Left Atrium / Appendage

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<td>Left Atrium Antero-posterior Systolic Dimension</td>
<td>(29469-4, LN, &quot;Left Atrium Antero-posterior Systolic Dimension&quot;)</td>
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<tr>
<td>Left Atrial Antero-posterior Systolic Dimension by M-Mode</td>
<td>(29469-4, LN, &quot;Left Atrium Antero-posterior Systolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Left Atrial Antero-posterior Systolic Dimension by 2-D</td>
<td>(29469-4, LN, &quot;Left Atrium Antero-posterior Systolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
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<tr>
<td>Left Atrium to Aortic Root Ratio</td>
<td>(17985-3, LN, &quot;Left Atrium to Aortic Root Ratio&quot;)</td>
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<tr>
<td>Left Atrial Appendage Peak Velocity</td>
<td>(29486-6, LN, &quot;Left Atrial Appendage Peak Velocity&quot;)</td>
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<tr>
<td>Left Atrium Systolic Area</td>
<td>(17977-0, LN, &quot;Left Atrium Area A4C view&quot;)</td>
<td>(R-4089A, SRT, &quot;Cardiac Cycle Point&quot;) = (F-32020, SRT, &quot;Systole&quot;)</td>
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<tr>
<td>Left Atrium Systolic Volume</td>
<td>(G-0383, SRT, &quot;Left Atrium Systolic Volume&quot;)</td>
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### N.3.12 Right Ventricle

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<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
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<tr>
<td>Right Ventricular Internal Diastolic Dimension by M-Mode</td>
<td>(20304-2, LN, &quot;Right Ventricular Internal Diastolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-0394, SRT, &quot;M mode&quot;)</td>
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<tr>
<td>Right Ventricular Internal Diastolic Dimension by 2-D</td>
<td>(20304-2, LN, &quot;Right Ventricular Internal Diastolic Dimension&quot;)</td>
<td>(G-0373, SRT, &quot;Image Mode&quot;) = (G-03A2, SRT, &quot;2D mode&quot;)</td>
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### Name of ASE Concept | Base Measurement Concept Name | Concept or Acquisition Context Modifiers
---|---|---
Right Ventricular Outflow Tract Systolic Peak Velocity | (11726-7, LN, "Peak Velocity") | (G-C0E3, SRT, "Finding Site") = (T-32550, SRT, "Right Ventricular Outflow Tract")
Right Ventricular Outflow Tract Systolic Velocity Time Integral | (20354-7, LN, "Velocity Time Integral") | (G-C0E3, SRT, "Finding Site") = (T-32550, SRT, "Right Ventricular Outflow Tract")
Right Ventricular Outflow Systolic Diameter by 2-D | (G-038F, SRT, "Cardiovascular Orifice Diameter") | (G-C0E3, SRT, "Finding Site") = (T-32550, SRT, "Right Ventricular Outflow Tract")
(G-0373, SRT, "Image Mode") = (G-03A2, SRT, "2D mode")
Right Ventricular Outflow Tract Systolic Peak Instantaneous Gradient | (20247-3, LN, "Peak Gradient") | (G-C0E3, SRT, "Finding Site") = (T-32550, SRT, "Right Ventricular Outflow Tract")
Right Ventricular Outflow Tract Systolic Mean Gradient | (20256-4, LN, "Mean Gradient") | (G-C0E3, SRT, "Finding Site") = (T-32550, SRT, "Right Ventricular Outflow Tract")
Right Ventricular Stroke Volume by Doppler Volume Outflow | (F-32120, SRT, "Stroke Volume") | (G-C036, SRT, "Measurement Method") = (125219, DCM, "Doppler Volume Flow")
(G-C048, SRT, "Direction of Flow") = (R-42047, SRT, "Antegrade Flow")
Right Ventricular Outflow Tract Area | (G-038E, SRT, "Cardiovascular Orifice Area") | (G-C0E3, SRT, "Finding Site") = (T-32550, SRT, "Right Ventricular Outflow Tract")
Right Ventricular Outflow Tract Mean Velocity | (20352-1, LN, "Mean Velocity") | (G-C0E3, SRT, "Finding Site") = (T-32550, SRT, "Right Ventricular Outflow Tract")
Right Ventricle Anterior Wall Diastolic Thickness | (18153-7, LN, "Right Ventricle Anterior Wall Diastolic Thickness") |
Right Ventricle Anterior Wall Systolic Thickness | (18157-8, LN, "Right Ventricle Anterior Wall Systolic Thickness") |
Right Ventricular Peak Systolic Pressure | (G-0380, SRT, "Right Ventricular Peak Systolic Pressure") |

### N.3.13 Pulmonic Valve / Pulmonic Artery

| Name of ASE Concept | Base Measurement Concept Name | Concept or Acquisition Context Modifiers
---|---|---
Main Pulmonary Artery Diameter | (18020-8, LN, "Main Pulmonary Artery Diameter") |
Main Pulmonary Artery Velocity | (G-038A, SRT, "Main Pulmonary Artery Velocity") |
Right Pulmonary Artery Diameter | (18021-6, LN, "Right Pulmonary Artery Diameter") |
Left Pulmonary Artery Diameter | (18019-0, LN, "Left Pulmonary Artery Diameter") |
Pulmonic Valve Systolic Peak Instantaneous Gradient | (20247-3, LN, "Peak Gradient") | (G-C048, SRT, "Direction of Flow") = (R-42047, SRT, "Antegrade Flow")
Pulmonic Valve Systolic Mean Gradient | (20256-4, LN, "Mean Gradient") | (G-C048, SRT, "Direction of Flow") = (R-42047, SRT, "Antegrade Flow")
Pulmonic Valve Systolic Peak Velocity | (20354-7, LN, 11726-7, LN, "Peak Velocity") | (G-C048, SRT, "Direction of Flow") = (R-42047, SRT, "Antegrade Flow")
Pulmonic Valve Systolic Velocity Time Integral | (20354-7, LN, "Velocity Time Integral") | (G-C048, SRT, "Direction of Flow") = (R-42047, SRT, "Antegrade Flow")
Pulmonic Valve Area by Continuity | (18096-8, LN, "Pulmonic valve Area by Continuity") |
<table>
<thead>
<tr>
<th>Name of ASE Concept</th>
<th>Base Measurement Concept Name</th>
<th>Concept or Acquisition Context Modifiers</th>
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<tbody>
<tr>
<td>Pulmonic Valve Acceleration Time</td>
<td>(20168-1, LN, &quot;Acceleration Time&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;)</td>
</tr>
<tr>
<td>Pulmonic Valve Regurgitant End Diastolic Velocity</td>
<td>(11653-3, LN, &quot;End Diastolic Velocity&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;)</td>
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<tr>
<td>Pulmonic Valve Regurgitant Diastolic Peak Velocity</td>
<td>(11726-7, LN, &quot;Peak Velocity&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;)</td>
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Note

Pulmonic Valve measurements appear in TID 5202 “Echo Section”, which specifies the Finding Site to be Pulmonic Valve with the concept modifier (G-C0E3, SRT, "Finding Site") = (T-35100, SRT, "Pulmonic Valve"). Therefore, this Finding Site concept modifier does not appear in the right column.

N.3.14 Tricuspid Valve

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<tr>
<td>Tricuspid Valve Mean Diastolic Velocity</td>
<td>(20352-1, LN, &quot;Mean Velocity&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<td>Tricuspid Valve E Wave Peak Velocity</td>
<td>(18031-5, LN, &quot;Tricuspid Valve E Wave Peak Velocity&quot;)</td>
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<td>Tricuspid Valve A Wave Peak Velocity</td>
<td>(18030-7, LN, &quot;Tricuspid Valve A Wave Peak Velocity&quot;)</td>
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<td>Tricuspid Valve Diastolic Velocity Time Integral</td>
<td>(20354-7, LN, &quot;Velocity Time Integral&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<td>Tricuspid Valve Peak Diastolic Gradient</td>
<td>(20247-3, LN, Peak Gradient&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<td>Tricuspid Valve Mean Diastolic Gradient</td>
<td>(20256-4, LN, Mean Gradient&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<td>Tricuspid Valve Annulus Diastolic Diameter</td>
<td>(G-038F, SRT, Cardiovascular Orifice Diameter&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (T-35111, SRT, &quot;Tricuspid Annulus&quot;)</td>
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<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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<tr>
<td>Tricuspid Valve Regurgitant Peak Velocity</td>
<td>(11726-7, LN, &quot;Peak Velocity&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42E61, SRT, &quot;Regurgitant Flow&quot;)</td>
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<td>Tricuspid Regurgitation Peak Pressure Gradient</td>
<td>(20247-3, LN, &quot;Peak Gradient&quot;)</td>
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<td>Tricuspid Valve Deceleration Time</td>
<td>(20217-6, LN, &quot;Deceleration Time&quot;)</td>
<td>(G-C048, SRT, &quot;Direction of Flow&quot;) = (R-42047, SRT, &quot;Antegrade Flow&quot;)</td>
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Note

TRICUSPID Valve measurements appear in TID 5202 “Echo Section”, which specifies the Finding Site to be Tricuspid Valve with the concept modifier (G-C0E3, SRT, "Finding Site") = (T-35100, SRT, "Tricuspid Valve"). Therefore, the Finding Site modifier does not appear in the right column.
### N.3.15 Right Atrium / Inferior Vena Cava

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<td>Right Atrium Systolic Pressure</td>
<td>(18070-3, LN, &quot;Right Atrium Systolic Pressure&quot;)</td>
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<td>Right Atrium Systolic Area</td>
<td>(17988-7, LN, &quot;Right Atrium Area A4C view&quot;)</td>
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<tr>
<td>Inferior Vena Cava Diameter</td>
<td>(18006-7, LN, &quot;Inferior Vena Cava Diameter&quot;)</td>
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<tr>
<td>Inferior Vena Cava Diameter at Inspiration</td>
<td>(18006-7, LN, &quot;Inferior Vena Cava Diameter&quot;)</td>
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<td>Inferior Vena Cava Diameter at Expiration</td>
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<td>Inferior Vena Cava % Collapse</td>
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<td>Hepatic Vein Systolic Peak Velocity</td>
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<tr>
<td>Hepatic Vein Diastolic Peak Velocity</td>
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<td>Hepatic Vein Systolic to Diastolic Ratio</td>
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<td>Hepatic Vein Atrial Contraction Reversal Peak Velocity</td>
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<td>Hepatic Vein Peak Diastolic Velocity at Inspiration</td>
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<td>Hepatic Vein Peak Systolic Velocity at Expiration</td>
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<td>Hepatic Vein Peak Diastolic Velocity at Expiration</td>
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### N.3.16 Congenital/Pediatric

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<td>Thoracic Aorta Coarctation Systolic Peak Velocity</td>
<td>(29460-3, LN, &quot;Thoracic Aorta Coarctation Systolic Peak Velocity&quot;)</td>
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<tr>
<td>Thoracic Aorta Coarctation Systolic Peak Instantaneous Gradient</td>
<td>(20256-4, LN, &quot;Mean Gradient&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (D4-32030, SRT, &quot;Thoracic Aortic Coarctation&quot;)</td>
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<tr>
<td>Thoracic Aorta Coarctation Systolic Mean Gradient</td>
<td>(17995-2, LN, &quot;Thoracic Aorta Coarctation Systolic Peak Instantaneous Gradient&quot;)</td>
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<td>Ventricular Septal Defect Diameter</td>
<td>(G-038F, SRT, &quot;Cardiovascular Orifice Diameter&quot;)</td>
<td>(G-C0E3, SRT, &quot;Finding Site&quot;) = (D4-31150, SRT, &quot;Ventricular Septal Defect&quot;)</td>
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### N.4 Encoding Examples

#### N.4.1 Example 1: Patient Characteristics

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<td>Body Surface Area</td>
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#### N.4.2 Example 2: LV Dimensions and Fractional Shortening

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<td>Left Ventricle</td>
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### N.4.3 Example 3: Left Atrium / Aortic Root Ratio

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<td>Left Atrium Antero-posterior Systolic Dimension</td>
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### N.4.4 Example 4: Pressures

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### N.4.5 Example 5: Cardiac Output

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N.4.6 Example 6: Wall Scoring

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<td>Assessment Scale</td>
<td>5 Point Segment Finding Scale</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;</td>
<td>Findings</td>
<td>TID 5204</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Wall Segment</td>
<td>Basal anterior</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Wall motion finding</td>
<td>Normal</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Wall Segment</td>
<td>Basal anteroseptal</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Wall motion finding</td>
<td>Normal</td>
<td>TID 5204</td>
</tr>
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<td>Basal inferoseptal</td>
<td>TID 5204</td>
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<td>&gt;&gt;&gt;&gt;</td>
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<td>TID 5204</td>
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<td>...</td>
<td>... remaining segments ...</td>
<td>TID 5204</td>
<td></td>
</tr>
<tr>
<td>&gt;</td>
<td>Wall Motion Analysis</td>
<td>TID 5204</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;</td>
<td>Stage</td>
<td>Peak-stress image acquisition</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;</td>
<td>LV Wall Motion Score Index</td>
<td>1.23</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;&gt;</td>
<td>Assessment Scale</td>
<td>5 Point Segment Finding Scale</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;</td>
<td>Findings</td>
<td>TID 5204</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Wall Segment</td>
<td>Basal anterior</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Score</td>
<td>Hypokinesis</td>
<td>TID 5204</td>
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<td>Basal anteroseptal</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Score</td>
<td>Akinetic</td>
<td>TID 5204</td>
</tr>
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<td>Morphology</td>
<td>Scar / Thinning</td>
<td>TID 5204</td>
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<td>Basal inferoseptal</td>
<td>TID 5204</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;</td>
<td>Score</td>
<td>Normal</td>
<td>TID 5204</td>
</tr>
<tr>
<td>...</td>
<td>... remaining segments ...</td>
<td>TID 5204</td>
<td></td>
</tr>
</tbody>
</table>

N.5 IVUS Report

The IVUS Report contains one or more vessel containers, each corresponding to the vessel (arterial location) being imaged. Each vessel is associated with one or more IVUS image pullbacks (Ultrasound Multi-frame Images), acquired during a phase of a catheterization procedure. Each vessel may contain one or more sub-containers, each associated with a single lesion. Each lesion container includes a set of IVUS measurements and qualitative assessments. The resulting hierarchical structure is depicted in Figure N.5-1.
Figure N.5-1. IVUS Report Structure
O Registration (Informative)

O.1 Spatial Registration and Spatial Fiducials SOP Classes

These SOP Classes allow describing spatial relationships between sets of images. Each instance can describe any number of registrations as shown in Figure O.1-1. It may also reference prior registration instances that contribute to the creation of the registrations in the instance.

A Reference Coordinate System (RCS) is a spatial Frame of Reference described by the DICOM Frame of Reference Module. The chosen Frame of Reference of the Registration SOP Instance may be the same as one or more of the Referenced SOP Instances. In this case, the Frame of Reference UID (0020,0052) is the same, as shown by the Registered RCS in the figure. The registration information is a sequence of spatial transformations, potentially including deformation information. The composite of the specified spatial transformations defines the complete transformation from one RCS to the other.

Image instances may have no DICOM Frame of Reference, in which case the registration is to that single image (or frame, in the case of a multi-frame image). The Spatial Registration IOD may also be used to establish a coordinate system for an image that has no defined Frame of Reference. To do this, the center of the top left pixel of the source image is treated as being located at (0, 0, 0). Offsets from the first pixel are computed using the resolution specified in the Source IOD. Multiplying that coordinate by the Transformation matrix gives the patient coordinate in the new Frame of Reference.

A special case is an atlas. DICOM has defined Well-Known Frame of Reference UIDs for several common atlases. There is not necessarily image data associated with an atlas.

When using the Spatial Registration or Deformable Registration SOP Classes there are two types of coordinate systems. The coordinate system of the referenced data is the Source RCS. The coordinate system established by the SOP instance is the Registered RCS.

The sense of the direction of transformation differs between the Spatial Registration SOP Class and the Deformable Spatial Registration SOP Class. The Spatial Registration SOP Class specifies a transformation that maps Source coordinates, in the Source RCS, to Registered coordinates, in the Registered RCS. The Deformable Spatial Registration SOP Class specifies transformations that map Registered coordinates, in the Registered RCS, to coordinates in the Source RCS.

The Spatial Fiducials SOP Class stores spatial fiducials as implicit registration information.

![Figure O.1-1. Registration of Image SOP Instances](image)

O.2 Functional Use Cases

**Multi-Modality Fusion:** A workstation or modality performs a registration of images from independent acquisition modalities—PET, CT, MR, NM, and US—from multiple series. The workstation stores the registration data for subsequent visualization and image processing. Such visualization may include side-by-side synchronized display, or overlay (fusion) of one modality image on the display.
of another. The processes for such fusion are beyond the scope of the Standard. The workstation may also create and store a ready-for-display fused image, which references both the source image instances and the registration instance that describes their alignment.

**Prior Study Fusion:** Using post processing or a manual process, a workstation creates a spatial object registration of the current Study's Series from prior Studies for comparative evaluation.

**Atlas Mapping:** A workstation or a CAD device specifies fiducials of anatomical features in the brain such as the anterior commissure, posterior commissure, and points that define the hemispheric fissure plane. The system stores this information in the Spatial Fiducials SOP Instance. Subsequent retrieval of the fiducials enables a device or workstation to register the patient images to a functional or anatomical atlas, presenting the atlas information as overlays.

**CAD:** A CAD device creates fiducials of features during the course of the analysis. It stores the locations of the fiducials for future analysis in another imaging procedure. In the subsequent CAD procedure, the CAD device performs a new analysis on the new data set. As before, it creates comparable fiducials, which it may store in a Spatial Fiducials SOP Instance. The CAD device then performs additional analysis by registering the images of the current exam to the prior exam. It does so by correlating the fiducials of the prior and current exam. The CAD device may store the registration in Registration SOP Instance.

**Adaptive Radiotherapy:** A CT Scan is taken to account for variations in patient position prior to radiation therapy. A workstation performs the registration of the most recent image data to the prior data, corrects the plan, and stores the registration and revised plan.

**Image Stitching:** An acquisition device captures multiple images, e.g., DX images down a limb. A user identifies fiducials on each of the images. The system stores these in one or more Fiducial SOP Instances. Then the images are "stitched" together algorithmically by means that utilize the Fiducial SOP Instances as input. The result is a single image and optionally a Registration SOP Instance that indicates how the original images can be transformed to a location on the final image.

### O.3 System Interaction

Figure O.3-1 shows the system interaction of storage operations for a registration of MR and CT using the Spatial Registration SOP Class. The Image Plane Module attributes of the CT Series specify the spatial mapping to the RCS of its DICOM Frame of Reference.

![Figure O.3-1. Stored Registration System Interaction](image)

The receiver of the Registration SOP Instance may use the spatial transformation to display or process the referenced image data in a common coordinate system. This enables interactive display in 3D during interpretation or planning, tissue classification, quantification, or Computer Aided Detection. Figure O.3-2 shows a typical interaction scenario.
Figure O.3-2. Interaction Scenario

In the case of coupled acquisition modalities, one acquisition device may know the spatial relationship of its image data relative to the other. The acquisition device may use the Registration SOP Class to specify the relationship of modality B images to modality A images as shown below in Figure O.3-3. In the most direct case, the data of both modalities are in the same DICOM Frame of Reference for each SOP Class Instance.

Figure O.3-3. Coupled Modalities

A Spatial Registration instance consists of one or more instances of a Registration. Each Registration specifies a transformation from the RCS of the Referenced Image Set, to the RCS of this Spatial Registration instance (see PS3.3) identified by the Frame of Reference UID (0020,0052).

O.4 Overview of Encoding

Figure O.4-1 shows an information model of a Spatial Registration to illustrate the relationship of the attributes to the objects of the model. The DICOM attributes that describe each object are adjacent to the object.
Figure O.4-1. Spatial Registration Encoding

Figure O.4-2 shows an information model of a Deformable Spatial Registration to illustrate the relationship of the attributes to the objects of the model. The DICOM attributes that describe each object are adjacent to the object.

Figure O.4-2. Deformable Spatial Registration Encoding

Figure O.4-3 shows a Spatial Fiducials information model to illustrate the relationship of the attributes to the objects of the model. The DICOM attributes that describe each object are adjacent to the object.
### O.5 Matrix Registration

A 4x4 affine transformation matrix describes spatial rotation, translation, scale changes and affine transformations that register referenced images to the Registration IE’s homogeneous RCS. These steps are expressible in a single matrix, or as a sequence of multiple independent rotations, translations, or scaling, each expressed in a separate matrix. Normally, registrations are rigid body, involving only rotation and translation. Changes in scale or affine transformations occur in atlas registration or to correct minor mismatches.

### O.6 Spatial Fiducials

Fiducials are image-derived reference markers of location, orientation, or scale. These may be labeled points or collections of points in a data volume that specify a shape. Most commonly, fiducials are individual points.

Correlated fiducials of separate image sets may serve as inputs to a registration process to estimate the spatial registration between similar objects in the images. The correlation may, or may not, be expressed in the fiducial identifiers. A fiducial identifier may be an arbitrary number or text string to uniquely identify each fiducial from others in the set. In this case, fiducial correlation relies on operator recognition and control.

Alternatively, coded concepts may identify the acquired fiducials so that systems can automatically correlate them. Examples of such coded concepts are points of a stereotactic frame, prosthesis points, or well-resolved anatomical landmarks such as bicuspid tips. Such codes could be established and used locally by a department, over a wider area by a society or research study coordinator, or from a standardized set.

The table below shows each case of identifier encoding. A and B represent two independent registrations: one to some image set A, and the other to image set B.

<table>
<thead>
<tr>
<th>Fiducial Identifier (0070,0310)</th>
<th>Fiducial Identifier Code Sequence (0070,0311)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uncorrelated</td>
<td></td>
</tr>
<tr>
<td>A: 1, 2, 3</td>
<td>A: (1, 99_A_CSD, label A1) …</td>
</tr>
<tr>
<td>B: 4, 5, 6</td>
<td>B: (4, 99_B_CSD, label B4) …</td>
</tr>
</tbody>
</table>

---

DICOM PS3.17 2014b2014c - Explanatory Information
Fiducials may be a point or some other shape. For example, three or more arbitrarily chosen points might designate the inter-hemispheric plane for the registration of head images. Many arbitrarily chosen points may identify a surface such as the inside of the skull.

A fiducial also has a Fiducial UID. This UID identifies the creation of the fiducial and allows other SOP Instances to reference the fiducial assignment.
P Transforms and Mappings (Informative)

The Affine Transform Matrix is of the following form.

\[
\begin{bmatrix}
M_{11} & M_{12} & M_{13} & T_x \\
M_{21} & M_{22} & M_{23} & T_y \\
M_{31} & M_{32} & M_{33} & T_z \\
0 & 0 & 0 & 1
\end{bmatrix}
\]  

(P-1)

This matrix requires the bottom row to be [0 0 0 1] to preserve the homogeneous coordinates.

The matrix can be of type: RIGID, RIGID_SCALE and AFFINE. These different types represent different conditions on the allowable values for the matrix elements.

- RIGID:

This transform requires the matrix obey orthonormal transformation properties:

\[
\sum_{i=1}^{3} M_{ij}M_{ik} = \delta_{jk}
\]  

(P-2)

for all combinations of \(j = 1,2,3\) and \(k = 1,2,3\) where \(\delta = 1\) for \(i = j\) and zero otherwise.

The expansion into non-matrix equations is:

\[
\begin{align*}
M_{11}M_{11} + M_{21}M_{21} + M_{31}M_{31} &= 1 \text{ where } j = 1, k = 1 \\
M_{11}M_{12} + M_{21}M_{22} + M_{31}M_{32} &= 0 \text{ where } j = 1, k = 2 \\
M_{11}M_{13} + M_{21}M_{23} + M_{31}M_{33} &= 0 \text{ where } j = 1, k = 3 \\
M_{12}M_{11} + M_{22}M_{21} + M_{32}M_{31} &= 0 \text{ where } j = 2, k = 1 \\
M_{12}M_{12} + M_{22}M_{22} + M_{32}M_{32} &= 1 \text{ where } j = 2, k = 2 \\
M_{12}M_{13} + M_{22}M_{23} + M_{32}M_{33} &= 0 \text{ where } j = 2, k = 3 \\
M_{13}M_{11} + M_{23}M_{21} + M_{33}M_{31} &= 0 \text{ where } j = 3, k = 1 \\
M_{13}M_{12} + M_{23}M_{22} + M_{33}M_{32} &= 0 \text{ where } j = 3, k = 2 \\
M_{13}M_{13} + M_{23}M_{23} + M_{33}M_{33} &= 1 \text{ where } j = 3, k = 3
\end{align*}
\]

The Frame of Reference Transformation Matrix \(A_B^M\) describes how to transform a point \((B_x, B_y, B_z)\) with respect to RCS\(_B\) into \((A_x, A_y, A_z)\) with respect to RCS\(_A\).

\[
\begin{bmatrix}
A_x \\
A_y \\
A_z \\
1
\end{bmatrix}
= 
\begin{bmatrix}
M_{11} & M_{12} & M_{13} & T_1 \\
M_{21} & M_{22} & M_{23} & T_2 \\
M_{31} & M_{32} & M_{33} & T_3 \\
0 & 0 & 0 & 1
\end{bmatrix}
\begin{bmatrix}
B_x \\
B_y \\
B_z \\
1
\end{bmatrix}
\]

(P-3)

The matrix above consists of two parts: a rotation and translation as shown below;

Rotation:
\[
\begin{bmatrix}
M_{11} & M_{12} & M_{13} & 0 \\
M_{21} & M_{22} & M_{23} & 0 \\
M_{31} & M_{32} & M_{33} & 0 \\
0 & 0 & 0 & 1
\end{bmatrix}
\]

Translation:

\[
\begin{bmatrix}
1 & 0 & 0 & T_1 \\
0 & 1 & 0 & T_2 \\
0 & 0 & 1 & T_3 \\
0 & 0 & 0 & 1
\end{bmatrix}
\]

The first column \([M_{11}, M_{21}, M_{31}]\) are the direction cosines (projection) of the X-axis of RCS_B with respect to RCS_A. The second column \([M_{12}, M_{22}, M_{32}]\) are the direction cosines (projection) of the Y-axis of RCS_B with respect to RCS_A. The third column \([M_{13}, M_{23}, M_{33}]\) are the direction cosines (projection) of the Z-axis of RCS_B with respect to RCS_A. The fourth column \([T_1, T_2, T_3]\) is the origin of RCS_B with respect to RCS_A.

There are three degrees of freedom representing rotation, and three degrees of freedom representing translation, giving a total of six degrees of freedom.

- **RIGID_SCALE**

The following constraint applies:

\[
\sum_{i=1}^{3} M_{ij}M_{ik} = \delta_{jk}S_j^2
\]

for all combinations of \(j = 1,2,3\) and \(k = 1,2,3\) where \(\delta = 1\) for \(i=j\) and zero otherwise.

The expansion into non-matrix equations is:

\[
\begin{align*}
M_{11} M_{11} + M_{21} M_{21} + M_{31} M_{31} &= S_1^2 \quad \text{where} \quad j = 1, k = 1 \\
M_{11} M_{12} + M_{21} M_{22} + M_{31} M_{32} &= 0 \quad \text{where} \quad j = 1, k = 2 \\
M_{11} M_{13} + M_{21} M_{23} + M_{31} M_{33} &= 0 \quad \text{where} \quad j = 1, k = 3 \\
M_{12} M_{11} + M_{22} M_{21} + M_{32} M_{31} &= 0 \quad \text{where} \quad j = 2, k = 1 \\
M_{12} M_{12} + M_{22} M_{22} + M_{32} M_{32} &= S_2^2 \quad \text{where} \quad j = 2, k = 2 \\
M_{12} M_{13} + M_{22} M_{23} + M_{32} M_{33} &= 0 \quad \text{where} \quad j = 2, k = 3 \\
M_{13} M_{11} + M_{23} M_{21} + M_{33} M_{31} &= 0 \quad \text{where} \quad j = 3, k = 1 \\
M_{13} M_{12} + M_{23} M_{22} + M_{33} M_{32} &= 0 \quad \text{where} \quad j = 3, k = 2 \\
M_{13} M_{13} + M_{23} M_{23} + M_{33} M_{33} &= S_3^2 \quad \text{where} \quad j = 3, k = 3
\end{align*}
\]

The above equations show a simple way of extracting the spatial scaling parameters \(S_j\) from a given matrix. The units of \(S_j^2\) is the RCS unit dimension of one millimeter.

This type can be considered a simple extension of the type RIGID. The RIGID_SCALE is easily created by pre-multiplying a RIGID matrix by a diagonal scaling matrix as follows:

\[
M_{RBWS} = \begin{bmatrix}
S_1 & 0 & 0 & 0 \\
0 & S_2 & 0 & 0 \\
0 & 0 & S_3 & 0 \\
0 & 0 & 0 & 1
\end{bmatrix} \cdot M_{RB}
\]
where $M_{RBWS}$ is a matrix of type RIGID_SCALE and $M_{RB}$ is a matrix of type RIGID.

- **AFFINE:**

  No constraints apply to this matrix, so it contains twelve degrees of freedom. This type of Frame of Reference Transformation Matrix allows shearing in addition to rotation, translation and scaling.

For a RIGID type of Frame of Reference Transformation Matrix, the inverse is easily computed using the following formula (inverse of an orthonormal matrix):

\[
(A M B)^{-1} = \begin{bmatrix}
M_{11} & M_{12} & M_{13} & T_x \\
M_{21} & M_{22} & M_{23} & T_y \\
M_{31} & M_{32} & M_{33} & T_z \\
0 & 0 & 0 & 1
\end{bmatrix}^{-1} = \begin{bmatrix}
M_{11} & M_{21} & M_{31} & -(M_{11} T_x + M_{21} T_y + M_{31} T_z) \\
M_{12} & M_{22} & M_{32} & -(M_{12} T_x + M_{22} T_y + M_{32} T_z) \\
M_{13} & M_{23} & M_{33} & -(M_{13} T_x + M_{23} T_y + M_{33} T_z) \\
0 & 0 & 0 & 1
\end{bmatrix}
\]

For RIGID_SCALE and AFFINE types of Registration Matrices, the inverse cannot be calculated using the above equation, and must be calculated using a conventional matrix inverse operation.
Q Breast Imaging Report (Informative)

Q.1 Breast Imaging Report Content Tree Structure

The templates for the Breast Imaging Report are defined in PS3.16. Relationships defined in the Breast Imaging Report templates are by-value. This template structure may be conveyed using the Enhanced SR SOP Class or the Basic Text SR SOP Class.

Figure Q.1-1. Top Level of Breast Imaging Report Content Tree

As shown in Figure Q.1-1, the Breast Imaging Report Narrative and Breast Imaging Report Supplementary Data sub-trees together form the content tree of the Breast Imaging Report.

Figure Q.1-2. Breast Imaging Procedure Reported Content Tree

The Breast Imaging Procedure Reported sub-tree is a mandatory child of the Supplementary Data content item, to describe all of the procedures to which the report applies using coded terminology. It may also be used as a sub-tree of sections within the Supplementary Data sub-tree, for the instance in which a report covers more than one procedure, but different sections of the Supplementary Data record the evidence of a subset of the procedures.
Figure Q.1-3. Breast Imaging Report Narrative Content Tree

An instance of the Breast Imaging Report Narrative sub-tree contains one or more text-based report sections, with a name chosen from CID 6052 “Breast Imaging Report Section Title”. Within a report section, one or more observers may be identified. This sub-tree is intended to contain the report text as it was created, presented to, and signed off by the verifying observer. It is not intended to convey the exact rendering of the report, such as formatting or visual organization. Report text may reference one or more image or other composite objects on which the interpretation was based.

Figure Q.1-4. Breast Imaging Report Supplementary Data Content Tree

An instance of the Breast Imaging Report Supplementary Data sub-tree contains one or more of: Breast Imaging Procedure Reported, Breast Composition Section, Breast Imaging Report Finding Section, Breast Imaging Report Intervention Section, Overall Assessment.
This sub-tree is intended to contain the supporting evidence for the Breast Imaging Report Narrative sub-tree, using coded terminology and numeric data.

**Figure Q.1-5. Breast Imaging Assessment Content Tree**

The Breast Imaging Assessment sub-tree may be instantiated as the content of an Overall Assessment section of a report (see Figure Q.1-4), or as part of a Findings section of a report (see TID 4206 “Breast Imaging Report Finding Section”). Reports may provide an individual assessment for each Finding, and then an overall assessment based on an aggregate of the individual assessments.

**Q.2 Breast Imaging Report Examples**

The following are simple illustrations of encoding Mammography procedure based Breast Imaging Reports.

**Q.2.1 Example 1: Screening Mammogram With Negative Findings**

A screening mammography case, i.e., there are typically four films and no suspicious abnormalities. The result is a negative mammogram with basic reporting. This example illustrates a report encoded as narrative text only:

---

**Example Q.2-1. Report Sample: Narrative Text Only**

Procedure reported

Film screen mammography, both breasts.

Reason for procedure

Screening

Findings

Comparison was made to exam from 11/14/2001. The breasts are heterogeneously dense. This may lower the sensitivity of mammography. No significant masses, calcifications, or other abnormalities are present. There is no significant change from the prior exam.

Impressions

BI-RADS® Category 1: Negative. Recommend normal interval follow-up in 12 months

---
### Table Q.2-1. Breast Image Report Content for Example 1

<table>
<thead>
<tr>
<th>Node</th>
<th>Code Meaning of Concept Name</th>
<th>Code Meaning or Example Value</th>
<th>TID/CID</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Breast Imaging Report</td>
<td></td>
<td>TID 4200</td>
</tr>
<tr>
<td>1.1</td>
<td>Language of Content Item and Descendants</td>
<td>English</td>
<td>TID 1204</td>
</tr>
<tr>
<td>1.2</td>
<td>Narrative Summary</td>
<td></td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.1</td>
<td>Procedure reported</td>
<td></td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.1.1</td>
<td>Procedure reported</td>
<td>Film screen mammography, both breasts.</td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.2</td>
<td>Reason for procedure</td>
<td></td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.2.1</td>
<td>Reason for procedure</td>
<td>Screening</td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.3</td>
<td>Findings</td>
<td></td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.3.1</td>
<td>Finding</td>
<td>Comparison was made to exam from 11/14/2001. The breasts are heterogeneously dense. This may lower the sensitivity of mammography. No significant masses, calcifications, or other abnormalities are present. There is no significant change from the prior exam.</td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.4</td>
<td>Impressions</td>
<td></td>
<td>TID 4202</td>
</tr>
<tr>
<td>1.2.4.1</td>
<td>Impression</td>
<td>BI-RADS® Category 1: Negative. Recommend normal interval follow-up in 12 months.</td>
<td>TID 4202</td>
</tr>
</tbody>
</table>

### Q.2.2 Example 2: Screening Mammogram With Negative Findings

A screening mammography case, i.e., there are typically four films and no suspicious abnormalities. The result is a negative mammogram with basic reporting. This example illustrates a report encoded as narrative text with minimal supplementary data, and follows BI-RADS® and MQSA:

#### Example Q.2-2. Report Sample: Narrative Text with Minimal Supplementary Data

Procedure reported

Film screen mammography, both breasts.

Reason for procedure

Screening

Comparison to previous exams

Comparison was made to exam from 11/14/2001.
Breast composition

The breasts are heterogeneously dense. This may lower the sensitivity of mammography.

Findings

No significant masses, calcifications, or other abnormalities are present. There is no significant change from the prior exam.

Impressions

BI-RADS® Category 1: Negative. Recommend normal interval follow-up in 12 months.

Overall Assessment

Negative

Table Q.2-2. Breast Imaging Report Content for Example 2

<table>
<thead>
<tr>
<th>Node</th>
<th>Code Meaning of Concept Name</th>
<th>Code Meaning or Example Value</th>
<th>TID/CID</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Breast Imaging Report</td>
<td></td>
<td>TID 4200</td>
</tr>
<tr>
<td>1.1</td>
<td>Language of Content Item and Descendants</td>
<td>English</td>
<td>TID 1204</td>
</tr>
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**Q.2.3 Example 3: Diagnostic Mammogram - Unilateral**

A diagnostic mammogram was prompted by a clinical finding. The result is a probably benign finding with a short interval follow-up of the left breast. This report provides the narrative text with more extensive supplementary data.

**Example Q.2.3. Report Sample: Narrative Text with More Extensive Supplementary Data**

Procedure reported

Film screen mammography, left breast.

Reason for procedure

Non-bloody discharge left breast.

Breast composition

- Standard -
The breast is almost entirely fat.

Findings

Film screen mammograms were performed. There are heterogeneous calcifications regionally distributed in the 1 o'clock upper outer quadrant, anterior region of the left breast. There is an increase in the number of calcifications from the prior exam.

Impressions

BI-RADS® Category 3: Probably Benign Finding. Short interval follow-up of the left breast is recommended in 6 months.

Table Q.2-3. Breast Imaging Report Content for Example 3

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Q.2.4 Example 4: Diagnostic Mammogram and Ultrasound - Unilateral

Following a screening mammogram, the patient was asked to return for additional imaging and an ultrasound on the breast, for further evaluation of a mammographic mass. This example demonstrates a report on multiple breast imaging procedures. This report provides the narrative text with some supplementary data.

Example Q.2-4. Report Sample: Multiple Procedures, Narrative Text with Some Supplementary Data

Procedure reported
Film screen mammography, left breast; Ultrasound procedure, left breast.

Reason for procedure
Additional evaluation requested at current screening.

Comparison to previous exams
Comparison was made to exam from 11/14/2001.

Findings
Film Screen Mammography: A lobular mass with obscured margins is present measuring 7mm in the upper outer quadrant.

Ultrasound demonstrates a simple cyst.

Impressions
BI-RADS® Category 2: Benign, no evidence of malignancy. Normal interval follow-up of both breasts is recommended in 12 months.

Overall Assessment
Benign

Table Q.2-4. Breast Imaging Report Content for Example 4

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</table>
**R Configuration Use Cases (Informative)**

The following use cases are the basis for the decisions made in defining the Configuration Management Profiles specified in PS3.15. Where possible specific protocols that are commonly used in IT system management are specifically identified.

**R.1 Install A New Machine**

When a new machine is added there need to be new entries made for:

a. TCP/IP parameters

b. DICOM Application Entity Related Parameters

The service staff effort needed for either of these should be minimal. To the extent feasible these parameters should be generated and installed automatically.

The need for some sort of ID is common to most of the use cases, so it is assumed that each machine has sufficient non-volatile storage to at least remember its own name for later use.

Updates may be made directly to the configuration databases or made via the machine being configured. A common procedure for large networks is for the initial network design to assign these parameters and create the initial databases during the complete initial network design. Updates can be made later as new devices are installed.

One step that specifically needs automation is the allocation of AE Titles. These must be unique. Their assignment has been a problem with manual procedures. Possibilities include:

a. Fully automatic allocation of AE Titles as requested. This interacts with the need for AE title stability in some use cases. The automatic process should permit AE Titles to be persistently associated with particular devices and application entities. The automatic process should permit the assignment of AE titles that comply with particular internal structuring rules.

b. Assisted manual allocation, where the service staff proposes AE Titles (perhaps based on examining the list of present AE Titles) and the system accepts them as unique or rejects them when non-unique.

These AE Titles can then be associated with the other application entity related information. This complete set of information needs to be provided for later uses.

The local setup may also involve searches for other AEs on the network. For example, it is likely that a search will be made for archives and printers. These searches might be by SOP class or device type. This is related to vendor specific application setup procedures, which are outside the scope of DICOM.

**R.1.1 Configure DHCP**

The network may have been designed in advance and the configuration specified in advance. It should be possible to pre-configure the configuration servers prior to other hardware installation. This should not preclude later updates or later configuration at specific devices.

The DHCP servers have a database that is manually maintained defining the relationship between machine parameters and IP parameters. This defines:

a. Hardware MAC addresses that are to be allocated specific fixed IP information.

b. Client machine names that are to be allocated specific fixed IP information.

c. Hardware MAC addresses and address ranges that are to be allocated dynamically assigned IP addresses and IP information.

d. Client machine name patterns that are to be allocated dynamically assigned IP addresses and IP information.

The IP information that is provided will be a specific IP address together with other information. The present recommendation is to provide all of the following information when available.
The manual configuration of DHCP is often assisted by automated user interface tools that are outside the scope of DICOM. Some people utilize the DHCP database as a documentation tool for documenting the assignment of IP addresses that are preset on equipment. This does not interfere with DHCP operation and can make a gradual transition from equipment presets to DHCP assignments easier. It also helps avoid accidental re-use of IP addresses that are already manually assigned. However, DHCP does not verify that these entries are in fact correct.

R.1.2 Configure LDAP

There are several ways that the LDAP configuration information can be obtained.

a. A complete installation may be pre-designed and the full configuration loaded into the LDAP server, with the installation attribute set to false. Then as systems are installed, they acquire their own configurations from the LDAP server. The site administration can set the installation attribute to true when appropriate.

b. When the LDAP server permits network clients to update the configuration, they can be individually installed and configured. Then after each device is configured, that device uploads its own configuration to the LDAP server.

c. When the LDAP server does not permit network clients to update configurations, they can be individually installed and configured. Then, instead of uploading their own configuration, they create a standard format file with their configuration objects. This file is then manually added to the LDAP server (complying with local security procedures) and any conflicts resolved manually.

R.1.2.1 Pre-configure

The network may have been designed in advance and the configuration specified in advance. It should be possible to pre-configure the configuration servers prior to other hardware installation. This should not preclude later updates or later configuration at specific devices.

LDAP defines a standard file exchange format for transmitting LDAP database subsets in an ASCII format. This file exchange format can be created by a variety of network configuration tools. There are also systems that use XML tools to create database subsets that can be loaded into LDAP servers. It is out of scope to specify these tools in any detail. The use case simply requires that such tools be available.

When the LDAP database is pre-configured using these tools, it is the responsibility of the tools to ensure that the resulting database entries have unique names. The unique name requirement is common to any LDAP database and not just to DICOM AE Titles. Consequently, most tools have mechanisms to ensure that the database updates that they create do have unique names.

At an appropriate time, the installed attribute is set on the device objects in the LDAP configuration.
R.1.2.2 Updating Configuration During Installation

The "unconfigured" device start up begins with use of the pre-configured services from DHCP, DNS, and NTP. It then performs device configuration and updates the LDAP database. This description assumes that the device has been given permission to update the LDAP database directly.

a. DHCP is used to obtain IP related parameters. The DHCP request can indicate a desired machine name that DHCP can associate with a configuration saved at the DHCP server. DHCP does not guarantee that the desired machine name will be granted because it might already be in use, but this mechanism is often used to maintain specific machine configurations. The DHCP will also update the DNS server (using the DDNS mechanisms) with the assigned IP address and hostname information. Legacy note: A machine with pre-configured IP addresses, DNS servers, and NTP servers may skip this step. As an operational and documentation convenience, the DHCP server database may contain the description of this pre-configured machine.

b. The list of NTP servers is used to initiate the NTP process for obtaining and maintaining the correct time. This is an ongoing process that continues for the duration of device activity. See Time Synchronization below.

c. The list of DNS servers is used to obtain the address of the DNS servers at this site. Then the DNS servers are queried to get the list of LDAP servers. This utilizes a relatively new addition to the DNS capabilities that permit querying DNS to obtain servers within a domain that provide a particular service.

d. The LDAP servers are queried to find the server that provides DICOM configuration services, and then obtain a description for the device matching the assigned machine name. This description includes device specific configuration information and a list of Network AEs. For the unconfigured device there will be no configuration found.

Note

These first four steps are the same as a normal start up (described below).

e. Through a device specific process it determines its internal AE structure. During initial device installation it is likely that the LDAP database lacks information regarding the device. Using some vendor specific mechanism, e.g., service procedures, the device configuration is obtained. This device configuration includes all the information that will be stored in the LDAP database. The fields for "device name" and "AE Title" are tentative at this point.

f. Each of the Network AE objects is created by means of the LDAP object creation process. It is at this point that LDAP determines whether the AE Title is in fact unique among all AE Titles. If the title is unique, the creation succeeds. If there is a conflict, the creation fails and "name already in use" is given as a reasonless uses propose/create as an atomic operation for creating unique items. The LDAP approach permits unique titles that comply with algorithms for structured names, check digits, etc. DICOM does not require structured names, but they are a commonplace requirement for other LDAP users. It may take multiple attempts to find an unused name. This multiple probe behavior can be a problem if "unconfigured device" is a common occurrence and name collisions are common. Name collisions can be minimized at the expense of name structure by selecting names such as "AExxxxxxxxxxxxx" where "xxxxxxxxxxxxx" is a truly randomly selected number. The odds of collision are then exceedingly small, and a unique name will be found within one or two probes.

g. The device object is created. The device information is updated to reflect the actual AE titles of the AE objects. As with AE objects, there is the potential for device name collisions.

h. The network connection objects are created as subordinates to the device object.

i. The AE objects are updated to reflect the names of the network connection objects.

The "unconfigured device" now has a saved configuration. The LDAP database reflects its present configuration.

In the following example, the new system needs two AE Titles. During its installation another machine is also being installed and takes one of the two AE Titles that the first machine expected to use. The new system then claims another different EYE-title that does not conflict.
R.1.2.3 Configure Client Then Update Server

Much of the initial start up is the same for restarting a configured device and for configuring a client first and then updating the server. The difference is two-fold.

The AE Title uniqueness must be established manually, and the configuration information saved at the client onto a file that can then be provided to the LDAP server. There is a risk that the manually assigned AE Title is not unique, but this can be managed and is easier than the present entirely manual process for assigning AE Titles.
R.1.3 Distributed Update Propagation

The larger enterprise networks require prompt database responses and reliable responses during network disruptions. This implies the use of a distributed or federated database. These databases have update propagation issues. There is not a requirement for a complete and accurate view of the DICOM network at all times. There is a requirement that local subsets of the network maintain an accurate local view. E.g., each hospital in a large hospital chain may tolerate occasional disconnections or problems in viewing the network information in other hospitals in that chain, but they require that their own internal network be reliably and accurately described.

LDAP supports a variety of federation and distribution schemes. It specifically states that it is designed and appropriate for federated situations where distribution of updates between federated servers may be slow. It specifically designed for situations where database updates are infrequent and database queries dominate.

R.2 Legacy Compatibility

Legacy devices utilize some internal method for obtaining the IP addresses, port numbers, and AE Titles of the other devices. For legacy compatibility, a managed node must be controlled so that the IP addresses, port numbers, and AE Titles do not change. This affects DHCP because it is DHCP that assigns IP addresses. The LDAP database design must preserve port numbers and AE Titles so that once the device is configured these do not change.

DHCP was designed to deal with some common legacy issues:

a. Specifying fixed IP addresses for DHCP clients. Many servers have clients that are not able to use DNS to obtain IP addresses. Most DHCP servers can document a legacy device with a DHCP entry that describes the device. This avoids IP address conflicts. Since this is a manual process, there remains the potential for errors. The DHCP server is used to reserve the addresses and document how they are used. This documented entry approach is also used for complex multi-homed servers. These are often manually configured and kept with fixed configurations.

b. Specifying fixed IP addresses for DHCP clients. Many servers have clients that are not able to use DNS to obtain IP addresses. Many servers have clients that are not able to use DNS to obtain server IP addresses. These servers may also utilize DHCP or start up configuration. The DHCP servers must support the use of fixed IP allocations so that they need without disrupting operations. It is a frequent transitional stage for machines on networks that are transitioning to full DHCP operation.

There are two legacy-related issues with time configuration:

- Standard -
a. The NTP system operates in UTC. The device users probably want to operate in local time. This introduces additional internal software requirements to configure local time. DHCP will provide this information if that option is configured into the DHCP server.

b. Device clock setting must be documented correctly. Some systems set the battery-powered clock to local time; others use UTC. Incorrect settings will introduce very large time transient problems during start up. Eventually NTP clients do resolve the huge mismatch between battery clock and NTP clock, but the device may already be in medical use by the time this problem is resolved. The resulting time discontinuity can then pose problems. The magnitude of this problem depends on the particular NTP client implementation.

R.3 Obtain Configuration of Other Devices

Managed devices can utilize the LDAP database during their own installation to establish configuration parameters such as the AE Title of destination devices. They may also utilize the LDAP database to obtain this information at run time prior to association negotiation.

R.3.1 Find AE When Given Device Type

The LDAP server supports simple relational queries. This query can be phrased:

Return devices where

DeviceType == <device type>

Then, for each of those devices, query

Return Network AE where

(ApplicationCluster == name)

The result will be the Network AE entries that match those two criteria. The first criteria selects the device type match. There are LDAP scoping controls that determine whether the queries search the entire enterprise or just this server. LDAP does not support complex queries, transactions, constraints, nesting, etc. LDAP cannot provide the hostnames for these Network AEs as part of a single query. Instead, the returned Network AEs will include the names of the network connections for each Network AE. Then the application would need to issue LDAP reads using the DN of the NetworkConnection objects to obtain the hostnames.

R.4 Device Start up

Normal start up of an already configured device will obtain IP information and DICOM information from the servers.
The device start up sequence is:

a. DHCP is used to obtain IP related parameters. The DHCP request can indicate a desired machine name that DHCP can associate with a configuration saved at the DHCP server. DHCP does not guarantee that the desired machine name will be granted because it might already be in use, but this mechanism is often used to maintain specific machine configurations. The DHCP will also update the DNS server (using the DDNS mechanisms) with the assigned IP address and hostname information. Legacy note: A machine with pre-configured IP addresses, DNS servers, and NTP servers may skip this step. As an operational and documentation convenience, the DHCP server database may contain the description of this pre-configured machine.

b. The list of NTP servers is used to initiate the NTP process for obtaining and maintaining the correct time. This is an ongoing process that continues for the duration of device activity. See Time Synchronization below.

c. The list of DNS servers is used to obtain the list of LDAP servers. This utilizes a relatively new addition to the DNS capabilities that permit querying DNS to obtain servers within a domain that provide a particular service.

d. The "nearest" LDAP server is queried to obtain a description for the device matching the assigned machine name. This description includes device specific configuration information and a list of Network AEs.

Note

A partially managed node may reach this point and discover that there is no description for that device in the LDAP database. During installation (as described above) this may then proceed into device configuration. Partially managed devices may utilize an internal configuration mechanism.

e. The AE descriptions are obtained from the LDAP server. Key information in the AE description is the assigned AE Title. The AE descriptions probably include vendor unique information in either the vendor text field or vendor extensions to the AE object. The details of this information are vendor unique. DICOM is defining a mandatory minimum capability because this will be a common need for vendors that offer dynamically configurable devices. The AE description may be present even for devices that do not support dynamic configuration. If the device has been configured with an AE Title and description that is intended to be fixed, then a description should be present in the LDAP database. The device can confirm that the description matches its stored configuration. The presence of the AE Title in the description will prevent later network activities from inadvertently re-using the same AE Title for another purpose. The degree of configurability may also vary. Many simple devices may only permit dynamic configuration of the IP address and AE Title, with all other configuration requiring local service modifications.

f. The device performs whatever internal operations are involved to configure itself to match the device description and AE descriptions.
At this point, the device is ready for regular operation, the DNS servers will correctly report its IP address when requested, and the LDAP server has a correct description of the device, Network AEs, and network connections.

### R.5 Shutdown

#### R.5.1 Shutdown

The lease timeouts eventually release the IP address at DHCP, which can then update DNS to indicate that the host is down. Clients that utilize the hostname information in the LDAP database will initially experience reports of connection failure; and then after DNS is updated, they will get errors indicating the device is down when they attempt to use it. Clients that use the IP entry directly will experience reports of connection failure.

#### R.5.2 Online/offline

A device may be deliberately placed offline in the LDAP database to indicate that it is unavailable and will remain unavailable for an extended period of time. This may be utilized during system installation so that pre-configured systems can be marked as offline until the system installation is complete. It can also be used for systems that are down for extended maintenance or upgrades. It may be useful for equipment that is on mobile vans and only present for certain days.

For this purpose a separate Installed attribute has been given to devices, Network AEs, and Network Connections so that it can be manually managed.

### R.6 Time Synchronization

Medical device time requirements primarily deal with synchronization of machines on a local network or campus. There are very few requirements for accurate time (synchronized with an international reference clock). DICOM time users are usually concerned with:

a. local time synchronization between machines

b. local time base stability. This means controlling the discontinuities in the local time and its first derivative. There is also an upper bound on time base stability errors that results from the synchronization error limits.

c. international time synchronization with the UTC master clocks

Other master clocks and time references (e.g., sidereal time) are not relevant to medical users.

#### R.6.1 High Accuracy Time Synchronization

High accuracy time synchronization is needed for devices like cardiology equipment. The measurements taken on various different machines are recorded with synchronization modules specifying the precise time base for measurements such as waveforms and multi-frame images. These are later used to synchronize data for analysis and display.

Typical requirements are:

**Local synchronization**

Synchronized to within approximately 10 millisecond. This corresponds to a few percent of a typical heartbeat. Under some circumstances, the requirements may be stricter than this.

**Time base stability**

During the measurement period there should be no discontinuities greater than a few milliseconds. The time base rate should be within 0.01% of standard time rate.

**International Time Synchronization**

There are no special extra requirements. Note however that time base stability conflicts with time synchronization when UTC time jumps (e.g., leap seconds).
R.6.2 Ordinary Time Synchronization

Ordinary medical equipment uses time synchronization to perform functions that were previously performed manually, e.g., record-keeping and scheduling. These were typically done using watches and clocks, with resultant stability and synchronization errors measured in seconds or longer. The most stringent time synchronization requirements for networked medical equipment derive from some of the security protocols and their record keeping.

Ordinary requirements are:

Local synchronization

Synchronized to within approximately 500 milliseconds. Some security systems have problems when the synchronization error exceeds 1 second.

Time base stability

Large drift errors may cause problems. Typical clock drift errors approximately 1 second/day are unlikely to cause problems. Large discontinuities are permissible if rare or during start up. Time may run backwards, but only during rare large discontinuities.

International Time Synchronization

Some sites require synchronization to within a few seconds of UTC. Others have no requirement.

R.6.3 Background

R.6.3.1 Unsynchronized Time

The local system time of a computer is usually provided by two distinct components.

a. There is a battery-powered clock that is used to establish an initial time estimate when the machine is turned on. These clocks are typically very inaccurate. Local and international synchronization errors are often 5-10 minutes. In some cases, the battery clock is incorrect by hours or days.

b. The ongoing system time is provided by a software function and a pulse source. The pulse source "ticks" at some rate between 1-1000Hz. It has a nominal tick rate that is used by the system software. For every tick the system software increments the current time estimate appropriately. E.g., for a system with a 100Hz tick, the system time increments 10ms each tick.

This lacks any external synchronization and is subject to substantial initial error in the time estimate and to errors due to systematic and random drift in the tick source. The tick sources are typically low cost quartz crystal based, with a systematic error up to approximately $10^{-5}$ in the actual versus nominal tick rate and with a variation due to temperature, pressure, etc. up to approximately $10^{-5}$.

This corresponds to drifts on the order of 10 seconds per day.

R.6.3.2 Network Synchronized Time

There is a well established Internet protocol (NTP) for maintaining time synchronization that should be used by DICOM. It operates in several ways.

The most common is for the computer to become an NTP client of one or more NTP servers. As a client it uses occasional ping-pong NTP messages to:

a. Estimate the network delays. These estimates are updated during each NTP update cycle.

b. Obtain a time estimate from the server. Each estimate includes the server's own statistical characteristics and accuracy assessment of the estimate.

c. Use the time estimates from the servers, the network delay estimates, and the time estimates from the local system clock, to obtain a new NTP time estimate. This typically uses modern statistical methods and filtering to perform optimal estimation.

d. Use the resulting time estimate to

1. Adjust the system time, and
2. Update drift and statistical characteristics of the local clock.

The local applications do not normally communicate with the NTP client software. They normally continue to use the system clock services. The NTP client software adjusts the system clock. The NTP standard defines a nominal system clock service as having two adjustable parameters:

a. The clock frequency. In the example above, the nominal clock was 100Hz, with a nominal increment of 10 milliseconds. Long term measurement may indicate that the actual clock is slightly faster and the NTP client can adjust the clock increment to be 9.98 milliseconds.

b. The clock phase. This adjustment permits jump adjustments, and is the fixed time offset between the internal clock and the estimated UTC.

The experience with NTP in the field is that NTP clients on the same LAN as their NTP server will maintain synchronization to within approximately 100 microseconds. NTP clients on the North American Internet and utilizing multiple NTP servers will maintain synchronization to within approximately 10 milliseconds.

There are low cost devices with only limited time synchronization needs. NTP has been updated to include SNTP for these devices. SNTP eliminates the estimation of network delays and eliminates the statistical methods for optimal time estimation. It assumes that the network delays are nil and that each NTP server time estimate received is completely accurate. This reduces the development and hardware costs for these devices. The computer processing costs for NTP are insignificant for a PC, but may be burdensome for very small devices. The SNTP synchronization errors are only a few milliseconds in a LAN environment. They are very topology sensitive and errors may become huge in a WAN environment.

Most NTP servers are in turn NTP clients to multiple superior servers and peers. NTP is designed to accommodate a hierarchy of server/clients that distributes time information from a few international standard clocks out through layers of servers.

R.6.3.3 External Clocks

The NTP implementations anticipate the use of three major kinds of external clock sources:

External NTP servers

Many ISPs and government agencies offer access to NTP servers that are in turn synchronized with the international standard clocks. This access is usually offered on a restricted basis.

External clock broadcasts

The US, Canada, Germany, and others offer radio broadcasts of time signals that may be used by local receivers attached to an NTP server. The US and Russia broadcast time signals from satellites, e.g., GPS. Some mobile telephone services broadcast time signals. These signals are synchronized with the international standard clocks. GPS time signals are popular worldwide time sources. Their primary problem is difficulties with proper antenna location and receiver cost. Most of the popular low cost consumer GPS systems save money by sacrificing the clock accuracy.

External pulse sources

For extremely high accuracy synchronization, atomic clocks can be attached to NTP servers. These clocks do not provide a time estimate, but they provide a pulse signal that is known to be extremely accurate. The optimal estimation logic can use this in combination with other external sources to achieve sub microsecond synchronization to a reference clock even when the devices are separated by the earth's diameter.

The details regarding selecting an external clock source and appropriate use of the clock source are outside the scope of the NTP protocol. They are often discussed and documented in conjunction with the NTP protocol and many such interfaces are included in the reference implementation of NTP.

R.6.4 SNTP Restrictions

In theory, servers can be SNTP servers and NTP servers can be SNTP clients of other servers. This is very strongly discouraged. The SNTP errors can be substantial, and the clients of a server using SNTP will not have the statistical information needed to assess the magnitude of these errors. It is feasible for SNTP clients to use NTP servers. The SNTP protocol packets are identical to the NTP protocol packets. SNTP differs in that some of the statistical information fields are filled with nominal SNTP values instead of having actual measured values.

- Standard -
R.6.5 Implementation Considerations

There are several public reference implementations of NTP server and client software available. These are in widespread use and have been ported to many platforms (including Unix, Windows, and Macintosh). There are also proprietary and built-in NTP services for some platforms (e.g., Windows 2000). The public reference implementations include sample interfaces to many kinds of external clock sources.

There are significant performance considerations in the selection of locations for servers and clients. Devices that need high accuracy synchronization should probably be all on the same LAN together with an NTP server on that LAN.

Real time operating system (RTOS) implementations may have greater difficulties. The reference NTP implementations have been ported to several RTOSs. There were difficulties with the implementations of the internal system clock on the RTOS. The dual frequency/phase adjustment requirements may require the clock functions to be rewritten. The reference implementations also require access to a separate high resolution interval timer (with sub microsecond accuracy and precision). This is a standard CPU feature for modern workstation processors, but may be missing on low end processors.

An RTOS implementation with only ordinary synchronization requirements might choose to write their own SNTP only implementation rather than use the reference NTP implementation. The SNTP client is very simple. It may be based on the reference implementation or written from scratch. The operating system support needed for accurate adjustment is optional for SNTP clients. The only requirement is the time base stability requirement, which usually implies the ability to specify fractional seconds when setting the time.

The conflict between the user desire to use local time and the NTP use of UTC must be resolved in the device. DHCP offers the ability to obtain the offset between local time and UTC dynamically, provided the DHCP server supports this option. There remain issues such as service procedures, start up in the absence of DHCP, etc.

The differences between local time, UTC, summer time, etc. are a common source of confusion and errors setting the battery clock. The NTP algorithms will eventually resolve these errors, but the final convergence on correct time may be significantly delayed. The device might be ready for medical use before these errors are resolved.
S Legacy Transition For Configuration Management (Informative)

There will usually be a period of time where a network will have some applications that utilize the configuration management protocols coexisting with applications that are only manually configured. The transition issues arise when a legacy Association Requester interacts with a managed Association Acceptor or when a managed Association Requester interacts with a legacy Association Acceptor. Some of these issues also arise when the Association Requester and Association Acceptor support different configuration management profiles. These are discussed below and some general recommendations made for techniques that simplify the transition to a fully configuration managed network.

S.1 Legacy Association Requester, Configuration Managed Association Acceptor

The legacy Association Requester requires that the IP address of the Association Acceptor not change dynamically because it lacks the ability to utilize DNS to obtain the current IP address of the Association Acceptor. The legacy Association Requester also requires that the AE Title of the Association Acceptor be provided manually.

S.1.1 DHCP Server

The DHCP server should be configurable with a database of hostname, IP, and MAC address relationships. The DHCP server can be configured to provide the same IP address every time that a particular machine requests an IP address. This is a common requirement for Association Acceptors that obtain IP addresses from DHCP. The Association Acceptor may be identified by either the hardware MAC address or the hostname requested by the Association Acceptor.

The IP address can be permanently assigned as a static IP address so that legacy Association Requester can be configured to use that IP address while managed Association Requester can utilize the DNS services to obtain its IP address.

S.1.2 DNS Server

No specific actions are needed, although see below for the potential that the DHCP server does not perform DDNS updates.

S.1.3 LDAP Server

Although the managed Association Acceptor may obtain information from the LDAP server, the legacy Association Requester will not. This means that the legacy mechanisms for establishing EYE-Titles and related information on the Association Requester will need to be coordinated manually. Most LDAP products have suitable GUI mechanisms for examining and updating the LDAP database. These are not specified by this standard.

An LDAP entry for the Association Requester should be manually created, although this may be a very abbreviated entry. It is needed so that the EYE-Title mechanisms can maintain unique AE Titles. There must be entries created for each of the AEs on the legacy Association Requester.

The legacy Association Requester will need to be configured based on manual examination of the LDAP information for the server and using the legacy procedures for that Association Requester.

S.2 Managed Association Requester, Legacy Association Acceptor

S.2.1 DHCP Server

The DHCP server may need to be configured with a pre-assigned IP address for the Association Requester if the legacy Association Acceptor restricts access by IP addresses. Otherwise no special actions are needed.

S.2.2 DNS Server

The legacy Association Acceptor hostname and IP address should be manually placed into the DNS database.
S.2.3 LDAP Server

The LDAP server should be configured with a full description of the legacy Association Acceptor, even though the Association Acceptor itself cannot provide this information. This will need to be done manually, most likely using GUI tools. The legacy Association Acceptor will need to be manually configured to match the EYE-Titles and other configuration information.

S.3 No DDNS Support

In the event that the DHCP server or DNS server do not support or permit DDNS updates, then the DNS server database will need to be manually configured. Also, because these updates are not occurring, all of the machines should have fixed pre-assigned IP addresses. This is not strictly necessary for clients, since they will not have incoming DICOM connections, but may be needed for other reasons. In practice maintaining this file is very similar to the maintenance of the older hostname files. There is still a significant administrative gain because only the DNS and DHCP configuration files need to be maintained, instead of maintaining files on each of the servers and clients.

S.4 Partially Managed Devices

It is likely that some devices will support only some of the system management profiles. A typical example of such partial support is a node that supports:

a. DHCP Client,

b. DNS Client, and

c. NTP Client

Configurations like this are common because many operating system platforms provide complete tools for implementing these clients. The support for LDAP Client requires application support and is often released on a different cycle than the operating system support. These devices will still have their DICOM application manually configured, but will utilize the DHCP, DNS, and NTP services.

S.5 Adding The First Managed Device to A Legacy Network

The addition of the first fully managed device to a legacy network requires both server setup and device setup.

S.5.1 New Servers Required

The managed node requires that servers be installed or assigned to provide the following actors:

a. DHCP Server

b. DNS Server

c. NTP Server

d. LDAP Server

These may be existing servers that need only administrative additions, they may be existing hardware that has new software added, and these may be one or multiple different systems. DHCP, DNS, and NTP services are provided by a very wide variety of equipment.

S.5.2 NTP

The NTP server location relative to this device should be reviewed to be sure that it meets the timing requirements of the device. If it is an NTP client with a time accuracy requirement of approximately 1 second, almost any NTP server location will be acceptable. For SNTP clients and devices with high time accuracy requirements, it is possible that an additional NTP server or network topology adjustment may be needed.

If the NTP server is using secured time information, certificates or passwords may need to be exchanged.
S.5.3 Documenting Managed and Unmanaged Nodes (DHCP, DNS, and LDAP)

S.5.3.1 DHCP Documentation

There are advantages to documenting the unmanaged nodes in the DHCP database. This is not critical for operations, but it helps avoid administrative errors. Most DHCP servers support the definition of pre-allocated static IP addresses. The unmanaged nodes can be documented by including entries for static IP addresses for the unmanaged nodes. These nodes will not be using the DHCP server initially, but having their entries in the DHCP database helps reduce errors and simplifies gradual transitions. The DHCP database can be used to document the manually assigned IP addresses in a way that avoids unintentional duplication.

The managed node must be documented in the DHCP database. The NTP and DNS server locations must be specified.

If this device is an association acceptor it probably should be assigned a fixed IP address. Many legacy devices cannot operate properly when communicating with devices that have dynamically assigned IP addresses. The legacy device does not utilize the DNS system, so the DDNS updates that maintain the changing IP address are not available. So most managed nodes that are association acceptors must be assigned a static IP address. The DHCP system still provides the IP address to the device during the boot process, but it is configured to always provide the same IP address every time. The legacy systems are configured to use that IP address.

S.5.3.2 DNS Documentation

Most DNS servers have a database for hostname to IP relationships that is similar to the DHCP database. The unmanaged devices that will be used by the managed node must have entries in this database so that machine IP addresses can be found. It is often convenient to document all of the hostnames and IP addresses for the network into the DNS database. This is a fairly routine administrative task and can be done for the entire network and maintained manually as devices are added, moved, or removed. There are many administrative tools that expect DNS information about all network devices, and this makes that information available.

If DDNS updates are being used, the manually maintained portion of the DNS database must be adjusted to avoid conflicts.

There must be DNS entries provided for every device that will be used by the managed node.

S.5.3.3 LDAP Documentation

The LDAP database should be configured to include device descriptions for this managed device, and there should be descriptions for the other devices that this device will communicate with. The first portion is used by this device during its start up configuration process. The second portion is used by this device to find the services that it will use.

The basic structural components of the DICOM information must be present on the LDAP server so that this device can find the DICOM root and its own entry. It is a good idea to fully populate the AE Title registry so that as managed devices are added there are no AE Title conflicts.

S.5.3.4 Descriptions of Other Devices

This device needs to be able to find the association acceptors (usually SCPs) that it will use during normal operation. These may need to be manually configured into the LDAP server. Their descriptions can be highly incomplete if these other devices are not managed devices. Only enough information is needed to meet the needs of this device. If this device is manually configured and makes no LDAP queries to find services, then none of the other device descriptions are needed.

There are some advantages to manually maintaining the LDAP database for unmanaged devices. This can document the manually assigned AE Titles. The service and network connection information can be very useful during network planning and troubleshooting. The database can also be useful during service operations on unmanaged devices as a documentation aid. The decision whether to use the LDAP database as a documentation aid often depends upon the features provided with the LDAP server. If it has good tools for manually updating the LDAP database and good tools for querying and reporting, it is often a good investment to create a manually maintained LDAP database.

S.5.4 Description of This Device

This device needs its own LDAP entry. This is used during the system start up process. The LDAP server updates must be performed.
S.6 Switching A Node From Unmanaged to Managed in A Mixed Network

During the transition period devices will be switched from unmanaged to managed. This may be done in stages, with the LDAP client transition being done at a different time than the DHCP, DNS, and NTP client. This section describes a switch that changes a device from completely unmanaged to a fully managed device. The device itself may be completely replaced or simply have a software upgrade. Details of how the device is switched are not important.

S.6.1 DHCP and DNS

If the device was documented as part of an initial full network documentation process, the entries in the DHCP and DNS databases need to be checked. If the entry is missing, wrong, or incomplete, it must be corrected in the DHCP and DNS databases. If the entries are correct, then no changes are needed to those servers. The device can simply start using the servers. The only synchronization requirement is that the DHCP and DNS servers be updated before the device, so these can be scheduled as convenient.

If the device is going to be dynamically assigned an IP address by the DHCP server, then the DNS server database should be updated to reflect that DDNS is now going to be used for this device. This update should not be made ahead of time. It should be made when the device is updated.

S.6.2 NTP

The NTP server location relative to this device should be reviewed to be sure that it meets the timing requirements of the device. If it is an NTP client with a time accuracy requirement of approximately 1 second, almost any NTP server location will be acceptable. For SNTP clients and devices with high time accuracy requirements, it is possible that an additional NTP server or network topology adjustment may be needed.

If the NTP server is using secured time information, certificates or passwords may need to be exchanged.

S.6.3 Association Acceptors On This Node

The association acceptors may be able to simply utilize the configuration information from the LDAP database, but it is likely that further configuration will be needed. Unmanaged nodes probably have only a minimal configuration in the database.

S.6.4 Association Requesters On Legacy Nodes

These will probably remain unchanged. The IP address must be pre-allocated if there are legacy nodes that cannot support DHCP.

S.6.5 Association Requesters On Managed Nodes

If the previous configuration had already been described in the LDAP database, the managed nodes can continue to use the LDAP database. The updated and more detailed entry describing the now managed association acceptor will be used.
T Quantitative Analysis References (Informative)

T.1 Definition of Left and Right in the Case of Quantitative Arterial Analysis

Figure T.1-1. Definition of Left and Right in the Case of Quantitative Arterial Analysis

T.2 Definition of Diameter Symmetry with Arterial Plaques

The Diameter Symmetry of a Stenosis is a parameter determining the symmetry in arterial plaque distribution.
The Symmetry Index is defined by: $\frac{a}{b}$ where $a$ is smaller or equal to than $b$. $a$ and $b$ are measured in the reconstructed artery at the position of the minimal luminal diameter.

Possible values of symmetry range from 0 to 1, where 0 indicates complete asymmetry and 1 indicates complete symmetry.


**T.3 Wall Motion Regions**

**T.3.1 Landmark Based Wall Motion Regions**

Figure T.3-1. Landmark Based Wall Motion Regions
To compare the quantitative results with those provided by the usual visual interpretation, the left ventricular boundary is divided into 5 anatomical regions, denoted:

- Anterobasal.
- Anterolateral.
- Posterobasal.
- Diaphragmatic.
- Apical.

### T.3.2 Centerline Wall Motion Region

**Figure T.3-2. Example of Centerline Wall Motion Template Usage**

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**T.3.4 Radial Based Wall Motion Region**

![Radial-based Wall Motion](image)

**Figure T.3-3. Radial Based Wall Motion Region**

**T.4 Quantitative Arterial Analysis Reference Method**

**Defined Terms:**

- Computer Calculated Reference.
- Interpolated Local Reference.
- Mean Local Reference.

**T.4.1 Computer Calculated Reference**

The computer-defined obstruction analysis calculates the reconstruction diameter based on the diameters outside the stenotic segment. This method is completely automated and user independent. The reconstructed diameter represents the diameters of the artery had the obstruction not been present.

The proximal and distal borders of the stenotic segment are automatically calculated.

The difference between the detected contour and the reconstructed contour inside the reconstructed diameter contour is considered to be the plaque.

Based on the reconstruction diameter at the Minimum Luminal Diameter (MLD) position a reference diameter for the obstruction is defined.
T.4.2 Interpolated Reference

The interpolated reference obstruction analysis calculates a reconstruction diameter for each position in the analyzed artery. This reconstructed diameter represents the diameters of the artery when no disease would be present. The reconstruction diameter is a line fitted through at least two user-defined reference markers by linear interpolation.

By default two references are used at the positions of the reference markers are automatically positioned at 5% and 95% of the artery length.

To calculate a percentage diameter stenosis the reference diameter for the obstruction is defined as the reconstructed diameter at the position of the MLD.

In cases where the proximal and distal part of the analyzed artery have a stable diameter during the treatment and long-term follow-up, this method will produce a stable reference diameter for all positions in the artery.

T.4.3 Mean Local Reference

In case of mean local reference obstruction the reference diameter will be an average of the diameters at the position of one or more the reference markers.

This method is particularly appropriate for the analysis of bifurcated arteries.

T.5 Positions in Diameter Graphic

A vessel segment length as seen in the image is not always indicated as the same X-axis difference in the graph.

The X-axis of the graph is based on pixel positions on the midline and these points are not necessarily equidistant. This is caused by the fact that vessels do not only run perfectly horizontally or vertically, but also at angles.

When a vessel midline is covering a number of pixel positions perfectly horizontal or vertical, it will cover less space in mm compared to a vessel that covers the same number of pixel positions under an angle. When a segment runs perfectly horizontal or vertical, the segment length is equal to the amount of midline pixel points times the pixel separation (each point of the midline is separated exactly the pixel spacing in mm) and the points on the X-axis also represent exactly one pixel space. This is not the case when the vessel runs under an angle. For example an artery that is positioned at a 45 angle, the distance between two points on the midline is 0.7 times the pixel spacing.

As example, the artery consists of 10 elements (n =10); each has a length of 1mm (pixel size). If the MLD was exactly in the center of the artery you would expect the length from 0 to the MLD would be 5 sub segments long, thus 5 mm. This is true if the artery runs horizontal or vertically (assumed aspect ratio is 1).

![Figure T.5-1. Artery Horizontal](image)

If the artery is positioned in a 45° angle then the length of each element is \( \sqrt{2} \) times the pixel size compared to the previous example. Thus the length depends on the angle of the artery.
Figure T.5-2. Artery 45° Angle
U Ophthalmology Use Cases (Informative)

U.1 Ophthalmic Photography Use Cases

The following use cases are examples of how the DICOM Ophthalmology Photography objects may be used. These use cases utilize the term "picture" or "pictures" to avoid using the DICOM terminology of frame, instance or image. In the use cases, the series means DICOM Series.

U.1.1 Routine N-spot Exam

An N-spot retinal photography exam means that "N" predefined locations on the retina are examined.

A routine N-spot retinal photography exam is ordered for both eyes. There is nothing unusual observed during the exam, so N pictures are taken of each retina. This healthcare facility also specifies that in an N-spot exam a routine external picture is captured of both eyes, that the current intraocular pressure (IOP) is measured, and that the current refractive state is measured.

The resulting study contains:

a. 2N pictures of the retina and one external picture. Each retinal picture is labeled in the acquisition information to indicate its position in the local N-spot definition. The series is not labeled, each picture is labeled OS or OD as appropriate.

   Note
   DICOM uses L, R, and B in the Image Laterality Attribute (0020,0062). The actual encodings will be L, R, or B. Ophthalmic equipment can convert this to OS, OD, and OU before display.

b. In the acquisition information of every picture, the IOP and refractive state information is replicated.

c. Since there are no stereo pictures taken, there is no Stereometric Relationship IOD instance created.

The pictures may or may not be in the same Series.

U.1.2 Routine N-spot Exam With Exceptions

A routine N-spot retinal photography exam is ordered for both eyes. During the exam a lesion is observed in the right eye. The lesion spans several spots, so an additional wide angle view is taken that captures the complete lesion. Additional narrow angle views of the lesion are captured in stereo. After completing the N-spot exam, several slit lamp pictures are taken to further detail the lesion outline.

The resulting study contains:

a. 2N pictures of the retina and one external picture, one additional wide angle picture of the abnormal retina, 2M additional pictures for the stereo detail of the abnormal retina, and several slit lamp pictures of the abnormal eye. The different lenses and lighting parameters are documented in the acquisition information for each picture.

b. One instance of a Stereometric Relationship IOD, indicating which of the stereo detail pictures above should be used as stereo pairs.

The pictures may or may not be in the same Series.

U.1.3 Routine Flourescein Exam

A routine fluorescein exam is ordered for one eye. The procedure includes:

a. Routine stereo N-spot pictures of both eyes, routine external picture, and current IOP.

b. Reference stereo picture of each eye using filtered lighting

c. Fluorescein injection
d. Capture of 20 stereo pairs with about 0.7 seconds between pictures in a pair and 3-5 seconds between pairs.

e. Stereo pair capture of each eye at increasing intervals for the next 10 minutes, taking a total of 8 pairs for each eye.

The result is a study with:

a. The usual 2N+1 pictures from the N-spot exam

b. Four pictures taken with filtered lighting (documented in acquisition information) that constitute a stereo pair for each eye.

c. 40 pictures (20 pairs) for one eye of near term fluorescein. These include the acquisition information, lighting context, and time stamp.

d. 32 pictures (8 pairs for each eye) of long term fluorescein. These include acquisition information, lighting context, and time stamp.

e. One Stereometric Relationship IOD, indicating which of the above OP instances should be used as stereo pairs.

The pictures of a) through d) may or may not be in the same series.

### U.1.4 External Examination

The patient presents with a generic eye complaint. Visual examination reveals a possible abrasion. The general appearance of the eyes is documented with a wide angle shot, followed by several detailed pictures of the ocular surface. A topical stain is applied to reveal details of the surface lesion, followed by several additional pictures. Due to the nature of the examination, no basic ophthalmic measurements were taken.

The result is a study with one or more series that contains:

a. One overall external picture of both eyes

b. Several close-up pictures of the injured eye

c. Several close-up pictures of the injured eye after topical stain. These pictures have the additional stain information conveyed in the acquisition information for these pictures.

### U.1.5 External Examination With Intention

The patient is suspected of a nervous system injury. A series of external pictures are taken with the patient given instructions to follow a light with his eyes. For each picture the location of the light is indicated by the patient intent information, (e.g., above, below, patient left, patient right).

The result is a study with one or more series that contains:

a. Individual pictures with each picture using the patient intent field to indicate the intended direction.

### U.1.6 External Examination With Drug Application

Patient is suspected of myaesthenia gravis. Both eyes are imaged in normal situation. Then after Tensilon® (edrophonium chloride) injection a series of pictures is taken. The time, amount, and method of Tensilon® (edrophonium chloride) administration is captured in the acquisition information. The time stamps of the pictures are used in conjunction with the behavior of the eyelids to assess the state of the disease.

Note

Tensilon® is a registered trademark of Roche Laboratories.

The result is a study with one or more series that contains:

a. Multiple reference pictures prior to test

b. Pictures with acquisition information to document drug administration time.
U.1.7 Routine Stereo Camera Examination

A stereo optic disk examination is ordered for a patient with glaucoma. For this examination, the IOP does not need to be measured. The procedure includes:

1. Mydriasis using agent at time $t$
2. N stereo pictures (camera pictures right and left stereo picture simultaneously) of the optic disk region at the time $t+s$

The result is a study with:

a. N right and N left stereo pictures. These include acquisition information, lighting context, agent and time stamps.
   i. One Stereometric Relationship SOP Instance, indicating that the above OP images should be used as stereo pairs.

U.1.8 Relative Image Position Definitions

Ophthalmic mapping usually occurs in the posterior region of the fundus, typically in the macula or the optic disc. However, this or other imaging may occur anywhere in the fundus. The mapping data has clinical relevance only in the context of its location in the fundus, so this must be appropriately defined. CID 4207 "Ophthalmic Image Position" codes and the ocular fundus locations they represent are defined by anatomical landmarks and are described using conventional anatomic references, e.g., superior, inferior, temporal, and nasal. Figure U.1.8-1 is a schematic representation of the fundus of the left eye, and provides additional clarification of the anatomic references used in the image location definitions. A schematic of the right eye is omitted since it is identical to the left eye, except horizontally reversed (Temporal→Nasal, Nasal→Temporal).

The spatial precision of the following location definitions vary depending upon their specific reference. Any location that is described as "centered" is assumed to be positioned in the center of the referenced anatomy. However, the center of the macula can be defined visually with more precision than that of the disc or a lesion. The locations without a "center" reference are approximations of the general quadrant in which the image resides.

Note

An image < 15° angular subtend in the same position should be considered Lesion Centered.

Following are general definitions used to understand the terminology used in the code definitions.

• Central zone - a circular region centered vertically on the macula and extending one disc diameter nasal to the nasal margin of the disc and four disc diameters temporal to the temporal margin of the disc.
• Equator - the border between the mid-periphery and periphery of the retinal and corresponding to a circle approximately coincident with the ampulae of the vortex veins
• Superior - any region that is located superiorly to a horizontal line bisecting the macula
• Inferior - any region that is located inferiorly to a horizontal line bisecting the macula
• Temporal - any region that is located temporally to a vertical line bisecting the macula
• Nasal - any region that is located nasally to a vertical line bisecting the macula
• Mid-periphery - A circular zone of the retina extending from the central zone to the equator
• Periphery - A zone of the retinal extending from the equator to the ora serrata.
• Ora Serrata - the most anterior extent and termination of the retina
• Lesion - any pathologic object of regard

Figure U.1.8-1 illustrates anatomical representation of defined regions of the fundus of the left eye according to anatomical markers. The right eye has the same representations but reversed horizontally so that temporal and nasal are reversed with the macula remaining temporal to the disc.

U.2 Typical Sequence of Events

The following shows the proposed sequence of events using individual images that are captured for later stereo viewing, with the stereo viewing relationships captured in the stereometric relationship instance.

The instances captured are all time stamped so that the fluorescein progress can be measured accurately. The acquisition and equipment information captures the different setups that are in use:

a. Acquisition information A is the ordinary illumination and planned lenses for the examination.

b. Acquisition information B is the filtered illumination, filtered viewing, and lenses appropriate for the fluorescein examination.

c. Acquisition information C indicates no change to the equipment settings, but once the injection is made, the subsequent images include the drug, method, dose, and time of delivery.
U.3 Ophthalmic Tomography Use Cases (Informative)

Optical tomography uses the back scattering of light to provide cross-sectional images of ocular structures. Visible (or near-visible) light works well for imaging the eye because many important structures are optically transparent (cornea, aqueous humor, lens, vitreous humor, and retina - see Figure U.3-1).

To provide analogy to ultrasound imaging, the terms A-scan and B-scan are used to describe optical tomography images. In this setting, an A-scan is the image acquired by passing a single beam of light through the structure of interest. An A-scan image represents the optical reflectivity of the imaged tissue along the path of that beam - a one-dimensional view through the structure. A B-scan is then created from a collection of adjacent A-scan images - a two dimensional image. It is also possible to combine multiple B-scans into a 3-dimensional image of the tissue.

When using optical tomography in the eye it is desirable to have information about the anatomic and physiologic state of the eye. Measurements like the patient's refractive error and axial eye length are frequently important for calculating magnification or minification of images. The accommodative state and application of pupil dilating medications are important when imaging the anterior segment of the eye as they each cause shifts in the relative positions of ocular structures. The use of dilating medications is also relevant when imaging posterior segment structures because a small pupil can account for poor image quality.

U.3.1 Anterior Chamber Tomography

U.3.1.1 Anterior Chamber Exam For Phakic Intraocular Lens Surgery Planning

Ophthalmic tomography may be used to plan placement of a phakic intraocular lens (IOL). A phakic IOL is a synthetic lens placed in the anterior segment of the eye in someone who still has their natural crystalline lens (i.e., they are "phakic"). This procedure is done to correct the patient's refractive error, typically a high degree of myopia (near-sightedness). This procedure is done to correct the patient's refractive error, typically a high degree of myopia (near-sightedness). The exam will typically be performed on both eyes, and each eye may be examined in a relaxed and accommodated state. Refractive information for each eye is required to interpret the tomographic study.

A study consists of one or more B-scans (see Figure U.3-2) and one or more instances of refractive state information. There may be a reference image of the eye associated with each B-scan that shows the position of the scan on the eye.

U.3.1.2 Anterior Chamber Angle Exam

The anterior chamber angle is defined by the angle between the iris and cornea where they meet the sclera. This anatomic feature is important in people with narrow angles. Since the drainage of aqueous humor occurs in the angle, a significantly narrow angle can impede outflow and result in increased intraocular pressure. Chronically elevated intraocular pressures can result in glaucoma. Ophthalmic tomography represents one way of assessing the anterior chamber angle.
B-scans are obtained of the anterior segment including the cornea and iris. Scans may be taken at multiple angles in each eye (see Figure U.3-2). A reference image may be acquired at the time of each B-scan(s). Accommodative and refractive state information are also important for interpretation of the resulting tomographic information.

![Figure U.3-2. Tomography of the anterior segment showing a cross section through the cornea](image)

Note in the Figure the ability to characterize the narrow angle between the iris and peripheral cornea.

**U.3.1.4 Corneal Exam**

As a transparent structure located at the front of the eye, the cornea is ideally suited to optical tomography. There are multiple disease states including glaucoma and corneal edema where the thickness of the cornea is relevant and tomography can provide this information using one or more B-scans taken at different angles relative to an axis through the center of the cornea.

Tomography is also useful for defining the curvature of the cornea. Accurate measurements of the anterior and posterior curvatures are important in diseases like keratoconus (where the cornea "bulges" abnormally) and in the correction of refractive error via surgery or contact lenses. Measurements of corneal curvature can be derived from multiple B-scans taken at different angles through the center of the cornea.

In both cases, a photograph of the imaged structure may be associated with each B-scan image.

**U.3.2 Posterior Segment Tomography**

**U.3.2.1 Retinal Nerve Fiber Layer Exam**

The Retinal Nerve Fiber Layer (RNFL) is made up of the axons of the ganglion cells of the retina. These axons exit the eye as the optic nerve carrying visual signals to the brain. RNFL thinning is a sign of glaucoma and other optic nerve diseases.

An ophthalmic tomography study contains one or more circular scans, perhaps at varying distances from the optic nerve. Each circular scan can be "unfolded" and treated as a B-scan used to assess the thickness of the nerve fiber layer (see Figure U.3-3). A fundus image that shows the scan location on the retina may be associated with each B-scan. To detect a loss of retinal nerve fiber cells the exam might be repeated one or multiple times over some period of time. The change in thickness of the nerve fiber tissue or a trend (serial plot of thickness data) might be used to support the diagnosis.
Figure U.3-3. Example tomogram of the retinal nerve fiber layer with a corresponding fundus image

In the Figure, the pseudo-colored image on the left shows the various layers of the retina in cross section with the nerve fiber layer between the two white lines. The location of the scan is indicated by the bright circle in the photograph on the right.

U.3.2.2 Macular Exam

The macula is located roughly in the center of the retina, temporal to the optic nerve. It is a small and highly sensitive part of the retina responsible for detailed central vision. Many common ophthalmic diseases affect the macula, frequently impacting the thickness of different layers in the macula. A series of scans through the macula can be used to assess those layers (see Figure U.3-4).

A study may contain a series of B-scans. A fundus image showing the scan location(s) on the retina may be associated with one or more B-scans. In the Figure, the corresponding fundus photograph is in the upper left.

Figure U.3-4. Example of a macular scan showing a series of B-scans collected at six different angles

U.3.2.3 Angiographic Exams

Some color retinal imaging studies are done to determine vascular caliber of retinal vessels, which can vary throughout the cardiac cycle. Images are captured while connected to an ECG machine or a cardiac pulse monitor allowing image acquisition to be synchronized to the cardiac cycle.
Angiography is a procedure that requires a dye to be injected into the patient for the purpose of enhancing the imaging of vascular structures in the eye. A standard step in this procedure is imaging the eye at specified intervals to detect the pooling of small amounts of dye and/or blood in the retina. For a doctor or technician to properly interpret angiography images it is important to know how much time had elapsed between the dye being injected in the patient (time 0) and the image frame being taken. It is known that such dyes can have an affect on OPT tomographic images as well (and it may be possible to use such dyes to enhance vascular structure in the OPT images), therefore time synchronization will be applied to the creation of the OPT images as well as any associated OP images.

The angiographic acquisition is instantiated as a multi-frame OPT Image. The variable time increments between frames of the image are captured in the Frame Time Vector of the OPT Multi-frame Module. For multiple sets of images, e.g., sets of retinal scan images, the Slice Location Vector will be used in addition to the Frame Time Vector. For 5 sets of 6 scans there will be 30 frames in the multi-frame image. The first 6 values in the Frame Time Vector will give the time from injection to the first set of scans, the second 6 will contain the time interval for the second set of 6 scans, and so on, for a total of 5 time intervals.

Another example of an angiographic study with related sets of images is a sequence of SLO/OCT/"ICG filtered" image triples (or SLO/OCT image pairs) that are time-stamped relative to a user-defined event. This user-defined event usually corresponds to the inject time of ICG (indocyanine green) into the patients blood stream. The resultant images form an angiography study where the patient’s blood flow can be observed with the "ICG filtered" images and can be correlated with the pathologies observed in the SLO and OCT images that are spatially related to the ICG image with a pixel-to-pixel correspondence on the X-Y plane.

U.3.2.4 3D Reconstruction Exam

The prognosis of some pathologies can be aided by a 3D visualization of the affected areas of the eye. For example, in certain cases the density of cystic formations or the amount of drusen present can be hard to ascertain from a series of unrelated two-dimensional longitudinal images of the eye. However, some OCT machines are capable of taking a sequence of spatially related two-dimensional images in a suitably short period of time. These images can either be oriented longitudinally (perpendicular to the retina) or transversely (near-parallel to the retina). Once such a sequence has been captured, it then becomes possible for the examined volume of data to be reconstructed for an interactive 3D inspection by a user of the system (see Figure U.3-5). It is also possible for measurements, including volumes, to be calculated based on the 3D data set.

A reference image is often combined with the OCT data to provide a means of registering the 3D OCT data-set with a location on the surface of the retina (see Figure U.3-6 and Figure U.3-7).
U.3.2.5 Transverse Imaging

While the majority of ophthalmic tomography imaging consists of sets of longitudinal images (also known as B scans or line scans), transverse images (also known as coronal or "en face" images) can also provide useful information in determining the full extent of the volume affected by pathology.

Longitudinal images are oriented in a manner that is perpendicular to the structure being examined, while transverse images are oriented in an "en face" or near parallel fashion through the structure being examined.

Transverse images can be obtained from a directly as a single scan (as shown in Figures U.3-8 and U.3-9) or they can also be reconstructed from a 3D data set (as shown in Figures U.3-10 and U.3-11). A sequence of transverse images can also be combined to form a 3D data set.
Figures U.3-8 through U.3-11 are all images of the same pathology in the same eye, but the two different orientations provide complementary information about the size and shape of the pathology being examined. For example, when examining macular holes, determining the amount of surrounding cystic formation is important aid in the following treatment. Determining the extent of such cystic formation is much more easily ascertained using transverse images rather than longitudinal images. Transverse images are also very useful in locating micro-pathologies such as covered macular holes, which may be overlooked using conventional longitudinal imaging.
Figure U.3-10. Correspondence between Reconstructed Transverse and Longitudinal OCT Images

In Figure U.3-10, the blue green and pink lines show the correspondence of the three images. In Figure U.3-11, the Transverse image is highlighted in yellow.

Figure U.3-11. Reconstructed Transverse and Side Longitudinal Images
V Hanging Protocols (Informative)

The Hanging Protocol Composite IOD contains information about user viewing preferences, related to image display station (workstation) capabilities. The associated Service Classes support the storage (C-STORE), query (C-FIND) and retrieve (C-MOVE and C-GET) of Hanging Protocol Instances between servers and workstations. The goal is for users to be able to conveniently define their preferred methods of presentation and interaction for different types of viewing circumstances once, and then to automatically layout image sets according to the users’ preferences on workstations of similar capability.

The primary expectation is to facilitate the automatic and consistent hanging of images according to definitions provided by the users, sites or vendors of the workstations by providing the capability to:

• Save defined Hanging Protocols

• Search for Hanging Protocols by name, level (single user, user group, site, manufacturer), user identification code, modality, anatomy, and laterality.

• Allow automatic hanging of image sets to occur for all studies on workstations with sufficiently compatible capabilities by matching against user or site defined Hanging Protocols. This includes supporting automatic hanging when the user reads from different locations, or on different but similar workstation types.

How relevant image sets (e.g., from the current and prior studies) are obtained is not defined by the Hanging Protocol IOD or Service Classes.

Conformance with the DICOM Grayscale Standard Display Function and the DICOM Softcopy Presentation States in conjunction with the Hanging Protocol IOD allows the complete picture of what the users see, and how they interact with it, to be defined, stored and reproduced as similarly as possible, independent of workstation type. Further, it is anticipated that implementers will make it easy for users to point to a graphical representation of what they want (such as 4x1 versus 12x1 format with a horizontal alternator scroll mechanism) and select it.

V.1 Example Scenario

User A sits down at workstation X, with two 1024x1280 resolution screens (Figure V.1-1) that recently has been installed and hence has no user specific Hanging Protocols defined. The user brings up the list of studies to be read and selects the first study, a chest CT, together with the relevant prior studies. The workstation queries the Hanging Protocol Query SCP for instances of the Hanging Protocol Storage SOP Class. It finds none for this specific user, but matches a site specific Hanging Protocol Instance, which was set up when the workstation was installed at the site. It applies the site Hanging Protocol Instance, and the user reads the current study in comparison to the prior studies.

The user decides to customize the viewing style, and uses the viewing application to define what type of Hanging Protocol is preferred (layout style, interaction style) by pointing and clicking on graphical representations of the choices. The user chooses a 3-column by 4-row tiled presentation with a "vertical alternator" interaction, and a default scroll amount of one row of images. The user places the current study on the left screen, and the prior study on the right screen. The user requests the application to save this Hanging Protocol, which causes the new Hanging Protocol Instance to be stored to the Hanging Protocol Storage SCP.

When the same user comes back the next day to read chest CT studies at workstation X and a study is selected, the application queries the Hanging Protocol Query SCP to determine which Hanging Protocol Instances best match the scenario of this user on this workstation for this study. The best match returned by the SCP in response to the query is with the user ID matching his user ID, the study type matched to the study type(s) of the image set selected for viewing, and the screen types matching the workstation in use.

A list of matches is produced, with the Hanging Protocol Instance that the user defined yesterday for chest CT matching the best, and the current CT study is automatically displayed on the left screen with that Hanging Protocol. Alternative next best matches are available to the user via the application interface's pull-down menu list of all closely matching Hanging Protocol Instances.

Because this Hanging Protocol defines an additional image set, the prior year's chest CT study for the same patient is displayed next to the current study, on the right screen.

The next week, the same user reads chest CTs at a different site in the same enterprise on a similar type workstation, workstation Y, from a different vendor. The workstation has a single 2048x2560 screen (Figure V.1-1). This workstation queries the Hanging Protocol Query SCP, and retrieves matching Hanging Protocol Instances, choosing as the best match the Hanging Protocol Instance used on workstation X before by user A. This Hanging Protocol is automatically applied to display the chest CT study. The current
chest CT study is displayed on the left half of the 2048x2560 screen, and the prior chest CT study is displayed on the right half of the screen, with 3 columns and 8 rows each, maintaining the same vertical alternator layout. The sequence of communications between the workstations and the SCP is depicted in Figure V.1-2.

Figure V.1-1. Spatial layout of screens for workstations in Example Scenario

![Diagram of spatial layout of screens for workstations in Example Scenario]

Figure V.1-2. Sequence diagram for Example Scenario

V.2 Hanging Protocol Internal Process Model

The overall process flow of Hanging Protocols can be seen in Figure V.2-1, and consists of three main steps: selection, processing, and layout. The selection is defined in the Hanging Protocol Definition Module. The processing and layout are defined in the Hanging Protocol Display Module. The first process step, the selection of sets of images that need to be available from DICOM…
image objects, is defined by the Image Sets Sequence of the **Hanging Protocol Definition Module**. This is a N:M mapping, with multiple image sets potentially drawing from the same image objects.

The second part of the process flow consists of the filtering, reformatting, sorting, and presentation intent operations that map the Image Sets into their final form, the Display Sets. This is defined in the **Hanging Protocol Display Module**. This is a 1:M relationship, as multiple Display Sets may draw their images from the same Image Set. The filtering operation allows for selecting a subset of the Image Set and is defined by the Hanging Protocol Display Module Filter Operations Sequence. Reformatting allows operations such as multiplanar reformatting to resample images from a volume (Reformatting Operation Type, Reformatting Thickness, Reformatting Interval, Reformatting Operation Initial View Direction, 3D Rendering Type). The Hanging Protocol Display Module Sorting Operations Sequence allows for ordering of the images. Default presentation intent (a subset of the Presentation State operations such as intensity window default setting) is defined by the Hanging Protocol Display Module presentation intent attributes. The Display Sets are containers holding the final sets of images after all operations have occurred. These sets contain the images ready for rendering to locations on the screen(s).

The rendering of a Display Set to the screen is determined by the layout information in the Image Boxes Sequence within a Display Sets Sequence Item in the Hanging Protocol Display Module. A Display Set is mapped to a single Image Boxes Sequence. This is generally a single Image Box (rectangular area on screen), but may be an ordered set of image boxes. The mapping to an ordered set of image boxes is a special case to allow the images to flow in an ordered sequence through multiple locations on the screen (e.g., newspaper columns). Display Environment Spatial Position specifies rectangular locations on the screen where the images from the Display Sets will be rendered. The type of interaction to be used is defined by the Image Boxes Sequence Item attributes. A vertically scrolling alternator could be specified by having Image Box Layout Type equal TILED and Image Box Scroll Direction equal VERTICAL.

An example of this processing is shown in Figure V.2-2. The figure is based on the Neurosurgery Planning Hanging Protocol Example contained in this Annex, and corresponds to the display sets for Display Set Presentation Group #1 (CT only display of current CT study).

![Figure V.2-1. Hanging Protocol Internal Process Model](image)
**Figure V.2-2. Example Process Flow**

**V.3 Chest X-Ray Hanging Protocol Example**

Goal: A Hanging Protocol for Chest X-ray, PA & Lateral (LL, RL) views, current & prior, with the following layout:

**Figure V.3-1. Chest X-Ray Hanging Protocol Example**

The Hanging Protocol Definition does not specify a specific modality, but rather a specific anatomy (Chest). The Image Sets Sequence provides more detail, in that it specifies the modalities in addition to the anatomy for each image set.

**V.3.1 Hanging Protocol Definition Module**

- Hanging Protocol Name: "Chest X-ray"
- Hanging Protocol Description: "Current and Prior Chest PA and Lateral"
- Hanging Protocol Level: "SITE"
- Hanging Protocol Creator: "Senior Radiologist"
- Hanging Protocol Creation DateTime: "20020823133455"
- Hanging Protocol Definition Sequence:
- Item 1:
  - Anatomic Region Sequence:
    - Item 1: (T-D3000, SRT, "Chest")
  - Laterality: zero length
  - Procedure Code Sequence: zero length
  - Reason for Requested Procedure Code Sequence: zero length
  - Number of Priors Referenced: 1
  - Image Sets Sequence:
    - Item 1:
      - Image Set Selector Sequence:
        - Item 1:
          - Image Set Selector Usage Flag: "NO_MATCH"
          - Selector Attribute: (0008,2218) [Anatomic Region Sequence]
          - Selector Attribute VR: "SQ"
          - Selector Code Sequence Value:
            - Item 1: (T-D3000, SRT, "Chest")
            - Selector Value Number: 1
        - Item 2:
          - Image Set Selector Usage Flag: "NO_MATCH"
          - Selector Attribute: (0008,0060) [Modality]
          - Selector Attribute VR: "CS"
          - Selector CS Value: "CR\DX"
          - Selector Value Number: 1
    - Time Based Image Sets Sequence:
      - Item 1:
        - Image Set Number: 1
        - Image Set Selector Category: "RELATIVE_TIME"
        - Relative Time: 0:0
        - Relative Time Units: "MINUTES"
        - Image Set Label: "Current Chest X-ray"
      - Item 2:
        - Image Set Number: 2
        - Image Set Selector Category: "ABSTRACT_PRIOR"
• Abstract Prior Value: 1\1
• Image Set Label: "Prior Chest X-ray"

• Hanging Protocol User Identification Code Sequence: zero length
• Hanging Protocol User Group Name: "ABC Hospital"

V.3.2 Hanging Protocol Environment Module

• Number of Screens: 2

• Nominal Screen Definition Sequence:
  • Item 1:
    • Number of Vertical Pixels: 2560
    • Number of Horizontal Pixels: 2048
    • Display Environment Spatial Position: 0.0\1.0\0.5\0.0, representing (0,1), (0.5,0)
    • Screen Minimum Grayscale Bit Depth: 8
    • Application Maximum Repaint Time: 100
  • Item 2:
    • Number of Vertical Pixels: 2560
    • Number of Horizontal Pixels: 2048
    • Display Environment Spatial Position: 0.5\1.0\1.0\0.0, representing (0.5,1), (1,0)
    • Screen Minimum Grayscale Bit Depth: 8
    • Application Maximum Repaint Time: 100

V.3.3 Hanging Protocol Display Module

• Display Sets Sequence:
  • Item 1:
    • Display Set Number: 1
    • Display Set Presentation Group: 1
    • Image Set Number: 2
    • Image Boxes Sequence:
      • Item 1:
        • Image Box Number: 1
        • Display Environment Spatial Position: 0.0\1.0\0.25\0.0, representing (0,1), (0.25,0)
        • Image Box Layout Type: "SINGLE"
      • Filter Operations Sequence:
        • o Item 1:
• Selector Attribute: (0018,5101) [View Position]
  • Selector Attribute VR: "CS"
  • Selector CS Value: "RL\LL"
  • Selector Value Number: 1
  • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence: zero length
• Display Set Patient Orientation: "A\F"
• Show Image True Size Flag: "NO"
• Show Graphic Annotation Flag: "NO"

Item 2:
• Display Set Number: 2
• Display Set Presentation Group: 1
• Image Set Number: 2
• Image Boxes Sequence:
  • Item 1:
    • Image Box Number: 1
    • Display Environment Spatial Position: 0.25\1.0\0.5\0.0, representing (0.25,1), (0.5,0)
    • Image Box Layout Type: "SINGLE"

• Filter Operations Sequence:
  • Item 1:
    • Selector Attribute: (0018,5101) [View Position]
    • Selector Attribute VR: "CS"
    • Selector CS Value: "PA"
    • Selector Value Number: 1
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence: zero length
• Display Set Patient Orientation: "R\F"
• Show Image True Size Flag: "NO"
• Show Graphic Annotation Flag: "NO"

Item 3:
• Display Set Number: 3
• Display Set Presentation Group: 1
• Image Set Number: 1
• Image Boxes Sequence:
  • Item 1:
    • Image Box Number: 1
    • Display Environment Spatial Position: 0.5\1.0\0.75\1.0, representing (0.5,1), (0.75,0)
    • Image Box Layout Type: "SINGLE"

• Filter Operations Sequence:
  • Item 1:
    • Selector Attribute: (0018,5101) [View Position]
    • Selector Attribute VR: "CS"
    • Selector CS Value: "PA"
    • Selector Value Number: 1
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence: zero length
  • Display Set Patient Orientation: "R\F"
  • Show Image True Size Flag: "NO"
  • Show Graphic Annotation Flag: "NO"

• Item 4:
  • Display Set Number: 4
  • Display Set Presentation Group: 1
  • Image Set Number: 1

• Image Boxes Sequence:
  • Item 1:
    • Image Box Number: 1
    • Display Environment Spatial Position: 0.75\1.0\0.0\1.0, representing (0.75,1), (1,0)
    • Image Box Layout Type: "SINGLE"

• Filter Operations Sequence:
  • Item 1:
    • Selector Attribute: (0018,5101) [View Position]
    • Selector Attribute VR: "CS"
    • Selector CS Value: "RL\LL"
    • Selector Value Number: 1
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence: zero length

- Standard -
V.4 Neurosurgery Planning Hanging Protocol Example

Goal: A Hanging Protocol for MR & CT of Head, for a neurosurgery plan. 1Kx1K screen on left shows orthogonal MPR slices through the acquisition volume, and in one presentation group has a 3D interactive volume rendering in the lower right quadrant. In all display sets the 1Kx1K screen is split into 4 512x512 quadrants. The 2560x2048 screen has a 4 row by 3 column tiled display area. There are 4 temporal presentation groups: CT\textsubscript{new}, MR, combined CT\textsubscript{new} and MR, combined CT\textsubscript{new} and CT\textsubscript{old}.

Display Environment Spatial Position attribute values for image boxes are represented in terms of ratios in pixel space [(0/3072, 512/2560), (512/3072,0/2560)] rather than (0.0,0.0), (1.0,1.0) space, for ease of understanding the example.
Figure V.4-1. Neurosurgery Planning Hanging Protocol Example

V.4.1 Hanging Protocol Definition Module

- Hanging Protocol Name: "NeurosurgeryPlan"
- Hanging Protocol Description: "Neurosurgery planning, requiring MR and CT of head"
- Hanging Protocol Level: "SITE"
- Hanging Protocol Creator: "Smith^Joseph"
- Hanging Protocol Creation DateTime: "20020101104200"
- Hanging Protocol Definition Sequence:
• Item 1:
  • Modality: "MR"
  • Anatomic Region Sequence:
    • Item 1: (T-D1100, SRT, "Head")
  • Laterality: zero length
  • Procedure Code Sequence:
    • Item 1: (98765, 99Local, 1.5, "NeuroSurgery Plan Local5")
  • Reason for Requested Procedure Code Sequence:
    • Item 1: (I67.1, I10, "Cerebral aneurysm")

• Item 2:
  • Modality: "CT"
  • Anatomic Region Sequence:
    • Item 1: (T-D1100, SRT, "Head")
  • Laterality: zero length
  • Procedure Code Sequence:
    • Item 1: (98765, 99Local, 1.5, "NeuroSurgery Plan Local5")
  • Reason for Requested Procedure Code Sequence:
    • Item 1: (I67.1, I10, "Cerebral aneurysm")

• Number of Priors Referenced: 1

• Image Sets Sequence:
  • Item 1:
    • Image Set Selector Sequence:
      • Item 1:
        • Image Set Selector Usage Flag: "NO_MATCH"
        • Selector Attribute: (0018,0015) [Body Part Examined]
        • Selector Attribute VR: "CS"
        • Selector CS Value: "HEAD"
        • Selector Value Number: 1
      • Item 2:
        • Image Set Selector Usage Flag: "NO_MATCH"
        • Selector Attribute: (0008,0060) [Modality]
        • Selector Attribute VR: "CS"
        • Selector CS Value: "MR"
• Selector Value Number: 1

• Time Based Image Sets Sequence:
  • o Item 1:
    • Image Set Number: 1
    • Image Set Selector Category: "RELATIVE_TIME"
    • Relative Time: 0:0
    • Relative Time Units: "MINUTES"
    • Image Set Label: "Current MR Head"
  • Item 2:
    • Image Set Selector Sequence:
      • Item 1:
        • Image Set Selector Usage Flag: "NO_MATCH"
        • Selector Attribute: (0018,0015) [Body Part Examined]
        • Selector Attribute VR: "CS"
        • Selector CS Value: "HEAD"
        • Selector Value Number: 1
      • o Item 2:
        • Image Set Selector Usage Flag: "NO_MATCH"
        • Selector Attribute: (0008,0060) [Modality]
        • Selector Attribute VR: "CS"
        • Selector CS Value: "CT"
        • Selector Value Number: 1
    • Time Based Image Sets Sequence:
      • Item 1:
        • Image Set Number: 2
        • Image Set Selector Category: "RELATIVE_TIME"
        • Relative Time: 0:0
        • Relative Time Units: "MINUTES"
        • Image Set Label: "Current CT Head"
      • Item 2:
        • Image Set Number: 3
        • Image Set Selector Category: "ABSTRACT_PRIOR"
        • Abstract Prior Value: 1\1

- Standard -
V.4.2 Hanging Protocol Environment Module

- Number of Screens: 2
- Nominal Screen Definition Sequence:
  - Item 1:
    - Number of Vertical Pixels: 1024
    - Number of Horizontal Pixels: 1024
    - Display Environment Spatial Position: 0.0\0.28\0.33\0.0, representing (0.0, 0.28), (0.33, 0.0)
    - Screen Minimum Color Bit Depth: 8
    - Application Maximum Repaint Time: 70
  - Item 2:
    - Number of Vertical Pixels: 2560
    - Number of Horizontal Pixels: 2048
    - Display Environment Spatial Position 0.33\1.0\1.0\0.0, representing (0.33, 1.0), (1.0, 0.0)
    - Screen Minimum Grayscale Bit Depth: 8
    - Application Maximum Repaint Time: 10

V.4.3 Hanging Protocol Display Module

- Display Sets Sequence:

![Diagram of Display Sets]

**Figure V.4.3-1. Group #1 is CT only display (current CT)**

- Item 1:
  - Display Set Number: 1
• Display Set Presentation Group: 1
• Image Set Number: 2

• Image Boxes Sequence:
  • Item 1: [lower left quadrant of 1024x1024]
    • Image Box Number: 1
    • Display Environment Spatial Position: (0/3072, 512/2560), (512/3072, 0/2560)
    • Image Box Layout Type: "STACK"

• Filter Operations Sequence:
  • Item 1:
    • Filter-by Category: "IMAGE_PLANE"
    • Selector Attribute VR: "CS"
    • Selector CS Value: "TRANSVERSE"
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence:
  • Item 1:
    • Sort-by Category: "ALONG_AXIS"
    • Sorting Direction: "INCREASING"

• Reformatting Operation Type: "MPR"
• Reformatting Thickness: 5
• Reformatting Interval: 5
• Reformatting Operation Initial View Direction: "CORONAL"
• Display Set Patient Orientation: "L\F"
• VOI Type: BRAIN
• Display Set Presentation Group Description: "Current CT only"

• Item 2:
  • Display Set Number: 2
  • Display Set Presentation Group: 1
  • Image Set Number: 2

• Image Boxes Sequence:
  • Item 1: [upper left quadrant of 1024x1024]
    • Image Box Number: 1
    • Display Environment Spatial Position: (0/3072, 1024/2560), (512/3072, 512/2560)
    • Image Box Layout Type: "STACK"
• Filter Operations Sequence:
  • Item 1:
    • Filter-by Category: "IMAGE_PLANE"
    • Selector Attribute VR: "CS"
    • Selector CS Value: "TRANSVERSE"
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence:
  • Item 1:
    • Sort-by Category: "ALONG_AXIS"
    • Sorting Direction: "INCREASING"

• Reformatting Operation Type: "MPR"
• Reformatting Thickness: 5
• Reformatting Interval: 5
• Reformatting Operation Initial View Direction: "SAGITTAL"
• Display Set Patient Orientation: "P\F"
• VOI Type: BRAIN

• Item 3:
  • Display Set Number: 3
  • Display Set Presentation Group: 1
  • Image Set Number: 2

• Image Boxes Sequence:
  • Item 1: [upper right quadrant of 1024x1024]
    • Image Box Number: 1
    • Display Environment Spatial Position: (512/3072, 1024/2560), (1024/3072, 512/2560)
    • Image Box Layout Type: "STACK"

• Filter Operations Sequence:
  • Item 1:
    • Filter-by Category: "IMAGE_PLANE"
    • Selector Attribute VR: "CS"
    • Selector CS Value: "TRANSVERSE"
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence:
  • Item 1:
- Standard -
Figure V.4.3-2. Group #2 is MR only display
• Item 6:
  • Display Set Number: 6
  • Display Set Presentation Group: 2
  • Image Set Number: 1
  • Image Boxes Sequence:
    • Item 1: [lower left quadrant of 1024x1024]
      • Image Box Number: 1
      • Display Environment Spatial Position: (0/3072, 512/2560), (512/3072, 0/2560)
      • Image Box Layout Type: “STACK”
  • Filter Operations Sequence:
    • Item 1:
      • Filter-by Category: "IMAGE_PLANE"
      • Selector Attribute VR: "CS"
      • Selector CS Value: "TRANSVERSE"
      • Filter-by Operator: "MEMBER_OF"
  • Sorting Operations Sequence:
    • Item 1:
      • Sort-by Category: "ALONG_AXIS"
      • Sorting Direction: "INCREASING"
    • Reformatting Operation Type: "MPR"
    • Reformatting Thickness: 5
    • Reformatting Interval: 5
    • Reformatting Operation Initial View Direction: "CORONAL"
    • Display Set Patient Orientation: "P\F"
    • Display Set Presentation Group Description: "MR only"

• Item 7:
  • Display Set Number: 7
  • Display Set Presentation Group: 2
  • Image Set Number: 1
  • Image Boxes Sequence:
    • Item 1: [upper left quadrant of 1024x1024]
      • Image Box Number: 1
      • Display Environment Spatial Position: (0/3072, 1024/2560), (512/3072, 512/2560)
• Image Box Layout Type: "STACK"

• Filter Operations Sequence:
  • Item 1:
    • Filter-by Category: "IMAGE_PLANE"
    • Selector Attribute VR: "CS"
    • Selector CS Value: "TRANSVERSE"
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence:
  • Item 1:
    • Sort-by Category: "ALONG_AXIS"
    • Sorting Direction: "INCREASING"

• Reformatting Operation Type: "MPR"
• Reformatting Thickness: 5
• Reformatting Interval: 5
• Reformatting Operation Initial View Direction: "SAGITTAL"
• Display Set Patient Orientation: "PIF"

• Item 8:
  • Display Set Number: 8
  • Display Set Presentation Group: 2
  • Image Set Number: 1

• Image Boxes Sequence:
  • Item 1: [upper right quadrant of 1024x1024]
    • Image Box Number: 1
    • Display Environment Spatial Position: (512/3072, 1024/2560), (1024/3072, 512/2560)
    • Image Box Layout Type: "STACK"

• Filter Operations Sequence:
  • Item 1:
    • Filter-by Category: "IMAGE_PLANE"
    • Selector Attribute VR: "CS"
    • Selector CS Value: "TRANSVERSE"
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence:
  • Item 1:
• Sort-by Category: "ALONG_AXIS"

• Sorting Direction: "INCREASING"

• Display Set Patient Orientation: "L\P"

• Item 9:
  • Display Set Number: 9
  • Display Set Presentation Group: 2
  • Image Set Number: 1
  • Image Boxes Sequence:
    • Item 1: [lower right quadrant of 1024x1024]
      • Image Box Number: 1
      • Display Environment Spatial Position: (512/3072, 512/2560), (1024/3072, 0/2560)
      • Image Box Layout Type: "PROCESSED"
  • Filter Operations Sequence: zero length
  • Sorting Operations Sequence: zero length
  • Reformatting Operation Type: "3D_RENDERING"
  • Reformatting Operation Initial View Direction: "CORONAL"
  • 3D Rendering Type: "VOLUME"
  • Display Set Patient Orientation: "X\F"

• Item 10:
  • Display Set Number: 10
  • Display Set Presentation Group: 2
  • Image Set Number: 1
  • Image Boxes Sequence:
    • Item 1: [entire 2048x2560 space]
      • Image Box Number: 1
      • Display Environment Spatial Position: (1024/3072, 2560/2560), (3072/3072, 0/2560)
      • Image Box Layout Type: "TILED"
      • Image Box Tile Horizontal Dimension: 3
      • Image Box Tile Vertical Dimension: 4
      • Image Box Scroll Direction: "VERTICAL"
      • Image Box Small Scroll Type: "ROW_COLUMN"
      • Image Box Small Scroll Amount: 1
      • Image Box Large Scroll Type: "PAGE"
• Image Box Large Scroll Amount: 1

• Filter Operations Sequence:
  • Item 1:
    • Filter-by Category: "IMAGE_PLANE"
    • Selector Attribute VR: "CS"
    • Selector CS Value: "TRANSVERSE"
    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence:
  • Item 1:
    • Sort-by Category: "ALONG_AXIS"
    • Sorting Direction: "INCREASING"
  • Display Set Patient Orientation: "L\P"

Figure V.4.3-3. Group #3 is combined MR & CT

• Item 11: [MR coronal]
  • Display Set Number: 11
  • Display Set Presentation Group: 3
  • Image Set Number: 1

• Image Boxes Sequence:
  • Item 1: [lower left quadrant of 1024x1024]
    • Image Box Number: 1
    • Display Environment Spatial Position: (0/3072, 512/2560), (512/3072,0/2560)
    • Image Box Layout Type: "STACK"

• Filter Operations Sequence:
  • Item 1:
- Filter-by Category: "IMAGE_PLANE"
  - Selector Attribute VR: "CS"
  - Selector CS Value: "TRANSVERSE"
  - Filter-by Operator: "MEMBER_OF"

- Sorting Operations Sequence:
  - Item 1:
    - Sort-by Category: "ALONG_AXIS"
    - Sorting Direction: "INCREASING"

- Reformatting Operation Type: "MPR"
- Reformatting Thickness: 5
- Reformatting Interval: 5
- Reformatting Operation Initial View Direction: "CORONAL"

- Display Set Patient Orientation: "L\F"
- Show Graphic Annotation Flag: "NO"
- Display Set Presentation Group Description: "MR & CT combined"

- Item 12: [CT coronal]
  - Display Set Number: 12
  - Display Set Presentation Group: 3
  - Image Set Number: 2

- Image Boxes Sequence:
  - Item 1: [upper left quadrant of 1024x1024]
    - Image Box Number: 1
    - Display Environment Spatial Position: (0/3072, 1024/2560), (512/3072, 512/2560)
    - Image Box Layout Type: "STACK"

- Filter Operations Sequence:
  - Item 1:
    - Filter-by Category: "IMAGE_PLANE"
    - Selector Attribute VR: "CS"
    - Selector CS Value: "TRANSVERSE"
    - Filter-by Operator: "MEMBER_OF"

- Sorting Operations Sequence:
  - Item 1:
    - Sort-by Category: "ALONG_AXIS"
• Sorting Direction: "INCREASING"

• Reformatting Operation Type: "MPR"

• Reformatting Thickness: 5

• Reformatting Interval: 5

• Reformatting Operation Initial View Direction: "CORONAL"

• Display Set Patient Orientation: "L\F"

• VOI Type: BRAIN

• Show Graphic Annotation Flag: "NO"

• Item 13: [CT transverse]

  • Display Set Number: 13

  • Display Set Presentation Group: 3

  • Image Set Number: 2

• Image Boxes Sequence:

  • Item 1: [upper right quadrant of 1024x1024]

    • Image Box Number: 1

    • Display Environment Spatial Position: (512/3072, 1024/2560), (1024/3072, 512/2560)

    • Image Box Layout Type: "STACK"

• Filter Operations Sequence:

  • Item 1:

    • Filter-by Category: "IMAGE_PLANE"

    • Selector Attribute VR: "CS"

    • Selector CS Value: "TRANSVERSE"

    • Filter-by Operator: "MEMBER_OF"

• Sorting Operations Sequence:

  • Item 1:

    • Sort-by Category: "ALONG_AXIS"

    • Sorting Direction: "INCREASING"

    • Display Set Patient Orientation: "L\P"

    • VOI Type: BRAIN

    • Show Graphic Annotation Flag: "YES"

• Item 14: [MR transverse]

  • Display Set Number: 14

  • Display Set Presentation Group: 3
- Standard -
- Standard -
- Standard -

- Standard -
Figure V.4.3-4. Group #4 is combined CT new & CT old

- Item 17: [CT old coronal]
  - Display Set Number: 17
  - Display Set Presentation Group: 4
  - Image Set Number: 3
  - Image Boxes Sequence:
    - Item 1: [lower left quadrant of 1024x1024]
      - Image Box Number: 1
      - Display Environment Spatial Position: (0/3072, 512/2560), (512/3072,0/2560)
      - Image Box Layout Type: "STACK"
  - Filter Operations Sequence:
    - Item 1:
      - Filter-by Category: "IMAGE_PLANE"
      - Selector Attribute VR: "CS"
      - Selector CS Value: "TRANSVERSE"
      - Filter-by Operator: "MEMBER_OF"
  - Sorting Operations Sequence:
    - Item 1:
      - Sort-by Category: "ALONG_AXIS"
      - Sorting Direction: "INCREASING"
      - Reformatting Operation Type: "MPR"
      - Reformatting Thickness: 5
      - Reformatting Interval: 5
      - Reformatting Operation Initial View Direction: "CORONAL"
- Display Set Patient Orientation: "L\F"
- VOI Type: BRAIN
- Display Set Presentation Group Description: "CT old & CT new combined"

- Item 18: [CT new coronal]
  - Display Set Number: 18
  - Display Set Presentation Group: 4
  - Image Set Number: 2
  - Image Boxes Sequence:
    - Item 1: [upper left quadrant of 1024x1024]
      - Image Box Number: 1
      - Display Environment Spatial Position: (0/3072, 1024/2560), (512/3072, 512/2560)
      - Image Box Layout Type: "STACK"
  - Filter Operations Sequence:
    - Item 1:
      - Filter-by Category: "IMAGE_PLANE"
      - Selector Attribute VR: "CS"
      - Selector CS Value: "TRANSVERSE"
      - Filter-by Operator: "MEMBER_OF"
  - Sorting Operations Sequence:
    - Item 1:
      - Sort-by Category: "ALONG_AXIS"
      - Sorting Direction: "INCREASING"
  - Reformatting Operation Type: "MPR"
  - Reformatting Thickness: 5
  - Reformatting Interval: 5
  - Reformatting Operation Initial View Direction: "CORONAL"
- Display Set Patient Orientation: "L\F"
- VOI Type: BRAIN
- Item 19: [CT new transverse]
  - Display Set Number: 19
  - Display Set Presentation Group: 4
  - Image Set Number: 2
  - Image Boxes Sequence:
- Standard -
Item 1:
  - Sort-by Category: "ALONG_AXIS"
  - Sorting Direction: "INCREASING"
  - Display Set Patient Orientation: "L\P"
  - VOI Type: BRAIN
  - Show Graphic Annotation Flag: "YES"

Item 21: [CT new two part scrolled, rows 1 & 3]
  - Display Set Number: 21
  - Display Set Presentation Group: 4
  - Image Set Number: 2
  - Image Boxes Sequence:
    - Item 1: [row 1 (top row) of 2048x2560 space]
      - Image Box Number: 1
      - Display Environment Spatial Position: (1024/3072, 2048/2560), (3072/3072, 1536/2560)
      - Image Box Layout Type: "TILED"
      - Image Box Tile Horizontal Dimension: 3
      - Image Box Tile Vertical Dimension: 1
      - Image Box Scroll Direction: "HORIZONTAL"
      - Image Box Small Scroll Type: "IMAGE"
      - Image Box Small Scroll Amount: 1
      - Image Box Large Scroll Type: "ROW_COLUMN"
      - Image Box Large Scroll Amount: 1
    - Item 2: [row 3 of 2048x2560 space]
      - Image Box Number: 2
      - Display Environment Spatial Position: (1024/3072, 1024/2560), (3072/3072, 512/2560)
      - Image Box Layout Type: "TILED"
      - Image Box Tile Horizontal Dimension: 3
      - Image Box Tile Vertical Dimension: 1
      - Image Box Scroll Direction: "HORIZONTAL"
      - Image Box Small Scroll Type: "IMAGE"
      - Image Box Small Scroll Amount: 1
      - Image Box Large Scroll Type: "ROW_COLUMN"
      - Image Box Large Scroll Amount: 1
Filter Operations Sequence:
  - Item 1:
    - Filter-by Category: "IMAGE_PLANE"
    - Selector Attribute VR: "CS"
    - Selector CS Value: "TRANSVERSE"
    - Filter-by Operator: "MEMBER_OF"

Sorting Operations Sequence:
  - Item 1:
    - Sort-by Category: "ALONG_AXIS"
    - Sorting Direction: "INCREASING"
  - Display Set Patient Orientation: "L\P"
  - VOI Type: BRAIN
  - Show Graphic Annotation Flag: "YES"

- Item 22: [CT old two part scrolled, rows 2 & 4]
  - Display Set Number: 22
  - Display Set Presentation Group: 4
  - Image Set Number: 3

Image Boxes Sequence:
  - Item 1: [row 2 of 2048x2560 space]
    - Image Box Number: 1
    - Display Environment Spatial Position: (1024/3072, 1536/2560), (3072/3072, 1024/2560)
    - Image Box Layout Type: "TILED"
    - Image Box Tile Horizontal Dimension: 3
    - Image Box Tile Vertical Dimension: 1
    - Image Box Scroll Direction: "HORIZONTAL"
    - Image Box Small Scroll Type: "IMAGE"
    - Image Box Small Scroll Amount: 1
    - Image Box Large Scroll Type: "ROW_COLUMN"
    - Image Box Large Scroll Amount: 1
  - Item 2: [row 4 (bottom row) of 2048x2560 space]
    - Image Box Number: 2
    - Display Environment Spatial Position: (1024/3072, 512/2560), (3072/3072, 0/2560)
    - Image Box Layout Type: "TILED"
V.5 Hanging Protocol Query Example

The following is an example of a general C-FIND Request for the Hanging Protocol Information Model - FIND SOP Class that is searching for all Chest related Hanging Protocols for the purpose of reading projection Chest X-ray. The user is at a workstation that has two 2Kx2.5K screens.

C-FIND Request:

<table>
<thead>
<tr>
<th>Nesting</th>
<th>Attribute</th>
<th>Tag</th>
<th>VR</th>
<th>VL (hex)</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Affected SOP Class UID</td>
<td>(0000,0002)</td>
<td>UI</td>
<td>0018</td>
<td>1.2.840.10008.5.1.4.38.2</td>
<td></td>
</tr>
</tbody>
</table>
The following is an example of a set of C-FIND Responses for the Hanging Protocol Information Model - FIND SOP Class, answering the C-FIND Request listed above. There are a few matches for this general query. The application needs to select the best choice among the matches, which is the second response. The first response is for Chest CT, and the third response does not match the user's workstation environment as well as does the second.

C-FIND Response #1:

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<tr>
<th>Nesting</th>
<th>Attribute</th>
<th>Tag</th>
<th>VR</th>
<th>VL (hex)</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Affected SOP Class UID</td>
<td>(0000,0002)</td>
<td>UI</td>
<td>0018</td>
<td>1.2.840.10008.5.1.4.38.2</td>
</tr>
<tr>
<td></td>
<td>Command Field</td>
<td>(0000,0100)</td>
<td>US</td>
<td>0002</td>
<td>8020H [C-FIND-RSP]</td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Nesting</th>
<th>Attribute</th>
<th>Tag</th>
<th>VR</th>
<th>VL (hex)</th>
<th>Value</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Message ID Being Responded To</td>
<td>(0000,0120)</td>
<td>US</td>
<td>0002</td>
<td>0010H</td>
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<tr>
<td></td>
<td>Data Set Type</td>
<td>(0000,0800)</td>
<td>US</td>
<td>0002</td>
<td>0102H</td>
</tr>
<tr>
<td></td>
<td>Status</td>
<td>(0000,0900)</td>
<td>US</td>
<td>0002</td>
<td>FF00H [Pending]</td>
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<tr>
<td></td>
<td>SOP Class UID</td>
<td>(0008,0016)</td>
<td>UI</td>
<td>0018</td>
<td>1.2.840.10008.5.1.4.38.1</td>
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<td></td>
<td>SOP Instance UID</td>
<td>(0008,0018)</td>
<td>UI</td>
<td>0024</td>
<td>1.2.840.10008.5.1.4.1.1.76392.999.2</td>
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<tr>
<td></td>
<td>Hanging Protocol Name</td>
<td>(0072,0002)</td>
<td>SH</td>
<td>000a</td>
<td>CT 1 prior</td>
</tr>
<tr>
<td></td>
<td>Hanging Protocol Description</td>
<td>(0072,0004)</td>
<td>LO</td>
<td>0038</td>
<td>Dual screen layout for current and single prior chest CT</td>
</tr>
<tr>
<td></td>
<td>Hanging Protocol Level</td>
<td>(0072,0006)</td>
<td>CS</td>
<td>000c</td>
<td>SINGLE_USER</td>
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<tr>
<td></td>
<td>Hanging Protocol Creator</td>
<td>(0072,0008)</td>
<td>LO</td>
<td>0008</td>
<td>Dr. Chan</td>
</tr>
<tr>
<td></td>
<td>Hanging Protocol Creation DateTime</td>
<td>(0072,000A)</td>
<td>DT</td>
<td>000c</td>
<td>200408210718</td>
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<td></td>
<td>Hanging Protocol Definition Sequence</td>
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<td>SQ</td>
<td>ffffff</td>
<td></td>
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<tr>
<td>&gt;</td>
<td>Modality</td>
<td>(0008,0060)</td>
<td>CS</td>
<td>0002</td>
<td>CT</td>
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<td>&gt;</td>
<td>Anatomic Region Sequence</td>
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<td>SQ</td>
<td>ffffff</td>
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<td>&gt;&gt;</td>
<td>Code Value</td>
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<td>SH</td>
<td>0008</td>
<td>T-D3000</td>
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<tr>
<td>&gt;&gt;</td>
<td>Coding Scheme Designator</td>
<td>(0008,0102)</td>
<td>SH</td>
<td>0004</td>
<td>SRT</td>
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<tr>
<td>&gt;&gt;</td>
<td>Code Meaning</td>
<td>(0008,0104)</td>
<td>LO</td>
<td>0006</td>
<td>Chest</td>
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<tr>
<td>%enditem</td>
<td>Procedure Code Sequence</td>
<td>(0008,1032)</td>
<td>SQ</td>
<td>0000</td>
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<td>Laterality</td>
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<td>CS</td>
<td>0000</td>
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<td>SQ</td>
<td>0000</td>
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<td>%enditem</td>
<td>Hanging Protocol User Identification Code Sequence</td>
<td>(0072,000E)</td>
<td>SQ</td>
<td>0000</td>
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<td>Code Value</td>
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<td>SH</td>
<td>000a</td>
<td>58489749P</td>
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<td>&gt;</td>
<td>Coding Scheme Designator</td>
<td>(0008,0102)</td>
<td>SH</td>
<td>0008</td>
<td>HOSP_ID</td>
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<tr>
<td>&gt;</td>
<td>Code Meaning</td>
<td>(0008,0104)</td>
<td>LO</td>
<td>000e</td>
<td>Susan H. Chan</td>
</tr>
<tr>
<td>%enditem</td>
<td>Number of Priors Referenced</td>
<td>(0072,0014)</td>
<td>US</td>
<td>0002</td>
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<td>%enditem</td>
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<td>0002</td>
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<td>%enditem</td>
<td>Nominal Screen Definition Sequence</td>
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<td>SQ</td>
<td>0000</td>
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C-FIND Response #2:
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<th>VR</th>
<th>VL (hex)</th>
<th>Value</th>
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<tbody>
<tr>
<td></td>
<td>Affected SOP Class UID</td>
<td>(0000,0002)</td>
<td>UI</td>
<td>0018</td>
<td>1.2.840.10008.5.1.4.38.2</td>
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<tr>
<td></td>
<td>Command Field</td>
<td>(0000,0100)</td>
<td>US</td>
<td>0002</td>
<td>8020H [C-FIND-RSP]</td>
</tr>
<tr>
<td>Message ID Being Responded To</td>
<td>(0000,0120)</td>
<td>US</td>
<td>0002</td>
<td>0010H</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Data Set Type</td>
<td>(0000,0800)</td>
<td>US</td>
<td>0002</td>
<td>0102H</td>
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<tr>
<td></td>
<td>Status</td>
<td>(0000,0900)</td>
<td>US</td>
<td>0002</td>
<td>FF00H [Pending]</td>
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<td>SOP Class UID</td>
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<td>0018</td>
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<td>0020</td>
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<td>Hanging Protocol Description</td>
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<td>0026</td>
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<td>SITE</td>
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<td>Hanging Protocol Creator</td>
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<td>LO</td>
<td>0012</td>
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<td>Senior Radiologist</td>
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<td>ffffffff</td>
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V.6 Display Set Patient Orientation Example

For Display Set Patient Orientation (0072,0700) with value "A/F", the application interpreting the Hanging Protocol will arrange sagittal images oriented with the patient's anterior toward the right side of the image box, and the patient's foot will be toward the bottom of the image box. An incoming sagittal MRI image as shown in Figure V.6-1 will require a horizontal flip before display in the image box.

![Image](image.png)

Figure V.6-1. Display Set Patient Orientation Example
Digital Signatures in Structured Reports

Use Cases (Informative)

The scenarios in which Digital Signatures would be used in DICOM Structured Reports include, but are not limited to the following.

Case 1: Human Signed Report and Automatically Signed Evidence.

a. The archive, after receiving an MPPS complete and determining that it has the complete set of objects created during an acquisition procedure step, creates a signed Key Object Selection Document Instance with secure references to all of the DICOM composite objects that constitute the exam. The Document would include a Digital Signature according to the Basic SR Digital Signatures Secure Use Profile with the Digital Signature Purpose Code Sequence (0400,0401) of (14, ASTM-sigpurpose, “Source Signature”). It would set the Key Object Selection Document Title of that Instance to (113035, DCM, “Signed Complete Acquisition Content”). Note that the objects that are referenced in the MPPS may or may not have Digital Signatures. By creating the Key Object Selection Document Instance, the archive can in effect add the equivalent of Digital Signatures to the set of objects.

b. A post-processing system generates additional evidence objects, such as measurements or CAD reports, referring to objects in the exam. This post-processing system may or may not include Digital Signatures in the evidence objects, and may or may not be included as secure references in a signed Key Object Selection Document.

c. Working at a reporting station, a report author gathers evidences from a variety of sources, including those referenced by the Key Object Selection Document Instance and the additional evidence objects generated by the post-processing system, and incorporates his or her own observations and conclusions into one or more reports.

d. It is desired that all evidence references from a DICOM SR be secure. The application creating the SR may either:

1. create secure references by copying a verified Digital Signature from the referenced object or by generating a MAC code directly from the referenced object,

2. make a secure reference to a signed Key Object Selection Document that in turn securely references the SOP Instances, or

3. copy the secure reference information from a trusted Key Object Selection Document to avoid the overhead of recalculating the MAC codes or revalidating the reference Digital Signatures.

e. When the author completes a DICOM SR, the system, using the author's X.509 Digital Signature Certificate generates a Digital Signature with the Digital Signature Purpose Code Sequence (0400,0401) of (1, ASTM-sigpurpose, "Author Signature") for the report.

f. The author's supervisor reviews the DICOM SR. If the supervisor approves of the report, the system sets the Verification Flag to "VERIFIED" and adds a Digital Signature with the Digital Signature Purpose Code Sequence (0400,0401) of (5, ASTM-sigpurpose, "Verification Signature") or (6, ASTM-sigpurpose, "Validation Signature") using the supervisor's X.509 certificate.

g. At some later time, someone who is reading the DICOM SR SOP Instance wishes to verify its authenticity. The system would verify that the Author Signature, as well as any Verification or Validation Signature present are intact (i.e., that the signed data has not been altered based on the recorded Digital Signatures, and that the X.509 Certificates were valid at the time that the report was created).

h. If the report reader wishes to inspect DICOM source materials referenced in a DICOM SR, the system can insure that the materials have not been altered since the report was written by verifying the Referenced Digital Signatures or the Referenced SOP Instance MAC that the report creator generated from the referenced materials.

Case 2: Cross Enterprise Document Exchange

a. An application sends by any means a set of DICOM composite objects to an entity outside of the institutional environment (e.g., for review by a third party).

b. The application creates a signed Key Object Selection Document Instance with a Key Object Selection Document Title of ((113031, DCM, "Signed Manifest")113031.DCM, "Signed Manifest") referencing the set of DICOM Data Objects that it sent outside the institutional environment, and sends that SR to the external entity as a shipping manifest.
c. The external entity may utilize the Key Object Selection SR SOP Instance to confirm that it received all of the referenced objects intact (i.e., without alterations). Because the signed Key Object Selection Instance must use secure references, it can verify that the objects have not been modified.
X Dictation-based Reporting With Image References (Informative)

This Annex describes a use of Key Object Selection (KO) and Grayscale Softcopy Presentation State (GSPS) SOP Instances, in conjunction with a typical dictation/transcription process for creating an imaging clinical report. The result is a clinical report as a Basic Text Structured Report (SR) SOP Instance that includes annotated image references (see Section X.2). This report may also (or alternatively) be encoded as an HL7 Clinical Document Architecture (CDA) document (see Section X.3).

Similar but more complex processes that include, for instance, numeric measurements and Enhanced or Comprehensive SR, are not addressed by this Annex. This Annex also does not specifically address the special issues associated with reporting across multiple studies (e.g., the "grouped procedures" case).

X.1 Basic Data Flows

X.1.1 Dictation/transcription Reporting

During the softcopy reading of an imaging study, the physician dictates the report, which is sent to a transcription service or is processed by a voice recognition system. The transcribed dictation arrives at the report management system (typically a RIS) by some mechanism not specified here. The report management system enables the reporting physician to correct, verify, and "sign" the transcribed report. See Figure X.1-1. This data flow applies to reports stored in a proprietary format, reports stored as DICOM Basic Text SR SOP Instances, or reports stored as HL7 CDA instances.

![Figure X.1-1. Dictation/Transcription Reporting Data Flow](image)

The report management system has flexibility in encoding the report title. For example, it could be any of the following:

- the generic title "Diagnostic Imaging Report",
- a report title associated with the department (e.g., "Radiology Report"),
- a report title associated with the imaging modality or procedure (e.g., "Ultrasound Report"), or
- a report title pre-coordinated with the modality and body part (e.g., "CT Chest Report").

There are LOINC codes associated with each of these types of titles, if a coded title is used on the report (see CID 7000 "Diagnostic Imaging Report Document Titles").

The transcribed dictation may be either a single text stream, or a series of text sections each with a title. Division of reports into a limited number of canonically named sections may be done by the transcriptionist, or automated division of typical free text reports may be possible with voice recognition or a natural language processing algorithm.

For an electronically stored report, the signing function may or may not involve a cryptographic digital signature; any such cryptographic signature is beyond the scope of this description.
X.1.2 Reporting With Image References

To augment the basic dictation/transcription reporting use case, it is desired to select significant images to be attached (by reference) to the report. During the softcopy reading, the physician may select images from those displayed on his workstation (e.g., by a point-and-click function through the user interface). The selection of images is conveyed to the image repository (PACS) through a DICOM Key Object Selection (KO) document. When the report management system receives the transcribed dictation, it queries the image repository for any KO documents, and appends the image references from the KO to the transcription. In this process step, the report management system does not need to access the referenced images; it only needs to copy the references into the draft report. The correction and signature function potentially allows the physician to retrieve and view the referenced images, correct and change text, and to delete individual image references. See Figure X.1-2.

![Diagram of Reporting Data Flow with Image References](image)

**Figure X.1-2. Reporting Data Flow with Image References**

The transcribed dictation must have associated with it sufficient key attributes for the report management system to query for the appropriate KO documents in the image repository (e.g., Study ID, or Accession Number).

Each KO document in this process includes a specific title "For Report Attachment", a single optional descriptive text field, plus a list of image references using the SR Image Content Item format. The report management system may need to retrieve all KO documents of the study to find those with this title, since the image repository might not support the object title as a query return key.

Multiple KO instances may be created for a study report, e.g., to facilitate associating different descriptive text (included in the KO document) with different images or image sets. All KOs with the title "For Report Attachment" in the study are to be attached to the dictated report by copying their content into the draft report (see Section X.2 and Section X.3). (There may also be KOs with other titles, such as "For Teaching", that are not to be attached to the report.)

The nature of the image reference links will differ depending on the format of the report. A DICOM SR format report will use DICOM native references, and other formats may use a hyperlink to the referenced images using the Web Access to DICOM Persistent Objects (WADO) service (see PS3.18).

X.1.3 Reporting With Annotated Images

The KO also allows the referencing of a Grayscale Softcopy Presentation State (GSPS) instance for each selected image. A GSPS instance can be created by the workstation for annotation ("electronic grease pencil") of the selected image, as well as to set the window width/window level, rotation/flip, and/or display area selection of the image attached to the report. The created GSPS instances are transferred to the image repository (PACS) and are referenced in the KO document.

As with image references, the report management system may include the GSPS instance references in the report. When the report is subsequently displayed, the reader may retrieve the referenced images together with the referenced GSPS, so that the image is displayed with the annotations and other GSPS display controls. See Figure X.1-3.

Note that the GSPS display controls can also be included in WADO hyperlinks and invoked from non-DICOM display stations.
Figure X.1-3. Reporting Data Flow with Image and Presentation/Annotation References

X.2 Transcribed Diagnostic Imaging SR Instance Content

This section describes the use of transcribed dictation and Key Object Selection (KO) instances to produce a DICOM Basic Text SR instance. A specific SR Template, TID 2005 “Transcribed Diagnostic Imaging Report”, is defined to support transcribed diagnostic imaging reports created using this data flow.

X.2.1 SR Header Content

The attributes of the Patient and Study Modules will be identical to those of the Study being reported. The following information is encoded in the SR Document General Module:

- Identity of the dictating physician (observer context) in the Author Sequence
- Identity of the transcriptionist or transcribing device (voice recognition) in the Participant Sequence
- Identity of the report signing physician in the Verifying Observer Sequence
- Identity of the institution owning the report in the Custodial Organization Sequence
- Linkages to the order and requested procedures in the Referenced Request Sequence
- A list of all images in the study in the Current Requested Procedure Evidence Sequence (from MPPS SOP Instances of the Study, or from query of the image repository)
- A list of all images not in the study, but also attached to the report as referenced significant images, in the Pertinent Other Evidence Sequence

X.2.2 Transcribed Text Data Format

The transcribed dictation is used to populate one or more section containers in the content tree of the SR Instance. If the transcription consists of a single undifferentiated text stream, it will typically be encoded using a single CONTAINER content item with Concept Name “Findings”, and the text encoded as the value in a subsidiary TEXT content item with Concept Name "Finding". When the transcription is differentiated into multiple sections with captions, e.g., using the concepts in CID 7001 “Diagnostic Imaging Report Headings”, each section may be encoded in a separate CONTAINER, with the concept from CID 7001 “Diagnostic Imaging Report Headings” as the container Concept Name, and the corresponding term from CID 7002 “Diagnostic Imaging Report Elements” as the Concept Name for a subsidiary TEXT content item. See Figure X.2-1.
The content items from each associated KO object will be included in the SR in a separate CONTAINER with Concept Name (121180, DCM, "Key Images") and a reference to the KO content tree to the corresponding SR container. See Figure X.2-2.

The KO and SR IMAGE content item format allows the encoding of an icon (image thumbnail) with the image reference, as well as a reference to a GSPS instance controlling image presentation. Whether or not to include icons or GSPS references is an implementation decision of the softcopy review station that creates the KO; the IMAGE content item as a whole may be simply copied by the report management system from the KO to the Basic Text SR instance.

The intended process is that all KOs “For Report Attachment” are to be automatically included in the draft report. Therefore, the correction and signature function of the report management system should allow the physician to delete image references that were included, perhaps unintentionally, by the automatic process.

**X.3 Transcribed Diagnostic Imaging CDA Instance Content**

This section describes the use of transcribed dictation and Key Object Selection (KO) documents to produce an HL7 Clinical Document Architecture (CDA) Release 2 document.

**Note**

While this section describes encoding as CDA Release 2, notes are provided about encoding issues for CDA Release 1.
X.3.1 CDA Header Content

The header of the CDA instance includes:

- Identity of the patient ("recordTarget" participation)
- Identity of the requested procedure ("documentationOf" act relationship)
- Identity of the dictating physician ("author" participation)
- Identity of the transcriptionist ("dataEnterer" participation)
- Identity of the report signing physician ("legalAuthenticator" participation)
- Identity of the institution owning the report ("custodian" participation)
- Identity of the request/order ("inFulfillmentOf" act relationship)

Note

The markup components in CDA Release 1 use different names.

X.3.2 Transcribed Text Content

Each transcription section can be encoded in a Section in the CDA document. The Section.Code and/or Section.Title can be derived from the corresponding transcription section title, if any. Although the transcription text can be encoded in the Section.Text without further markup, it is recommended that it be enclosed in <paragraph> tags.

X.3.3 Image References

Images are referenced using hypertext links in the narrative text. These links in CDA are not considered part of the attested content.

Note

1. The primary use case for this Annex is the dictation/transcription reporting model. In the historical context of that model, the images (film sheets) are usually not considered part of the attested content of the report, although they are part of the complete exam record. I.e., the report is clinically complete without the images, and the referenced images are not formally part of the report. Therefore, this Annex discusses only the use of image references, not images embedded in the report.

2. Being part of the attested content would require the images to be displayed every time the report is displayed - i.e., they are integral to understanding the report. If the images are attested, they must also be encapsulated with the CDA package. I.e., the CDA document itself is only one part of the interchanged package; the referenced images must also always be sent with the CDA document. If the images are for reference only and not attested, the Image Content Item may be transformed to a simple hypertext link; it is then the responsibility of CDA document receiver to follow or not follow the hyperlink. Moreover, as the industry moves toward ubiquitous network access to a distributed electronic healthcare record, there will be less need to prepackage the referenced images with the report.

In the current use case, there will be one or more KO instances with image references. Each KO instance can be transformed to a Section in the CDA document with a Section.Title "Key Images", and a Section.Code of 121180 from the DICOM Controlled Terminology (see PS3.16). If the KO includes a TEXT content item, it can be transformed to <paragraph> data in that Section.Text of the CDA document. Each IMAGE content item can be transformed to a link item using the <linkHtml> markup.

Within the <linkHtml> markup, the value of the href attribute is the DICOM object reference as a Web Access to Persistent DICOM Objects (WADO) specified URI (see Table X.3-1).

Note

1. When a DICOM object reference is included in an HL7 CDA document, it is presumed the recipient would not be a DICOM application; it would have access only to general Internet network protocols (and not the DICOM upper layer protocol), and would not be configured with the means to display a native DICOM image. Therefore, the recommended encoding of a DICOM Object Reference in the CDA narrative block <linkHtml> uses WADO for access by the HTTP/HTTPS network
protocol (see PS3.18), using one of the formats broadly supported in Web browsers (image/jpeg or video/mpeg) as the requested content type.

2. In CDA Release 1, the markup tag for hyperlinks is &lt;link_html&gt; within the scope of a &lt;link&gt; tag.

Table X.3-1. WADO Reference in an HL7 CDA &lt;linkHtml&gt;

<table>
<thead>
<tr>
<th>WADO Component</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;scheme&gt;:/&lt;authority&gt;/&lt;path&gt;</td>
<td>Configuration setting, used by the conversion process, identifying the WADO server</td>
</tr>
<tr>
<td>?requestType=WADO</td>
<td>Fixed</td>
</tr>
<tr>
<td>&amp;studyUID=&lt;uid&gt;</td>
<td>Study Instance UID for referenced image obtained from the Current Requested Procedure Evidence Sequence or the Pertinent Other Evidence Sequence in the KO Instance</td>
</tr>
<tr>
<td>&amp;seriesUID=&lt;uid&gt;</td>
<td>Series Instance UID for referenced image obtained from the Current Requested Procedure Evidence Sequence or the Pertinent Other Evidence Sequence in the KO Instance</td>
</tr>
<tr>
<td>&amp;objectUID=&lt;uid&gt;</td>
<td>Referenced SOP Instance UID from IMAGE content item</td>
</tr>
<tr>
<td>&amp;frameNumber=&lt;list&gt;</td>
<td>Referenced Frame Number from IMAGE content item (if present)</td>
</tr>
<tr>
<td>&amp;presentationUID=&lt;uid&gt;</td>
<td>Referenced SOP Instance UID from Referenced SOP Sequence within IMAGE content item</td>
</tr>
<tr>
<td>&amp;presentationSeriesUID=&lt;uid&gt;</td>
<td>Series Instance UID for referenced presentation state obtained from the Current Requested Procedure Evidence Sequence or the Pertinent Other Evidence Sequence in the KO Instance</td>
</tr>
<tr>
<td>&amp;contentType=video/mpeg</td>
<td>Present if Referenced SOP Class UID from IMAGE content item is for a multi-frame image IOD</td>
</tr>
</tbody>
</table>

Note

1. Literal strings are in normal typeface, while *italic typeface within angle brackets* indicates values to be copied from the identified source.

2. The default contentType for single frame images is image/jpeg, which does not need to be specified as a WADO component. However, the default contentType for multiple frame images is application/dicom, which needs to be overridden with the specific request for video/mpeg.

3. There is not yet a standard mechanism for minimizing the potential for staleness of the &lt;scheme&gt;://&lt;authority&gt;/&lt;path&gt; component.

X.3.4 Icons

If the IMAGE content item includes an Icon Image Sequence, the report creation process may embed the icon in the Section.Text narrative. The Icon Image Sequence Pixel Data is converted into an image file, e.g., in JPEG or GIF format, and base64 encoded. The file is encoded in an ObservationMedia entry in the CDA instance, and a &lt;renderMultimedia&gt; tag reference to the entry is encoded in the Section.Text adjacent to the &lt;linkHtml&gt; of the image reference.

X.3.5 Structured Entries

The Current Requested Procedure Evidence Sequence (0040,A375) of the KO instance lists all the SOP Instances referenced in the IMAGE content items in their hierarchical Study/Series/Instance context. It is recommended that this list be transcoded to CDA Entries in a Section with Section.Title “DICOM Object Catalog” and a Section.Code of 121181 from the DICOM Controlled Terminology (see PS3.16).

Note

1. Structured Entries are not defined in CDA Release 1.
2. Since the image hypertext links in the Section narrative may refer to both an image and a softcopy presentation state, as well as possibly being constrained to specific frame numbers, in general there is not a simple mapping from the `<linkHtml>` to an entry. Therefore it is not expected that there would be ID reference links between the `<linkHtml>` and related entries.

The purpose of the Structured Entries is to allow DICOM-aware applications to access the referenced images in their hierarchical context.

The encoding of the DICOM Object References in CDA Entries is shown in Figure X.3-1 and Tables X.3-2 through X.3-6. All of the mandatory data elements for the Entries are available in the Current Requested Procedure Evidence Sequence; optional elements (e.g., instance DateTimes) may also be included if known by the encoding application.

![Figure X.3-1. CDA Section with DICOM Object References](image)

**Note**

The format of Figure X.3-1 follows the conventions of HL7 v3 Reference Information Model diagrams.

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Data Type</th>
<th>Multiplicity</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>classCode</td>
<td>CS</td>
<td>1..1</td>
<td>ACT</td>
</tr>
<tr>
<td>moodCode</td>
<td>CS</td>
<td>1..1</td>
<td>EVN</td>
</tr>
<tr>
<td>id</td>
<td>II</td>
<td>1..1</td>
<td><code>&lt;Study Instance UID (0020,000D) as root property with no extension property&gt;</code></td>
</tr>
</tbody>
</table>
Table X.3-3. DICOM Series Reference in an HL7 V3 Act (CDA Act Entry)

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Data Type</th>
<th>Multiplicity</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>code</td>
<td>CD</td>
<td>1..1</td>
<td>&lt;113014 as code property, 1.2.840.10008.2.16.4 as codeSystem property, DCM as codeSystemName property, &quot;DICOM Series&quot; as displayName property &gt;</td>
</tr>
<tr>
<td>text</td>
<td>ST</td>
<td>0..1</td>
<td>&lt;Study Description (0008,1030) &gt;</td>
</tr>
<tr>
<td>effectiveTime</td>
<td>TS</td>
<td>0..1</td>
<td>&lt;Study Date (0008,0020) and Study Time (0008,0030) &gt;</td>
</tr>
</tbody>
</table>

The code for the Act representing a Series uses a qualifier property to indicate the modality. The qualifier property is a list of coded name/value pairs. For this use, only a single list entry is used, as described in Table X.3-4.

Table X.3-4. Modality Qualifier for The Series Act.Code

<table>
<thead>
<tr>
<th>Property</th>
<th>Data Type</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>name</td>
<td>CV</td>
<td>&lt;121139 as code property, 1.2.840.10008.2.16.4 as codeSystem property, DCM as codeSystemName property, &quot;Modality&quot; as displayName property &gt;</td>
</tr>
<tr>
<td>value</td>
<td>CD</td>
<td>&lt;Modality (0008,0060) as code property, 1.2.840.10008.2.16.4 as codeSystem property, DCM as codeSystemName property, Modality code meaning (from PS3.16) as displayName property &gt;</td>
</tr>
</tbody>
</table>

Table X.3-5. DICOM Composite Object Reference in an HL7 V3 Act (CDA Observation Entry)

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Data Type</th>
<th>Multiplicity</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>classCode</td>
<td>CS</td>
<td>1..1</td>
<td>DGIMG</td>
</tr>
<tr>
<td>moodCode</td>
<td>CS</td>
<td>1..1</td>
<td>EVN</td>
</tr>
</tbody>
</table>
### Note

1. The DGIMG class is used to reference all DICOM Composite Instances, not just diagnostic images.

2. The Observation.Text reference property may alternatively use a DICOM protocol based URI, rather than WADO, should such a URI be defined.

**Table X.3-6. WADO Reference in an HL7 DGIMG Observation.Text**

<table>
<thead>
<tr>
<th>WADO Component</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;scheme&gt;:// &lt;authority&gt; / &lt;path&gt;</td>
<td>Configuration setting, used by the conversion process, identifying the WADO server</td>
</tr>
<tr>
<td>?requestType=WADO</td>
<td>Fixed</td>
</tr>
<tr>
<td>&amp;studyUID=&lt;uid&gt;</td>
<td>Study Instance UID for referenced instance</td>
</tr>
<tr>
<td>&amp;seriesUID= &lt;uid&gt;</td>
<td>Series Instance UID for referenced instance</td>
</tr>
<tr>
<td>&amp;objectUID= &lt;uid&gt;</td>
<td>SOP Instance UID for referenced instance</td>
</tr>
<tr>
<td>&amp;contentType=application/DICOM</td>
<td>Fixed</td>
</tr>
</tbody>
</table>

### X.4.3 Using The WADO Reference For DICOM Network Protocol Retrievals

An application that receives a CDA with image references, and is capable of using the full services of DICOM upper layer protocol directly, can use the WADO parameters in either the linkHtml or in the DGIMG Observation.Text to retrieve the object using the DICOM network services. Such an application would need to be pre-configured with the hostname/IP address, TCP port, and AE Title of the DICOM object server (C-MOVE or C-GET SCP); this network address is not part of the WADO string. (Note that pre-configuration of this network address is typical for DICOM applications, and is facilitated by the LDAP-based DICOM Application Configuration Management Profile; see PS3.15.)

The application would open a Query/Retrieve Service Association with the configured server, and send a C-MOVE or C-GET command using the study, series, and object instance UIDs identified in the WADO query parameters. Such an application might also reasonably query the server for related objects, such as Grayscale Softcopy Presentation State.

**Note**

When using the C-GET service, the retrieving application needs to specify and negotiate the SOP Class of the retrieved objects when it opens the Association. This information is not available in the linkHtml WADO reference; however, it is available in the DGIMG Observation.Code. It may also be obtained from the configured server using a C-FIND query on a prior Association.
X.4 Simultaneous SR and CDA Instance Creation

The report may be created as both an SR instance and a CDA instance. In this case, the two instances are equivalent, and can cross-reference each other.

X.4.1 Equivalence

The CDA Document shall contain clinical content equivalent to the SR Document.

Note

The HL7 CDA standard specifically addresses transformation of documents from a non-CDA format. The requirement in the CDA specification is: "A proper transformation must ensure that the human readable clinical content of the report is not impacted."

There is no requirement that the transform or transcoding between DICOM SR and HL7 CDA be reversible. In particular, some attributes of the DICOM Patient, Study, and Series IEs have no corresponding standard encoding in the HL7 CDA Header, and vice versa. Such data elements, if transcoded, may need to be encoded in "local markup" (in HL7 CDA) or private data elements (in DICOM SR) in an implementation-dependent manner; and some such data elements may not be transcoded at all. It is a responsibility of the transforming application to ensure clinical equivalence.

Many attributes of the SR Document General Module can be transcoded to CDA Header participations or related acts.

X.4.2 Document Cross-reference

Due to the inherent differences between DICOM SR and HL7 CDA, a transcoded document will have a different UID than the source document. However, the SR Document may reference the CDA Document as equivalent using the Equivalent CDA Document Sequence (0040,A090) attribute, and the CDA Document may reference the SR Document with a relatedDocument act relationship.

Since the ParentDocument target of the relatedDocument relationship is constrained to be a simple DOCCLIN act, it is recommended that the reference to the DICOM SR be encoded per Table X.3-4, without explicit identification of the Study and Series Instance UIDs, and with classCode DOCCLIN (rather than DGIMG).

Note

1. The Study and Series Instance UIDs would be encoded in the WADO reference in the Act.Text ED data type.
2. CDA Release 1 does not provide a standard for the relatedDocument relationship to another document.
Y VOI LUT Functions (Informative)

Digital projection X-ray images typically have a very high dynamic range due to the digital detector’s performance. In order to display these images, various Values Of Interest (VOI) transformations can be applied to the images to facilitate diagnostic interpretation. The original description of the DICOM grayscale pipeline assumed that either the parameters of a linear LUT (window center and width) are used, or a static non-linear LUT is applied (VOI LUT).

Normally, a display application interprets the window center and width as parameters of a function following a linear law (see Figure Y-1).

![Figure Y-1. Linear Window Center and Width](image)

A VOI LUT sequence can be provided to describe a non-linear LUT as a table of values, with the limitation that the parameters of this LUT cannot be adjusted subsequently, unless the application provides the ability to scale the output of the LUT (and there is no way in DICOM to save such a change unless a new scaled LUT is built), or to fit a curve to the LUT data, which may then be difficult to parametrize or adjust, or be a poor fit.

Digital X-ray applications all have their counterpart in conventional film/screen X-ray and a critical requirement for such applications is to have an image "look" close to the film/screen applications. In the film/screen world the image dynamics are mainly driven by the H-D curve of the film that is the plot of the resulting optical density (OD) of the film with respect to the logarithm of the exposure. The typical appearance of an H-D curve is illustrated in Figure Y-2.

![Figure Y-2. H-D Curve](image)

In digital applications, a straightforward way to mock up a film-like look would be to use a VOI LUT that has a similar shape to an H-D curve, namely a toe, a linear part and a shoulder instead of a linear ramp.
While such a curve could be encoded as data within a VOI LUT, DICOM defines an alternative for interpreting the existing window center and width parameters, as the parameters of a non-linear function.

Figure Y-3 illustrates the shape of a typical sigmoid as well as the graphical interpretation of the two LUT parameters window center and window width. This figure corresponds to the equation definition in PS3.3 for the VOI LUT Function (0028,1056) is SIGMOID.

**Figure Y-3. Sigmoid LUT**

If a receiving display application does not support the SIGMOID VOI LUT Function, then it can successfully apply the same window center and window width parameters to a linear ramp and achieve acceptable results, specifically a similar perceived contrast but without the roll-off at the shoulder and toe.

A receiving display application that does support such a function is then able to allow the user to adjust the window center and window width with a more acceptable resulting appearance.
Z X-Ray Isocenter Reference Transformations
(Informative)

Z.1 Introduction

The Isocenter Reference System Attributes describe the 3D geometry of the X-Ray equipment composed by the X-Ray positioner and the X-Ray table.

These attributes define three coordinate systems in the 3D space:

- Isocenter coordinate system
- Positioner coordinate system
- Table coordinate system

The Isocenter Reference System attributes describe the relationship between the 3D coordinates of a point in the table coordinate system and the 3D coordinates of such point in the positioner coordinate system (both systems moving in the equipment), by using the Isocenter coordinate system that is fixed in the equipment.

Z.2 Positioner Coordinate System Transformations

Any point of the Positioner coordinate system \((P_{Xp}, P_{Yp}, P_{Zp})\) can be expressed in the Isocenter coordinate system \((P_X, P_Y, P_Z)\) by applying the following transformation:

\[
(P_X, P_Y, P_Z)^T = (R_2 R_1)^T (R_3 (P_{Xp}, P_{Yp}, P_{Zp})^T)
\]  

(Z.2-1)

And inversely, any point of the Isocenter coordinate system \((P_X, P_Y, P_Z)\) can be expressed in the Positioner coordinate system \((P_{Xp}, P_{Yp}, P_{Zp})\) by applying the following transformation:

\[
(P_{Xp}, P_{Yp}, P_{Zp})^T = R_3 ((R_2 R_1) (P_X, P_Y, P_Z)^T)
\]  

(Z.2-2)

Where \(R_1, R_2\) and \(R_3\) are defined as follows:

\[
R_1 = \begin{bmatrix}
\cos(Ap_1) & \sin(Ap_1) & 0 \\
-\sin(Ap_1) & \cos(Ap_1) & 0 \\
0 & 0 & 1
\end{bmatrix}
\]  

(Z.2-3)

\[
R_2 = \begin{bmatrix}
1 & 0 & 0 \\
0 & \cos(Ap_2) & -\sin(Ap_2) \\
0 & \sin(Ap_2) & \cos(Ap_2)
\end{bmatrix}
\]  

(Z.2-4)

\[
R_3 = \begin{bmatrix}
\cos(Ap_3) & 0 & -\sin(Ap_3) \\
0 & 1 & 0 \\
\sin(Ap_3) & 0 & \cos(Ap_3)
\end{bmatrix}
\]  

(Z.2-5)

Z.3 Table Coordinate System Transformations

Any point of the table coordinate system \((P_{Xt}, P_{Yt}, P_{Zt})\) (see Figure Z-1) can be expressed in the Isocenter Reference coordinate system \((P_X, P_Y, P_Z)\) by applying the following transformation:

\[
(P_X, P_Y, P_Z)^T = (R_3 R_2 R_1)^T (P_{Xt}, P_{Yt}, P_{Zt})^T + (T_X, T_Y, T_Z)^T
\]  

(Z.3-1)

And inversely, any point of the Isocenter coordinate system \((P_X, P_Y, P_Z)\) can be expressed in the table coordinate system \((P_{Xt}, P_{Yt}, P_{Zt})\) by applying the following transformation:
\[(P_{Xt}, P_{Yt}, P_{Zt})^T = (R_3 R_2 R_1) ((P_X, P_Y, P_Z)^T - (T_X, T_Y, T_Z)^T)\]  
\[\text{(Z.3-2)}\]

Where \(R_1\), \(R_2\) and \(R_3\) are defined as follows:

\[
R_1 = \begin{bmatrix}
\cos(\alpha_1) & 0 & -\sin(\alpha_1) \\
0 & 1 & 0 \\
\sin(\alpha_1) & 0 & \cos(\alpha_1)
\end{bmatrix}
\]

\[\text{(Z.3-3)}\]

\[
R_2 = \begin{bmatrix}
1 & 0 & 0 \\
0 & \cos(\alpha_2) & \sin(\alpha_2) \\
0 & -\sin(\alpha_2) & \cos(\alpha_2)
\end{bmatrix}
\]

\[\text{(Z.3-4)}\]

\[
R_3 = \begin{bmatrix}
\cos(\alpha_3) & -\sin(\alpha_3) & 0 \\
\sin(\alpha_3) & \cos(\alpha_3) & 0 \\
0 & 0 & 1
\end{bmatrix}
\]

\[\text{(Z.3-5)}\]

Figure Z-1. Coordinates of a Point "P" in the Isocenter and Table coordinate systems
AA Radiation Dose Reporting Use Cases
(Informative)

AA.1 Purpose of This Annex

This Annex describes the use of the X-Ray Radiation Dose SR Object. Multiple systems contributing to patient care during a visit may expose the patient to irradiation during diagnostic and/or interventional procedures. Each of those equipments may record the dose in an X-Ray Dose Reporting information object. Radiation safety information reporting systems may take advantage of this information and create dose reports for a visit, parts of a procedure performed or accumulation for the patient in total, if information is completely available in a structured content.

AA.2 Definitions

Irradiation Event

An irradiation event is the loading of X-Ray equipment caused by a single continuous actuation of the equipment’s irradiation switch, from the start of the loading time of the first pulse until the loading time trailing edge of the final pulse. The irradiation event is the "smallest" information entity to be recorded in the realm of Radiation Dose reporting. Individual Irradiation Events are described by a set of accompanying physical parameters that are sufficient to understand the "quality" of irradiation that is being applied. This set of parameters may be different for the various types of equipment that are able to create irradiation events. Any on-off switching of the irradiation source during the event is not treated as separate events, rather the event includes the time between start and stop of irradiation as triggered by the user. E.g., a pulsed fluoro X-Ray acquisition is treated as a single irradiation event.

Irradiation events include all exposures performed on X-Ray equipment, independent of whether a DICOM Image Object is being created. That is why an irradiation event needs to be described with sufficient attributes to exchange the physical nature of irradiation applied.

Accumulated Dose Values

Accumulated Dose Values describe the integrated results of performing multiple irradiation events. The scope of accumulation is typically a study or a performed procedure step. Multiple Radiation Dose objects may be created for one Study or one Radiation Dose object may be created for multiple performed procedures.

AA.3 Use Cases

The following use cases illustrate the information flow between participating roles and the possible capabilities of the equipment that is performing in those roles. Each case will include a use case diagram and denote the integration requirements. The diagrams will denote actors (persons in role or other systems involved in the process of data handling and/or storage). Furthermore, in certain cases it is assumed that the equipment (e.g., Acquisition Modality) is capable of displaying the contents of any dose reports it creates.

These use cases are only examples of possible uses for the Dose Report, and are by no means exhaustive.

AA.3.1 Basic Dose Reporting

This is the basic use case for electronic dose reporting. See Figure AA.3-1.
Figure AA.3-1. Basic Dose Reporting

In this use case the user sets up the Acquisition Modality, and performs the study. The Modality captures the irradiation event exposure information, and encodes it together with the accumulated values in a Dose Report. The Modality may allow the user to review the dose report, and to add comments. The acquired images and Dose Report are sent to a Long-Term Storage system (e.g., PACS) that is capable of storing Dose Report objects.

A Display Station may retrieve the Dose Report from the Storage system, and display it. Because the X-Ray Radiation Dose SR object is a proper subset of the Enhanced SR object, the Display Station may render it using the same functionality as used for displaying any Enhanced SR object.

AA.3.2 Dose Reporting For Non-digital Imaging

The Dose Report may also be used for image acquisitions using non-digital Acquisition Modalities. See Figure AA.3-2.

Figure AA.3-2. Dose Reporting for Non-Digital Imaging

In this use case the user may manually enter the irradiation event exposure information into a Dose Reporting Station, possibly transcribing it from a dosimeter read-out display. The station encodes the data in a Dose Report and sends it to a Storage system. The same Dose Reporting Station may be used to support several acquisition modalities.

This case may be useful in film-only radiography environments, or in mixed film and digital environments, where the DICOM X-Ray Radiation Dose SR Object provides a standard format for recording and storing irradiation events.

Note that in a non-PACS environment, the Dose Reports may be sent to a Long-Term Storage function built into a Radiation Safety workstation or information system.
AA.3.3 Dose Reporting Post-processing

A specialized Radiation Safety workstation may contribute to the process of dose reporting in terms of more elaborate calculations or graphical dose data displays, or by aggregating dose data over multiple studies. See Figure AA.3-3. The Radiation Safety workstation may or may not be integrated with the Long-Term Storage function in a single system; such application entity architectural decisions are outside the scope of DICOM, but DICOM services and information objects do facilitate a variety of possible architectures.

![Dose Reporting Post-Processing Diagram]

Figure AA.3-3. Dose Reporting Post-Processing

The Radiation Safety workstation may be able to create specific reports to respond to dose registry requirements, as established by local regulatory authorities. These reports would generally not be in DICOM format, but would be generated from the data in DICOM X-Ray Radiation Dose SR objects.

The Radiation Safety workstation may also be used to generate more elaborate reports on patient applied dose. The workstation may retrieve the Dose Reports for multiple procedures performed on a particular patient. A report of the cumulative dose for a specified time period, or for a visit/admission, may be generated, encoded as a DICOM Dose Report, and stored in the Long-Term Storage system. Any such further reports will be stored in addition to the "basic report".

Note that such cumulative Dose Reports may describe irradiation events that are also documented in other Dose Reports. The assignment of a UID to each irradiation event allows the application to identify unique irradiation events that may be reported in multiple objects. The structure of the X-Ray Radiation Dose SR object also allows a cumulative report to reference the contributing report objects using the Predecessor Documents Sequence (0040,A360) attribute.

An advanced application may be able to use the Dose Report data, potentially supplemented by the data in the image objects referenced in the Dose Report, to create a Dose Map that visualizes applied dose. Such a Dose Map may be sent to the Long-Term Storage system using an appropriate object format.

Other purposes of the Radiation Safety workstation may include statistical analyses over all Dose Report Objects in order to gain information for educational or quality control purposes. This may include searches for Reports performed in certain time ranges, or with specific equipment, or using certain protocols.

AA.3.4 Dose Reporting Workflow Management

The dose reporting workflow may be managed using the same DICOM services used for managing the imaging workflow.

In particular, a Dose Report produced for an Acquisition Modality Performed Procedure Step can be identified in the MPPS Referenced Non-Image Composite SOP Instance Sequence (0040,0220).
BB Printing (Informative)

BB.1 Example of Print Management SCU Session (Informative)

BB.1.1 Simple Example

This example of a Print Management SCU Session is provided for informational purposes only. It illustrates the use of one of the Basic Print Management Meta SOP Classes.

Example BB.1-1. Simple Example of Print Management SCU Session

A-ASSOCIATE

N-GET (PRINTER SOP Instance)

N-CREATE (Film Session SOP Instance)

for (each film of film session)

{

    N-CREATE (Film Box SOP Instance)

    for (each image of film)

    {

        N-SET (Image Box SOP Instance that encapsulates a PREFORMATTED IMAGE SOP Instance)

    }

    if (no collation)

    {

        N-ACTION (PRINT, Film Box SOP Instance)

        N-DELETE (Film Box SOP Instance)

    }

}

if (collation)

{

    N-ACTION (PRINT, Film Session SOP Instance)

    N-DELETE (Film Session SOP Instance)

}

N-EVENT-REPORT (PRINTER SOP Instance)

A-RELEASE
BB.1.2 Advanced Example (Retired)

This section was previously defined in DICOM. It is now retired. See PS3.4-1998.
CC Storage Commitment (Informative)

CC.1 Storage Commitment Examples (Informative)

This Section and its sub-sections contain examples of ways in which the Storage Commitment Service Class could be used. This is not meant to be an exhaustive set of scenarios but rather a set of examples.

CC.1.1 Push Model Example

Figure CC.1-1 is an example of the use of the Storage Commitment Push Model SOP Class.

![Diagram](image)

**Figure CC.1-1. Example of Storage Commitment Push Model SOP Class**

Node A (an SCU) uses the services of the Storage Service Class to transmit one or more SOP Instances to Node B (1). Node A then issues an N-ACTION to Node B (an SCP) containing a list of references to SOP Instances, requesting that the SCP take responsibility for storage commitment of the SOP Instances (2). If the SCP has determined that all SOP Instances exist and that it has successfully completed storage commitment for the set of SOP Instances, it issues an N-EVENT-REPORT with the status successful (3) and a list of the stored SOP Instances. Node A now knows that Node B has accepted the commitment to store the SOP Instances. Node A might decide that it is now appropriate for it to delete its copies of the SOP Instances. The N-EVENT-REPORT may or may not occur on the same Association as the N-ACTION.

If the SCP determines that committed storage can for some reason not be provided for one or more SOP Instances referenced by the N-ACTION request, then instead of reporting success it would issue an N-EVENT-REPORT with a status of completed - failures exists. With the EVENT-REPORT it would include a list of the SOP Instances that were successfully stored and also a list of the SOP Instances for which storage failed.

CC.1.2 Pull Model Example (Retired)

A Pull Model was defined in earlier versions, but has been retired. See PS3.4-2001.

CC.1.3 Remote Storage of Data by The SCP

Figure CC.1-3 explains the use of the Retrieve AE Title. Using the push model a set of SOP Instances will be transferred from the SCU to the SCP. The SCP may decide to store the data locally or, alternatively, may decide to store the data at a remote location. This example illustrates how to handle the latter case.
Node A, an SCU of the Storage Commitment Push Model SOP Class, informs Node B, an SCP of the corresponding SOP Class, of its wish for storage commitment by issuing an N-ACTION containing a list of references to SOP Instances (1). The SOP Instances will already have been transferred from Node A to Node B (Push Model) (2). If the SCP has determined that storage commitment has been achieved for all SOP Instances at Node C specified in the original Storage Commitment Request (from Node A), it issues an N-EVENT-REPORT (3) like in the previous examples. However, to inform the SCU about the address of the location at which the data will be stored, the SCP includes in the N-EVENT-REPORT the Application Entity Title of Node C.

The Retrieve AE Title can be included in the N-EVENT-REPORT at two different levels. If all the SOP Instances in question were stored at Node C, a single Retrieve AE Title could be used for the whole data set. However, the SCP could also choose not to store all the SOP Instances at the same location. In this case the Retrieve AE Title Attribute must be provided at the level of each single SOP Instance in the Referenced SOP Instance Sequence.

This example also applies to the situation where the SCP decides to store the SOP Instances on Storage Media. Instead of providing the Retrieve AE Title, the SCP will then provide a pair of Storage Media File-Set ID and UID.

### CC.1.4 Storage Commitment in Conjunction With Use of Storage Media

Figure CC.1-4 is an example of how to use the Push Model with Storage Media to perform the actual transfer of the SOP Instances.

Node A (an SCU) starts out by transferring the SOP Instances for which committed storage is required to Node B (an SCP) by off-line means on some kind of Storage Media (1). When the data is believed to have arrived at Node B, Node A can issue an N-ACTION to Node B containing a list of references to the SOP Instances contained on the Storage Media, requesting that the SCP perform storage commitment of these SOP Instances (2). If the SCP has determined that all the referenced SOP Instances exist (they may already have been loaded into the system or they may still reside on the Storage Media) and that it has successfully completed storage commitment for the SOP Instances, it issues an N-EVENT-REPORT with the status successful (3) and a list of the stored SOP Instances like in the previous examples.

If the Storage Media has not yet arrived or if the SCP determines that committed storage can for some other reason not be provided for one or more SOP Instances referenced by the N-ACTION request it would issue an N-EVENT-REPORT with a status of completed - failures exists. With the EVENT-REPORT it would include a list of the SOP Instances that were successfully stored and also a list of the SOP Instances for which storage failed. The SCP is not required to wait for the Storage Media to arrive (however it may chose...
to wait) but is free to reject the Storage Commitment request immediately. If so, the SCU may decide to reissue another N-ACTION at a later point in time.
DD Worklists (Informative)

DD.1 Examples For The Usage of The Modality Worklist (Informative)

These typical examples of Modality Worklists are provided for informational purposes only.

• A Worklist consisting of Scheduled Procedure Step entities that have been scheduled for a certain time period (e.g., "August 9, 1995"), and for a certain Scheduled Station AE title (namely the modality, where the Scheduled Procedure Step is going to be performed). See Figure DD.1-1.

• A Worklist consisting of the Scheduled Procedure Step entities that have been scheduled for a certain time period (e.g., "August 9, 1995"), and for a certain Modality type (e.g., CT machines). This is a scenario, where scheduling is related to a pool of modality resources, and not for a single resource.

• A Worklist consisting of the Scheduled Procedure Step entities that have been scheduled for a certain time period (e.g., "August 9, 1995"), and for a certain Scheduled Performing Physician. This is a scenario, where scheduling is related to human resources and not for equipment resources.

• A Worklist consisting of a single Scheduled Procedure Step entity that has been scheduled for a specific Patient. In this scenario, the selection of the Scheduled Procedure Step was done beforehand at the modality. The rationale to retrieve this specific worklist is to convey the most accurate and up-to-date information from the IS, right before the Procedure Step is performed.

The Modality Worklist SOP Class User may retrieve additional Attributes. This may be achieved by Services outside the scope of the Modality Worklist SOP Class.

Figure DD.1-1. Modality Worklist Message Flow Example

DD.2 General Purpose Worklist Example (Informative) (Retired)

EE Relevant Patient Information Query
(Informative)

EE.1 Relevant Patient Information Query Example (Informative)

The following is a simple and non-comprehensive example of a C-FIND Request for the Relevant Patient Information Query Service Class, specifically for the Breast Imaging Relevant Patient Information Query SOP Class, requesting a specific Patient ID, and requiring that any matching response be structured in the form of TID 9000 “Relevant Patient Information for Breast Imaging”.

C-FIND Request:

```
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<thead>
<tr>
<th>SR Tree Depth</th>
<th>Nesting</th>
<th>Attribute</th>
<th>Tag</th>
<th>VR</th>
<th>VL (hex)</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Affected SOP Class UID</td>
<td>(0000,0002)</td>
<td>UI</td>
<td>0018</td>
<td>1.2.840.10008.5.1.4.37.2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Command Field</td>
<td>(0000,0100)</td>
<td>US</td>
<td>0002</td>
<td>0020H [C-FIND-RQ]</td>
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<tr>
<td></td>
<td></td>
<td>Message ID</td>
<td>(0000,0110)</td>
<td>US</td>
<td>0002</td>
<td>0010H</td>
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<tr>
<td></td>
<td></td>
<td>Priority</td>
<td>(0000,0700)</td>
<td>US</td>
<td>0002</td>
<td>0000H [MEDIUM]</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Data Set Type</td>
<td>(0000,0800)</td>
<td>US</td>
<td>0002</td>
<td>0102H</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Patient's Name</td>
<td>(0010,0010)</td>
<td>PN</td>
<td>0000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Patient ID</td>
<td>(0010,0020)</td>
<td>LO</td>
<td>0008</td>
<td>MR975311</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Patient's Birth Date</td>
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<td>DA</td>
<td>0000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Patient's Sex</td>
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</tr>
<tr>
<td></td>
<td></td>
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<td>0000</td>
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<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Content Template Sequence</td>
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<td>SQ</td>
<td>ffffff</td>
<td></td>
</tr>
</tbody>
</table>
```

The following is a simple and non-comprehensive example of a C-FIND Response for the Relevant Patient Information Query Service Class, answering the C-FIND Request listed above, and structured in the form of TID 9000 “Relevant Patient Information for Breast Imaging” as required by the Affected SOP Class.

C-FIND Response #1:

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<th>VL (hex)</th>
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</thead>
<tbody>
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<td>Affected SOP Class UID</td>
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<td>UI</td>
<td>0018</td>
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<td>0002</td>
<td>0010H</td>
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<tr>
<td></td>
<td></td>
<td>Data Set Type</td>
<td>(0000,0800)</td>
<td>US</td>
<td>0002</td>
<td>0102H</td>
</tr>
</tbody>
</table>
```

- Standard -
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<th>VR</th>
<th>VL (hex)</th>
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</tr>
</thead>
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Figure FF.1-1. Top Level Structure of Content Tree
FF.2 Template Structure

![Diagram of FF.2 Template Structure]

**Figure FF.2-1. CT/MR Cardiovascular Analysis Report**

**Figure FF.2-2. Vascular Morphological Analysis**
Figure FF.2-3. Vascular Functional Analysis

Figure FF.2-4. Ventricular Analysis
FF.3 Report Example

The following is a simple, non-comprehensive illustration of a report for a morphological examination with stenosis findings.

Example FF.3-1. Presentation of Report Example #1

Cardiovascular Analysis Report - Vascular MRI

Observer: John Doe

Procedure Description

Abdominal aorta-iliac angiography procedure

Vascular Morphological Analysis

Anatomic Region = Abdominal Artery, Left

Left Gastric Artery

Findings:

Vessel Lumen Diameter: 2 mm
Vessel Lumen Cross Sectional Area: 3.4 mm²

Lesion Finding #1

Best illustration of finding  <hyperlink to Image with ROI highlighted>

Associated Morphology: Stenosis

Stenosis type: Vasculitis

Shape: Eccentric
Minimum Vessel Lumen Diameter: 1 mm  
Maximum Vessel Lumen Diameter: 1.5 mm  
Mean Vessel Lumen Diameter: 1.2 mm  
Minimum Vessel Lumen Cross-sectional Area: 1 mm$^2$  
Maximum Vessel Lumen Cross-sectional Area: 3 mm$^2$  
Stenotic Lesion Length: 5 mm  
Minimum Lumen Area Stenosis: 45 %  
Maximum Lumen Area Stenosis: 75 %  
Mean Lumen Area Stenosis: 60%

### Table FF.3-1. Example #1 Report Encoding

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<tr>
<th>Nest</th>
<th>Code Meaning of Concept Name</th>
<th>Code Meaning or Example Value</th>
<th>TID</th>
</tr>
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<td>TID 1001</td>
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<td>Abdominal aorta-iliac angiography procedure</td>
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GG JPIP Referenced Pixel Data Transfer Syntax Negotiation (Informative)

The JPIP Referenced Pixel Data transfer syntaxes allow transfer of image objects with a reference to a non-DICOM network service that provides the pixel data rather than encoding the pixel data in (7FE0,0010).

The use cases for this extension to the standard relate to an application's desire to gain access to a portion of DICOM pixel data without the need to wait for reception of all the pixel data. Examples are:

1. Stack Navigation of a large CT Study.

   In this case, it is desirable to quickly scroll through this large set of data at a lower resolution and once the anatomy of interest is located the full resolution data is presented. Initially lower resolution images are requested from the server for the purpose of stack navigation. Once a specific image is identified the system requests the rest of the detail from the server.


   In cases such as microscopy, very large images may be generated. It is undesirable to wait for the complete pixel data to be loaded when only a small portion of the specific image is of interest. Additionally, this large image may exceed the display capabilities thus resulting in a decimation of the image when displayed. A lower resolution image (i.e., one that matches the resolution of the display) is all that is required, as additional data cannot be fully rendered. Once an area of interest is determined, the application can pan and zoom to this area and request additional detail to fill the screen resolution.

3. Thumbnails.

   It is desirable to generate thumbnail representations for a study. This has been accomplished through various means, many of which require the client to receive the complete pixel data from the server to generate the thumbnail image. This uses significant network bandwidth.

   The thumbnails can be considered low-resolution representations of the image. The application can request a low-resolution representation of the image for use as a thumbnail.

4. Display by Dimension.

   Multi-frame images may encode multiple dimensions. It is desirable for an application to access only the specific frames of interest in a particular dimension without the need to receive the complete pixel data set. By using the multi-dimensional description, applications using the JPIP protocol may request frames of the multi-frame image.

The association negotiation between the initiator and acceptor controls when this method of transfer is used. An acceptor can potentially accept both the JPIP Referenced Pixel Data transfer syntax and a non-JPIP transfer syntax on different presentation contexts. When an acceptor accepts both of these transfer syntaxes, the initiator chooses the presentation context.

Examples:

For the following cases:

- AE1 requests images from AE2
- AE1 implements a C-MOVE SCU, as well as a C-STORE SCP. AE2 implements a C-MOVE SCP, as well as a C-STORE SCU

Case 1:

- AE1 and AE2 both support both a JPIP Referenced Pixel Data Transfer Syntax and a non-JPIP Transfer Syntax
- AE1 makes a C-MOVE request to AE2
- AE2 proposes two presentation contexts to AE1, one for with a JPIP Referenced Pixel Data Transfer Syntax, and the other with a non-JPIP Transfer Syntax
• AE1 accepts both presentation contexts
• AE2 may choose either presentation context to send the object
• AE1 must be able to either receive the pixel data in the C-STORE message, or to be able to obtain it from the provider URL

Case 2:
• AE1 supports only the JPIP Referenced Pixel Data Transfer Syntax
• AE2 supports both a JPIP Referenced Pixel Data Transfer Syntax and a non-JPIP Transfer Syntax
• AE1 makes a C-MOVE request to AE2
• AE2 proposes to AE1 either
  • two presentation contexts, one for with a JPIP Referenced Pixel Data Transfer Syntax, and the other with a non-JPIP Transfer Syntax, or
  • a single presentation context with both a JPIP Referenced Pixel Data Transfer Syntax and a non-JPIP Transfer Syntax
• AE1 accepts only the presentation context with the JPIP Referenced Pixel Data Transfer Syntax, or only the JPIP Referenced Pixel Data Transfer Syntax within the single presentation context proposed
• AE2 sends the object with the JPIP Referenced Pixel Data Transfer Syntax
• AE1 must be able to either retrieve the pixel data from the provider URL

For the following cases:
• AE1 requests images from AE2
• AE1 implements a C-GET SCU. AE2 implements a C-GET SCP

Case 3:
• AE1 and AE2 both support both a JPIP Referenced Pixel Data Transfer Syntax and a non-JPIP Transfer Syntax
• In addition to the C-GET presentation context, AE2 proposes to AE1 two presentation contexts for storage sub-operations, one for with a JPIP Referenced Pixel Data Transfer Syntax, and the other with a non-JPIP Transfer Syntax
• AE2 accepts both storage presentation contexts
• AE1 makes a C-GET request to AE2
• AE2 may choose either presentation context to send the object
• AE1 must be able to either receive the pixel data in the C-STORE message, or to be able to obtain it from the provider URL

Case 4:
• AE1 supports only the JPIP Referenced Pixel Data Transfer Syntax
• AE2 supports both a JPIP Referenced Pixel Data Transfer Syntax and a non-JPIP Transfer Syntax
• In addition to the C-GET presentation context, AE2 proposes to AE1 a single presentation context for storage sub-operations with a JPIP Referenced Pixel Data Transfer Syntax
• AE2 accepts the storage presentation context
• AE1 makes a C-GET request to AE2
• AE2 sends the object with the JPIP Referenced Pixel Data Transfer Syntax
• AE1 must be able to either retrieve the pixel data from the provider URL
HH Segmentation Encoding Example (Informative)

Figure HH-1 depicts an example of how the data is organized within an instance of the Segmentation IOD. Each item in the Segment Sequence provides the attributes of a segment. The source image used in all segmentations is referenced in the Shared Functional Groups Sequence. Each item of the Per-frame Functional Groups Sequence maps a frame to a segment. The Pixel Data classifies the corresponding pixels/voxels of the source Image.

Figure HH-1. Segment Sequence Structure and References
II Use of Product Characteristics Attributes in Composite SOP Instances (Informative)

Bar coding or RFID tagging of contrast agents, drugs, and devices can facilitate the provision of critical information to the imaging modality, such as the active ingredient, concentration, etc. The Product Characteristics Query SOP Class allows a modality to submit the product bar code (or RFID tag) to an SCP to look up the product type, active substance, size/quantity, or other parameters of the product.

This product information can be included in appropriate attributes of the Contrast/Bolus, Device, or Intervention Modules of the Composite SOP Instances created by the modality. The product information then provides key acquisition context data necessary for the proper interpretation of the SOP Instances.

This annex provides informative information about mapping from the Product Characteristics Module attributes of the Product Characteristics Query to the attributes of Composite IODs included in several Modules.

Within this section, if no Product Characteristics Module source for the attribute value is provided, the modality would need to provide local data entry or user selection from a pick list to fill in appropriate values. Some values may need to be calculated based on user-performed dilution of the product at the time of administration.

II.1 Contrast/bolus Module

<table>
<thead>
<tr>
<th>Table II-1. Contrast/Bolus Module Attribute Mapping</th>
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<td><strong>Contrast/Bolus Module Attribute Name</strong></td>
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<tr>
<td>Contrast/Bolus Administration Route Sequence</td>
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<td>&gt;Additional Drug Sequence</td>
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<td>&gt;Include Table 8.8-1 “Code Sequence Macro Attributes” in PS3.3</td>
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</tr>
<tr>
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</tr>
<tr>
<td>Contrast/Bolus Start Time</td>
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<tr>
<td>Contrast/Bolus Stop Time</td>
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- Standard -
### Product Characteristics Module Source

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| (0018,1044) | **If contrast is administered using full contents of dispensed product:** Product Parameter Sequence (0044,0013) > Numeric Value (0040,A30A), where:  
Product Parameter Sequence > Concept Name Code Sequence (0040,A043) value is (G-D705, SRT, "Volume")  
Product Parameter Sequence > Measurement Units Code Sequence (0040,08EA) is (ml, UCUM, "ml") |
| (0018,1046) | Contrast/Bolus Total Dose |
| (0018,1047) | Contrast Flow Duration |
| (0018,1048) | Contrast/Bolus Ingredient |
| (0018,1049) | **If contrast is administered without dilution:** Product Parameter Sequence (0044,0013) > Numeric Value (0040,A30A), where:  
Product Parameter Sequence > Concept Name Code Sequence (0040,A043) value is (121380, DCM, "Active Ingredient Undiluted Concentration")  
Product Parameter Sequence > Measurement Units Code Sequence (0040,08EA) is (mg/ml, UCUM, "mg/ml") |

### II.2 Enhanced Contrast/bolus Module

#### Table II-2. Enhanced Contrast/Bolus Module Attribute Mapping

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### II.3 Device Module

**Table II-3. Device Module Attribute Mapping**

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<td>&gt;Device Diameter Units is a CS VR (16 characters max, upper case), so a conversion from the LO VR is required.</td>
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<td>&gt;Device Diameter Units</td>
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<td>&gt;Product Parameter Sequence &gt; Measurement Units Code Sequence (0040,08EA) is (ml, UCUM, &quot;ml&quot;)</td>
</tr>
<tr>
<td>&gt;Inter-Marker Distance</td>
<td>(0050,0019)</td>
<td>&gt;Product Parameter Sequence (0044,0013) &gt; Numeric Value (0040,A30A), where:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>&gt;Product Parameter Sequence &gt; Concept Name Code Sequence (0040,A043) value is ([121208, DCM, &quot;Inter-Marker Distance&quot;],[121208, DCM, &quot;Inter-Marker Distance&quot;])</td>
</tr>
<tr>
<td></td>
<td></td>
<td>&gt;Product Parameter Sequence &gt; Measurement Units Code Sequence (0040,08EA) is (mm, UCUM, &quot;mm&quot;)</td>
</tr>
<tr>
<td>&gt;Device Description</td>
<td>(0050,0020)</td>
<td>&gt;Product Name (0044,0008) and/or Product Description (0044,0009)</td>
</tr>
</tbody>
</table>

### II.4 Intervention Module

**Table II-4. Intervention Module Attribute Mapping**

<table>
<thead>
<tr>
<th>Intervention Module Attribute Name</th>
<th>Tag</th>
<th>Product Characteristics Module Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intervention Sequence</td>
<td>(0018,0036)</td>
<td></td>
</tr>
<tr>
<td>Intervention Module Attribute Name</td>
<td>Tag</td>
<td>Product Characteristics Module Source</td>
</tr>
<tr>
<td>-----------------------------------------------------</td>
<td>-------------------</td>
<td>----------------------------------------</td>
</tr>
<tr>
<td>&gt;Include Table 8.8-1 “Code Sequence Macro Attributes” in PS3.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Intervention Status</td>
<td>(0018,0038)</td>
<td></td>
</tr>
<tr>
<td>&gt;Intervention Drug Code Sequence</td>
<td>(0018,0029)</td>
<td>--</td>
</tr>
<tr>
<td>&gt;&gt;Include Table 8.8-1 “Code Sequence Macro Attributes” in PS3.3</td>
<td></td>
<td>Product Type Code Sequence (0044,0007) &gt; 'Code Sequence Macro'</td>
</tr>
<tr>
<td>&gt;Intervention Drug Start Time</td>
<td>(0018,0035)</td>
<td></td>
</tr>
<tr>
<td>&gt;Intervention Drug Stop Time</td>
<td>(0018,0027)</td>
<td></td>
</tr>
<tr>
<td>&gt; Administration Route Code Sequence</td>
<td>(0054,0302)</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Include Table 8.8-1 “Code Sequence Macro Attributes” in PS3.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Intervention Description</td>
<td>(0018,003A)</td>
<td></td>
</tr>
</tbody>
</table>
JJ Surface Mesh Representation (Informative)

For a general introduction into the underlying principles used in the Surface Mesh Module see:

JJ.1 Multi-Dimensional Vectors

The dimensionality of the Vectors Macro (Section C.27.3 in PS3.3) is not restricted to accommodate broader use of this macro in the future. Usage beyond 3-dimensional Euclidean geometry is possible. The Vectors Macro may be used to represent any multi-dimensional numerical entity, like a set of parameters that are assigned to a voxel in an image or a primitive in a surface mesh.

Examples:

In electroanatomical mapping, one or more tracked catheters are used to sample the electrophysiological parameters of the inner surface of the heart. Using magnetic tracking information, a set of vertices is generated according to the positions the catheter was moved to during the examination. In addition to its 3D spatial position each vertex is loaded with a 7D-Vector containing the time it was measured at, the direction the catheter pointed to, the maximal potential measured in that point, the duration of that potential and the point in time (relative to the heart cycle) the potential was measured.

For biomechanical simulation the mechanical properties of a vertex or voxel can be represented with a n-dimensional vector.

JJ.2 Encoding Examples

The following example demonstrates the usage of the Surface Mesh Module for a tetrahedron.

![Surface Mesh Tetrahedron Diagram]

**Figure JJ.2-1. Surface Mesh Tetrahedron**

<table>
<thead>
<tr>
<th>Name</th>
<th>Tag</th>
<th>Value</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of Surfaces</td>
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<td>1</td>
<td></td>
</tr>
<tr>
<td>Surface Sequence</td>
<td>(0066,0002)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Surface Number</td>
<td>(0066,0003)</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>&gt;Surface Comments</td>
<td>(0066,0004)</td>
<td></td>
<td>Test Surface</td>
</tr>
<tr>
<td>&gt;Surface Processing</td>
<td>(0066,0009)</td>
<td></td>
<td>YES</td>
</tr>
<tr>
<td>&gt;Surface Processing Ratio</td>
<td>(0066,000A)</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>&gt;Surface Processing Description</td>
<td>(0066,000B)</td>
<td></td>
<td>Moved Object</td>
</tr>
<tr>
<td>&gt;Surface Processing Algorithm Identification Sequence</td>
<td>(0066,0035)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Algorithm Family Code Sequence</td>
<td>(0066,002F)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;&gt;Code Value</td>
<td>(0008,0100)</td>
<td>123109</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;&gt;Coding Scheme Designator</td>
<td>(0008,0102)</td>
<td></td>
<td>DCM</td>
</tr>
<tr>
<td>&gt;&gt;&gt;Code Meaning</td>
<td>(0008,0104)</td>
<td></td>
<td>Manual Processing</td>
</tr>
<tr>
<td>Name</td>
<td>Tag</td>
<td>Value</td>
<td>Comment</td>
</tr>
<tr>
<td>-------------------------------------------</td>
<td>--------------</td>
<td>----------------</td>
<td>-------------------------------------------------------------------------</td>
</tr>
<tr>
<td>&gt;&gt;Algorithm Name Code Sequence</td>
<td>(0066,0030)</td>
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<td>&gt;&gt;&gt;Code Value</td>
<td>(0008,0100)</td>
<td>AA01</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;&gt;Coding Scheme Designator</td>
<td>(0008,0102)</td>
<td>ICCAS</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;&gt;Code Meaning</td>
<td>(0008,0104)</td>
<td>Interactive Shift</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Algorithm Name</td>
<td>(0066,0036)</td>
<td>Interactive Shift</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Algorithm Version</td>
<td>(0066,0031)</td>
<td>&quot;V1.0&quot;</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Algorithm Parameters</td>
<td>(0066,0032)</td>
<td>&quot;x = 5 y = 1 z = 0&quot;</td>
<td></td>
</tr>
<tr>
<td>&gt;Recommended Display Grayscale Value</td>
<td>(0062,000C)</td>
<td>FFFFH</td>
<td></td>
</tr>
<tr>
<td>&gt;Recommended Display CIELab Value</td>
<td>(0062,000D)</td>
<td>FFFF\8080\8080</td>
<td></td>
</tr>
<tr>
<td>&gt;Recommended Presentation Opacity</td>
<td>(0066,000C)</td>
<td>1.0</td>
<td></td>
</tr>
<tr>
<td>&gt;Recommended Presentation Type</td>
<td>(0066,000D)</td>
<td>SURFACE</td>
<td></td>
</tr>
<tr>
<td>&gt;Finite Volume</td>
<td>(0066,000E)</td>
<td>YES</td>
<td></td>
</tr>
<tr>
<td>&gt;Manifold</td>
<td>(0066,0010)</td>
<td>YES</td>
<td></td>
</tr>
<tr>
<td>&gt;Surface Points Sequence</td>
<td>(0066,0011)</td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Number Of Surface Points</td>
<td>(0066,0015)</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Point Coordinates Data</td>
<td>(0066,0016)</td>
<td>-5.-3.727-4.757\5.-3.707-4.757\0.7.454-4.757\0.10.8.315</td>
<td>4 triplets. The points are marked a,b,c,d in Figure JJ.2-1.</td>
</tr>
<tr>
<td>&gt;&gt;Point Position Accuracy</td>
<td>(0066,0017)</td>
<td>0.001\0.001\0.001</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Mean Point Distance</td>
<td>(0066,0018)</td>
<td>10.0</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Maximum Point Distance</td>
<td>(0066,0019)</td>
<td>10.0</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Points Bounding Box Coordinates</td>
<td>(0066,001A)</td>
<td>-5.-3.727-4.757\5.\7.454\8.315</td>
<td>2 triplets</td>
</tr>
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<td>&gt;&gt;Axis of Rotation</td>
<td>(0066,001B)</td>
<td>0.0.0.0.1.0</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Center of Rotation</td>
<td>(0066,001C)</td>
<td>0.0.0.0.0.0</td>
<td></td>
</tr>
<tr>
<td>&gt;Surface Points Normals Sequence</td>
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<tr>
<td>&gt;Surface Mesh Primitives Sequence</td>
<td>(0066,0013)</td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Vertex Point Index List</td>
<td>(0066,0025)</td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Edge Point Index List</td>
<td>(0066,0024)</td>
<td>&lt;empty&gt;</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Triangle Point Index List</td>
<td>(0066,0023)</td>
<td>1\3\1\2\1\2\1\4\2\3\1\4\3\1\4</td>
<td>The second triangle is the one marked green in Figure JJ.2-1.</td>
</tr>
</tbody>
</table>

**Note**

When the actual values are binary a text string is shown.
KK Use Cases For The Composite Instance Root Retrieval Classes (Informative)

The use cases fall into five broad groups:

**KK.1 Clinical Review**

**KK.1.1 Retrieval Based On Report References**

A referring physician receives radiological diagnostic reports on CT or MRI examinations. These reports contain references to specific images. He chooses to review these specific images himself and/or show the patient. The references in the report point to particular slices. If the slices are individual images, then they may be obtained individually. If the slices are part of an enhanced multi-frame CT/MR object, then retrieval of the whole multi-frame object might take too long. The Composite Instance Root Retrieve Service allows retrieval of only the selected frames.

The source of the image and frame references in the report could be KOS, CDA, SR, presentation states or other sources.

Selective retrieval can also be used to retrieve 2 or more arbitrary frames, as may be used for digital subtraction (masking), and may be used with any multi-frame objects, including multi-frame ultrasound, XR etc.

Features of interest in many long "video" examinations (e.g., endoscopy) are commonly referenced as times from the start of the examination. The same benefits of reduced WAN bandwidth use could be obtained by shortening the MPEG-2, MPEG-4 AVC/H.264 or JPEG 2000 Part 2 Multi-component based stream prior to transmission.

**KK.1.2 Selective Retrieval Without References to Specific Slices**

Retrieval using the Composite Instance Retrieve Without Bulk Data Retrieve Service allows determination and retrieval of a suitable subset of frames. This could for instance be used to retrieve only the slices with particular imaging characteristics (e.g., T2 weighting from an enhanced MR object).

**KK.2 Local Use - "Relevant Priors"**

**KK.2.1 Anatomic Sub-region**

A multi-frame CT or MR may cover a larger area of anatomy than is required for use as a relevant prior. How the SCU determines which frames are relevant is outside the scope of the standard.

**KK.2.2 Worklists**

Relevant priors may be specified by instance and frame references in a worklist and benefit from the same facilities.

**KK.3 Attribute Based Retrieval**

There are times when it would be useful to retrieve from a multi-frame image only those frames satisfying certain dimensionality criteria, such as those CT slices fitting within a chosen volume. Initial retrieval of the image using the Composite Instance Retrieve Without Bulk Data Retrieve Service allows determination and retrieval of a suitable sub-set of frames.

**KK.4 CAD & Data Mining Applications**

Given the massively enhanced amount of dimensional information in the new CT/MR objects, applications could be developed that would use this for statistical purposes without needing to fetch the whole (correspondingly large) pixel data set. The Composite Instance Retrieve Without Bulk Data Retrieve Service permits this.
KK.5 Independent WADO Server

A hospital has a large PACS (that supports multi-frame objects) that does not support WADO. The hospital installs a separate WADO server that obtains images from the PACS using DICOM. WADO has the means to request individual frames, supporting many of the above use cases.
LL Example SCU Use of The Composite Instance Root Retrieval Classes (Informative)

LL.1 Retrieval of Entire Composite Instances

There are many modules in DICOM that use the Image SOP Instance Reference Macro (Table 10-3 “Image SOP Instance Reference Macro Attributes” in PS3.3), which includes the SOP Instance UID and SOP class UID, but not the Series Instance UID and Study Instance UID. Using the Composite Instance Root Retrieval Classes however, retrieval of such instances is simple, as a direct retrieval may be requested, including only the SOP Instance UID in the Identifier of the C-GET request.

LL.2 Retrieval of Selected Frame Composite Instances From Multi-frame Objects

Where the frames to be retrieved and viewed are known in advance, - e.g., when they are referenced by an Image Reference Macro in a structured report, then they may be retrieved directly using either of the Composite Instance Root Retrieval Classes.

LL.3 Retrieval of Selected Frame Composite Instances From MPEG-2 or MPEG-4 AVC/H.264 Video

If the image has been stored in MPEG-2 or MPEG-4 AVC/H.264 format, and if the SCU has knowledge independent of DICOM as to which section of a “video” is required for viewing (e.g., perhaps notes from an endoscopy) then the SCU can perform the following steps:

1. Use known configuration information to identify the available transfer syntaxes.

2. If MPEG-2, MPEG-4 AVC/H.264 or JPEG 2000 Part 2 Multi-component transfer syntaxes are available, then issue a request to retrieve the required section.

   The data received may be slightly longer than that requested, depending on the position of key frames in the data.

3. If only other transfer syntaxes are available, then the SCU may need to retrieve most of the object using Composite Instance Retrieve Without Bulk Data Retrieve Service to find the frame rate or frame time vector, and then calculate a list of frames to retrieve as in the previous sections.
MM Considerations For Applications Creating New Images From Multi-frame Images

MM.1 Scope

The purpose of this annex is to aid those developing SCPs of the Composite Instance Root Retrieve Service Class. The behavior of the application when making any of the changes discussed in this annex should be documented in the conformance statement of the application.

MM.2 Frame Extraction Issues

There are many different aspects to consider when extracting frames to make a new object, to ensure that the new image remains a fully valid SOP Instance, and the following is a non-exhaustive list of important issues

MM.2.1 Number of Frames

The Number of Frames (0028,0008) attribute will need to be updated.

MM.2.2 Start and End Times

Any attributes that refer to start and end times such as Acquisition Time (0008,0032) and Content Time (0008,0033) must be updated to reflect the new start time if the first frame is not the same as the original. This is typically the case where the multi-frame object is a "video" and where the first frame is not included. Likewise, Image Trigger Delay (0018,1067) may need to be updated.

MM.2.3 Time Interval versus Frame Increment Vector

The Frame Time (0018,1063) may need to be modified if frames in the new image are not a simple contiguous sequence from the original, and if they are irregular, then the Frame Time Vector (0018,1065) will need to be used in its place, with a corresponding change to the Frame Increment Pointer (0028,0009). This also needs careful consideration if non-consecutive frames are requested from an image with non-linearly spaced frames.

MM.2.4 MPEG-2 or MPEG-4 AVC/H.264

Identifying the location of the requested frames within an MPEG-2 or MPEG-4 AVC/H.264 data stream is non-trivial, but if achieved, then little else other than changes to the starting times are likely to be required for MPEG-2 or MPEG-4 AVC/H.264 encoded data, as the use-cases for such encoded data (e.g., endoscopy) are unlikely to include explicit frame related data. See the note below however for comments on "single-frame" results.

An application holding data in MPEG-2 or MPEG-4 AVC/H.264 format is unlikely to be able to create a range with a frame increment of greater than one (a calculated frame list with a 3rd value greater than one), and if such a request is made, it might return a status of AA02: Unable to extract Frames.

The approximation feature of the Time Range form of request is especially suitable for data held in MPEG-2 or MPEG-4 AVC/H.264 format, as it allows the application to find the nearest surrounding key frames, which greatly simplifies editing and improves quality.

MM.2.5 JPEG 2000 Part 2 Multi-Component Transform

Similar issues exist as for MPEG-2 and MPEG-4 AVC/H.264 data and similar solutions apply.

MM.2.6 Functional Groups For Enhanced CT, MR, etc.

It is very important that functional groups for enhanced image objects are properly re-created to reflect the reduced set of frames, as they include important clinical information. The requirement in the standard that the resulting object be a valid SOP instance does make such re-creations mandatory.
MM.2.7 Nuclear Medicine Images

Images of the Nuclear Medicine SOP class are described by the Frame Increment Pointer (0028,0009), which in turn references a number of different "Vectors" as defined in Table "NM Multi-frame Module" in PS3.3. Like the Functional Groups above, these Vectors are required to contain one value for each frame in the Image, and so their contents must be modified to match the list of frames extracted, ensuring that the values retained are those corresponding to the extracted frames.

MM.2.8 A "Single Frame" Multi-frame Image

The requirement that the newly created image object generated in response to a Frame level retrieve request must be the same as the SOP class will frequently result in the need to create a single frame instance of an object that is more commonly a multi-frame object, but this should not cause any problems with the IOD rules, as all such objects may quite legally have Number of Frames = 1.

However, a single frame may well cause problems for a transfer syntax based on "video" such as those using MPEG-2 or MPEG-4 AVC/H.264, and therefore the SCU when negotiating a C-GET should consider this problem, and include one or more transfer syntaxes suitable for holding single or non-contiguous frames where such a retrieval request is being made.

MM.3 Frame Numbers

Frame numbers are indexes, not identifiers for frames. In every object, the frame numbers always start at 1 and increment by 1, and therefore they will not be the same after extraction into a new SOP Instance.

A SOP Instance may contain internal references to its own frames such as mask frames. These may need to be corrected.

MM.4 Consistency

There is no requirement in the Frame Level Retrieve Service for the SCP to cache or otherwise retain any of the information it uses to create the new SOP Instance, and therefore, an SCU submitting multiple requests for the same information cannot expect to receive the "same" object with the same Instance and Series UIDs each time. However, an SCP may choose to cache such instances, and if returning an instance identical to one previously created, then the same Instance and Series UIDs may be used. The newly created object is however guaranteed to be a valid SOP instance and an SCU may therefore choose to send such an instance to an SCP using C-STORE, in which case it should be handled exactly as any other Composite Instance of that SOP class.

MM.5 Time Synchronization

The time base for the new composite instance should be the same as for the source image and should use the same time synchronization frame of reference. This allows the object to retain synchronization to any simultaneously acquired waveform data.

MM.6 Audio

Where the original object is MPEG-2 or MPEG-4 AVC/H.264 with interleaved audio data in the MPEG-2 System, and where the retrieved object is also MPEG-2 or MPEG-4 AVC/H.264 encoded, then audio could normally be preserved and maintain synchronization, but in other cases, the audio may be lost.

MM.7 Private Attributes

As with all modifications to existing SOP instances, an application should remove any data that it cannot guarantee to make consistent with the modifications it is making. Therefore, an application creating new images from multi-frame images should remove any private attributes about which it lacks sufficient information to allow safe and consistent modification. This behavior should be documented in the conformance statement.
NN Specimen Identification and Management

This annex explains the use of the Specimen Module for pathology or laboratory specimen imaging.

NN.1 Pathology Workflow

The concept of a specimen is deeply connected to analysis (lab) workflow, the decisions made during analysis, and the "containers" used within the workflow.

Typical anatomic pathology cases represent the analysis of (all) tissue and/or non-biologic material (e.g., orthopedic hardware) removed in a single collection procedure (e.g., surgical operation/event, biopsy, scrape, aspiration etc.). A case is usually called an "Accession" and is given a single accession number in the Laboratory Information System.

During an operation, the surgeon may label and send one or more discrete collections of material (specimens) to pathology for analysis. By sending discrete, labeled collections of tissue in separate containers, the surgeon is requesting that each discrete labeled collection (specimen) be analyzed and reported independently - as a separate "Part" of the overall case. Therefore, each Part is an important, logical component of the laboratory workflow. Within each Accession, each Part is managed separately from the others and is identified uniquely in the workflow and in the Laboratory Information System.

During the initial gross (or "eyeball") examination of a Part, the pathologist may determine that some or all of the tissue in a Part should be analyzed further (usually through histology). The pathologist will place all or selected sub-samples of the material that makes up the Part into labeled containers (cassettes). After some processing, all the tissue in each cassette is embedded in a paraffin block (or epoxy resin for electron microscopy); at the end of the process, the block is physically attached to the cassette and has the same label. Therefore, each "Block" is an important, logical component of the laboratory workflow, which corresponds to physical material in a container for handling, separating and identifying material managed in the workflow. Within the workflow and Laboratory Information System, each Block is identified uniquely and managed separately from all others.

From a Block, technicians can slice very thin sections. One or more of these sections is placed on one or more slides. (Note, material from a Part can also be placed directly on a slide bypassing the block). A slide can be stained and then examined by the pathologists. Each "Slide", therefore, is an important, logical component of the laboratory workflow, which corresponds to physical material in a container for handling, separating and identifying material managed in the workflow. Within the workflow and within the Laboratory Information Systems, each Slide is identified uniquely and managed separately from all others.

While "Parts" to "Blocks" to "Slides" is by far the most common workflow in pathology, it is important to note that there can be numerous variations on this basic theme. In particular, laser capture microdissection and other slide sampling approaches for molecular pathology are in increasing use. Such new workflows require a generic approach in the Standard to identifying and managing specimen identification and processing, not one limited only to "Parts", "Blocks", and "Slides". Therefore, the Standard adopts a generic approach of describing uniquely identified Specimens in Containers.

NN.2 Basic Concepts and Definitions

NN.2.1 Specimen

A physical object (or a collection of objects) is a specimen when the laboratory considers it a single discrete, uniquely identified unit that is the subject of one or more steps in the laboratory (diagnostic) workflow.

To say the same thing in a slightly different way: "Specimen" is defined as a role played by a physical entity (one or more physical objects considered as single unit) when the entity is identified uniquely by the laboratory and is the direct subject of more steps in a laboratory (diagnostic) workflow.

It is worthwhile to expand on this very basic, high level definition because it contains implications that are important to the development and implementation of the DICOM Specimen Module. In particular:

1. A single discrete physical object or a collection of several physical objects can act as a single specimen as long as the collection is considered a unit during the laboratory (diagnostic) process step involved. In other words, a specimen may include multiple physical pieces, as long as they are considered a single unit in the workflow. For example, when multiple fragments of tissue are placed in a cassette, most laboratories would consider that collection of fragments as one specimen (one "block").
2. A specimen must be identified. It must have an ID that identifies it as a unique subject in the laboratory workflow. An entity that does not have an identifier is not a specimen.

3. Specimens are sampled and processed during a laboratory's (diagnostic) workflow. Sampling can create new (child) specimens. These child specimens are full specimens in their own right (they have unique identifiers and are direct subjects in one or more steps in the laboratory's (diagnostic) workflow. This property of specimens (that can be created from existing specimens by sampling) extends a common definition of specimen, which limits the word to the original object received for examination (e.g., from surgery).

4. However, child specimens can and do carry some attributes from ancestors. For example, a tissue section cut from a formalin fixed block remains formalin fixed, and a tissue section cut from a block dissected from the proximal margin of a colon resection is still made up of tissue from the proximal margin. A description of a specimen therefore, may require description of its parent specimens.

5. A specimen is defined by decisions in the laboratory workflow. For example, in a typical laboratory, multiple tissue sections cut from a single block and placed on the same slide are considered a single specimen (as single unit identified by the slide number). However, if the histotechnologists had placed each tissue section on its own slide (and given each slide a unique number), each tissue section would be a specimen in its own right.

**NN.2.2 Containers**

Specimen containers (or just "containers") play an important role in laboratory (diagnostic) processes. In most, but not all, process steps, specimens are held in containers, and a container often carries its specimen's ID. Sometimes the container becomes intimately involved with the specimen (e.g., a paraffin block), and in some situations (such as examining tissue under the microscope) the container (the slide and coverslip) become part of the optical path.

Containers have identifiers that are important in laboratory operations and in some imaging processes (such as whole slide imaging). The DICOM Specimen Module distinguishes the Container ID and the Specimen ID, making them different data elements. In many laboratories where there is one specimen per container, the value of the specimen ID and container ID will be same. However, there are use cases in which there are more than one specimen in a container. In those situations, the value of the container ID and the specimen IDs will be different (see Section NN.3.5).

Containers are often made up of components. For example, a "slide" is container that is made up of the glass slide, the cover slip and the "glue" the binds them together. The Module allows each component to be described in detail.

**NN.3 Specimen Module**

**NN.3.1 Scope**

The Specimen Module (see PS3.3) defines formal DICOM attributes for the identification and description of laboratory specimens when said specimens are the subject of a DICOM image. The Module is focused on the specimen and laboratory attributes necessary to understand and interpret the image. These include:

1. Attributes that identify (specify) the specimen (within a given institution and across institutions).

2. Attributes that identify and describe the container in which the specimen resides. Containers are intimately associated with specimens in laboratory processes, often "carry" a specimen's identity, and sometimes are intimately part of the imaging process, as when a glass slide and cover slip are in the optical path in microscope imaging.

3. Attributes that describe specimen collection, sampling and processing. Knowing how a specimen was collected, sampled, processed and stained is vital in interpreting an image of a specimen. One can make a strong case that those laboratory steps are part of the imaging process.

4. Attributes that describe the specimen or its ancestors (see Section NN.2.1) when these descriptions help with the interpretation of the image.

Attributes that convey diagnostic opinions or interpretations are not within the scope of the Specimen Module. The DICOM Specimen Module does not seek to replace or mirror the pathologist's report.
NN.3.2 Relationship With The Laboratory Information System

The Laboratory Information System (LIS) is critical to management of workflow and processes in the pathology lab. It is ultimately the source of the identifiers applied to specimens and containers, and is responsible for recording the processes that were applied to specimens.

An important purpose of the Specimen Module is to store specimen information necessary to understand and interpret an image within the image information object, as images may be displayed in contexts where the Laboratory Information System is not available. Implementation of the Specimen Module therefore requires close, dynamic integration between the LIS and imaging systems in the laboratory workflow.

It is expected that the Laboratory Information Systems will participate in the population of the Specimen Module by passing the appropriate information to a DICOM compliant imaging system in the Modality Worklist, or by processing the image objects itself and populating the Specimen Module attributes.

The nature of the LIS processing for imaging in the workflow will vary by product implementation. For example, an image of a gross specimen may be taken before a gross description is transcribed. A LIS might provide short term storage for images and update the description attributes in the module after a particular event (such as sign out). The DICOM Standard is silent on such implementation issues, and only discusses the attributes defined for the information objects exchanged between systems.

NN.3.3 Case Level Information and The Accession Number

A pathology "case" is a unit of work resulting in a report with associated codified, billable acts. Case Level attributes are generally outside the scope of the Specimen Module. However, a case is equivalent to a DICOM Requested Procedure, for which attributes are specified in the DICOM Study level modules.

DICOM has existing methods to handle most "case level" issues, including accepting cases referred for other institutions, clinical history, status codes, etc. These methods are considered sufficient to support DICOM imaging in Pathology.

The concept of an "Accession Number" in Pathology has been determined to be sufficiently equivalent to an "Accession Number" in Radiology that the DICOM data element "Accession Number" at the Study level at the DICOM information model may be used for the Pathology Accession Number with essentially the existing definition.

It is understood that the value of the laboratory accession number is often incorporated as part of a Specimen ID. However, there is no presumption that this is always true, and the Specimen ID should not be parsed to determine an accession number. The accession number will always be sent in its own discrete attribute.

NN.3.4 Laboratory Workflows and Specimen Types

While created with anatomic pathology in mind, the DICOM Specimen Module is designed to support specimen identification, collection, sampling and processing attributes for a wide range of laboratory workflows. The Module is designed in a general way so not to limit the nature, scope, scale or complexity of laboratory (diagnostic) workflow that may generate DICOM images.

To provide specificity on the general process, the Module provides extendable lists of Container Types, Container Component Types, Specimen Types, Specimen Collection Types, Specimen Process Types and Staining Types. It is expected that the value sets for these "types" can be specialized to describe a wide range of laboratory procedures.

In typical anatomic pathology practice, and in Laboratory Information Systems, there are conventionally three identified levels of specimen preparation - part, block, and slide. These terms are actually conflations of the concepts of specimen and container. Not all processing can be described by only these three levels.

A part is the uniquely identified tissue or material collected from the patient and delivered to the pathology department for examination. Examples of parts would include a lung resection, colon biopsy at 20 cm, colon biopsy at 30 cm, peripheral blood sample, cervical cells obtained via scraping or brush, etc. A part can be delivered in a wide range of containers, usually labeled with the patients name, medical record number, and a short description of the specimen such as "colon biopsy at 20 cm". At accession, the lab creates a part identifier and writes it on the container. The container therefore conveys the part's identifier in the lab.

A block is a uniquely identified container, typically a cassette, containing one or more pieces of tissue dissected from the part (tissue dice). The tissue pieces may be considered, by some laboratories, as separate specimens. However in most labs, all the tissue pieces in a block are considered a single specimen.
A slide is a uniquely identified container, typically a glass microscope slide, containing tissue or other material. Common slide preparations include:

- "Tissue sections" created from tissue embedded in blocks. (1 slide typically contains one or more tissue sections coming from one block)
- "Touch preps" prepared by placing a slide into contact with unprocessed tissue.
- "Liquid preparations" are a thin layer of cells created from a suspension.

### NN.3.5 Relationship Between Specimens and Containers

Virtually all specimens in a clinical laboratory are associated with a container, and specimens and containers are both important in imaging (see "Definitions", above). In most clinical laboratory situations there is a one to one relationship between specimens and containers. In fact, pathologists and LIS systems routinely consider a specimen and its container as single entity; e.g., the slide (a container) and the tissue sections (the specimen) are considered a single unit.

However, there are legitimate use cases in which a laboratory may place two or more specimens in the same container (see Section NN.4 for examples). Therefore, the DICOM Specimen Module distinguishes between a Specimen ID and a Container ID. However, in situations where there is only one specimen per container, the value of the Specimen ID and Container ID may be the same (as assigned by the LIS).

Some Laboratory Information System may, in fact, not support multiple specimens in a container, i.e., they manage only a single identifier used for the combination of specimen and container. This is not contrary to the DICOM Standard; images produced under such a system will simply always assert that there is only one specimen in each container. However, a pathology image display application that shows images from a variety of sources must be able to distinguish between container and specimen IDs, and handle the 1:N relationship.

In allowing for one container to have multiple specimens, the Specimen Module asserts that it is the Container, not the Specimen, that is the unique target of the image. In other words, one Container ID is required in the Specimen Module, and multiple Specimen IDs are allowed in the Specimen Sequence. See Figure NN.3-1.
If there is more than one specimen in a container, there must be a mechanism to identify and locate each specimen. When there is more than one specimen in a container, the Module allows various approaches to specify their locations. The Specimen Localization Content Item Sequence (0040,0620), through its associated TID 8004 “Specimen Localization”, allows the specimen to be localized by a distance in three dimensions from a reference point on the container, by a textual description of a location or physical attribute such as a colored ink, or by its location as shown in a referenced image of the container. The referenced image may use an overlay, burned-in annotation, or an associated Presentation State SOP Instance to specify the location of the specimen.

**NN.3.6 Relationship Between Specimens and Images**

Because the Module supports one container with multiple specimens, the Module can be used with an image of:

- A single specimen associated with a container
- One or more specimens out of several in the same container
- All specimens in the same container

However the Module is **not** designed for use with an image of:

- Multiple specimens that are not associated with the same container, e.g., two gross specimens (two Parts) on a photography table, each with a little plastic label with their specimen number.
- Multiple containers that hold specimens (e.g., eight cassettes containing breast tissue being X-Rayed for calcium).

Such images may be included in the Study, but would not use the Specimen Module; they would, for instance, be general Visible Light Photographic images. Note, however, that the LIS might identify a "virtual container" that contains such multiple real containers, and manage that virtual container in the laboratory workflow.
NN.4 Specimen Identification Examples

NN.4.1 One Specimen Per Container

In normal clinical practice, when there is one specimen per container, the value of the specimen identifier and the value of the container identifier will be the same. In Figure NN.4-1, each slide is prepared from a single tissue sample from a single block (cassette).

Figure NN.4-1. Sampling for one specimen per container

NN.4.2 Multiple Items From Same Block

Figure NN.4-2 shows more than one tissue item on the same slide coming from the same block (but cut from different levels). The laboratory information system considers two tissue sections (on the same slide) to be separate specimens.

Two Specimen IDs will be assigned, different from the Container (Slide) ID. The specimens may be localized, for example, by descriptive text "Left" and "Right".

If the slide is imaged, a single image with more than one specimen may be created. In this case, both specimens must be identified in the Specimen Sequence of the Specimen Module. If only one specimen is imaged, only its Specimen ID must be included in the Specimen Sequence; however, both IDs may be included (e.g., if the image acquisition system cannot determine which specimens in/on the container are in the field of view).
NN.4.3 Items From Different Parts in The Same Block

Figure NN.4-3 shows processing where more than one tissue item is embedded in the same block within the same Cassette, but coming from different clinical specimens (parts). This may represent different lymph nodes embedded into one cassette, or different tissue dice coming from different parts in a frozen section examination, or tissue from the proximal margin and from the distal margin, and both were placed in the same cassette. Because the laboratory wanted to maintain the sample as separate specimens (to maintain their identity), the LIS gave them different IDs and the tissue from Part A was inked blue and the tissue from Part B was inked red.

The specimen IDs must be different from each other and from the container (cassette) ID. The specimens may be localized, for example, by descriptive text "Red" and "Blue" for Visual Coding of Specimen.

If a section is made from the block, each tissue section will include fragments from two specimens (red and blue). The slide (container) ID will be different from the section id (which will be different form each other).

If the slide is imaged, a single image with more than one specimen may be created but the different specimens must be identified and unambiguously localized within the container.

NN.4.4 Items From Different Parts On The Same Slide

Figure NN.4-4 shows the result of two tissue collections placed on the same slide by the surgeon. E.g., in gynecological smears the different directions of smears might represent different parts (portio, cervix).
The specimen IDs must be different from each other and from the container (slide) ID. The specimens may be localized, for example, by descriptive text "Short direction smear" and "Long direction smear".

**Figure NN.4-4. Two specimens smears on one slide**

**NN.4.5 Tissue Micro Array**

Slides created from a TMA block have small fragments of many different tissues coming from different patients, all of which may be processed at the same time, under the same conditions by a desired technique. These are typically utilized in research. See Figure NN.4-5. Tissue items (spots) on the TMA slide come from different tissue items (cores) in TMA blocks (from different donor blocks, different parts and different patients).

Each Specimen (spot) must have its own ID. The specimens may be localized, for example, by X-Y coordinates, or by a textual column-row identifier for the spot (e.g., "E3" for fifth column, third row).

If the TMA slide is imaged as a whole, e.g., at low resolution as an index, it must be given a "pseudo-patient" identifier (since it does not relate to a single patient). Images created for each spot should be assigned to the real patients.

**Figure NN.4-5. Sampling for TMA Slide**

**NN.5 Structure of The Specimen Module**

The Specimen Module content is specified as a Macro as an editorial convention to facilitate its use in both Composite IODs and in the Modality Worklist Information Model.

The Module has two main sections. The first deals with the specimen container. The second deals with the specimens within that container. Because more than one specimen may reside in single container, the specimen section is set up as a sequence.

The Container section is divided two "sub-sections":

- One deals with the Specimen Container ID and the Container Type. Note that the "Container Identifier" is a required field.
• One deals with Container Components. Because there may be more than one component, this section is set up as a sequence.

The Specimen Description Sequence contains five "sub-sections"

• One deals with the Specimen ID
• One deals with descriptions of the specimen
• One deals with preparation of the specimen and its ancestor specimens (including sampling, processing and staining). Because of its importance in interpreting slide images, staining is distinguished from other processing. Specimen preparation is set up as sequence of process steps (multiple steps are possible); each step is in turn a sequence of content items (attributes using coded vocabularies). This is the most complex part of the module.
• One deals with the original anatomic location of the specimen in the patient.
• One deals with specimen localization within a container. This is used to identify specimens when there is more than one in a container. It is set up as sequence.

NN.6 Examples of Specimen Module Use

This section includes examples of the use of the Specimen Module. Each example has two tables.

The first table contains the majority of the container and specimen elements of the Specimen Module. The second includes the Specimen Preparation Sequence (which documents the sampling, processing and staining steps).

In the first table, invocations of Macros have been expanded to their constituent attributes. The Table does not include Type 3 (optional) attributes that are not used for the example case.

The second table shows the Items of the Specimen Preparation Sequence and its subsidiary Specimen Preparation Step Content Item Sequence. That latter sequence itself has subsidiary Code Sequence Items, but these are shown in the canonical DICOM "triplet" format (see PS3.16), e.g., (T-28600, SRT, "Left Upper Lobe of Lung"). In the table, inclusions of subsidiary templates have been expanded to their constituent Content Items. The Table does not include Type U (optional) Content Items that are not used for the example case.

Values in the colored columns of the two tables actually appear in the image object.

NN.6.1 Gross Specimen

This is an example of how the Specimen Module can be populated for a gross specimen (a lung lobe resection received from surgery). The associated image would be a gross image taken in gross room.

Table NN.6-1. Specimen Module for Gross Specimen

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Attribute Description</th>
<th>Example Value</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Container Identifier</td>
<td>(0040,0512)</td>
<td>The identifier for the container that contains the specimen(s) being imaged.</td>
<td>S07-100 A</td>
<td>Note that the container ID is required, even though the container itself does not figure in the image.</td>
</tr>
<tr>
<td>Issuer of the Container Identifier Sequence</td>
<td>(0040,0513)</td>
<td>Organization that assigned the Container Identifier</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Local Namespace Entity ID</td>
<td>(0040,0031)</td>
<td>Identifies an entity within the local namespace or domain.</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>Attribute Name</td>
<td>Tag</td>
<td>Attribute Description</td>
<td>Example Value</td>
<td>Comments</td>
</tr>
<tr>
<td>----------------</td>
<td>-----</td>
<td>-----------------------</td>
<td>---------------</td>
<td>----------</td>
</tr>
<tr>
<td>Container Type Code Sequence</td>
<td>(0040,0518)</td>
<td>Type of container that contains the specimen(s) being imaged. Zero or one items shall be permitted in this sequence</td>
<td></td>
<td>This would likely be a default container value for all gross specimens. The LIS does not keep information on the gross container type, so this is an empty sequence.</td>
</tr>
<tr>
<td>Specimen Description Sequence</td>
<td>(0040,0560)</td>
<td>Sequence of identifiers and detailed description of the specimen(s) being imaged. One or more items shall be included in this Sequence.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Specimen Identifier</td>
<td>(0040,0551)</td>
<td>A departmental information system identifier for the Specimen.</td>
<td>S07-100 A</td>
<td>Specimen and Container have same ID</td>
</tr>
<tr>
<td>&gt;Issuer of the Specimen Identifier Sequence</td>
<td>(0040,0562)</td>
<td>The name or code for the institution that has assigned the Specimen Identifier.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt; Local Namespace Entity ID</td>
<td>(0040,0031)</td>
<td>Identifies an entity within the local namespace or domain.</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>&gt;Specimen UID</td>
<td>(0040,0554)</td>
<td>Unique Identifier for Specimen</td>
<td>1.2.840.99790.1.1.1.177.1.1.1.17.1</td>
<td>The LIS &quot;Specimen Received&quot; field is mapped to this DICOM field</td>
</tr>
<tr>
<td>&gt;Specimen Short Description</td>
<td>(0040,0600)</td>
<td>Short textual specimen description</td>
<td>Part A: LEFT UPPER LOBE</td>
<td></td>
</tr>
<tr>
<td>&gt;Specimen Detailed Description</td>
<td>(0040,0602)</td>
<td>Detailed textual specimen description</td>
<td>A: Received fresh for intraoperative consultation, labeled with the patient's name, number and &quot;left upper lobe,&quot; is a pink-tan, wedge-shaped segment of soft tissue, 6.9 x 4.2 x 1.0 cm. The pleural surface is pink-tan and glistening with a stapled line measuring 12.0 cm. in length. The pleural surface shows a 0.5 cm. area of puckering. The pleural surface is inked black. The cut surface reveals a 1.2 x 1.1 cm, white-gray, irregular mass abutting the pleural surface and deep to the puckered area. The remainder of the cut surface is red-brown and congested. No other lesions are identified. Representative sections are submitted.</td>
<td>This is a mapping from the LIS &quot;Gross Description&quot; field. Note that in Case S07-100 there were six parts. This means the LIS gross description field will have six sections (A - F). We would have to parse the gross description field into those parts (A-F) and then only incorporate section &quot;A&quot; into this attribute. NOTE: One could consider listing all the Blocks associated with Part A. It would be easy to do and might give useful information.</td>
</tr>
<tr>
<td>&gt;Specimen Preparation Sequence</td>
<td>(0040,0610)</td>
<td>Sequence of items identifying the process steps used to prepare the specimen for image acquisition. One or more items may be present. This Sequence includes description of the specimen sampling step from a parent specimen, potentially back to the original part collection.</td>
<td>(see Table NN.6-2)</td>
<td></td>
</tr>
</tbody>
</table>

- Standard -
<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Attribute Description</th>
<th>Example Value</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>&gt;&gt;Specimen Preparation Step Content Item Sequence</td>
<td>(0040,0612)</td>
<td>Sequence of Content Items identifying the processes used in one preparation step to prepare the specimen for image acquisition. One or more Items may be present.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Primary Anatomic Structure Sequence</td>
<td>(0008,2228)</td>
<td>Original anatomic location in patient of specimen. This location may be inherited from the parent specimen, or further refined by modifiers depending on the sampling procedure for this specimen.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Code Value</td>
<td>(0008,0100)</td>
<td>T-28600</td>
<td></td>
<td>This is a code sequence item</td>
</tr>
<tr>
<td>&gt;&gt;Coding Scheme Designator</td>
<td>(0008,0102)</td>
<td>SRT</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Code Meaning</td>
<td>(0008,0104)</td>
<td>Left Upper Lobe of Lung</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table NN.6-2. Specimen Preparation Sequence for Gross Specimen

<table>
<thead>
<tr>
<th>Specimen Preparation Sequence - Item #</th>
<th>Specimen Prep. Step Content Item Sequence - Item #</th>
<th>Template / Row</th>
<th>Value Type (0040,A040)</th>
<th>Concept Name Code Sequence (0040,A043)</th>
<th>Example Value</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>8001 / 1</td>
<td>TEXT</td>
<td>(121041, DCM, &quot;Specimen Identifier&quot;)</td>
<td>S07-100 A</td>
<td>Collection in OR</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>8001 / 2</td>
<td>TEXT</td>
<td>(111724, DCM, &quot;Issuer of Specimen Identifier&quot;)</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>8001 / 3</td>
<td>CODE</td>
<td>(111701, DCM, &quot;Processing type&quot;)</td>
<td>(P3-02000, SRT, &quot;Specimen collection&quot;)</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>8001 / 4</td>
<td>DATETIME</td>
<td>(111702, DCM, &quot;DateTime of processing&quot;)</td>
<td>200703230827</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>1</td>
<td>8001 / 5</td>
<td>TEXT</td>
<td>(111703, DCM, &quot;Processing step description&quot;)</td>
<td>Taken</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>2</td>
<td>8001 / 8</td>
<td>CODE</td>
<td>(111704, DCM, &quot;Sampling Method&quot;)</td>
<td>(P1-03000, SRT, &quot;Excision&quot;)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>8002 / 1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>8001 / 1</td>
<td>TEXT</td>
<td>(121041, DCM, &quot;Specimen Identifier&quot;)</td>
<td>S07-100 A</td>
<td>Specimen received in Pathology department</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>8001 / 2</td>
<td>TEXT</td>
<td>(111724, DCM, &quot;Issuer of Specimen Identifier&quot;)</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>8001 / 3</td>
<td>CODE</td>
<td>(111701, DCM, &quot;Processing type&quot;)</td>
<td>(P3-05013, SRT, &quot;Specimen Receiving&quot;)</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>8001 / 4</td>
<td>DATETIME</td>
<td>(111702, DCM, &quot;DateTime of processing&quot;)</td>
<td>200703230943</td>
<td></td>
</tr>
</tbody>
</table>

NN.6.2 Slide

This is an example of how the Specimen Module can be populated for a slide (from a lung lobe resection received from surgery). The associated image would be a whole slide image.
Table NN.6-3. Specimen Module for a Slide

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Attribute Description</th>
<th>Example Value</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Container Identifier</td>
<td>(0040,0512)</td>
<td>The identifier for the container that contains the specimen(s) being imaged.</td>
<td>S07-100 A 5 1</td>
<td></td>
</tr>
<tr>
<td>Issuer of the Container Identifier Sequence</td>
<td>(0040,0513)</td>
<td>Organization that assigned the Container Identifier</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Local Namespace Entity ID</td>
<td>(0040,0031)</td>
<td>Identifies an entity within the local namespace or domain.</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>Container Type Code Sequence</td>
<td>(0040,0518)</td>
<td>Type of container that contains the specimen(s) being imaged. Only a single item shall be permitted in this sequence</td>
<td>This would likely be a default container value for all slide specimens.</td>
<td></td>
</tr>
<tr>
<td>&gt;Code Value</td>
<td>(0008,0100)</td>
<td>G-81EA</td>
<td></td>
<td>This is a code sequence item</td>
</tr>
<tr>
<td>&gt;Coding Scheme Designator</td>
<td>(0008,0102)</td>
<td>SRT</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Code Meaning</td>
<td>(0008,0104)</td>
<td>Slide</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Container Component Sequence</td>
<td>(0040,0520)</td>
<td>Description of one or more components of the container (e.g., description of the slide and of the coverslip). One or more items may be included in this Sequence.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Container Component Type Code Sequence</td>
<td>(0050,0012)</td>
<td>Type of container component. One item shall be included in this Sequence.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Code Value</td>
<td>(0008,0100)</td>
<td>A-0101D</td>
<td></td>
<td>This is a code sequence item</td>
</tr>
<tr>
<td>&gt;&gt;Coding Scheme Designator</td>
<td>(0008,0102)</td>
<td>SRT</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Code Meaning</td>
<td>(0008,0104)</td>
<td>Microscope slide cover slip</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Container Component Material</td>
<td>(0050,001A)</td>
<td>Material of container component.</td>
<td>GLASS</td>
<td></td>
</tr>
<tr>
<td>Specimen Description Sequence</td>
<td>(0040,0560)</td>
<td>Sequence of identifiers and detailed description of the specimen(s) being imaged.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Specimen Identifier</td>
<td>(0040,0551)</td>
<td>A departmental information system identifier for the Specimen.</td>
<td>S07-100 A 5 1</td>
<td>Specimen and Container have same ID</td>
</tr>
<tr>
<td>&gt;Issuer of the Specimen Identifier Sequence</td>
<td>(0040,0562)</td>
<td>The name or code for the institution that has assigned the Specimen Identifier.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Local Namespace Entity ID</td>
<td>(0040,0031)</td>
<td>Identifies an entity within the local namespace or domain.</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>&gt;Specimen UID</td>
<td>(0040,0554)</td>
<td>Unique Identifier for Specimen</td>
<td>1.2.840.99790.986.33.1677.1.1.19.5</td>
<td></td>
</tr>
<tr>
<td>Attribute Name</td>
<td>Tag</td>
<td>Attribute Description</td>
<td>Example Value</td>
<td>Comments</td>
</tr>
<tr>
<td>------------------------------------</td>
<td>--------------</td>
<td>------------------------------------------------------</td>
<td>-----------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Specimen Short Description</td>
<td>(0040,0600)</td>
<td>Short textual specimen description</td>
<td>Part A: LEFT UPPER LOBE, Block 5: Mass (2 pc), Slide 1: H&amp;E</td>
<td>This attribute concatenates four LIS fields: 1. Specimen Received, 2. Cassette Summary, 3. Number of Pieces in Block, 4. Staining. This does not always work this nicely. Often one or more of fields is empty or confusing.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Note</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>This field is limited to 64 characters</td>
</tr>
<tr>
<td>Specimen Detailed Description</td>
<td>(0040,0602)</td>
<td>Detailed textual specimen description</td>
<td>A: Received fresh for intraoperative consultation, labeled with the patient's name, number and &quot;left upper lobe,&quot; is a pink-tan, wedge-shaped segment of soft tissue, 6.9 x 4.2 x 1.0 cm. The pleural surface is pink-tan and glistening with a stapled line measuring 12.0 cm. in length. The pleural surface shows a 0.5 cm. area of puckering. The pleural surface is inked black. The cut surface reveals a 1.2 x 1.1 cm, white-gray, irregular mass abutting the pleural surface and deep to the puckered area. The remainder of the cut surface is red-brown and congested. No other lesions are identified. Representative sections are submitted. Block 5: &quot;Mass&quot; (2 pieces)</td>
<td>This is a mapping from the LIS Gross Description Field and the Block Summary. Note that in Case S07-100, there were six parts. This means the LIS gross description field will have six sections (A - F). We would have to parse the gross description field into those parts (A-F) and then only incorporate section &quot;A&quot; into this attribute. The same would be true of the Blocks.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Note</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>One could consider listing all the Blocks associated with Part A. It would be easy to do and might give useful information.</td>
</tr>
</tbody>
</table>
Sequence of Items identifying the process steps used to prepare the specimen for image acquisition. One or more Items may be present. This Sequence includes description of the specimen sampling step from a parent specimen, potentially back to the original part collection.

Sequence of Content Items identifying the processes used in one preparation step to prepare the specimen for image acquisition. One or more Items may be present.

Original anatomic location in patient of specimen. This location may be inherited from the parent specimen, or further refined by modifiers depending on the sampling procedure for this specimen.

This is a code sequence item

The example Specimen Preparation Sequence first describes the most recent processing of the slide (staining), then goes back to show its provenance. Notice that there is no sampling process for the slide described here; the LIS did not record the step of slicing of blocks into slides.

<table>
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<tr>
<th>Specimen Preparation Sequence - Item #</th>
<th>Specimen Prep. Step Content Item Sequence - Item #</th>
<th>Template / Row</th>
<th>Value Type</th>
<th>Concept Name Code Sequence (0040,A040)</th>
<th>Example Value</th>
<th>Comments</th>
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<td>TEXT</td>
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<td>S07-100 A</td>
<td>Part Collection in OR.</td>
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<td>2</td>
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<td></td>
<td></td>
</tr>
<tr>
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<td>(P3-02000, SRT, &quot;Specimen collection&quot;)</td>
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<td></td>
</tr>
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<td></td>
<td></td>
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<td>(111703, DCM, &quot;Processing step description&quot;)</td>
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<td></td>
<td></td>
</tr>
<tr>
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<td>6</td>
<td>CODE</td>
<td>(111704, DCM, &quot;Sampling Method&quot;)</td>
<td>(P1-03000, SRT, &quot;Excision&quot;)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Specimen Preparation Sequence - Item #</td>
<td>Specimen Prep. Step Content Item Sequence - Item #</td>
<td>Template / Row</td>
<td>Value Type</td>
<td>Concept Name Code Sequence (0040,A040)</td>
<td>Example Value</td>
<td>Comments</td>
</tr>
<tr>
<td>---------------------------------------</td>
<td>--------------------------------------------------</td>
<td>----------------</td>
<td>------------</td>
<td>--------------------------------------</td>
<td>---------------</td>
<td>----------</td>
</tr>
<tr>
<td>2</td>
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<td>TEXT</td>
<td></td>
<td>(121041, DCM, &quot;Specimen Identifier&quot;)</td>
<td>S07-100 A</td>
<td>Specimen received in Pathology department</td>
</tr>
<tr>
<td>2</td>
<td>8001 / 2</td>
<td>TEXT</td>
<td></td>
<td>(111724, DCM, &quot;Issuer of Specimen Identifier&quot;)</td>
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<td></td>
</tr>
<tr>
<td>3</td>
<td>8001 / 3</td>
<td>CODE</td>
<td></td>
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<td>(P3-05013, SRT, &quot;Specimen Receiving&quot;)</td>
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<td></td>
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<td>200703230943</td>
<td></td>
</tr>
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<td>3</td>
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<td>(121041, DCM, &quot;Specimen Identifier&quot;)</td>
<td>S07-100 A 5</td>
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<td>8001 / 2</td>
<td>TEXT</td>
<td></td>
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<td>3</td>
<td>8001 / 3</td>
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<td></td>
<td>(111701, DCM, &quot;Processing type&quot;)</td>
<td>(P3-4000A, SRT, &quot;Sampling of tissue specimen&quot;)</td>
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<td>TEXT</td>
<td></td>
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<td>Block Creation</td>
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<td>8001 / 8 8002 / 1</td>
<td>CODE</td>
<td></td>
<td>(111704, DCM, &quot;Sampling Method&quot;)</td>
<td>(P1-01003, SRT, &quot;Dissection&quot;)</td>
<td></td>
</tr>
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<td>8001 / 8 8002 / 2</td>
<td>TEXT</td>
<td></td>
<td>(111705, DCM, &quot;Parent Specimen Identifier&quot;)</td>
<td>S07-100 A</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>8001 / 8 8002 / 3</td>
<td>TEXT</td>
<td></td>
<td>(111706, DCM, &quot;Issuer of Parent Specimen Identifier&quot;)</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>8001 / 8 8002 / 4</td>
<td>CODE</td>
<td></td>
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<td>(T-D0011, SRT, &quot;Anatomic part&quot;)</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>8001 / 8 8002 / 6</td>
<td>TEXT</td>
<td></td>
<td>(111709, DCM, &quot;Location of sampling site&quot;)</td>
<td>Mass</td>
<td>This is coming from the summary of blocks field in the LIS</td>
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<tr>
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<td>TEXT</td>
<td></td>
<td>(121041, DCM, &quot;Specimen Identifier&quot;)</td>
<td>S07-100 A 5</td>
<td>Block Processing</td>
</tr>
<tr>
<td>2</td>
<td>8001 / 2</td>
<td>TEXT</td>
<td></td>
<td>(111724, DCM, &quot;Issuer of Specimen Identifier&quot;)</td>
<td>Case Medical Center</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>8001 / 3</td>
<td>CODE</td>
<td></td>
<td>(111701, DCM, &quot;Processing type&quot;)</td>
<td>(P3-05000, SRT, &quot;Specimen Processing&quot;)</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>8001 / 4</td>
<td>DATETIME</td>
<td></td>
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<td>200703231900</td>
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</tr>
<tr>
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<td>TEXT</td>
<td></td>
<td>(111703, DCM, &quot;Processing step description&quot;)</td>
<td>Standard Block Processing (Formalin)</td>
<td></td>
</tr>
</tbody>
</table>
### Specimen Preparation Sequence - Item #  | Specimen Prep. Step Content Item Sequence - Item #  | Template / Row  | Value Type  | Concept Name Code Sequence (0040,A043)  | Example Value  | Comments  
--- | --- | --- | --- | --- | --- | ---  
6 | 8001 / 10 | CODE | (111715, DCM, "Specimen Fixative") | (C-21402, SRT, "Formalin")  
5 | 1 | 8001 / 1 | TEXT | (121041, DCM, "Specimen Identifier") | S07-100 A 5  
2 | 8001 / 2 | TEXT | (111724, DCM, "Issuer of Specimen Identifier") | Case Medical Center  
3 | 8001 / 3 | CODE | (111701, DCM, "Processing type") | (P3-05000, SRT, "Specimen Processing")  
4 | 8001 / 4 | DATETIME | (111702, DCM, "DateTime of processing") | 200703240500  
5 | 8001 / 5 | TEXT | (111703, DCM, "Processing step description") | Embedding (paraffin)  
6 | 8001 / 11 | CODE | (F-6221A, SRT, "Embedding medium") | (F-61118, SRT, "Paraffin")  
6 | 1 | 8001 / 1 | TEXT | (121041, DCM, "Specimen Identifier") | S07-100 A 5 1  
2 | 8001 / 3 | CODE | (111701, DCM, "Processing type") | (P3-00003, SRT, "Staining")  
3 | 8001 / 4 | DATETIME | (111702, DCM, "DateTime of processing") | 200703240700  
4 | 8001 / 9  
8003 / 2 | TEXT | (G-C350, SRT, "Using substance") | H&E (1)  

### NN.7 Specimen Data in Pathology Imaging Workflow Management

Workflow management in the DICOM imaging environment utilizes the Modality Worklist (MWL) and Modality Performed Procedure Step (MPPS) services. Within the pathology department, these services support both human controlled imaging (e.g., gross specimen photography), as well as automated slide scanning modalities.

While this section provides an overview of the DICOM services for managing workflow, the reader is referred to the IHE Anatomic Pathology Domain Technical Framework for specific use cases and profiles for pathology imaging workflow management.

### NN.7.1 Modality Worklist

The contents of the Specimen Module may be conveyed in the Scheduled Specimen Sequence of the Modality Worklist query. This feature allows an imaging system (Modality Worklist SCU) to query for work items by Container ID. The worklist server (SCP) of the laboratory information system can then return all the necessary information for creating a DICOM specimen-related image. This information includes patient identity and the complete slide processing history (including stain applied). It may be used for imaging set-up and/or inclusion in the Image SOP Instance.

### NN.7.1.1 MWL for Whole Slide Imaging

In addition to the Specimen Module attributes, the set up of an automated whole slide scanner requires the acquisition parameters such as scan resolution, number of Z-planes, fluorescence wavelengths, etc. A managed set of such parameters is called a Protocol (see PS3.3), and the MWL response may contain a Protocol Code to control scanning set up. Additional set-up parameters can be passed as Content Items in the associated Protocol Context Sequence; this might be important when the reading pathologist requests a rescan of the slide with slightly different settings.
NN.7.2 Modality Performed Procedure Step

When scanning is initiated, the scanner reports the procedure step in a Modality Performed Procedure Step (MPPS) transaction.

Upon completion (or cancellation) of an image acquisition, the modality reports the work completed in an update to the MPPS. The MPPS can convey both the Container ID and the image UIDs, so that the workflow manager (laboratory information system) is advised of the image UIDs associated with each imaged specimen.
OO Structured Display (Informative)

OO.1 Structured Display Use Cases

OO.1.1 Dentistry

Intra-oral radiography typically involves acquisition of multiple images of various parts of the dentition. Many digital radiographic systems offer customized templates that are used for displaying the images in a study on the screen. These templates may also be referred to as mounts or view sets. The Structured Display Object represents a standard method of encoding and exchanging the layout and intended display of Structured Displays. A structured display object created in this manner could be stored with a study and exchanged with images to allow for complete reproduction of the original exam.

1. A patient visits a General Dentist where a Full Mouth Series Exam with 18 images is acquired. The dentist observes severe bone loss and refers the patient to a Periodontist. The 18 images from the Full Mouth Series along with a Structured Display are copied to a DICOM Interchange CD and sent with the patient to see the specialist. The Periodontist uses the CD to open the exam in his Dental Radiographic Software and consults via phone with the General Dentist. Both are able to observe the same exam showing the images on each user’s display using the exact same layout.

![Image Box Text Box]

Figure OO-1. Intra-oral Full Mouth Series Structured Display

2. A patient requests cosmetic surgery to enhance their facial appearance. The case requires consultation between an orthodontist in New York and an oral surgeon in California. The cephalometric series of 2D projections constructed from a volumetric CT data set that is used for the discussion is arranged by a Structured Display for transfer between the two practitioners.
OO.1.2 Ophthalmology

1. A patient in rural Canada visits a general ophthalmologist and is found to have diabetic macular edema. The general ophthalmologist would like to discuss the case with a retina specialist before performing laser surgery. A fluorescein angiogram is done with multiple retinal images taken in a timed series after an intravenous injection. The images along with a Structured Display are shared via a Health Information Exchange with a retina specialist in Calgary, who opens them using his Ophthalmology EMR software and consults via phone with the general ophthalmologist. Both physicians view the images in the same layout so the retina specialist can provide accurate guidance for treating the patient.

2. A patient in rural Iowa visits his primary care physician for management of diabetes. Three non-mydriatic (patient's eyes are not dilated) photographs are taken of the back of each eye, and forwarded electronically along with a Structured Display to an ophthalmologist in Iowa City. The ophthalmologist reads the photos in an agreed upon layout so there is no mistake about what portion of which eye is being viewed. The ophthalmologist is able to tell the primary care physician that his patient does not need to come to Iowa City for face to face ophthalmologic care, but that there is a particular view of the left eye that should be photographed again in 6 months.
3. A patient in rural Minnesota experiences sudden vision loss and goes to a general ophthalmologist, who acquires OCT images and forwards them electronically along with a Structured Display to a retina specialist six travel hours away. The retina specialist is able to view the images in the standard layout that he is comfortable with, and to confirm that the patient has a choroidal neovascular membrane. He determines that is would be worthwhile for the patient to travel for treatment.
OO.1.3 Cardiology

Cardiac stress testing acquires images in at least two patient states, rest and stress, and typically with several different views of the heart to highlight function of different cardiac anatomic regions. Image review typically involves simultaneous display of the same anatomy at two patient states, or multiple anatomic views at one patient state, or even simultaneous display of multiple anatomic views at multiple states. This applies to all cardiac imaging modalities, including ultrasound, nuclear, and MR. The American College of Cardiology and American Society of Nuclear Medicine have adopted standard display layouts for nuclear cardiology rest-stress studies.
OO.1.4 Radiology

• A radiologist on his PACS assembles a screen layout of a stack of CT images of a current lung study, a secondary capture of a 3-D rendering of the CT, and a prior chest radiograph for the patient. He adjusts the window width / window level for the CT images, and zooms and annotates the radiograph to clearly indicate the tumor. He saves a Structured Display object representing that screen layout, including Grayscale Softcopy Presentation State objects for the CT WW/WL and the radiograph zoom and annotation. During the weekly radiology department conference, on an independent (non-PACS) workstation, he accesses the Structured Display object, and the display workstation automatically loads and places the images on the display, and presents them with the recorded WW/WL, zoom settings, and annotations.

• A mammographer reviews a screening exam on a mammography workstation. She wishes to discuss the exam with the patient's general practitioner, who does not have a mammography-specific workstation. She saves a structured display, with presentation states for each image that replicate the display rendered by the mammography workstation (scaling, horizontal and vertical alignment, view and laterality annotation, etc.).

Figure OO-7. Mammography Structured Display
PP 3D Ultrasound Volumes (Informative)

PP.1 Purpose of This Annex

The purpose of this annex is to identify the clinical use cases that drove the development of Enhanced US Volume Object Definition for 3D Ultrasound image storage. They represent the clinical needs that must be addressed by interoperable Ultrasound medical devices and compatible workstations exchanging 3D Ultrasound image data. The use cases listed here are reviewed by representatives of the clinical community and are believed to cover most common applications of 3D Ultrasound data sets.

PP.2 3D Ultrasound Clinical Use Cases

PP.2.1 Use Cases

The following use cases consider the situations in which 3D Ultrasound data is produced and used in the clinical setting:

1. An ultrasound scanner generates a Volume Data set consisting of a set of parallel XY planes whose positions are specified relative to each other and/or a transducer frame-of-reference, with each plane containing one or more frames of data of different ultrasound data types. Ultrasound data types include, but are not limited to reflector intensity, Doppler velocity, Doppler power, Doppler variance, etc.

2. An ultrasound scanner generates a set of temporally related Volume Data sets, each as described in Case1. Includes a set of volumes that are acquired sequentially, or acquired asynchronously and reassembled into temporal sequence (such as through the "Spatial-Temporal Image Correlation" (STIC) technique).

3. Any Volume Data set may be operated upon by an application to create one or more Multi-Planar Reconstruction (MPR) views (as in Case7)

4. Any Volume Data set may be operated upon by an application to create one or more Volume Rendered views (as in Case8)

5. Make 3D size measurements on a volume in 3D-space

6. An ultrasound scanner generates 3D image data consisting of one or more 2D frames that may be displayed, including
   a. A single 2D frame
   b. A temporal loop of 2D frames
   c. A loop of 2D frames at different spatial positions and/or orientations positions relative to one another
   d. A loop of 2D frames at different spatial positions, orientations, and/or times relative to one another

7. An ultrasound scanner generates 3D image data consisting of one or more MPR Views that may be displayed as ordinary 2D frames, including
   a. An MPR View
   b. A temporal loop of MPR Views
   c. A loop of MPR Views representing different spatial positions and/or orientations relative to one another
   d. A loop of MPR Views representing different spatial positions, orientations, and/or times relative to one another
   e. A collection of MPR Views related to one another (example: 3 mutually orthogonal MPR Views around the point of intersection)

8. An ultrasound scanner generates 3D image data consisting of one or more Volume Rendered Views that may be displayed as ordinary 2D frames, including
   a. An Rendered View
   b. A temporal loop of Rendered Views
c. A loop of Rendered Views with a varying observer point

d. A temporal loop of Rendered Views with a varying observer point

   Note

   Images in this group are not normally measurable because each pixel in the 2D representation may be comprised of data
   from many pixels in depth along the viewing ray and does not correspond to any particular point in 3D-space.

9. Allow successive display of frames in multi-frame objects in cases 6, 7, and 8.

10 Make size measurements on 2D frames in cases 6, 7, and 8.

11 Separation of different data types allows for independent display and/or processing of image data (for example, color suppression
   to expose tissue boundaries, grayscale suppression for vascular flow trees, elastography, etc.)

12 Represent ECG and other physiological waveforms synchronized to acquired images.

13 Two-stage Retrieval: The clinician initially queries for and retrieves all the images in an exam that are directly viewable as sets of
   frames. Based on the review of these images (potentially on a legacy review application), the clinician may decide to perform ad-
   vanced analysis of a subset of the exam images. Volume Data sets corresponding to those images are subsequently retrieved
   and examined.

14 An ultrasound scanner allows user to specify qualitative patient orientation (e.g., Left, Right, Medial, etc.) along with the image
   data.

15 An ultrasound scanner may maintain a patient-relative frame of reference (obtained such as through a gantry device) along with
   the image data.

16 Fiducial markers that tag anatomical references in the image data may be specified along with the image data.

17 Key Images of clinical interest are identified and either the entire image, or one or more frames or a volume segmentation within
   the image must be tagged for later reference.

**PP.2.2 Hierarchy of Use Cases**

This section organizes the list of use cases into a hierarchy. Section PP.3 maps items in this hierarchy to specific solutions in the
DICOM Standard.

1. Data

   a. 3D Volume Data

      i. Static and Dynamic volume data sets (Cases 1 and 2)

      ii. Suitable for applications that create MPR and Render views (Cases 3 and 4)

      iii. 3D size measurements (Case 5)

   b. 2D representations of 3D volume data (Cases 6, 7, and 8)

      i. Static and Dynamic varieties (Case 9)

      ii. 2D size measurements (Case 10)

   c. Separation of data types (Case 11)

   d. Integrate physiological waveforms with image acquisition (Case 12)

2. Workflow

   a. Permit Two-step review (Case 13)

      i. Review 2D representations first (potentially on legacy viewer)
ii. On-demand operations on 3D volume data set

b. Frame of Reference

i. Frame-relative

ii. Probe-relative

iii. Patient-relative (Cases 14 and 15)

iv. Anatomical (Fiducials) (Case 16)

c. Identify Key images (Case 17)

PP.3 3D Ultrasound Solutions in DICOM

This section maps the use case hierarchy in Section PP.2.2 to specific solutions in the DICOM Standard. As described in items 1a and 1b, there are two different types of data related to 3D image acquisition: the 3D volume data set itself and 2D images derived from the volume data set. See Figure PP.3-1.

![Figure PP.3-1. Types of 3D Ultrasound Source and Derived Images](image)

PP.3.1 3D Volume Data sets

The 3D volume data set is conveyed via the Enhanced US Volume SOP Class, which represents individual 3D Volume Data sets or collections of temporally-related 3D Volume Data sets using the 'enhanced' multi-frame features used by Enhanced Storage SOP Classes for other modalities, including shared and per-frame functional group sequences and multi-frame dimensions. The 3D Volume Data sets represented by the Enhanced Ultrasound IOD (the striped box in Figure PP.3-1) are suitable for Multi-Planar Reconstruction (MPR) and 3D rendering operations. Note that the generation of the Cartesian volume, its relationship to spatially-related 2D frames (whether the volume was created from spatially-related frames, or spatially-related frames extracted from the Cartesian volume), and the algorithms used for MPR or 3D rendering operations are outside the scope of this standard.

Functional Group Macros allow the storage of many parameters describing the acquisition and positioning of the image planes relative to the patient and external frame of references (such as a gantry or probe locating device). These macros may apply to the entire instance (Shared Functional Group) or may vary frame-to-frame (Per-Frame Functional Group).

Multi-frame Dimensions are used to organize the data type, spatial, and temporal variations among frames. Of particular interest is Data Type used as a dimension to relate frames of different data types (like tissue and flow) comprising each plane of an ultrasound image (item 1c in the use case hierarchy). Refer to Section C.8.24.3.3 for the use of Dimensions with the Enhanced US Volume SOP Class.
Sets of temporally-related volumes may have been acquired sequentially or acquired asynchronously and reassembled into a tempo-
ral sequence, such as through Spatial-Temporal Image Correlation (STIC). Regardless of how the temporal volume sequence was
acquired, frames in the resultant volumes are marked with a temporal position value, such as Temporal Position Time Offset (0020,930D)
indicating the temporal position of the resultant volumes independent of the time sequence of the acquisition prior to reassembly into
volumes.

PP.3.2 2D Derived Images

The 2D image types represent collections of frames that are related to or derived from the volume data set, namely Render Views
(projections), separate Multi-Planar Reconstruction (MPR) views, or sets of spatially-related source frames, either parallel or oblique
(the cross-hatched images in Figure PP.3-1). The Ultrasound Image and Ultrasound Multi-frame Image IODs are used to represent
these related or derived 2D images. The US Image Module for the Ultrasound Image Storage and Ultrasound Multi-frame Image
Storage SOP Classes have defined terms for "3D Rendering" (render or MPR views) and "Spatially Related Frames" in value 4 of
the Image Type (0008,0008) attribute to specify that the object contains these views while maintaining backwards compatibility with
Ultrasound review applications for frame-by-frame display, which may be displayed sequentially ("fly-through" or temporal) loop display
or as a side-by-side ("light-box") display of spatially-related slices. Also, the optional Source Image Sequence (0008,2112) and Deriv-
ation Code Sequence (0008,9215) attributes may be included to more succinctly specify the type of image contained in the instance
and the 3D Volume Data set from which it was derived.

2D Derived image instances should be linked to the source 3D Volume Data set through established DICOM reference mechanisms.
This is necessary to support the "Two-Stage Review" use case. Consider the following examples:

1. In the case of a 3D Volume Data set created from a set of spatially-related frames within the ultrasound scanner,
   • the Enhanced US Volume instance should include
     a. Referenced Image Sequence (0008,1140) to the source Ultrasound Image and/or Multi-frame Image instances
     b. Referenced Image Purpose of Reference Code Sequence (0040,A170) using (121346, DCM, "Acquisition frames corresponding
to volume") and the Ultrasound Image and/or Multi-frame Image instances should include:
       a. Referenced Image Sequence (0008,1140) to the 3D Volume Data set
       b. Referenced Image Purpose of Reference Code Sequence (0040,A170) using (121347, DCM, "Volume corresponding to
          spatially-related acquisition frames")
   2. In the case of an Ultrasound Image or Ultrasound Multi-frame Image instance containing one or more of the spatially-related frames
derived from a 3D volume data set, the ultrasound image instance should include:
      a. Source Image Sequence (0008,2112) referencing the Enhanced US Volume instance
      b. Source Image Sequence Purpose of Reference Code Sequence (0040,A170) using (121322, DCM, "Source of Image Processing
         Operation")
      c. Derivation Code Sequence (0008,9215) using (113091, DCM, "Spatially-related frames extracted from the volume")
   3. In the case of separate MPR or 3D rendered views derived from a 3D Volume Data set, the image instance(s) should include:
      a. Source Image Sequence (0008,2112) referencing the Enhanced US Volume instance
      b. Source Image Sequence Purpose of Reference Code Sequence (0040,A170) using (121322, DCM, "Source of Image Processing
         Operation")
      c. Derivation Code Sequence (0008,9215) using CID 7203 "Image Derivation" code(s) describing the specific derivation operation(s)

PP.3.3 Physiological Waveforms Associated With 3D Volume Data sets

ECG or other physiological waveforms associated with an Enhanced US Volume (item 1d in the use case hierarchy) are to be conveyed
via a one or more companion instances of Waveform IODs linked bidirectionally to the Enhanced US Volume instance. Physiological
waveforms associated with Ultrasound image acquisition may be represented using any of the Waveform IODs, and are linked with the Enhanced US Volume instance and to other simultaneous waveforms through the Referenced Instance Sequence in the image instance and each waveform instance. The Synchronization module and the Acquisition DateTime attribute (0018,1800) are used to synchronize the waveforms with the image and each other.

**PP.3.4 Workflow Considerations**

The use case of two-step review (item 2a in the use case hierarchy) is addressed by the use of separate SOP Classes for 2D and 3D data representations. A review may initially be performed on the Ultrasound Image and Ultrasound Multi-frame image instances created during the study. If additional operations on the 3D volume data set are desired, the Enhanced US Volume instance referenced in the Source Image Sequence of the derived object may be individually retrieved and operated upon by an appropriate application.

The 3D volume data set spatially relates individual frames of the image to each other using the Transducer Frame of Reference defined in Section C.8.24.2 in PS3.3 (items 2b in the use case hierarchy). This permits alignment of frames with each other in the common situation where a hand-held ultrasound transducer is used without an external frame of reference. However, the Transducer Frame of Reference may in turn be related to an external Frame of Reference through the Transducer Gantry Position and Transducer Gantry Orientation attributes. This would permit the creation of optional Image Position and Orientation values relative to the Patient when this information is available. In addition to these frames of reference, the spatial registration, fiducials, segmentation, and deformation objects available for other Enhanced objects may also be used with the Enhanced US Volume instances.

The Key Object Selection Document SOP Class may be used to identify specific Enhanced US Volume instances of particular interest (item 2d in the use case hierarchy).
QQ Enhanced US Data Type Blending Examples (Informative)

QQ.1 Enhanced US Volume Use of the Blending and Display Pipeline

This Annex contains a number of examples illustrating Ultrasound's use of the Blending and Display Pipeline. An overview of the examples included is found in Table QQ.1-1.

Table QQ.1-1. Enhanced US Data Type Blending Examples (Informative)

<table>
<thead>
<tr>
<th>Example</th>
<th>Data Types</th>
<th>Blending RGB Inputs</th>
<th>Mapping</th>
<th>Blending Operation</th>
<th>Blending Weight Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>TISSUE_INTENSITY</td>
<td>NA</td>
<td>Identity</td>
<td>None</td>
<td>NA</td>
</tr>
<tr>
<td>2</td>
<td>TISSUE_INTENSITY</td>
<td>RGB1 = grayscale</td>
<td>Grayscale</td>
<td>Output = RGB1</td>
<td>Weight 1 = 1.0 (constant)</td>
</tr>
<tr>
<td></td>
<td>TISSUE_INTENSITY</td>
<td></td>
<td></td>
<td>Weight 2 = 0.0 (constant)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>TISSUE_INTENSITY</td>
<td>RGB1 = f(TISSUE_INTENSITY)</td>
<td>Colorized</td>
<td>Output = RGB1</td>
<td>Weight 1 = 1.0 (constant)</td>
</tr>
<tr>
<td></td>
<td>TISSUE_INTENSITY</td>
<td></td>
<td></td>
<td>Weight 2 = 0.0 (constant)</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>TISSUE_INTENSITY</td>
<td>RGB1 = grayscale</td>
<td>Grayscale</td>
<td>Output = proportional summation of RGB1 and RGB2</td>
<td>Weight 1 = constant</td>
</tr>
<tr>
<td></td>
<td>FLOW_VELOCITY</td>
<td>RGB2 = g(FLOW_VELOCITY)</td>
<td>Colorized</td>
<td>Weight 2 = constant</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>TISSUE_INTENSITY</td>
<td>RGB1 = grayscale</td>
<td>Grayscale</td>
<td>Threshold based on FLOW_VELOCITY</td>
<td>Weight 1 = 1 - Alpha 2</td>
</tr>
<tr>
<td></td>
<td>FLOW_VELOCITY</td>
<td>RGB2 = g(FLOW_VELOCITY)</td>
<td>Colorized</td>
<td></td>
<td>Weight 2 = constant</td>
</tr>
<tr>
<td>6</td>
<td>TISSUE_INTENSITY</td>
<td>RGB1 = grayscale</td>
<td>Grayscale</td>
<td>Threshold based on FLOW_VELOCITY (MSB) and FLOW_VARIANCE (LSB) with 2-dimensional color mapping</td>
<td>Weight 1 = 1 - Alpha 2</td>
</tr>
<tr>
<td></td>
<td>FLOW_VELOCITY</td>
<td>RGB2 = g(FLOW_VELOCITY)</td>
<td>Colorized</td>
<td></td>
<td>Weight 2 = Alpha 2</td>
</tr>
<tr>
<td>7</td>
<td>TISSUE_INTENSITY</td>
<td>RGB1 = f(TISSUE_INTENSITY)</td>
<td>Colorized</td>
<td>Combination based on all data value inputs with colorized tissue and colorized 2-dimensional color mapping of flow and variance.</td>
<td>Weight 1 = Alpha 1</td>
</tr>
<tr>
<td></td>
<td>FLOW_VELOCITY</td>
<td>RGB2 = g(FLOW_VELOCITY)</td>
<td>Colorized</td>
<td></td>
<td>Weight 2 = Alpha 2</td>
</tr>
<tr>
<td></td>
<td>FLOW_VARIANCE</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

In the examples below, the following attributes are referenced:

- Data Type (0018,9808)
- Data Path Assignment (0028,1402)
- Bits Mapped to Color Lookup Table (0028,1403)
- Blending LUT 1 Transfer Function (0028,1405)
- Blending LUT 2 Transfer Function (0028,140D)
• Blending Weight Constant (0028,1406)
• RGB LUT Transfer Function (0028,140F)
• Alpha LUT Transfer Function (0028,1410)
• Red Palette Color Lookup Table Descriptor (0028,1101)
• Red Palette Color Lookup Table Data (0028,1201)
• Green Palette Color Lookup Table Descriptor (0028,1102)
• Green Palette Color Lookup Table Data (0028,1202)
• Blue Palette Color Lookup Table Descriptor (0028,1103)
• Blue Palette Color Lookup Table Data (0028,1203)
• Alpha Palette Color Lookup Table Descriptor (0028,1104)
• Alpha Palette Color Lookup Table Data (0028,1204)

**QQ.1.1 Example 1 - Grayscale P-Values Output**

Grayscale pass through for 1 data frame using identity Presentation LUT:

**Usage**

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Data Path Assignment</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>TISSUE_INTENSITY</td>
<td>PRIMARY_PVALUES</td>
<td>Grayscale</td>
</tr>
</tbody>
</table>

![Diagram](image_url)

*Figure QQ.1-1. Example 1*

**QQ.1.2 Example 2 - Grayscale-only Color Output**

Grayscale mapping only from 1 data frame:

• Weight 1:
  • Blending LUT 1 Transfer Function = CONSTANT
• Blending Weight Constant = 1.0

• Weight 2:
  • Blending LUT 2 Transfer Function = CONSTANT
  • Blending Weight Constant = 0.0

• Primary Palette Color Lookup Table
  • RGB LUT Transfer Function = EQUAL_RGB
  • Alpha LUT Transfer Function = not significant with these Blending LUT Transfer Function values

• Secondary Palette Color Lookup Table
  • <none>

Note

Compared to Example 1, the perceived contrast of the displayed grayscale image will likely be different as a consequence of the use of PCS-Values as opposed to P-Values unless color management software interpreting the PCS-Values attempts to approximate the Grayscale Standard Display Function. This is true regardless of whether a color or grayscale display is used.

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Data Path Assignment</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>TISSUE_INTENSITY</td>
<td>PRIMARY_SINGLE</td>
<td>Mapped to Grayscale</td>
</tr>
</tbody>
</table>

Figure QQ.1-2. Example 2

**QQ.1.3 Example 3 - Color Tissue (Pseudo-color) Mapping**

Grayscale mapping only from 1 data frame:

• Weight 1:
  • Blending LUT 1 Transfer Function = CONSTANT
  • Blending Weight Constant = 1.0
• Weight 2:
  • Blending LUT 2 Transfer Function = CONSTANT
  • Blending Weight Constant = 0.0

• Primary Palette Color Lookup Table
  • RGB LUT Transfer Function = TABLE
  • Alpha LUT Transfer Function = not significant with these Blending LUT Transfer Function values
  • Red, Green, and Blue Palette Color Lookup Table Descriptors and Data included

• Secondary Palette Color Lookup Table
  • <none>

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Data Path Assignment</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>TISSUE_INTENSITY</td>
<td>PRIMARY_SINGLE</td>
<td>Mapped through Palette Color Lookup Table</td>
</tr>
</tbody>
</table>

Figure QQ.1-3. Example 3

QQ.1.4 Example 4 - Fixed Proportion Additive Grayscale Tissue and Color Flow

Grayscale mapping from primary data frame and color mapping from secondary data frame:

• Weight 1:
  • Blending LUT 1 Transfer Function = CONSTANT
  • Blending Weight Constant = value between 0.0 and 1.0, inclusive

• Weight 2:
  • Blending LUT 2 Transfer Function = CONSTANT
  • Blending Weight Constant = value between 0.0 and 1.0, inclusive

• Primary Palette Color Lookup Table
• RGB LUT Transfer Function = EQUAL_RGB
• Alpha LUT Transfer Function = not significant with these Blending LUT Transfer Function values

• Secondary Palette Color Lookup Table
  • RGB LUT Transfer Function = TABLE
  • Alpha LUT Transfer Function = not significant with these Blending LUT Transfer Function values
  • Red, Green, and Blue Palette Color Lookup Table Descriptors and Data included

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Data Path Assignment</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>TISSUE_INTENSITY</td>
<td>PRIMARY_SINGLE</td>
<td>Mapped to Grayscale</td>
</tr>
<tr>
<td>FLOW_VELOCITY</td>
<td>SECONDARY_SINGLE</td>
<td>Mapped through Palette Color Lookup Table</td>
</tr>
</tbody>
</table>

![Image of a flow diagram]

**Figure QQ.1-4. Example 4**

**QQ.1.5 Example 5 - Threshold Based On Flow_velocity**

Each output value is either the grayscale tissue intensity value or the colorized flow velocity value based on the magnitude of the flow velocity sample value:

- Weight 1:
  - Blending LUT 1 Transfer Function = ALPHA_2
- Weight 2:
  - Blending LUT 2 Transfer Function = ONE_MINUS

• Primary Palette 2 Transfer Lookup Table
  • RGB LUT Transfer Function = EQUAL_RGB
  • Alpha LUT Transfer Function = not significant with these Blending LUT Transfer Function values
• Secondary Palette Color Lookup Table
- RGB LUT Transfer Function = TABLE
- Alpha LUT Transfer Function = TABLE
- Red, Green, Blue, and Alpha Palette Color Lookup Table Descriptors and Data included
- All Alpha Palette Color Lookup Table Data values (normalized) are either 0.0 or 1.0

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Data Path Assignment</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>TISSUE_INTENSITY</td>
<td>PRIMARY_SINGLE</td>
<td>Mapped to Grayscale</td>
</tr>
<tr>
<td>FLOW_VELOCITY</td>
<td>SECONDARY_SINGLE</td>
<td>Mapped through Palette Color Lookup Table</td>
</tr>
</tbody>
</table>

![Figure QQ.1-5. Example 5](image)

**QQ.1.6 Example 6 - Threshold Based On Flow_velocity and Flow_variance W/2d Color Mapping**

Each output value is either the grayscale tissue intensity value or a colorized flow/variance value determined by a 2-dimensional Secondary RGB Palette Color Lookup Table, based on flow/variance values. The colorized flow/variance value comes from a 2-dimensional Secondary RGB Palette Color LUT:

- Weight 1:
  - Blending LUT 1 Transfer Function = ALPHA_2
- Weight 2:
  - Blending LUT 2 Transfer Function = ONE_MINUS
- Primary Palette Color Lookup Table
  - RGB LUT Transfer Function = EQUAL_RGB
  - Alpha LUT Transfer Function = not significant with these Blending LUT Transfer Function values
- Secondary Palette Color Lookup Table
  - RGB LUT Transfer Function = TABLE
  - Alpha LUT Transfer Function = TABLE
- Red, Green, Blue, and Alpha Palette Color Lookup Table Descriptors and Data included
- All Alpha Palette Color Lookup Table Data values (normalized) are either 0.0 or 1.0

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Data Path Assignment</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>TISSUE_INTENSITY</td>
<td>PRIMARY_SINGLE</td>
<td>Mapped to Grayscale</td>
</tr>
<tr>
<td>FLOW_VELOCITY</td>
<td>SECONDARY_HIGH</td>
<td>MSBs of index to Palette Color LUT</td>
</tr>
<tr>
<td>FLOW_VARIANCE</td>
<td>SECONDARY_LOW</td>
<td>LSBs of index to Palette Color LUT</td>
</tr>
</tbody>
</table>

**Figure QQ.1-6. Example 6**

**QQ.1.7 Example 7 - Color Tissue / Velocity / Variance Mapping - Blending Considers Both Data Paths**

Each output value is a combination of colorized tissue intensity and a colorized flow/variance value determined by a 2-dimensional Secondary RGB Palette Color Lookup Table using the upper 5 bits of the FLOW_VELOCITY value and upper 3 bits of the FLOW_VARIANCE value to allow the use of 256-value Secondary Palette Color Lookup Tables. The blending proportion is based on values from both data paths. If the sum of the two RGB values exceeds 1.0, the value is clamped to 1.0. The colorized flow/variance value comes from a 2-dimensional Secondary RGB Palette Color LUT:

- **Weight 1:**
  - Blending LUT 1 Transfer Function = ALPHA_1
- **Weight 2:**
  - Blending LUT 2 Transfer Function = ALPHA_2
- **Primary Palette Color Lookup Table**
  - RGB LUT Transfer Function = TABLE
  - Alpha LUT Transfer Function = TABLE
- **Red, Green, Blue, and Alpha Palette Color Lookup Table Descriptors and Data included**
- **Secondary Palette Color Lookup Table**
• RGB LUT Transfer Function = TABLE
• Alpha LUT Transfer Function = TABLE
• Red, Green, Blue, and Alpha Palette Color Lookup Table Descriptors and Data included

<table>
<thead>
<tr>
<th>Data Type</th>
<th>Data Path Assignment</th>
<th>Bits Mapped To Color Lookup Table</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>TISSUE_INTENSITY</td>
<td>PRIMARY_SINGLE</td>
<td>8</td>
<td>Mapped through Palette Color Lookup Table</td>
</tr>
<tr>
<td>FLOW_VELOCITY</td>
<td>SECONDARY_HIGH</td>
<td>5</td>
<td>MSBs of index to Palette Color LUT</td>
</tr>
<tr>
<td>FLOW_VARIANCE</td>
<td>SECONDARY_LOW</td>
<td>3</td>
<td>LSBs of index to Palette Color LUT</td>
</tr>
</tbody>
</table>

Figure QQ.1-7. Example 7
RR Ophthalmic Refractive Reports Use Cases (Informative)

RR.1 Introduction

Refractive instruments are the most commonly used instruments in eye care. At present many of them have the capability for digital output, but their data is most often addressed by manual input into a paper or electronic record.

Refractive instruments address the power of a lens or of a patient's eye to bend light. In order for a patient to see well light must be focused on the retina in the back of the eye. If the natural optics of a patient's eye do not accomplish this, corrective lenses can bend incident light so that it will be focused on the retina after passing through the optics of the eye. The power of an optical system such as a spectacle lens or the eye is measured by its ability to bend light, and is measured in diopters (D). In practical clinical applications, this is measured to 3 decimal points, in increments of 0.125 D. The power of a lens is measured in at least two major meridians. A spherical lens power occurs when the power is the same in all meridians (0-180 degrees). A cylindrical lens power occurs when there is a difference in lens power across the various meridians. The shape of the anterior surface of the eye largely determines what type of correcting lens is needed. An eye that requires only spherical lens power is usually shaped spherically, more like a ball, while an eye that requires cylindrical lens power is ellipsoid and shaped more like a football.

Lenses can also bend light without changing its focal distance. This type of refraction simply displaces the position of the image laterally. The power of a prism to bend light is measured in prism diopters. In practical clinical applications this is measured to 1 decimal point, in increments of 0.5 prism diopters. Prism power is required in a pair of spectacles most commonly when both eyes are not properly aligned with the object of regard. Clinical prisms are considered to bend all light coming in from the lens either up, down, in toward the nose, or out away from the nose, in order to compensate for ocular misalignment.

Visual acuity is measured in various scales, all of which indicate a patient's vision as a fraction of what a reference standard patient would see at any given distance. For example, if a patient has 20/30 vision it means that he sees from a distance of 20 feet what a reference standard patient would see from a distance of 30 feet. These measurements are determined by presentation of standardized objects or symbols (optotypes) of varying sizes calibrated to reference standard vision (20/20). The smallest discernible optotype defines the patient's visual acuity expressed in a variety of formats (letters, numbers, pictures, tumbling E, Landolt C, etc).

Visual acuity is measured in two categories of viewing distances: distance, and near. Distance visual acuity is measured at 20' or six meters. This distance is roughly equivalent to optical infinity for clinical purposes. The near viewing distance can vary from 30cm to 75 cm depending on a variety of other conditions, but most commonly is measured at 40 cm.

Visual acuity is measured under several common viewing conditions: 1) Uncorrected vision is measured using the autoprojector to project the above mentioned optotypes for viewing, with no lenses in front of the patient's eyes. The line of smallest optotypes of which the patient can see more than half is determined, and that information is uploaded to a computer system. 2) The patient's vision using habitual correction is measured in a similar fashion using whichever vision correction the patient customarily wears. 3) Pinhole vision is measured in a similar fashion, with the patient viewing the optotypes through a pinhole occluder held in front of the eye. Pinhole visual acuity testing reduces retinal blur, providing an approximation of what the patient's vision should be with the best possible refractive correction (spectacles) in place. 4) Best corrected visual acuity is the visual acuity with the best refractive correction in place. 5) Crowding visual acuity measures the presence and amount of disparity in acuity between single optotype and multiple optotype presentations.

A patient's spectacle prescription may or may not represent the same lenses that provided best corrected visual acuity in his refraction. Subjective comfort plays a role in determining the final spectacle prescription.

1. Autolensometer: an autolensometer is used to measure the refractive power of a patient's spectacles. This is done by the automatic analysis of the effect of the measured lens upon a beam of light passing through it. Output from an autolensometer can be uploaded to a phoropter to provide a baseline for subjective refraction (discussed below), and it can be uploaded to a computerized medical record. Lenses may also be measured to confirm manufacturing accuracy.

2. Autorefractor: an autorefractor is used to automatically determine, without patient input, what refractive correction should provide best corrected visual acuity. Output from an autorefractor can be uploaded to a phoropter to provide a baseline for subjective refraction (discussed below), and it can be uploaded to a computerized medical record.
3. Phoropter (or phoroptor): an instrument containing multiple lenses, that is used in the course of an eye exam to determine the individual's subjective response to various lenses (subjective refraction) and the need for glasses or contact lenses. The patient looks through the phoropter lenses at an eye chart that may be at 20 ft or 6m or at a reading chart that may be at 40 cm. Information from the subjective refraction can be uploaded from an autophoropter to a computer. The best corrected vision that was obtained is displayed in an autoprojector, and that information can also be uploaded to a computer.

4. Autokeratometer: an autokeratometer is used to measure the curvature, and thus the refractive power, of a patient's cornea. Two measurements are generally taken, one at the steepest and one at the flattest meridian of the cornea. The meridian measured is expressed in degrees, whole integers, in increments of 1 degree. If the measurement is expressed as power, the unit of measurement is diopters, to 3 decimal points, in increments of 0.125D. If the measurement is expressed as radius of curvature, the unit of measurement is millimeters, to 2 decimal points, in increments of 0.01 mm.

**RR.2 Reference Tables For Equivalent Visual Acuity Notations**

**RR.2.1 Background**

Visual acuity is defined as the reciprocal of the ratio between the letter size that can just be recognized by a patient, relative to the size just recognized by a standard eye. If the patient requires letters that are twice as large (or twice as close), the visual acuity is said to be 1/2; if the letters need to be 5x larger, visual acuity is 1/5, and so on.

Note that the scales in the tables extend well above the reference standard (1.0, 20/20, the ability to recognize a letter subtending a visual angle 5 min. of arc), since normal acuity is often 1.25 (20/16), 1.6 (20/12.5) or even 2.0 (20/10).

Today, the ETDRS chart and ETDRS protocol, established by the National Eye Institute in the US, are considered to represent the de facto gold standard for visual acuity measurements. The International Council Of Ophthalmology, Visual Standard, Aspects and Ranges of Vision Loss (April, 2002) is a good reference document.

The full ETDRS protocol requires a wide chart, in the shape of an inverted triangle, on a light box, and cannot be implemented on the limited screen of a projector (or similar) chart.

For most routine clinical measurements projector charts or traditional charts with a rectangular shape are used; these non-standardized tools are less accurate than ETDRS measurements.

This appendix contains two lookup tables, one for traditional charts and one for ETDRS measurements.

**RR.2.2 Notations**

Various notations may be used to express visual acuity. Snellen (in 1862) used a fractional notation in which the numerator indicated the actual viewing distance; this notation has long been abandoned for the use of equivalent notations, where the numerator is standardized to a fixed value, regardless of the true viewing distance. In Europe the use of decimal fractions is common (1/2 = 0.5, 1/5 = 0.2); in the US the numerator is standardized at 20 (1/2 = 20/40, 1/5 = 20/100), while in Britain the numerator 6 is common (1/2 = 6/12, 1/5 = 6/30).

The linear scales on the right side of the tables are not meant for clinical records. They are required for statistical manipulations, such as calculation of differences, trends and averages and preferred for graphical presentations. They convert the logarithmic progression of visual acuity values to a linear one, based on Weber-Fechner's law, which states that proportional stimulus increases lead to linear increases in perception.

The logMAR scale is calculated as log (MAR) = log (1/V) = - log (V). LogMAR notation is widely used in scientific publications. Note that it is a scale of vision loss, since higher values indicate poorer vision. The value "0" indicates "no loss", that is visual acuity equal to the reference standard (1.0, 20/20). Normal visual acuity (which is better than 1.0 (20/20) ) is represented by negative logMAR values.

The VAS scale (VAS = Visual Acuity Score) serves the same purpose. Its formula is: 100 - 50 x logMAR or 100 + 50 x log (V). It is more user friendly, since it avoids decimal values and is more intuitive, since higher values indicate better vision. The score is easily calculated on ETDRS charts, where 1 point is credited for each letter read correctly. The VAS scale also forms the basis for the calculation of visual impairment ratings in the AMA Guides to the Evaluation of Permanent Impairment.
RR.2.3 Use of The Lookup Table

Data input: Determine the notation used in the device and the values of the lines presented. No device will display all the values listed in each of the traditional columns. Convert these values to the decimal DICOM storage values shown on the left of the same row. DICOM values are not meant for data display. In the table, they are listed in scientific notation to avoid confusion with display notations.

In the unlikely event that a value must be stored that does not appear in the lookup table, calculate the decimal equivalent and round to the nearest listed storage value.

Data display: If the display notation is the same as the input notation, convert the DICOM storage values back to the original values. If the notation chosen for the display is different from the input notation, choose the value on the same row from a different column. In certain cases this may result in an unfamiliar notation; unfortunately, this is unavoidable, given the differences in size progressions between different charts. If a suffix (see attribute "Visual Acuity Modifiers" (0046,0135) ) is present, that suffix will be displayed as it was recorded.

Suffixes: Suffixes may be used to indicate steps that are smaller than a 1 line difference. On traditional charts, such suffixes have no defined numerical value. Suffixes +1, +2, +3 and -1, -2, -3 may be encountered. These suffixes do not correspond to a defined number of rows in the table.

RR.2.4 Traditional Charts

The Traditional charts used in clinical practice are not standardized; they have an irregular progression of letter sizes and a variable number of characters per line. Measurement accuracy may further suffer from hidden errors that cannot be captured by any recording device, such as an inconsistent, non-standardized protocol, inaccurate viewing distance, inaccurate projector adjustment and contrast loss from room illumination. Therefore, the difference between two routine clinical measurements should not be considered significant, unless it exceeds 5 rows in the table (1 line on an ETDRS chart).

Table RR-1 contains many blank lines to make the vertical scale consistent with that used in Table RR-2. Notations within the same gray band are interchangeable for routine clinical use, since their differences are small compared to the clinical variability, which is typically in the order of 5 rows (1 ETDRS line).

Table RR-1. Reference Table for Use with Traditional Charts

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## RR.2.5 ETDRS Charts

ETDRS charts feature Sloan letters with proportional spacing, 5 letters on each line, and a logarithmic progression of letter sizes with consistent increments of approximately 25% per line (10 lines equal a factor 10x). The ETDRS protocol specifies letter-by-letter scoring, viewing distance, illumination, use of different charts for right and left eye and other presentation parameters.

The full ETDRS protocol requires a wide chart on a light box, and cannot be implemented on the limited screen of a projector (or similar) chart. The logarithmic progression, however, can be implemented on any device. This progression was first proposed by John Green in 1868 and follows the standard "Preferred Numbers, ISO standard 3 (1973) " series and the rounding preferences.

Use of ETDRS charts allows use of letter-by-letter scoring, which is more accurate than the line-by-line scoring used on traditional charts. Each row in the table is equivalent to 1 letter on an ETDRS chart (50 letters for a factor 10x). These steps are smaller than the just discernible difference; steps this small only become significant in statistical studies where a large number of measurements is averaged.

The smaller steps for letter by letter scoring may be expressed in two ways; either by using suffixes to a familiar (sometimes slightly rounded) set of values or by using calculated values. For clinical use suffixes have the advantage of using only familiar acuity notations and reverting to the nearest clinical notation when the suffix is omitted. Calculated values look less familiar; but are sometimes used in statistical studies. Note that suffixes used in the context of an ETDRS chart have a defined value and affect the DICOM storage value, whereas suffixes used in the context of traditional charts do not.
### Table RR-2. Reference Table for Use with ETDRS Charts or Equivalent

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### Scales for statistics and graphical displays

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<td>6/48</td>
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<td>6/95</td>
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<td>6/190</td>
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<td>+ +</td>
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<td>+</td>
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<td>6/300</td>
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<td>+ +</td>
<td>+ +</td>
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<td>----------------------------------------------------------</td>
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<td>Use with suffixes</td>
<td>Calculated values</td>
</tr>
<tr>
<td></td>
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<td>US</td>
<td>6 m</td>
</tr>
<tr>
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<td>+ +</td>
<td>+ +</td>
</tr>
<tr>
<td>1.05 E-02</td>
<td>+</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
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<td>0.010</td>
<td>20/2000</td>
<td>6/600</td>
</tr>
</tbody>
</table>
SS Colon CAD (Informative)

SS.1 Colon CAD SR Content Tree Structure

The templates for the Colon CAD SR IOD are defined in Colon CAD SR IOD Templates in PS3.16. All relationships defined in the Colon CAD SR IOD templates are by-value. Content items referenced from another SR object instance, such as a prior Colon CAD SR, are inserted by-value in the new SR object instance, with appropriate original source observation context. It is necessary to update Rendering Intent, and referenced content item identifiers for by-reference relationships, within content items paraphrased from another source.

![Diagram of Colon CAD SR Content Tree]

**Figure SS.1-1. Top Levels of Colon CAD SR Content Tree**

The Document Root, Image Set Properties, CAD Processing and Findings Summary, and Summaries of Detections and Analyses sub-trees together form the content tree of the Colon CAD SR IOD. See Annex E for additional explanation of the Summaries of Detections and Analyses sub-trees.

The identification of a polyp within an image set is considered to be a Detection. The temporal correlation of a polyp in two image sets taken at different times is considered Analysis. This distinction is used in determining whether to place algorithm identification information in the Summary of Detections or Summary of Analyses sub-trees.

Once a Single Image Finding or Composite Feature has been instantiated, it may be referenced by any number of Composite Features higher in the CAD Processing and Findings Summary sub-tree.

SS.2 Colon CAD SR Observation Context Encoding

Any content item in the Content tree that has been inserted (i.e., duplicated) from another SR object instance has a HAS OBS CONTEXT relationship to one or more content items that describe the context of the SR object instance from which it originated. This mechanism may be used to combine reports (e.g., Colon CAD SR 1, Colon CAD SR 2, Human).

The CAD Processing and Findings Summary section of the SR Document Content tree of a Colon CAD SR IOD may contain a mixture of current and prior single image findings and composite features. The content items from current and prior contexts are target content items that have a by-value INFERRED FROM relationship to a Composite Feature content item. Content items that come from a context other than the Initial Observation Context have a HAS OBS CONTEXT relationship to target content items that describe the context of the source document.
In Figure SS.2-1, Composite Feature and Single Image Finding are current, and Single Image Finding (from Prior) is duplicated from a prior document.

![Diagram showing Composite Feature and Single Image Finding](image)

**Figure SS.2-1. Example of Use of Observation Context**

### SS.3 Colon CAD SR Examples

The following is a simple and non-comprehensive illustration of an encoding of the Colon CAD SR IOD for colon computer aided detection results. For brevity, some mandatory content items are not included.

#### SS.3.1 Example 1: Colon Polyp Detection With No Findings

A colon CAD device processes a typical screening colon case, i.e., there are several hundred images and no polyp findings. Colon CAD runs polyp detection successfully and finds nothing.

The colon radiograph resembles:

![Colon CT Slice](image)

**Figure SS.3-1. Colon Radiograph as Described in Example 1**

The content tree structure would resemble:

<table>
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<tr>
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<th>Code Meaning of Concept Name</th>
<th>Code Meaning or Example Value</th>
<th>TID</th>
</tr>
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<tbody>
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</tr>
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<td>Language of Content Item and Descendants</td>
<td>English</td>
<td>TID 1204</td>
</tr>
<tr>
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<td></td>
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<td>TID 4122</td>
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<td>Code Meaning or Example Value</td>
<td>TID</td>
</tr>
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<td>------------------------------</td>
<td>------</td>
</tr>
<tr>
<td>1.2.4</td>
<td>Study Time</td>
<td>090807</td>
<td>TID 4122</td>
</tr>
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<td>1.2.5</td>
<td>Modality</td>
<td>CT</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.6</td>
<td>Horizontal Pixel Spacing</td>
<td>0.80 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.7</td>
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<td>0.80 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.8</td>
<td>Slice Thickness</td>
<td>2.5 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.9</td>
<td>Spacing between slices</td>
<td>1.5 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.10</td>
<td>Recumbent Patient Position with respect to gravity</td>
<td>Prone</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.3</td>
<td>CAD Processing and Findings Summary</td>
<td>All algorithms succeeded; without findings</td>
<td>TID 4121</td>
</tr>
<tr>
<td>1.4</td>
<td>Summary of Detections</td>
<td>Succeeded</td>
<td>TID 4120</td>
</tr>
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</table>

**SS.3.2 Example 2: Colon Polyp Detection With Findings**

A colon CAD device processes a screening colon case with several hundred images, and a colon polyp detected. The colon radiograph resembles:

![Colon CT Slice](image)

Figure SS.3-2. Colon radiograph as Described in Example 2

The content tree structure in this example is complex. Structural illustrations of portions of the content tree are placed within the content tree table to show the relationships of data within the tree. Some content items are duplicated (and shown in boldface) to facilitate use of the diagrams.
Figure SS.3-3. Content Tree Root of Example 2 Content Tree

The content tree structure would resemble:

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<td>English</td>
<td>TID 1204</td>
</tr>
<tr>
<td>1.2</td>
<td>Image Set Properties</td>
<td></td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.3</td>
<td>CAD Processing and Findings Summary</td>
<td>All algorithms succeeded; with findings</td>
<td>TID 4121</td>
</tr>
<tr>
<td>1.4</td>
<td>Summary of Detections</td>
<td>Succeeded</td>
<td>TID 4120</td>
</tr>
<tr>
<td>1.5</td>
<td>Summary of Analyses</td>
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<td>TID 4120</td>
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</table>

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<td>Modality</td>
<td>CT</td>
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</tr>
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<td>1.2.6</td>
<td>Horizontal Pixel Spacing</td>
<td>0.80 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.7</td>
<td>Vertical Pixel Spacing</td>
<td>0.80 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.8</td>
<td>Slice Thickness</td>
<td>2.5 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.9</td>
<td>Spacing between slices</td>
<td>1.5 mm</td>
<td>TID 4122</td>
</tr>
<tr>
<td>1.2.10</td>
<td>Recumbent Patient Position with respect to gravity</td>
<td>Prone</td>
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## Figure SS.3-4. CAD Processing and Findings Summary Portion of Example 2 Content Tree

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</table>
**SS.3.3 Example 3: Colon Polyp Detection, Temporal Differencing With Findings**

The patient in Example 2 returns for another colon radiograph. A more comprehensive colon CAD device processes the current colon radiograph, and analyses are performed that determine some temporally related content items for Composite Features. Portions of the prior colon CAD report (Example 2) are incorporated into this report. In the current colon radiograph the colon polyp has increased in size.
**Figure SS.3-7. Colon radiographs as Described in Example 3**

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<td>Recumbent Patient Position with respect to gravity</td>
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The CAD processing and findings consist of one composite feature, comprised of single image findings, one from each year. The temporal relationship allows a quantitative temporal difference to be calculated:
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TT Stress Testing Report Template (Informative)

The Stress Testing Report is based on TID 3300 “Stress Testing Report”. The first part of the report contains sections (containers) describing the patient characteristics (height, weight, etc.), medical history, and presentation at the time of the exam.

The next part describes the technical aspects of the exam. It includes zero or more findings containers, each corresponding to a phase of the stress testing procedure. Within each container may be one or more sub-containers, each associated with a single measurement set. A measurement set consists of measurements at a single point in time. There are measurement sets defined for both stress monitoring and for imaging.

The final part of the report includes a summary of significant findings or measurements, and any conclusions or recommendations.

The resulting hierarchical structure is depicted in Figure TT-1.
UU Macular Grid Thickness and Volume Report Use Cases (Informative)

UU.1 Introduction

Ophthalmologists use OPT data to diagnose and characterize tissues and abnormalities in transverse and axial locations within the eye. For example, an ophthalmologist might request an OPT of the macula, the optic nerve or the cornea in either or both eyes for a given patient. Serial reports can be compared to monitor disease progression and response to treatment. OPT devices produce two categories of clinical data: B-scan images and tissue measurements.

UU.2 Use of B-scan Images

Prior to interpreting an OPT B-scan (or set of B-scans), users must first determine if the study is of adequate quality to answer the diagnostic question. Examples of inadequate studies include:

- The pathology that needs to be visualized does not appear within the field of the scan.
- The image quality is not sufficient to see the tissue layers of interest (i.e., media opacity, blink, etc).
- The scans are not in the expected anatomic order (i.e., due to eye movements).

In some cases, inadequate images can be corrected by capturing another scan in the same area. However, in other cases, the patient's eye disease interferes with visualization of the tissues of interest making adequate image quality impossible. Ideally, when choosing between multiple scans of the same tissue area, physicians would have access to information about the above questions so they can select only the best scan(s).

The physician may then choose to view and assess each B-scan in the data set individually. When assessing OPT B-scans, ophthalmologists often identify normal or expected tissue boundaries first, then proceed to identify abnormal interfaces or structures next. The identification of pathology is both qualitative (i.e., does a structure exist) and quantitative (i.e., how thick is it). If previous scans are present for this patient, the physician may choose to compare the most recent scan data with prior visits. Due to workflow constraints, it may be difficult for B-scan interpretations to happen on the same machine that captures the images. Therefore, remote image assessment, such as image viewing in the examining room with the patient, is optimal.

UU.3 Use of Tissue Measurements

In addition to viewing B-scan image data, clinicians also use quantitative measurements of tissue thicknesses or volumes extracted automatically from the OPT images. As with image quality, the accuracy of automated segmentation must be assessed prior to use of the numerical measurements based on these boundaries. This is typically accomplished by visual inspection of boundary lines placed on the OPT images but also can be inferred from analysis confidence measurements provided by the device software. In addition to segmentation accuracy, it is also important to determine if the region of interest has been aligned appropriately with the intended sampling area of the OPT.

The analysis software application segments OPT images using the raw data of the instrument to quantify tissue optical reflectivity and location in longitudinal scan or B-scan images. Many boundaries can be identified automatically with software algorithms, see Figure UU.3-1.
UU.4 Axial Measurements

The innermost (anterior) layer of the retina, the internal limiting membrane (ILM) is often intensely hyperreflective and defines the innermost border of the nerve fiber layer. The nerve fiber layer (NFL) is bounded posteriorly by the ganglion cell layer and is not visible within the central foveal area. In high quality OPT scans, the sublamina of the inner plexiform layer may be identifiable. The external limiting membrane is the subtle interface between the outer nuclear layer and the photoreceptors. The junction between the photoreceptor inner segments and outer segments (IS/OS junction) is often intensely hyperreflective and in time domain OPT systems, was thought to represent the outermost boundary of the retina. Current thought, however, suggests that the photoreceptors extend up to the next bright interface, often referred to as the retinal pigment epithelium (RPE) interdigitation. This interface may be more than 35 micrometers beyond the IS/OS junction. When three high intensity lines are not present under the retina, however, this interdigitation area may not be visible. The next bright region typically represents the RPE cell bodies, which consist of a single layer of cuboidal cells with reflective melanosomes oriented at the innermost portion of the cells. Below the RPE cells is a structure called Bruch's membrane, which is contiguous with the outer RPE cell membrane.

The axial thickness and volume of tissue layers can be measured using the boundaries defined above. For example, the nerve fiber layer is typically measured from the innermost ILM interface to the interface of the NFL with the retina. Time domain OPT systems measure retinal thickness as the axial distance between the innermost ILM interface and the IS/OS junction. However, high resolution OPT systems now offer the potential to measure true retinal thickness (ILM to outermost photoreceptor interface) in addition to variants that include tissue and fluid that may intervene between the retina and the RPE. The RPE layer is measured from the innermost portion of the RPE cells, which is the hyper reflective melanin-containing layer to the outermost highly reflective interface. Pathologic structures that may intervene between normal tissue layers may obscure their appearance but often can be measured using the same methods as normal anatomic layers.

UU.5 En Face Measurements

The macular grid is based upon the grid employed by the Early Treatment of Diabetic Retinopathy Study (ETDRS) to measure area and proximity of macular edema to the anatomic center of the macula, also called the fovea. This grid was developed as an overlay for use with 32mm film color transparencies and fluorescein angiograms in the seminal trials of laser photocoagulation for the treatment of diabetic retinopathy. Subsequently, this grid has been in common use at reading centers since the 1970s, has been incorporated into ophthalmic camera digital software, and has been employed in grading other macular disease in addition to diabetic retinopathy. This grid was slightly modified for use in Time Domain OPT models developed in the 1990s and early 2000s in that the dimensions of the grid were sized to accommodate a 6 mm diameter sampling area of the macula.

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the left side and in the right eye the nasal subfields are on the right side (nasal as determined by the location of the subfield closest to the nose).

The OPT macula thickness report consists of the thickness at the center point of the grid, and the mean retinal thickness calculated for each of the 9 subfields of the grid. In the context of the macular disease considered for the diagnosis, and qualitative interpretation of morphology from examination and OPT and/or other modalities, the clinician uses the macula thickness report to determine if the center and the grid subfield averages fall outside the normative range. Monitoring of macular disease by serial grid measurements allows assessment of disease progression and response to intervention. Serial measurements are assessed by comparing OPT thickness or volume reports, provided that the grids are appropriately centered upon the same location in the macula for each visit.

![Figure UU.5-1. Macular Grid Thickness Report Display Example](image)

**Figure UU.5-1. Macular Grid Thickness Report Display Example**

The center point of the grid should be aligned with the anatomic center of the macula, the fovea. This can be approximated by having the patient fixate upon a target coincident with the center of the grid. However, erroneous retinal thickness measurements are obtained when the center of the grid is not aligned with the center of the macula. This may occur in patients with low vision that cannot fixate upon the target, or in patients that blink or move fixation during the study. To determine the expected accuracy of inter-visit comparisons, clinicians would benefit from knowing the alignment accuracy of the OPT data from the two visits. Ophthalmologists may also want to customize locations on the fundus to be monitored at each visit.

The following figure illustrates how the content items of the Macular Grid Thickness and Volume Report are related to the ETDRS Grid. Figure shown is not drawn to scale.
UU.6 Interpretation of OPT

The process of evaluation of diabetic macular edema will help illustrate the role of the OPT macula thickness report. In diabetic macular edema there is a breakdown in the blood retina barrier, which can lead to focal and/or diffuse edema (or thickening) of the macula. The report of the thickness of each subfield area of the macula grid will help direct treatment. For instance, laser treatment to a specific thickened quadrant would be expected to reduce the thickness of retina in the treated zone. Serial comparisons of OPT thicknesses should demonstrate a reduction in thickness in the successfully treated zone. A zone that subsequently became thicker on follow-up scans may warrant further treatment. In addition to an expected local response to specific zonal treatment such as laser, there are treatments with drugs and biologics that are less localized. For instance, the injection of intravitreal drugs in a successfully treated eye would be expected to have a global reduction of thickness in all zones with DME. Patients with severe retinal disease may lose the ability to fixate making the acquisition of OPT images to represent a specific zone less reliable.
VV Pediatric, Fetal and Congenital Cardiac Ultrasound Reports (Informative)

VV.1 Content Structure

Figure VV.1-1 is an outline of the Pediatric, Fetal and Congenital Cardiac Ultrasound Reports.

![Diagram of content structure](image)

**Figure VV.1-1. Top Level Structure of Content**

VV.2 Pediatric, Fetal and Congenital Cardiac Ultrasound Patterns

The common Pediatric, Fetal and Congenital Cardiac Ultrasound measurement pattern is a group of measurements obtained in the context of a protocol. Figure VV.2-1 shows the pattern.
Figure VV.2-1. Pediatric, Fetal and Congenital Cardiac Ultrasound Measurement Group Example

VV.3 Measurement Terminology Composition

Because of the wide variety of congenital issues in fetal and pediatric cardiology, DICOM identifies these findings primarily with post-coordination. The concept name of the base content item typically specifies a property, which then requires an anatomic site concept modifier.

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<tr>
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<td>CID 12280 “Cardiac Ultrasound Target Sites”</td>
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<td>(G-C048, SRT, &quot;Flow Direction&quot;)</td>
<td>CID 12221 “Flow Direction”</td>
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Further qualification specifies the image mode and the image plane using HAS ACQ CONTEXT with the value sets shown below.

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WW Audit Messages (Informative)

This annex holds examples of audit messaging, as described by the Audit Trail Message Format Secure Use Profile in PS3.15.

WW.1 Message Example

An example of one of the DICOM Instances Transferred messages is shown in Example WW.1-1.

Example WW.1-1. Sample Audit Event Report

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<?xml version="1.0" encoding="UTF-8"?>
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 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:noNamespaceSchemaLocation="D:\data\DICOM\security\audit-message.rnc">
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    EventActionCode="C"
    EventDateTime="2001-12-17T09:30:47"
    EventOutcomeIndicator="0">
    <EventID code="110104"
      codeSystemName="DCM"
      displayName="DICOM Instances Transferred"/>
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  <ActiveParticipant
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    AlternativeUserID="AETITLE=AEFOO"
    UserIsRequestor="false"
    NetworkAccessPointID="192.168.1.2"
    NetworkAccessPointTypeCode="2">
    <RoleIDCode
      code="110153"
      codeSystemName="DCM"
      displayName="Source Role ID"/>
  </ActiveParticipant>

  <ActiveParticipant
    UserID="67562"
    AlternativeUserID="AETITLE=AEPACS"
    UserIsRequestor="false"
    NetworkAccessPointID="192.168.1.5"
    NetworkAccessPointTypeCode="2">
    <RoleIDCode
      code="110152"
      codeSystemName="DCM"
      displayName="Destination Role ID"/>
  </ActiveParticipant>

  <ActiveParticipant
    UserID="smitty@readingroom.hospital.org"
    AlternativeUserID="smith@nema"
    UserName="Dr. Smith"
    UserIsRequestor="true"
    NetworkAccessPointID="192.168.1.2"
    NetworkAccessPointTypeCode="2">
```

- Standard -
The message describes a study transfer initiated at the request of Dr. Smith on the system at the IP address 192.168.1.2 to a system at IP address 192.168.1.5. The study contains 1500 CT SOP Instances and 3 GSPS SOP Instances. The audit report came from the audit source "ReadingRoom".

### WW.2 Workflow Example

The following is an example of audit trail message use in a hypothetical workflow. It is not intended to be all-inclusive, nor does it cover all possible scenarios for audit trail message use. There are many alternatives that can be utilized by the system designer, or that could be configured by the local site security administrator to fit security policies.

As this example scenario begins, an imaging workstation boots up. During its start up process, a DICOM-enabled viewing application is launched by the start up sequence. This triggers an Application Activity message with the Event Type Code of ((110120, DCM, "Application Start"), 110120, DCM, "Application Start").

After start up, a curious, but unauthorized visitor attempts to utilize the reviewing application. Since the reviewing application cannot verify the identity of this visitor, the attempt fails, and the reviewing application generates a User Authentication message, recording the fact that this visitor attempted to enter the application, but failed.

Later, an authorized user accesses the reviewing application. Upon successfully identifying the user, the reviewing application generates a User Authentication message indicating a successful login to the application.
The user, in order to locate the data of a particular examination, issues a query, which the reviewing application directs to a DICOM archive. The details of this query are recorded by the archive application in a Query message.

The reviewing application, in delivering the results of the query to the user, displays certain patient related information. The reviewing application records this fact by sending a Patient Record message that is defined by some other standard. Audit logs will contain messages specified by a variety of different standards. The MSG-ID field is used to aid the recognition of the defining standard or proprietary source documentation for a particular message.

From the query results, the user selects a set of images to review. The reviewing application requests the images from the archive, and records this fact in a Begin Transferring Instances message.

The archive application locates the images, sends them back to the reviewing application, and records this fact in an Instances Transferred message.

The reviewing application displays the images to the user, recording this fact via an Instances Accessed message.

During the reviewing process, the use looks up details of the procedure from the hospital information system. The reviewing application performs this lookup using HL7 messaging, and records this fact in a Procedure Record message.

The user decides that a follow-up examination is needed, and generates a new order via HL7 messaging to the hospital information system. The reviewing application records this in an Order Record message.

The user decides that a second opinion is desirable, and selects certain images to send to a colleague in an e-mail message. The reviewing application records the fact that it packaged and sent images via e-mail in an Export message.
XX Use Cases for Application Hosting

XX.1 Agent-Specific Post Processing

Many metabolic/contrast agents require more than just simple imaging to provide data for decision making. Rather than just detecting the presence or absence of the metabolic/contrast agents, calculations based on relative uptake rates, or decay rates, comparisons with previous or neighboring data, fusion of data from multiple sources or time points, etc. may be necessary to properly evaluate image data with these metabolic/contrast agents. Often the nature of this processing is closely related to the type of agent, the anatomy, and the disease process being targeted. The processing may be so specific that the general-purpose image processing features found on medical imaging workstations are inadequate to properly perform the procedure. The effective use of a particular agent for a particular procedure may depend on having properly tuned, targeted post-processing. Both the algorithms used, as well as the workflow in performing the analysis, may be customized for performing procedures with a particular agent.

The stakeholders interested in developing such agent- and exam-specific post-processing applications may have a vested interest in insuring that such post-processing applications can run on a wide variety of systems. The standard post-processing software API outlined in PS3.19 could simplify the distribution of such agent-specific analysis applications. Rather than creating multiple versions of the same application, each version targeted to a particular medical imaging vendor’s system, the application developer need only create a single version of the application, which would run on any system that implemented the standard API.

Differences in physical characteristics, acquisition technique and equipment, and user preference affect image quality and processing requirements. By allowing the sharing of applications based on device-independent (or conversely, device-specific) procedures, the Hosted Application technology will reduce these differences to a minimum.

XX.2 Support For Multi-site Collaborative Research

A common API for Application Hosting facilitates multi-site research.

Site-specific problems: The development of molecular imaging applications can be accelerated with multiple site cooperation in the validation of new algorithms and software. However, the run-time environment and tools available at one site typically are not matched identically at other sites, hampering the sharing of applications between sites. Using the same tools allows them to share applications. One cannot simply take an application written at one of these sites, and make it run on the other site without major software work involving the installation and configuration of multiple tool packages. Even after installing the needed tools and libraries, software developed at one site may be trying to access facilities that are unavailable at the other site, for example, facilities to store, access, and organize the image data. Often the data formats applications from one site are expecting are incompatible with the data formats available at other sites. Having a standard API could help minimize these data incompatibilities.

Gap between research and clinical environments: The initial versions of agent-specific applications are typically created in a research environment, and are not easily accessible in the clinical environment. The early experimental work generally is done by exporting the image data out of the clinical environment to research workstations, and then importing the results back into the clinical system once the analysis is done. While exporting and importing the images may be sufficient for the early research work, clinical acceptance of an application can be significant enhanced if that application could run in the same clinical environment where the images are collected, in order to better fit into the clinical workflow.

The problem of mismatched run time environments becomes even more acute when attempting to run the typical research application on a production clinical workstation. Due to a variety of legal and commercial concerns, vendors of the systems utilized in the clinical environment generally do not support running unknown software, nor do most commercial vendors have the time or resources to assist the hundreds of researchers who may wish to port a particular application to that vendor’s system. Even if researchers manage to load an experimental program onto a clinical system, the experimental program rarely has direct access to the data stored on that clinical system, nor can it directly store results back into the system’s clinical database. Without a single standard interface, users have to resort to the cumbersome and time-consuming export and input routines to be able to run research programs on clinical data.

It is expected that the constrained environment that a standard API provides would be simpler to validate, particularly if it is universally deployed by multiple vendors, and could lessen the burden on any individual system vendor.

XX.3 Screening Applications

Computer Aided Diagnosis and Decision Making (CAD) is becoming more prevalent in radiology departments. Many classes of exams now routinely go through a computer screening process prior to reading. One potential barrier to more widespread use of CAD screening is that the various vendors of CAD applications typically only allow their applications to run on servers or workstations
provided by those companies. A clinical site that wishes to utilize, for example, mammo CAD from one vendor and lung CAD from another often is forced to acquire two different servers or workstations from the two different vendors.

The Hosted Application concept described in PS3.19 could be used to facilitate the running of multiple CAD applications from multiple vendors on the same computer system.

**XX.4 Modality-Specific Post Processing**

As medical imaging technology progresses, new modalities are added to the standard. For example, vessel wall detection in intravascular ultrasound is often easier if the images are left in radial form. Unfortunately, most DICOM workstations would not know how to deal with images in such a strange format even though the workstation might recognize that it is an image.

One possible solution is for a workstation to seek out an appropriate Hosted Application for handling Modalities or SOP classes that it does not recognize. This would allow for automatic handling of all image types by a generic imaging platform. Similarly, SOP Classes, even private SOP Classes, could be created that depend on particular Hosted Applications to prepare data for display.

**XX.5 Measurement/Evidence Document Creation**

Another natural use for such a standardized API is the creation of exam-specific analysis and measurement programs for the creation of Evidence Documents (Structured Reports). The standardized API would allow the same analysis program to run on a variety of host systems, reducing the amount of development needed to support multiple platforms.

**XX.6 CAD Rendering**

Often the regulatory approval for CAD systems includes the method by which the CAD marks are presented to the user. Providers of CAD systems have used dedicated workstations for such display in the past in order to insure that the CAD marks are presented as intended. If there were a suitable standardized API for launching hosted applications, a Hosted Application could handle the display of CAD results on any workstation that supports that standardized API.
YY Compound and Combined Graphic Objects in Presentation States (Informative)

Presentation States may contain Compound Graphics and combined graphic objects. Two illustrative examples are given in this informative annex to explain these two concepts.

First, an example of a Compound Graphic is given, an AXIS object, and secondly an example of a combined graphic object is given, a distance line.

The rendered appearance of the Compound Graphics (such as illustrated in Figure YY-1) are recommendations and are not mandatory. For example, the Compound Graphic 'AXIS' can look slightly different on different viewing workstations.

YY.1 An Example of The Compound Graphic 'axis'

The AXIS from Figure YY-1 is defined in the following Compound Graphic Sequence (0070,0209) (see the following Table YY-1). An AXIS object is typically used for measurement purposes.

![Figure YY-1. Compound Graphic 'AXIS']

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Attribute Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Graphic Annotation Sequence</td>
<td>(0070,0001)</td>
<td></td>
</tr>
<tr>
<td>&gt;Compound Graphic Sequence</td>
<td>(0070,0209)</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Compound Graphic Instance ID</td>
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<td>1</td>
</tr>
<tr>
<td>&gt;&gt;Compound Graphic Units</td>
<td>(0070,0282)</td>
<td>PIXEL</td>
</tr>
<tr>
<td>&gt;&gt;Graphic Dimensions</td>
<td>(0070,0020)</td>
<td>2</td>
</tr>
<tr>
<td>&gt;&gt;Number of Graphic Points</td>
<td>(0070,0021)</td>
<td>2</td>
</tr>
<tr>
<td>&gt;&gt;Graphic Data</td>
<td>(0070,0022)</td>
<td>10\10\150\10</td>
</tr>
<tr>
<td>&gt;&gt;Compound Graphic Type</td>
<td>(0070,0294)</td>
<td>AXIS</td>
</tr>
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<td>&gt;&gt;Major Ticks Sequence</td>
<td>(0070,0287)</td>
<td></td>
</tr>
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</tr>
<tr>
<td>&gt;&gt;&gt;Tick Position</td>
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</tr>
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</tr>
<tr>
<td>%enditem</td>
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<tr>
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</tr>
<tr>
<td>&gt;&gt;&gt;Tick Position</td>
<td>(0070,0288)</td>
<td>0.5</td>
</tr>
</tbody>
</table>
The following table shows the simple graphic objects for an axis. The breakdown of the axis into simple graphics is up to the implementation. The Compound Graphic Instance ID (0070,0226) is used to relate the compound and the simple representation. To keep the example short only the first major tick is shown.

**Table YY-2. Graphic Annotation Module Attributes**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Attribute Value</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Graphic Annotation Sequence</td>
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<td>…</td>
<td></td>
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<tr>
<td>…</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Text Object Sequence</td>
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<td></td>
<td>Tick Labels</td>
</tr>
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<td>&gt;&gt;Anchor Point Annotation Units</td>
<td>(0070,0004)</td>
<td>PIXEL</td>
<td>First Tick Label</td>
</tr>
<tr>
<td>&gt;&gt;Anchor Point</td>
<td>(0070,0014)</td>
<td>8/22</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Anchor Point Visibility</td>
<td>(0070,0015)</td>
<td>N</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Unformatted Text Value</td>
<td>(0070,0006)</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Compound Graphic Instance ID</td>
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<tr>
<td>…</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;Graphic Object Sequence</td>
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<td></td>
<td>Primary Axis Line</td>
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<tr>
<td>&gt;&gt;Graphic Annotation Units</td>
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<td>PIXEL</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Graphic Dimensions</td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Number of Graphic Points</td>
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<td>2</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Graphic Data</td>
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</tr>
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<tr>
<td>…</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Graphic Annotation Units</td>
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<td></td>
</tr>
<tr>
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<td>10/15/10/15</td>
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</tr>
<tr>
<td>&gt;&gt;Graphic Type</td>
<td>(0070,0023)</td>
<td>POLYLINE</td>
<td></td>
</tr>
</tbody>
</table>
YY.2 An Example of Distance Line Defined As A Combined Graphic Object

Now, a distance line is defined as a combined graphic object, i.e., grouping a text object with a polyline graphic object (see Figure YY-2). Distance lines are typically used for measurements and for computing the grayscale values along this line to build up a profile curve.

This simple example is intended to show how the Graphic Group ID (0070,0295) is used for grouping of graphic annotations.

Figure YY-2. Combined Graphic Object 'DistanceLine'

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Attribute Value</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>&gt;&gt;Compound Graphic Instance ID</td>
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<td>1</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table YY-3. Graphic Group Module

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<th>Comment</th>
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</thead>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Graphic Group ID</td>
<td>(0070,0295)</td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Graphic Group Label</td>
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<tr>
<td>&gt;&gt;Graphic Group Description</td>
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</tbody>
</table>

Table YY-4. Graphic Annotation Module Attributes

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<th>Attribute Value</th>
<th>Comment</th>
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</thead>
<tbody>
<tr>
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<td></td>
</tr>
<tr>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Text Object Sequence</td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Anchor Point Annotation Units</td>
<td>(0070,0004)</td>
<td>PIXEL</td>
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</tr>
<tr>
<td>&gt;&gt;Anchor Point</td>
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<td>&gt;&gt;Anchor Point Visibility</td>
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<td>&gt;&gt;Unformatted Text Value</td>
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<td></td>
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<tr>
<td>&gt;&gt;Compound Object Sequence</td>
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<td></td>
</tr>
<tr>
<td>&gt;&gt;Graphic Annotation Units</td>
<td>(0070,0005)</td>
<td>PIXEL</td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;Graphic Dimensions</td>
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<td></td>
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<tr>
<td>&gt;&gt;Number of Graphic Points</td>
<td>(0070,0021)</td>
<td>2</td>
<td></td>
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<tr>
<td>&gt;&gt;Graphic Data</td>
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<td>&gt;&gt;Graphic Type</td>
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<td>&gt;&gt;Graphic Group ID</td>
<td>(0070,0295)</td>
<td>1</td>
<td></td>
</tr>
</tbody>
</table>
ZZ Implant Template Description

ZZ.1 Implant Mating

In this section, the usage of mating features for assembly of implants is declared.

ZZ.1.1 Mating Features

These Attributes establish a Cartesian coordinate system relative to the Frame of Reference of the implant. When two implants are assembled using a pair of mating features, a rigid spatial registration can be established, that transforms one Frame of Reference so that the mating features align. The figure below gives a simple example in 2D how two implants (symbolized by two rectangles) are matched according to a mating feature pair. For each 2D and 3D template present, a set of coordinates is assigned to each Mating Feature Sequence Item.

![Figure ZZ.1-1. Implant Template Mating (Example).](image)

ZZ.1.2 Mating Feature ID

It is recommended to give Mating Features that are somehow related, the same Mating Feature ID (0068,63F0) in different implant templates. This may help applications to switch between components while keeping connections to other components. The Example in Figure ZZ.1-2 shows that the first and the last hole in the plates get the same Mating Feature ID in each Template.

![Figure ZZ.1-2. Implant Template Mating Feature IDs (Example)](image)

ZZ.1.3 Mating Feature Sets

The Mating Features are organized in sets of alternative features: Only one feature of any set shall be used for assembly with other components in one plan. This enables the definition of variants for one kind of contact a component can make while ensuring consistent plans.

An example for Mating Feature Sets is shown in Figure ZZ.1-3. A hip stem template shows a set of five mating features, drawn as circles on the tip of its cone. Different head components use different mating points, depending on the base radius of the conic intake on the head.
ZZ.1.4 Degrees of Freedom

For each Item of the Mating Feature Sequence (0068,63E0), degrees of freedom can be specified. A degree of freedom is defined by one axis, and can be either rotational or translational. For each 2D and 3D template present, the geometric specifications of the mating points can be provided.

ZZ.1.5 Implant Assembly Templates

Instances of the Implant Assembly Template IOD are utilized to define intended combinations of implant templates. An Implant Assembly Template consists of a sequence of component type definitions (Component Type Sequence (0076,0032)) that references Implant Template Instances and assigns roles to the referenced implants. In the example in Figure ZZ.1-4, the component types “Stems” and “Heads” are defined. Four different stems and two different heads are referenced. Both groups are flagged mandatory and exclusive, i.e., a valid assembly requires exactly one representative of each group.

The Component Assembly Sequence (0076,0060) declares possible connections between components referenced by the component groups. Each sequence item refers to exactly two implant templates that are part of at least one component group in the same Implant Assembly Template Instance. An Component Assembly Sequence Item references one mating feature in each of the templates according to which the assembly is geometrically constrained. The double-pointed dashed lines represent the Items of the Component Assembly Sequence in Figure ZZ.1-4.
ZZ.2 Planning Landmarks

Registration of implant templates with patient images according to anatomical landmarks is one of the major features of implantation planning. For that purpose, geometric features can be attached to Implant Template Instances. Three kinds of landmarks are defined: Points, lines, and planes. Each landmark consists of its geometric definition, which is defined per template, and a description.

When registering an Implant Template to a patient data set like an Image or a Surface Segmentation, the planning software should establish a spatial transformation that matches to planning landmarks to corresponding geometric features in the patient data set.

ZZ.3 Implant Registration and Mating Example

In this section, an example is presented that shows the usage of Implant Templates together with an Implant Assembly Template to create an Implantation Plan with patient images. The example is in 2D but can easily be extended to 3D as well. The example looks at a simplified case of hip reconstruction planning, using a monoblock stem component and a monoblock cup component.

Planning consists of 2 steps: Selection and placement of the best fitting cup from the cups referenced by the Assembly Template based on the dimension of the patient's hip is the first step. With that done, a stem is selected that can be mated with the selected cup and has a neck configuration that leads to an optimal outcome with regard to leg length and other parameters. Therefore, the available stems are placed so that the features align. The femoral planning landmarks are used to calculate the displacement of the femur this configuration would result in. The workflow is shown in the following set of figures.
In the first step, the planning landmarks marked with the green arrows in Figure ZZ.3-2 are aligned with compliant positions in the patient's x-ray.

Figure ZZ.3-2. Cup is Aligned with Patient's Acetabulum using 2 Landmarks

In the second step, the femoral length axis is detected from the patient's x-ray and the stem template is aligned accordingly using the femoral axis landmark. The proximal and distal fixation boundary planes are used to determine the insertion depth of the stem along that axis.

Figure ZZ.3-3. Stem is Aligned with Patient's Femur.

In the third step, the image is split into a femoral and a pelvic part according to the proposed resection plane of the stem template. The mating features are used to calculate the spatial relation between the femoral and the pelvic component.
ZZ.3.1 Degrees of Freedom

The hip joint has several degrees of freedom, of course. The Implant Template should contain this information in the Mating Features. In the given 2D projections, the rotational freedom of the joint is expressed by one single rotation around the axis of projection intersecting with the printing space at the 2D coordinate of the Mating Feature. Therefore, a Degree Of Freedom Sequence Item added to either the stem, the cup, or both.

In planning, this information could be used to visualize the rotational capacities of the joint after implantation.

Note

Technically, the degree of freedom could also have been added to the cup or even (each with half the range of freedom) to both. But since we are used to seeing the femur’s rotation with respect to the pelvis and not the other way around, it seemed natural to do it that way.
ZZ.4 Encoding Example

The Templates used in the example can be encoded as follows:

Table ZZ.4-1. Attributes Used to Describe a Mono Stem Implant for Total Hip Replacement

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Value</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SOP Common Module</strong></td>
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</tr>
<tr>
<td>SOP Class UID</td>
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<tr>
<td>SOP Instance UID</td>
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</tr>
<tr>
<td><strong>Generic Implant Template Module</strong></td>
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<td></td>
</tr>
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<td>Manufacturer</td>
<td>ACME</td>
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</tr>
<tr>
<td>Implant Name</td>
<td>MONO_STEM</td>
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<tr>
<td>Implant Size</td>
<td>MEDIUM</td>
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**Table ZZ.4-2. Attributes Used to Describe a Mono Cup Implant for Total Hip Replacement**

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### Table ZZ.4-3. Attributes Used to Describe The Assembly of Cup and Stem

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<td>&gt;&gt; Code Meaning</td>
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Component Assembly Sequence

| >Component 1 Referenced ID | 1 | The stem |
| >Component 1 Referenced Mating Feature Set ID | 1 | |
| > Component 1 Referenced Mating Feature ID | 1 | |
| >Component 2 Referenced ID | 2 | The cup |
| > Component 2 Referenced Mating Feature Set ID | 1 | |
| > Component 2 Referenced Mating Feature ID | 1 | |

ZZ.5 Implant Template Versions and Derivation

The Generic Implant Module contains several Attributes to express the relations between different versions of implant templates. These Attributes are

<p>| | | |</p>
<table>
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<tr>
<th></th>
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<th></th>
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</thead>
<tbody>
<tr>
<td>(0022,1097)</td>
<td>Implant Part Number</td>
<td>Number (or alphanumerical code) assigned by the manufacturer of an implant to one particular release of one particular part. Whenever changes on the implant design are made, a new implant part number is assigned.</td>
</tr>
<tr>
<td>(0068,6226)</td>
<td>Effective DateTime</td>
<td>Date and time from which on an Implant Template Instance is valid.</td>
</tr>
<tr>
<td>(0068,6221)</td>
<td>Implant Template Version</td>
<td>Number assigned by the creator of an ORIGINAL Implant Template Instance. When an implant manufacturer issues a new version of an implant template without doing changes on the implant itself, it issues a new instance with the same part number but a different template version.</td>
</tr>
<tr>
<td>(0068,6222)</td>
<td>Replaced Implant Template Sequence</td>
<td>When a manufacturer issues a new version of an Implant Template, the instance contains a reference to it direct predecessor.</td>
</tr>
<tr>
<td>(0068,6223)</td>
<td>Implant Type</td>
<td>When a software vendor, user or other entity creates a &quot;proprietary&quot; version of an Implant Template by adding Attributes, the resulting Instance is labeled DERIVED.</td>
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<tr>
<td>(0068,6225)</td>
<td>Original Implant Template</td>
<td>When an Instance is DERIVED, it contains a reference to the ORIGINAL instance it was derived from (directly or with several derived versions in between).</td>
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<tr>
<td>(0068,6224)</td>
<td>Derivation Implant Template Sequence</td>
<td>When an Implant Template Instance is derived from another instance, it contains a reference to the Implant Template Instance it was directly derived from.</td>
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</table>

Different versions of Implant Templates reflect the changes a manufacturer is doing on the Implant Templates he issues. The Implant Templates that are issued by a manufacturer (or a third party who is acting on behalf of the manufacturer) are always ORIGINAL. Software vendors, PACS integrators, or other stakeholders will add information to such templates for different purposes. The Instances that are generated by this process is called derivation and the resulting instances are labeled DERIVED. Implantation Plans, i.e., electronic documents describing the result of implantation planning, are specified in an instance of the Implantation Plan SR Document. There, the implants that are relevant for one plan are included by reference. When such plans are exchanged between systems or organizations it is likely that the receiving party has access to other versions of templates as the sending party has. In order to maintain readability of exchanged plans, the following is required:

- All necessary information about an implant that is relevant to display and understand a plan is present in the ORIGINAL Implant Templates that were issued by a manufacturer. This is assured by these Attributes being Type 1 in the IOD.
- When deriving Instances, information may only be added but not removed from the ORIGINAL Instance. This information may be encoded in standard or private Tags.
- Derived Instances contain the information about the source Instances they were derived from. All Instances contain a reference to the ORIGINAL Instance they were derived from. If an application receives a plan that references an implant it does not have in its
database, it will find the UID of the ORIGINAL Instance in the plan, too. It can query its database for an instance that was derived from that Instance and thereby find an instance it can use to present the plan.

Figure ZZ.5-1 shows an example of the relationships between two versions of a manufacturer’s Implant Template and several different Implant Templates derived by software vendors from these versions.

Figure ZZ.5-1. Implant Versions and Derivation.
AAA Implantation Plan SR Document (Informative)

For the implantation of bone mounted implants, information that has been generated during the implantation planning phase is needed in the OR. To convey this information to the OR, this supplement to the DICOM standard introduces the DICOM format for the results of an implantation planning activity referring to implant templates. An Implantation Plan SR Document should be utilized by surgeons, navigation devices, and for documentation purposes. The Plan contains relevant intraoperative information concerning the assembly of the implant components, resection lines, registration information, and relevant patient data. Thus, the Implantation Plan SR Document can help to enhance information logistics within the workflow. It does not contain any information about the planned surgical workflow. This information may be addressed by other DICOM Supplements. Nevertheless, this SR document may reference to or may be referenced by objects containing workflow information.

Additionally, once an implantation plan has been generated, it can be used as input for a planning application to facilitate adaption of a plan in cases where this is necessary due to unforeseen situations.

The workflow is considered to be the following:

Some kind of planning application helps the user to perform implantation planning; he can choose the optimal implant for a patient using implant templates from a repository. The user aligns the implant template with patient data with or without the help of the application. (Planning without patient data can be stored in the Implantation Plan SR Document as well.)

Subsequently, an Implantation Plan SR Document Instance will be created that contains the results of the planning. No information of the process itself (previously chosen implant templates, methods, etc.) will be stored. However, an Implantation Plan Document is considered to contain the important parameters to retrace a planning result.

There are two main components an Implantation Plan SR Document consists of (see Figure AAA.1-1). The implant component selection is used to point to a selected implant template in the repository, whereas the assembly is used to describe the composition of the selected implant templates. Figure AAA.2-1 shows how the Implantation Plan SR Document parts make references to the implant templates. Each Implantation Plan SR Document can contain a single implant component selection and several assemblies but it describes only one planning result for one particular patient.

The recipient of the Implantation Plan SR Document can decide whether to read only the "list" of used implants or to go into detail and read the compositions as well. In both cases, he must have access to the repository of the Implant Templates to get detailed information about the implant (such as its geometry).

AAA.1 Implantation Plan SR Document Content Tree Structure

The following structure shows the main content of an Implantation Plan SR Document. As can be seen in Figure AAA.1-1, the Implantation Plan consists mainly of the selected Implant Components and their Assemblies.
AAA.2 Relationship Between Implant Template and Implantation Plan

The Implantation Plan SR Document is tightly related to Implantation Templates (see PS3.3 and PS3.16). The following Figure AAA.2-1 shows the relationship between the Implant Templates and the Implantation Plan.

AAA.3 Implantation Plan SR Document Total Hip Replacement Example

The following example shows the planning result of a simple THR (Total Hip Replacement) without any registration information. One Patient Image was used and one visualization was produced. One Femoral Stem, one Femoral Head, one Acetabular Bearing Insert and one Acetabular Fixation Cup were selected to be implanted (see Figure AAA.3-1).
### Figure AAA.3-1. Total Hip Replacement Components

### Table AAA.3-1. Total Hip Replacement Example

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<tr>
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<th>Code Meaning or Example Value</th>
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### AAA.4 Implantation Plan SR Document Dental Drilling Template Example

The following example shows the result of a planning activity for a dental implantation using a dental drilling template. The implant positioning is based on a CT-Scan during which the patient has been wearing a bite plate with 3 markers. In this example the markers (visible in the patient's CT images) are detected by the planning application. After the implants have been positioned, the bite plate, in combination with the registration information of the implants, can be used to produce the dental drilling template.

In the following example, two implants are inserted that are not assembled using Mating Points.

The markers of the bite plate are identified and stored as 3 Fiducials in one Fiducial Set. This Fiducial Set has its own Frame of Reference (1.2.3.4.100).

The Registration Object created by the planning application uses the patient's CT Frame of Reference as main Frame of Reference (see Figure AAA.4-1).

![Figure AAA.4-1. Spatial Relations of Implant, Implant Template, Bite Plate and Patient CT](image)

### Table AAA.3-2. Dental Drilling Template Example

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BBB Unified Procedure Step in Radiotherapy (Informative)

BBB.1 Purpose of this Annex

This annex provides examples of message sequencing when using the Unified Procedure Step SOP Classes in a radiotherapy context. This section is not intended to provide an exhaustive set of use cases but rather an informative example. There are other valid message sequences that could be used to obtain an equivalent outcome and there are other valid combinations of actors that could be involved in the workflow management.

The current use cases assume that tasks are always scheduled by the scheduler prior to being performed. It does not address the use case of an emergency or otherwise unscheduled treatment, where the procedure step will be created by a different device. However, Unified Procedure Step does provide a convenient mechanism for doing this.

The use cases addressed in this annex are:

• Treatment Delivery Normal Flow - Treatment Delivery System (TDS) performs the treatment delivery that was scheduled by the Treatment Management System (TMS). Both the "internal verification" and "external verification" flavors are modeled in these use cases.

• Treatment Delivery - Override or Additional Information Required. Operating in the external verification mode, the Machine Parameter Verifier (MPV) detects an out-of-tolerance parameter of missing information, and requests the user to override the parameter or supply or correct the missing information. This use case addresses the situation where the ‘verify’ function is split from the TDS, but does not address verification of a subset of parameters by an external delivery accessory such as a patient positioner.

BBB.2 Use Case Actors

The following actors are used in the use cases below:

<table>
<thead>
<tr>
<th>Actor</th>
<th>Description</th>
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<tr>
<td><strong>User</strong></td>
<td>Human being controlling the delivery of the treatment.</td>
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<tr>
<td><strong>Archive</strong></td>
<td>Stores SOP Instances (images, plans, structures, dose distributions, etc).</td>
</tr>
<tr>
<td><strong>Treatment Management System (TMS)</strong></td>
<td>Manages worklists and tracks performance of procedures. This role is commonly filled by a Treatment Management System (Oncology Information System) in the Oncology Department. Acts as a UPS Pull SCP. The TMS has a user interface that may potentially be located in the treatment delivery control area. In addition, TMS terminals may be located throughout the institution.</td>
</tr>
<tr>
<td><strong>Treatment Delivery System (TDS)</strong></td>
<td>Performs the treatment delivery specified by the worklist, updating a UPS, and stores treatment records and related SOP Instances such as verification images. Acts as a UPS Pull SCU. The TDS user interface is dedicated to the safe and effective delivery of the treatment, and is located in the treatment control area, typically just outside the radiation bunker.</td>
</tr>
<tr>
<td><strong>Machine Parameter Verifier (MPV)</strong></td>
<td>Oversees and potentially inhibits delivery of the treatment. This role is commonly filled by a Treatment Management System in the Oncology Department, when the TDS is in the external verification mode. The MPV does not itself act as a UPS Pull SCU, but communicates directly with the TDS, which acts as a UPS Pull SCU. The MPV user interface may be shared with the TMS (in the treatment delivery control area), or could be located on a separate console.</td>
</tr>
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BBB.3 Use Cases

BBB.3.1 Treatment Delivery Normal Flow - Internal Verification

BBB.3.1.1 Message Sequencing

Figure BBB.3.1.1-1 illustrates a message sequence example in the case where a treatment procedure delivery is requested and performed by a delivery device that has internal verification capability. In the example, no 'setup verification' is performed, i.e., the patient is assumed to be in the treatment position. Unified Procedure Step (UPS) is used to request delivery of a session of radiation therapy (commonly known as a "fraction") from a specialized Application Entity (a "Treatment Delivery System"). That entity performs the requested delivery, completing normally. Further examples could be constructed for discontinued, emergency (unscheduled) and interrupted treatment delivery use cases, but are not considered in this informative section (see DICOM Part 17 for generic examples).

In this example the Treatment Delivery System conforms to the UPS Pull SOP Class as an SCU, and the Treatment Management System conforms to the UPS Pull SOP Class as an SCP. In alternative implementations requiring on-the-fly scheduling and notification, other UPS SOP classes could be implemented.

Italic text in Figure BBB.3.1.1-1 denotes messages that will typically be conveyed by means other than DICOM services.

BBB.3.1.2 Transactions and Message Flow

This section describes in detail the interactions illustrated in Figure BBB.3.1.1-1.

1. 'List Procedures for Delivery' on TDS console.

   The User uses a control on the user interface of the TDS to indicate that he or she wishes to see the list of patients available for treatment.

2. Query UPS.

   The TDS queries the TMS for Unified Procedure Steps (UPSs) matching its search criteria. For example, all worklist items with a Unified Procedure Step Status of "SCHEDULED", and Input Readiness State (0040,4041) of "READY". This is conveyed using the C-FIND request primitive of the UPS Pull SOP Class.

3. Receive 0-n UPS.

   The TDS receives the set of Unified Procedure Steps (UPSs) resulting from the Query UPS message. The Receive UPS is conveyed via one or more C-FIND response primitives of the UPS Pull SOP Class. Each response (with status pending) contains the requested attributes of a single Unified Procedure Step (UPS).

   The TMS returns a list of one or more UPSs based on its own knowledge of the planned tasks for the querying device. Two real-world scenarios are common in this step:

   • There is no TMS Console located in the treatment area, and selection of the delivery to be performed has not been made. In this case, the TMS returns a list of potentially many UPSs (for different patients), and the User picks from the list the UPS that they wish to deliver.

   • The User has direct access to the TMS in the treatment area, and has already selected the delivery to be performed on the console of the TMS, located in the treatment room area. In this case, a single UPS is returned. The TDS may either display the single item for confirmation, or proceed directly to loading the patient details.
1. 'List Procedures for Delivery' on TDS console
2. Query UPS (CFIND)
3. Receive n-UPS
4. Select Procedure' on TDS console (optional, if only one procedure in list)
5. Get UPS details (C-GET)
6. Change UPS 'IN PROCESS' (N-ACTION with Transaction UID)
7. Retrieve TMS objects (C-MOVED)
8. 'Start Treatment Session' on TDS console
9. Set UPS 0% complete, Beam '1' (N-SET)
10. Set UPS 50% complete, Beam '2' (N-SET)
11. Set UPS 100% complete, (N-SET)
12. Set UPS to Final State (N-SET)
13. Change UPS 'COMPLETED' (N-ACTION)
14. Indicate 'Treatment Session Completed on TDS Console'

Figure BBB.3.1.1-1. Treatment Delivery Normal Flow - Internal Verification Message Sequence

A returned set of UPSs may have more than one UPS addressing a given treatment delivery. For example, in the case where a patient position verification is required prior to delivery, there might be a UPS with Requested Procedure Code Sequence item having a Code Value of 121708 ("RT Patient Position Acquisition, CT MV"), another UPS with a Code Value of 121714 ("RT Patient Position Registration, 3D CT general"), another UPS with a Code Value of 121722 ("RT Patient Position Adjustment"), and a fourth UPS whose Requested Procedure Code Sequence item would have a Code Value of 121726 ("RT Treatment With Internal Verification").

4. 'Select Procedure’ on TDS console

The User selects one of the scheduled procedures specified on the TDS console. If exactly one UPS was returned from the UPS query described above, then this step can be omitted.

5. Get UPS Details and Retrieve Archive Objects

The TDS may request the details of one or more procedure steps. This is conveyed using the N-GET primitive of the UPS Pull SOP Class, and is required when not all necessary information can be obtained from the query response alone.

The TDS then retrieves the required SOP Classes from the Input Information Sequence of the returned UPS query response. In response to a C-MOVE Request on those objects (5a), the Archive then transmits to the TDS the SOP Instances to be used as input information during the task. These SOP Instances might include an RT Plan SOP Instance, and verification images (CT Image or RT Image). They might also include RT Beams Treatment Record SOP Instances if the Archive is used to store these SOP Instances rather than the TMS. The TDS knows of the existence and whereabouts of these SOP Instances by virtue of the fully-specified locations in the N-GET response.
Although the TDS could set the UPS to 'IN PROGRESS' prior to retrieving the archive instances, this example shows the archive instances being retrieved prior to the UPS being 'locked' with the N-ACTION step. This avoids the UPS being set 'IN PROGRESS' if the required instances are not available, and therefore avoids the need to schedule another (different) procedure step in this case, as required by the Unified Procedure Step State Diagram State Diagram (PS3.4). However, some object instances dynamically created to service performing of the UPS step should be supplied after setting the UPS 'IN PROGRESS' (see Step 7).

6. Change UPS State to IN PROGRESS

The TDS sets the UPS (which is managed by the TMS) to have the Unified Procedure Step Status of 'IN PROGRESS' upon starting work on the item. The SOP Instance UID of the UPS will normally have been obtained in the worklist item. This is conveyed using the N-ACTION primitive of the UPS Pull SOP Class with an action type "UPS Status Change". This message allows the TMS to update its worklist and permits other Performing Devices to detect that the UPS is already being worked on.

The UPS is updated in this step before the required dynamic SOP Instances are obtained from the TMS (see Step 7). In radiation therapy, it is desirable to signal as early as possible that a patient is about to undergo treatment, to allow the TMS to begin other activities related to the patient delivery. If the TMS implements the UPS Watch SOP Class, other systems will be able to subscribe for notifications regarding the progress of the procedure step.

7. Retrieve TMS Objects

In response to a C-MOVE Request, the TMS transmits to the TDS the RT Beams Delivery Instruction and possibly RT Treatment Summary Record SOP Instances to be used as input information during the task. These SOP Instances may be created "on-the-fly" by the TMS (since it was the TMS itself that transmitted the UIDs in the UPS). The RT Treatment Summary SOP Instance may be required by the TDS to determine the delivery context, although the UPS does specify a completion delivery (following a previous delivery interruption). RT Beams Treatment Record instances might also be retrieved from the TMS in this step if the TMS is used to manage these SOP Instances rather than the Archive.

8. 'Start Treatment Session' on TDS console

The User uses a control on the user interface of the TDS to indicate that he or she wishes to commence the treatment delivery session. A Treatment Session may involve fulfillment of more than one UPS, in which case Steps 4-13 may be repeated.

9. Set UPS Progress and Beam Number, Verify, and Deliver Radiation

For each beam, the TDS updates the UPS on the TMS just prior to starting the radiation delivery sequencing. This is conveyed using the N-SET primitive of the UPS Pull SOP Class.

The completion percentage of the entire UPS is indicated in the Unified Procedure Step Progress attribute. The algorithm used to calculate this completion percentage is not specified here, but should be appropriate for user interface display.

The Referenced Beam Number of the beam about to be delivered is specified by encoding it as a string value in the Procedure Step Progress Description (0074,1006).

The TDS then performs internal verifications to determine that the machine is ready to deliver the radiation, and then delivers the therapeutic radiation for the specified beam. In the current use case, it is assumed that the radiation completes normally, delivering the entire scheduled fraction. Other use cases, such as voluntary interruption by the User, or interruption by the TDS, will be described elsewhere.

If there is more than one beam to be delivered, the verification, UPS update, and radiation delivery is repeated once per beam.

This example does not specify whether or not treatment should be interrupted or terminated if a UPS update operation fails. The successful transmittal of updates is not intended as a gating requirement for continuation of the delivery, but could be used as such if the TDS considers that interrupting treatment is clinically appropriate at that moment of occurrence.

10. Set UPS to Indicate Radiation Complete

The TDS may then update the UPS Progress Information Sequence upon completion of the final beam (although this is not required), and set any other attributes of interest to the SCP. This is conveyed using the N-SET primitive of the UPS Pull SOP Class.

11. Store Results

The TDS stores any generated results to the Archive. This would typically be achieved using the Storage and/or Storage Commitment Service Classes and may contain one or more RT Beams Treatment Records or RT Treatment Summary Records, RT Images
(portal verification images), CT Images (3D verification images), RT Dose (reconstructed or measured data), or other relevant Composite SOP Instances. References to the results and their storage locations are associated with the UPS in the Set UPS to Final State message (below). The RT Beams Treatment Record instances might be stored to the TMS instead, if the TMS is used to manage these SOP Instances rather than the Archive.

The required SOP Instances are stored to the Archive in this step before the UPS is status is set to COMPLETED. In radiation therapy, it is desirable to ensure that the entire procedure is complete, including storage of important patient data, before indicating that the step completed successfully. For some systems, such as those using Storage Commitment, this may not be possible, in which case another service such as Instance Availability Notification (not shown here) would have to be used to notify the TMS of SOP Instance availability. For the purpose of this example, it is assumed that the storage commitment response occurs in a short time frame.

12. Set UPS Attributes to Meet Final State Requirements

The TDS then updates the UPS with any further attributes required to conform to the UPS final state requirements. Also, references to the results SOP Instances stored in Step 11 are supplied in the Output Information Sequence. This is conveyed using the N-SET primitive of the UPS Pull SOP Class.

13. Change UPS State to COMPLETED

The TDS changes the Unified Procedure Step Status of the UPS to COMPLETED upon completion of the scheduled step and storage or results. This is conveyed using the N-ACTION primitive of the UPS Pull SOP Class with an action type “UPS Status Change”. This message informs the TMS that the UPS is now complete.

14. Indicate 'Treatment Session Completed' on TDS Console

The TDS then signals to the User via the TDS user interface that the requested procedure has completed successfully, and all generated SOP Instances have been stored.

**BBB.3.2 Treatment Delivery Normal Flow - External Verification**

**BBB.3.2.1 Message Sequencing**

Figure BBB.3.2.1-1 illustrates a message sequence example in the case where a treatment procedure delivery is requested and performed by a conventional delivery device requiring an external verification capability.

In the case where external verification is requested (i.e., where the UPS Requested Procedure Code Sequence item has a value of "RT Treatment With External Verification"), the information contained in the UPS and potentially other required delivery data must be communicated to the Machine Parameter Verifier (MPV). In many real-world situations the Oncology Information System fulfills both the role of the TMS and the MPV, hence this communication is internal to the device and not standardized. If separate physical devices perform the two roles, the communication may also be non-standard, since these two devices must be very closely coupled.

Elements in bold indicate the additional messages required when the Machine Parameter Verifier is charged with validating the beam parameters for each beam, prior to radiation being administered. These checks can be initiated by the User on a beam-by-beam basis (‘manual sequencing’, shown with the optional ‘Deliver Beam x’ messages), or can be performed by the Machine Parameter Verifier without intervention (‘automatic sequencing’). The TDS would typically store an RT Treatment Record SOP Instance after each beam.

This example illustrates the case where photon or electron beams are being delivered. If ion beams are to be delivered, instances of the RT Conventional Machine Verification IOD will be replaced with instances of the RT Ion Machine Verification IOD.

Delivery of individual beams can be explicitly requested by the User (as shown in this example), or sequenced automatically by the TDS.

**BBB.3.2.2 Transactions and Message Flow**

This section describes in detail the additional interactions illustrated in Figure BBB.3.2.1-1.

After the TDS has retrieved the necessary treatment SOP Instances (Step 7), the following step is performed:

7a. Communicate UPS and Required Delivery Data to MPV
The MPV must receive information about the procedure to be performed, and any other data required in order to carry out its role. This communication typically occurs outside the DICOM standard, since the TMS and MPV are tightly coupled (and may be the same physical device). In cases where standardized network communication of these parameters is required, this could be achieved using DICOM storage of RT Plan and RT Delivery Instruction SOP Instances, or alternatively by use of the UPS Push SOP Class.

After the User has initiated the treatment session on the TDS console (Step 8), the following steps are then performed:

8a. 'Deliver Beam x' on TDS console

In some implementations, parameter verification for each beam may be initiated manually by the User (as shown in this example). In other approaches, the TDS may initiate these verifications automatically.

8b. Create RT Conventional Machine Verification Instance

The TDS creates a new RT Conventional Machine Verification instance on the MPV prior to beam parameter verification of the first beam to be delivered. This is conveyed using the N-CREATE primitive of the RT Conventional Machine Verification SOP Class.
Figure BBB.3.2.1-1. Treatment Delivery Normal Flow - External Verification Message Sequence

After the TDS has signaled the UPS current Referenced Beam Number and completion percentage for a given beam (9), the following sequence of steps is performed:
9a. Set 'Beam x' RT Conventional Machine Verification Instance

The TDS sets the RT Conventional Machine Verification SOP Instance to transfer the necessary verification parameters. This is conveyed using the N-SET primitive of the RT Conventional Machine Verification SOP Class. The Referenced Beam Number (300C,0006) attribute is used to specify the beam to be delivered. It is the responsibility of the SCU to keep track of the verification parameters such that the complete list of required attributes can be specified within the top-level sequence items.

9b. Initiate Verification

The TDS sets the RT Conventional Machine Verification SOP Instance to indicate that the TDS is ready for external verification to occur. This is conveyed using the N-ACTION primitive of the RT Conventional Machine Verification SOP Class.

9c. Verify Machine Parameters

The MPV then attempts to verify the treatment parameters for 'Beam x'. The MPV sends one or more N-EVENT-REPORT signals to the TDS during the verification process. The permissible event types for these signals in this context are 'Pending' (zero or more times, not shown in this use case), and 'Done' when the verification is complete (successful or otherwise).

9d. Get RT Conventional Machine Verification (optional step)

The TDS may then request attributes of the RT Conventional Machine Verification instance. This is conveyed using the N-GET primitive of the RT Conventional Machine Verification SOP Class. If verification has occurred normally and the N-EVENT-REPORT contained a Treatment Verification Status of VERIFIED (this use case), then this step is not necessary unless the TDS wishes to record additional parameters associated with the verification process.

The TDS then delivers the therapeutic radiation. In the current use case, it is assumed that the radiation completes normally, delivering the entire scheduled fraction. Other use cases, such as voluntary interruption by the User, or interruption by the TDS or MPV, are not described here. If the delivery requires an override of additional information, a different message flow occurs. This is illustrated in the use case described in the next section.

9e. Store 'Beam x' RT Beams Treatment Record to Archive

The TDS stores an RT Beams Treatment Record to the Archive (or potentially the TMS as described in Section BBB.3.1.2 Transactions and Message Flow). The RT Beams Treatment Record is therefore not stored in Step 11 for the external verification case (since it has already been stored in the step on a per-beam basis).

For each subsequent beam in the sequence of beams being delivered, steps 8a (optional), 9, 9a, 9b, 9c, 9d (optional), and 9e are then repeated, i.e., N-SET, N-ACTION, and N-GET operations are performed on the same instance of the RT Conventional Machine Verification SOP Class, which persists throughout the beam session.

9f. Delete RT Conventional Machine Verification Instance

When all beams have been processed, the TDS deletes the RT Conventional Machine Verification SOP Instance to indicate to the MPV that verification is no longer required. This is conveyed using the N-DELETE primitive of the RT Conventional Machine Verification SOP Class.

BBB.3.3 Treatment-delivery With External Verification - Override Or Additional Info Required

BBB.3.3.1 Message Sequencing

Figure BBB.3.3.1-1 illustrates a message sequence example for the external verification model in the case where the Machine Parameter Verifier (MPV) either detects that an override is required, or requires additional information (such as a bar code) before authorizing treatment.

The steps in this use case replace Steps 8a to 9f in Use Case BBB.3.2, for the case where only a single beam is delivered.
1. 'Deliver Beam x' on TDS console (optional step)
   
   See use case BBB.3.2.

2. Create RT Conventional Machine Verification Instance
   
   See use case BBB.3.2.

3. Set 'Beam x' RT Conventional Machine Verification Instance
   
   See use case BBB.3.2.

4. Initiate Machine Verification
   
   See use case BBB.3.2.

5. Verify Machine Parameters
   
   The MPV then attempts to verify the treatment parameters for 'Beam x'. The MPV determines that one or more treatment parameters are out-of-tolerance, or that information such as a bar code is missing. It sends an N-EVENT-REPORT signal to the TDS with an Event Type of Done and an RT Machine Verification Status of NOT_VERIFIED. The MPV also shows the reason for the override/information request on its display (5a).

6. Supply Override Instruction or Bar Code
   
   The User observes on the MPV console that an override or missing information is required, and supplies the override approval or missing information to the MPV via its user interface, or equivalent proxy.

---

**Figure BBB.3.3.1-1. Treatment Delivery Message Sequence - Override or Additional Information Required**

**BBB.3.3.2 Transactions and Message Flow**

This section describes in detail the interactions illustrated in Figure BBB.3.3.1-1.

1. ‘Deliver Beam x’ on TDS console (optional step)

   See use case BBB.3.2.

2. Create RT Conventional Machine Verification Instance

   See use case BBB.3.2.

3. Set ‘Beam x’ RT Conventional Machine Verification Instance

   See use case BBB.3.2.

4. Initiate Machine Verification

   See use case BBB.3.2.

5. Verify Machine Parameters

   The MPV then attempts to verify the treatment parameters for ‘Beam x’. The MPV determines that one or more treatment parameters are out-of-tolerance, or that information such as a bar code is missing. It sends an N-EVENT-REPORT signal to the TDS with an Event Type of Done and an RT Machine Verification Status of NOT_VERIFIED. The MPV also shows the reason for the override/information request on its display (5a).

6. Supply Override Instruction or Bar Code

   The User observes on the MPV console that an override or missing information is required, and supplies the override approval or missing information to the MPV via its user interface, or equivalent proxy.
7. Initiate Machine Verification

The TDS performs another N-ACTION on the RT Conventional Machine Verification SOP Instance to indicate that the TDS is once again ready for treatment verification. See use case BBB.3.2. This may be initiated by the user (as shown in this example), or may be initiated automatically by the TDS using a polling approach.

8. Re-verify Machine Parameters

The MPV verifies the treatment parameters, and determines that all parameters are now within tolerance and all required information is supplied. It sends an N-EVENT-REPORT signal to the TDS with an Event Type of Done and an RT Machine Verification Status of VERIFIED_OVR.

Note

If another verification failure occurs, the override cycle can be repeated as many times as necessary.

9. Get RT Conventional Machine Verification (optional step)

See use case BBB.3.2. If an N-GET is requested, the parameters that were overridden are available in Overridden Parameters Sequence (0074,104A).

The TDS then delivers the therapeutic radiation.

10. Store ‘Beam x’ RT Beams Treatment Record to Archive

See use case BBB.3.2. Overridden parameters are ultimately captured in the treatment record.

11. Delete RT Conventional Machine Verification Instance

See use case BBB.3.2.

**BBB.3.4 Treatment-delivery With External Verification - Machine Adjustment Required**

**BBB.3.4.1 Message Sequencing**

Figure BBB.3.4.1-1 illustrates a message sequence example for the external verification model in the case where the Machine Parameter Verifier (MPV) detects that one or more machine adjustments are required before authorizing treatment, and the TDS has been configured to retrieve the failure information and make the required adjustments.

The steps in this use case replace Steps 8a to 9f in Use Case BBB.3.2, for the case where only a single beam is delivered.
Figure BBB.3.4.1-1. Treatment Delivery Message Sequence - Machine Adjustment Required

**BBB.3.4.2 Transactions and Message Flow**

This section describes in detail the interactions illustrated in Figure BBB.3.4.1-1.

1. 'Deliver Beam x' on TDS console (optional step)
   
   See use case BBB.3.2.

2. Create RT Conventional Machine Verification Instance
   
   See use case BBB.3.2.

3. Set 'Beam x' RT Conventional Machine Verification Instance
   
   See use case BBB.3.2.

4. Initiate Machine Verification
   
   See use case BBB.3.2.

5. Verify Machine Parameters
   
   The MPV then attempts to verify the treatment parameters for 'Beam x'. The MPV determines that one or more treatment parameters are out-of-tolerance. It sends an N-EVENT-REPORT signal to the TDS with an Event Type of Done and an RT Machine Verification Status of NOT_VERIFIED. It may also display the verification status and information to the user (5a).

6. Get RT Conventional Machine Verification
The TDS then requests the failed verification parameters of the verification process. This is conveyed using the N-GET primitive of the RT Conventional Machine Verification SOP Class. The MPV replies with an N-GET-RESPONSE having a Treatment Verification Status of NOT_VERIFIED. The reason(s) for the failure is encoded in the Failed Parameters Sequence (0074,1048) attribute of the response.

7. Request machine adjustment

As illustrated in this example, some implementations may require that the User observes the failed verification parameters on the MPV console and manually request the required machine adjustment. In this case the User makes the request to the TDS via its user interface. In other implementations the TDS makes the adjustments automatically and request verification without User intervention.

8. Adjust TDS and Set 'Beam x' RT Conventional Machine Verification Instance

The TDS adjusts one or more of its parameters as requested, then sets the RT Conventional Machine Verification SOP Instance to indicate that the TDS is once again ready for treatment delivery. This is conveyed using the N-SET primitive of the RT Conventional Machine Verification SOP Class. The N-SET command provides values for all applicable parameters (not just those that have been modified) since if one or more parameters within a top-level sequence is supplied, then all the applicable parameters within that sequence must also be supplied (otherwise DICOM requires their values to be cleared).

9. Initiate Machine Verification

The TDS performs another N-ACTION on the RT Conventional Machine Verification SOP Instance to request that the MPV re-perform treatment verification. See use case BBB.3.2.

As an optional step, the MPV may notify the TDS that the verification is in process at any time, by sending an N-EVENT-REPORT signal to the TDS with an Event Type of Pending (9a).

10. Re-verify Machine Parameters

The MPV verifies the treatment parameters, and determines that the required adjustments have been made, i.e., all parameters are now within tolerance. It sends an N-EVENT-REPORT signal to the TDS with an Event Type of Done and an RT Conventional Machine Verification Status of VERIFIED.

Note

If another verification failure occurs, the override cycle can be repeated as many times as necessary.

11. Get RT Conventional Machine Verification (optional step)

See use case BBB.3.2.

The TDS then delivers the therapeutic radiation.

12. Store 'Beam x' RT Beams Treatment Record to Archive

See use case BBB.3.2.

13. Delete RT Conventional Machine Verification Instance

See use case BBB.3.2.
CCC Ophthalmic Axial Measurements and Intraocular Lens Calculations Use Cases (Informative)

CCC.1 Axial Measurements

An axial measurements device is used to take axial measurements of the eye, from the anterior surface of the cornea to either the surface of the retina (ultrasound) or the retinal photoreceptors (optical). The axial measurements are typically expressed in mm (Ophthalmic Axial Length (0022,1010)). Currently these measurements are taken using ultrasound or laser light. The measurements are used in calculation of intraocular lens power for cataract surgery. Axial measurements devices and software on other systems perform intraocular lens power calculations using the axial measurements in addition to measurements from other sources (currently by manual data entry, although importation from other software systems is expected in the future).

When the natural lens of the eye turns opaque it is called a cataract. The cataract is surgically removed, and a synthetic intraocular lens is placed where the natural lens was before. The power of the lens that is placed determines what the patient's refractive error will be, meaning what power his glasses will need to be to maximize vision after surgery.

Axial measurements devices provide graphical displays that help clinicians to determine whether or not the probe used in taking the measurements is aligned properly. Annotations on the display provide information such as location of gates that assists the clinician in assessing measurement quality. High, fairly even waveform spikes suggest that the measurement producing a given graph is likely to be reliable. The quality of the graphical display is one of the factors that a clinician considers when choosing which axial length measurement to use in calculating the correct intraocular lens power for a given patient.

CCC.2 Intraocular Lens Calculations Introduction

Axial measurements devices and software on other systems perform intraocular lens power calculations for cataract surgery patients. The power selection of intraocular lens to place in a patient's eye determines the refractive correction (e.g., glasses, contact lenses, etc.) the patient will require after cataract surgery.

The data input for these calculations consists of ophthalmic axial length measurements (one dimensional ultrasound scans that are called "A-scans" in the eye care domain) and keratometry (corneal curvature) measurements in addition to constants and sometimes others kinds of measurements. The data may come from measurements performed by the device, on which the intraocular lens calculation software resides, or from manual data entry, or from an external source. There are a number of different formulas and constants available for doing these calculations. The selection of formula to use is based on clinician preference and on patient factors such as the axial length of the eye. The most commonly used constants, encoded by Concept Name Code Sequence (0040,A043) using CID 4237 "Lens Constant Type", are a function of the model of intraocular lens to be used.

The most commonly used formulas, encoded by IOL Formula Code Sequence (0022,1029) using CID 4236 “IOL Calculation Formula”, for intraocular lens calculation are inaccurate in a patient who has had refractive surgery, and numerous other formulas are available for these patients. Since most of them have not been validated to date, they were not included in this document.

Intraocular lens calculation software typically provides tabular displays of intraocular lens power in association with each lens's predicted refractive error (e.g., glasses, contact lenses, etc).
Figure CCC.2-1. Sagittal Diagram of Eye Anatomy (when the lens turns opaque it is called a cataract)

Courtesy; National Eye Institute, National Institutes of Health; ftp://ftp.nei.nih.gov/eyean/eye_72.tif

Figure CCC.2-2. Eye with a cataract

Courtesy; National Eye Institute, National Institutes of Health; ftp://ftp.nei.nih.gov/eyedis/EDA13_72.tif
Figure CCC.2-3. Eye with Synthetic Intraocular Lens Placed After Removal of Cataract

This file is licensed under the Creative Commons Attribution Share Alike 2.5 License, Author is Rakesh Ahuja, MD (http://en.wikipedia.org/wiki/Image:Posterior_capsular_opacification_on_retroillumination.jpg)

CCC.3 Output of An Ultrasound A-scan Device

Figure CCC.3-1 demonstrates an A-scan waveform - produced by an ultrasound device used for ophthalmic axial length measurement. This is referenced in the Ophthalmic Axial Measurements IOD in Referenced Ophthalmic Axial Length Measurement QC Image Sequence (0022,1033).

Figure CCC.3-1. Scan Waveform Example

Time (translated into distance using an assumed velocity) is on the x-axis, and signal strength is on the y-axis. This waveform allows clinicians to judge the quality of an axial length measurement for use in calculating the power of intraocular lens to place in a patient’s eye in cataract surgery. Figure CCC.3-1 above demonstrates a high quality scan, with tall, even spikes representing the ocular structures of interest. This tells the clinician that the probe was properly aligned with the eye. The first, double spike on the left represents anterior cornea followed by posterior cornea. The second two, more widely spaced spikes represent anterior and posterior lens. The first tall spike on the right side of the display is the retinal spike, and the next tall spike to the right is the sclera. Smaller spikes to the
far right are produced by orbital tissues. Arrows at the bottom of the waveform indicate the location of gates, which may be manually adjusted to limit the range of accepted values. Note that in the lower right corner of the display two measurements are recorded. In the column labeled AXL is an axial length measurement, which on this device is the sum of the measurements for ACD (anterior chamber depth), lens, and VCD (vitreous chamber depth). The measured time value for each of the segments and a presumed velocity of sound for that segment are used to calculate the axial length for that segment. An average value for each column is displayed below along with the standard deviation of measurements in that column. The average axial length is the axial length value selected by this machine, although often a clinician will make an alternative selection.

**CCC.4 Output of An Optical A-scan Device**

Figure CCC.4-1 demonstrates the waveform-output of a partial coherence interferometry (PCI) device used for optical ophthalmic axial length measurement. This is referenced in the Ophthalmic Axial Measurements IOD in Referenced Ophthalmic Axial Length Measurement QC Image Sequence (0022,1033).

![Figure CCC.4-1](image)

**Figure CCC.4-1. Waveform Output of a Partial Coherence Interferometry (PCI) Device Example**

Physical distance is on the x axis, and signal strength is on the y axis. What is actually measured is phase shift, determined by looking at interference patterns of coherent light. Physical distance is calculated by dividing "optical path length" by the "refractive group index" - using an assumed average refractive group index for the entire eye. The "optical path length" is derived from the phase shift that is actually observed. Similar to ultrasound, this waveform allows clinicians to judge the quality of an axial length measurement.

Figure CCC.4-1 above demonstrates a high quality scan, with tall, straight spikes representing the ocular axial length. The corneal spike is suppressed (outside the frame on the left hand side) and represents the reference 0 mm. The single spike on this display represents the signal from the retinal pigment epithelium (RPE) and provides the axial length measurement value (position of the circle marker). Sometimes smaller spikes can be observed on the left or right side of the RPE peak. Those spikes represent reflections from the internal limiting membrane (ILM, 150-350 µm before RPE) or from the choroid (150-250 µm behind RPE) respectively.

Because all classical IOL power calculation formulas expect axial lengths measured to the internal limiting membrane (as provided by ultrasound devices), axial length measurements obtained with an optical device to the retinal pigment epithelium are converted to this convention by subtracting the retinal thickness.

Figure CCC.4-1 above displays five axial length measurements obtained for each eye (one column for each eye) and the selected axial length value is shown below the line.

**CCC.5 IOL Calculation Results Example**

Figure CCC.5-1 demonstrates a typical display of IOL (intraocular lens) calculation results.
Figure CCC.5-1. IOL Calculation Results Example

On the right the selected target refractive correction (e.g., glasses, contact lenses, etc.) is -0.25 diopters. At the top of the table three possible intraocular lens models are displayed, along with the constants (CID 4237 “Lens Constant Type”) specific to those lens models. Each row in that part of the table displays constants required for a particular formula. In this example the Holladay formula has been selected by the operator, and results are displayed in the body of the table below. Calculated intraocular lens powers are displayed with the predicted postoperative refractive error (e.g., glasses, contact lenses, etc.) for each lens. K1 and K2 on the right refer to the keratometry values (corneal curvature), in diopters, used for these calculations.
DDD Visual Field Static Perimetry Use Cases (Informative)

DDD.1 Introduction

Automated visual fields are the most commonly used method to assess the function of the visual system. This is accomplished by sequentially presenting visual stimuli to the patient and then requiring the patient press a button if he/she perceives a stimulus. The stimuli are presented at a variety of points within the area expected to be visible to the patient and each of those points is tested with multiple stimuli of varying intensity. The result of this is a spatial map indicating how well the patient can see throughout his/her visual field.

DDD.2 Use Cases

![Schematic Representation of the Human Eye](image)

**Figure DDD.2-1. Schematic Representation of the Human Eye**
DDD.2.1 Evaluation For Glaucoma

The diagnosis and management of Glaucoma, a disease of the optic nerve, is the primary use of visual field testing. In this regard, automated visual fields are used to assess quantitatively the function of the optic nerve with the intent of detecting defects caused by glaucoma.

The first step in analyzing a visual field report is to confirm that it came from the correct patient. Demographic information including the patient's name, gender, date of birth, and perhaps medical record number are therefore essential data to collect. The patient's age is also important in the analysis of the visual field (see below) as optic nerve function changes with age. Finally, it is important to document the patient's refractive error as this needs to be corrected properly for the test to be valid.

Second, the clinician needs to assess the reliability of the test. This can be determined in a number of ways. One of these is by monitoring patient fixation during the test. To be meaningful, a visual field test assumes that the subject was looking at a fixed point throughout the test and was responding to stimuli in the periphery. Currently available techniques for monitoring this fixation include blind spot mapping, pupil tracking, and observation by the technician conducting the test. Blind spot mapping starts by identifying the small region of the visual field corresponding to the optic nerve head. Since the patient cannot detect stimuli in this area, any positive response to a stimulus placed there later in the test indicates that the patient has lost fixation and the blind spot has "moved". Both pupil tracking and direct observation by the technician are now easily carried out using a camera focused on the patient's eye.
**Figure DDD.2-3. Information Related to Test Reliability**

Another means of assessing the reliability of the test is to count both false positive and false negative responses. False positives occur when the subject presses the button either in response to no stimulus or in response to a stimulus with intensity significantly below one they had not detected previously. False negatives are recorded when the patient fails to respond to stimulus significantly more intense than one they had previously seen. Taken together, fixation losses, false positives, and false negatives provide an indication of the quality of the test.

The next phase of visual field interpretation is to assess for the presence of disease. The first aspect of the visual field data used here are the raw sensitivity values. These are usually expressed as a function of the amount of attenuation that could be applied to the maximum possible stimulus such that the patient could still see it when displayed. Since a value is available at each point tested in the visual field, these values can be represented either as raw values or as a graphical map.

![Figure DDD.2-4. Sample Output from an Automated VF Machine Including Raw Sensitivity Values (Left, Larger Numbers are Better) and an Interpolated Gray-Scale Image](image)

Because the raw intensity values can be affected by a number of factors including age and other non-optic nerve problems including refractive error or any opacity along the visual axis (cornea, lens, vitreous), it is helpful to also evaluate some corrected values. One set of corrected intensity values is usually some indication of the difference of each tested point from its expected value based on patient age. Another set of corrected intensity values, referred to as "Pattern deviation or "Corrected comparison" are normalized for age and also have a value subtracted from the deviation at each test point, which is estimated to be due to diffuse visual field loss. This latter set is useful for focal rather than diffuse defects in visual function. In the case of glaucoma and most other optic nerve disease, clinicians are more interested in focal defects so this second set of normalized data is useful.
For all normalized visual field sensitivity data, it is useful to know how a particular value compares to a group of normal patients. Vendors of automated visual field machines therefore go to great lengths to collect data on such "normal" subjects to allow subsequent analysis. Furthermore, the various sets of values mentioned above can be summarized further using calculations like a mean and standard deviation. These values give some idea about the average amount of field loss (mean) and the focality of that loss (standard deviation).

A final step in the clinical assessment of a visual field test is to review any disease-specific tests that are performed on the data. One such test is the Glaucoma Hemifield Test, which has been designed to identify field loss consistent with glaucoma. These tests are frequently vendor-specific.

DDD.2.2 Neurological Disease

In addition to primary diseases of the optic nerve, like glaucoma, visual fields are useful for assessing damage to the visual pathway occurring between the optic chiasm and occipital cortex. There is the same need for demographic information, for assessment of reliability, and for the various raw and normalized sensitivity values. At this time, there are no well-established automated tests for the presence of neurological defects.
DDD.2.3 Diffuse and Local Defect

DDD.2.3.1 Diffuse Defect

The Diffuse Defect is an estimate of the portion of a patient's visual field loss that is diffuse, or spread evenly across all portions of the visual field, in dB. In this graphical display, deviation from the average normal value for each test point is ranked on the x axis from 1 to 59, with 59 being the test point that has the greatest deviation from normal. Deviations from normal at each test point are represented on the y axis, in dB. The patient's actual test point deviations are represented by the thin blue line. Age corrected normal values are represented by the light blue band. The patient's deviation from normal at the test point ranked 25% among his or her own deviations is then estimated to be his or her diffuse visual field loss, represented by the dark blue band. This provides a graphical estimate of the remaining visual field loss for this patient, which is then presumed to consist of local visual field defects, which are more significant in management of glaucoma than diffuse defects.
DDD.2.4.2 Local Defect

The Local Defect is an estimate of the portion of a patient's visual field loss that is local, or not spread evenly across all portions of the visual field. The x and y axis in this graphical display have the same meaning as in the diffuse defect. In this graphical display the top line/blue band represent age corrected normal values. This line is shifted downward by the amount estimated to be due to diffuse visual field loss for this patient, according to the calculation in Figure DDD.2-7 (Diffuse Defect). The difference between the patient's test value at each point in the ranking on the horizontal axis and the point on the lower curve at the 50% point is represented by the dark blue section of the graph. This accentuates the degree of local visual field defect, which is more significant in management of glaucoma than diffuse defects. The Local Defect is an index that highly correlates with square root of the loss variance (sLV) but is less susceptible to false positives. In addition to the usage in white/white perimetry it is especially helpful as early identifier for abnormal results in perimetry methods with higher inter subject variability such as blue/yellow (SWAP) or flicker perimetry. An example of Local Defect is shown in Figure DDD.2-8 and is expressed in dark blue in dB and is normalized to be comparable between different test patterns.
Figure DDD.2-8. Example of Local Defect
EEE Intravascular OCT Image (Informative)

EEE.1 Purpose of This Annex

The purpose of this annex is to explain key IVOCT FOR PROCESSING parameters, describe the relationship between IVOCT FOR PROCESSING and FOR PRESENTATION images. It also explains Intravascular Longitudinal Reconstruction.

EEE.2 IVOCT For Processing Parameters

EEE.2.1 Z Offset Correction

When an OCT image is acquired, the path length difference between the reference and sample arms may vary, resulting in a shift along the axial direction of the image, known as the Z Offset. With FOR PROCESSING images, in order to convert the image in Cartesian coordinates and make measurements, this Z Offset should be corrected, typically on a per-frame or per-image basis. Z Offset is corrected by shifting Polar data rows (A-lines) + OCT Z Offset Correction (0052,0030) pixels along the axial dimension of the image.

Z Offset correction may be either a positive or negative value. Positive values mean that the A-lines are shifted further away from the catheter optics. Negative values mean that the A-lines are shifted closer to the catheter optics. Figure EEE.2-1 illustrates a negative Z Offset Correction.

![Figure EEE.2-1. Z Offset Correction](image)

EEE.2.2 Refractive Index Correction

The axial distances in an OCT image are dependent on the refractive index of the material that IVOCT light passes through. As a result, in order to accurately make measurements in images derived from FOR PROCESSING data, the axial dimension of the pixels should be globally corrected by dividing the A-line Pixel Spacing (0052,0014) value (in air) by the Effective Refractive Index (0052,0004)
and setting the Refractive Index Applied (0052,003A) to YES. Although not recommended, if A-line Pixel Spacing (0052,0014) is reported in air (i.e., not corrected by dividing by Effective Refractive Index) then the Refractive Index Applied value shall be set to NO.

**EEE.2.3 Polar-Cartesian Conversion**

FOR PROCESSING Polar data is specified such that each column represents a subsequent axial (z) location and each row an angular (q) coordinate. Following Z Offset and Refractive Index Correction, Polar data can be converted to Cartesian data by first orienting the seam line position so that it is at the correct row location. This can be accomplished by shifting the rows Seam Line Index (0052,0036) pixels so that its Seam Line Location (0052,0033) is located at row "A-lines Per Frame * Seam Line Location / 360". Once the seam line is positioned correctly, the Cartesian data can be obtained by remapping the Polar (z, q) data into Cartesian (x, y) space, where the leftmost column of the Polar image corresponds to the center of the Cartesian image. Figure EEE.2-2 illustrates the Polar to Cartesian conversion. The scan-converted frames are constructed using the Catheter Direction of Rotation (0052,0031) attribute to determine the order in which the A-lines are acquired. Scan-converted frames are constructed using A-lines that contain actual data (i.e., not padded A-lines). Padded A-lines are added at the end of the frame and are contiguous. Figure EEE.2-2 is an example of Polar to Cartesian conversion.

![Polar to Cartesian Conversion](image)

**Figure EEE.2-2. Polar to Cartesian Conversion**

**EEE.3 Intravascular Longitudinal Image**

An Intravascular Longitudinal Image (L-Mode) is a constrained three-dimensional reconstruction of an IVUS or IVOCT multi-frame image. The Longitudinal Image can be reconstructed from either FOR PROCESSING or FOR PRESENTATION Images. Figure EEE.3-1 is an example of an IVUS cross-sectional image (on the left) with a reconstructed longitudinal view (on the right).
The Longitudinal reconstruction is comprised of a series of perpendicular cut planes, typically consisting of up to 360 slices spaced in degree increments. The cut planes are perpendicular to the cross-sectional plane, and rotate around the catheter axis (i.e., center of the catheter) to provide a full 360 degrees of rotation. A longitudinal slice indicator is used to select the cut plane to display, and is normally displayed in the associated cross-sectional image (e.g., blue arrow cursor in Figure EEE.3-1). A current frame marker (e.g., yellow cursor located in the longitudinal view) is used to indicate the position of the corresponding cross-sectional image, within the longitudinal slice.

When pullback rate information is provided, distance measurements are possible along the catheter axis. The Intravascular Longitudinal Distance (0052,0028) or IVUS Pullback Rate (0018,3101) attributes are used along with the Frame Acquisition DateTime (0018,9074) attribute to facilitate measurement calculations. This allows for lesion, calcium, stent and stent gap length measurements. Figure EEE.3-2 is an example of an IVOCT cross-sectional image (on the top), with a horizontal longitudinal view on the bottom. The following example also illustrates how the tint specified by the Palette Color LUT is applied to the OCT image.
Figure EEE.3-2. IVOCT Image with Horizontal Longitudinal View

Figure EEE.3-3. Longitudinal Reconstruction

Figure EEE.3-3 illustrates how the 2D cross-sectional frames are stacked along the catheter longitudinal axis. True geometric representation of the vessel morphology cannot be rendered, since only the Z position information is known. Position (X and Y) and rotation (X, Y and Z) information of the acquired cross-sectional frames is unknown.
FFF Enhanced XA/XRF Encoding Examples (Informative)

FFF.1 General Concepts of X-Ray Angiography

This chapter describes the general concepts of the X-Ray Angiography equipment and the way these concepts can be encoded in SOP Instances of the Enhanced XA SOP Class. It covers the time relationships during the image acquisition, the X-Ray generation parameters, the conic projection geometry in X-Ray Angiography, the pixel size calibration as well as the display pipeline.

The following general concepts provide better understanding of the examples for the different application cases in the rest of this Annex.

FFF.1.1 Time Relationships

FFF.1.1.1 Time Relationships of A Multi-frame Image

The following figure shows the time-related attributes of the acquisition of X-Ray multi-frame images. The image and frame time attributes are defined as absolute times, the duration of the entire image acquisition can be then calculated.

![Figure FFF.1.1-1. Time Relationships of a Multi-frame Image](image)

**Figure FFF.1.1-1. Time Relationships of a Multi-frame Image**

FFF.1.1.2 Time Relationships of One Frame

The following figure shows the time-related attributes of the acquisition of an individual frame "i" and the relationship with the X-Ray detector reading time and simultaneous ECG waveform acquisition.
Figure FFF.1.1-2. Time Relationships of one Frame

Note

1. Positioner angle values, table position values etc… are measured at the Frame Reference DateTime.

2. Dose of the frame is the cumulative dose: PRE-FRAME + FRAME.

**FFF.1.2 Acquisition Geometry**

This chapter illustrates the relationships between the geometrical models of the patient, the table, the positioner, the detector and the pixel data.

The following figure shows the different steps in the X-Ray acquisition that influences the geometrical relationship between the patient and the pixel data.
**FFF.1.2.1 Patient Description**

Refer to Annex A for the definition of the patient orientation.

A point of the patient is represented as: \( P = (P_{\text{left}}, P_{\text{posterior}}, P_{\text{head}}) \).

**FFF.1.2.2 Patient Position**

**FFF.1.2.2.1 Table Description**

The table coordinates are defined in Section C.8.7.4.1.4 “Table Motion With Patient in Relation to Imaging Chain” in PS3.3.

The table coordinate system is represented as: \( (O_t, X_t, Y_t, Z_t) \) where the origin \( O_t \) is located on the tabletop and is arbitrarily defined for each system.
**FFF.1.2.2.2 Options For Patient Position On The X-Ray Table**

The position of the patient in the X-Ray table is described in Section C.7.3.1.1.2 "Patient Position" in PS3.3.

The table below shows the direction cosines for each of the three patient directions (Left, Posterior, Head) related to the Table coordinate system ($X_t$, $Y_t$, $Z_t$), for each patient position on the X-Ray table:

<table>
<thead>
<tr>
<th>Patient Position</th>
<th>Patient left direction</th>
<th>Patient posterior direction</th>
<th>Patient head direction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Recumbent - Head First - Supine</td>
<td>(1, 0, 0)</td>
<td>(0, 1, 0)</td>
<td>(0, 0, 1)</td>
</tr>
<tr>
<td>Recumbent - Head First - Prone</td>
<td>(-1, 0, 0)</td>
<td>(0, -1, 0)</td>
<td>(0, 0, 1)</td>
</tr>
<tr>
<td>Recumbent - Head First - Decubitus Right</td>
<td>(0, -1, 0)</td>
<td>(1, 0, 0)</td>
<td>(0, 0, 1)</td>
</tr>
<tr>
<td>Recumbent - Head First - Decubitus Left</td>
<td>(0, 1, 0)</td>
<td>(-1, 0, 0)</td>
<td>(0, 0, 1)</td>
</tr>
<tr>
<td>Recumbent - Feet First - Supine</td>
<td>(-1, 0, 0)</td>
<td>(0, 1, 0)</td>
<td>(0, 0, -1)</td>
</tr>
<tr>
<td>Recumbent - Feet First - Prone</td>
<td>(1, 0, 0)</td>
<td>(0, -1, 0)</td>
<td>(0, 0, -1)</td>
</tr>
<tr>
<td>Recumbent - Feet First - Decubitus Right</td>
<td>(0, -1, 0)</td>
<td>(-1, 0, 0)</td>
<td>(0, 0, -1)</td>
</tr>
<tr>
<td>Recumbent - Feet First - Decubitus Left</td>
<td>(0, 1, 0)</td>
<td>(1, 0, 0)</td>
<td>(0, 0, -1)</td>
</tr>
</tbody>
</table>

**FFF.1.2.3 Table Movement**

**FFF.1.2.3.1 Isocenter Coordinate System**

The Isocenter coordinate system is defined in Section C.8.19.6.13.1.1 “Isocenter Coordinate System” in PS3.3.

**FFF.1.2.3.2 Table Movement in The Isocenter Coordinate System**

The table coordinate system is defined in Section C.8.19.6.13.1.3 “Table Coordinate System” in PS3.3 where the table translation is represented as ($T_x$, $T_y$, $T_z$). The table rotation is represented as ($A_{t1}$, $A_{t2}$, $A_{t3}$).
Figure FFF.1.2-4. At1: Table Horizontal Rotation Angle

Figure FFF.1.2-5. At2: Table Head Tilt Angle

Figure FFF.1.2-6. At3: Table Cradle Tilt Angle
A point \((P_{Xt}, P_{Yt}, P_{Zt})\) in the table coordinate system (see Figure FFF.1.2-7) can be expressed as a point \((P_X, P_Y, P_Z)\) in the Isocenter coordinate system by applying the following transformation:

\[
(P_X, P_Y, P_Z)^T = (R_3 R_2 R_1)^T (P_{Xt}, P_{Yt}, P_{Zt})^T + (T_X, T_Y, T_Z)^T
\]

And inversely, a point \((P_{Xt}, P_{Yt}, P_{Zt})\) in the Isocenter coordinate system can be expressed as a point \((P_X, P_Y, P_Z)\) in the table coordinate system by applying the following transformation:

\[
(P_{Xt}, P_{Yt}, P_{Zt})^T = (R_3 R_2 R_1)^T (P_X, P_Y, P_Z)^T - (T_X, T_Y, T_Z)^T
\]

Where \(R_1, R_2\) and \(R_3\) are defined in Figure FFF.1.2-7.

\[
R_1 = \begin{bmatrix}
\cos(At_1) & 0 & -\sin(At_1) \\
0 & 1 & 0 \\
\sin(At_1) & 0 & \cos(At_1)
\end{bmatrix}
\]

\[
R_2 = \begin{bmatrix}
1 & 0 & 0 \\
0 & \cos(At_2) & \sin(At_2) \\
0 & -\sin(At_2) & \cos(At_2)
\end{bmatrix}
\]

\[
R_3 = \begin{bmatrix}
\cos(At_3) & -\sin(At_3) & 0 \\
\sin(At_3) & \cos(At_3) & 0 \\
0 & 0 & 1
\end{bmatrix}
\]

Figure FFF.1.2-7. Point P in the Table and Isocenter Coordinate Systems

### FFF.1.2.4 Positioner Movement

#### FFF.1.2.4.1 Positioner Movement in The Isocenter Coordinate System

The positioner coordinate system is defined in Section C.8.19.6.13.1.2 "Positioner Coordinate System" in PS3.3 where the positioner angles are represented as \((Ap_1, Ap_2, Ap_3)\).

A point \((P_{xp}, P_{yp}, P_{zp})\) in the positioner coordinate system can be expressed as a point \((P_X, P_Y, P_Z)\) in the Isocenter coordinate system by applying the following transformation:

\[
(P_X, P_Y, P_Z)^T = (R_2 R_1)^T (P_{xp}, P_{yp}, P_{zp})^T
\]

And inversely, a point \((P_{xp}, P_{yp}, P_{zp})\) in the Isocenter coordinate system can be expressed as a point \((P_{xp}, P_{yp}, P_{zp})\) in the positioner coordinate system by applying the following transformation:

\[
(P_{xp}, P_{yp}, P_{zp})^T = R_3 ((R_3 R_2 R_1)^T (P_X, P_Y, P_Z)^T)
\]

Where \(R_1, R_2\) and \(R_3\) are defined as follows:

### FFF.1.2.4.2 X-Ray Incidence and Image Coordinate System

The following concepts illustrate the model of X-Ray cone-beam projection:

The **X-Ray incidence** represents the vector going from the X-Ray source to the Isocenter.

The **receptor plane** represents the plane perpendicular to the X-Ray Incidence, at distance SID from the X-Ray source. Applies for both image intensifier and digital detector. In case of digital detector it is equivalent to the detector plane.

The **image coordinate system** is represented by \((o, u, v)\), where "o" is the projection of the Isocenter on the receptor plane.

The **source to isocenter distance** is called ISO. The **source image receptor distance** is called SID.

The projection of a point \((P_{xp}, P_{yp}, P_{zp})\) in the positioner coordinate system is represented as a point \((P_u, P_v)\) in the image coordinate system.
Figure FFF.1.2-8. Projection of a Point of the Positioner Coordinate System

A point \((P_{Xp}, P_{Yp}, P_{Zp})\) in the positioner coordinate system \((O_p, X_p, Y_p, Z_p)\) can be expressed as a point \((P_u, P_v)\) in the image coordinate system by applying the following transformation:

\[
P_u = \left(\frac{\text{SID}}{\text{ISO} - P_{Yp}}\right) P_{Xp}
\]

\[
P_v = \left(\frac{\text{SID}}{\text{ISO} - P_{Yp}}\right) P_{Zp}
\]

The ratio \(\text{SID} / (\text{ISO} - P_{Yp})\) is also called magnification ratio of this particular point.

### FFF.1.2.5 Field of View Transformations

#### FFF.1.2.5.1 Detector

The following concepts illustrate the model of the X-Ray detector:

**Physical detector array** (or physical detector matrix) is the matrix composed of physical detector elements.

**Note**

Not all the detector elements are activated during an X-Ray exposure. The active detector elements are in the detector active area, which can be equal to or smaller than the physical detector area.

**Physical detector element coordinates** represented as \((i_{det}, j_{det})\) are columns and rows of the physical detector element in the physical detector array.

**Detector TLHC element** is the detector element in the Top Left Hand Corner of the physical detector array and corresponds to \((i_{det}, j_{det}) = (0,0)\).

The attribute **Detector Element Physical Size** (0018,7020) represents the physical dimensions in mm of a detector element in the row and column directions.

The attribute **Detector Element Spacing** (0018,7022) contains the two values \(D_{i_{det}}\) and \(D_{j_{det}}\), which represent the physical distance in mm between the centers of each physical detector element:

- \(D_{i_{det}}\) = detector element spacing between two adjacent columns;
- \(D_{j_{det}}\) = detector element spacing between two adjacent rows.

The attribute **Detector Element Physical Size** (0018,7020) may be different from the **Detector Element Spacing** (0018,7022) due to the presence of spacing material between detector elements.

The attribute **Position of Isocenter Projection** (0018,9430) contains the point \((\text{ISO}_{P_{i_{det}}}, \text{ISO}_{P_{j_{det}}})\), which represents the projection of the Isocenter on the detector plane, measured as the offset from the center of the detector TLHC element. It is measured in physical detector elements.
The attribute **Imager Pixel Spacing** (0018,1164) contains the two values $D_i$ and $D_j$, which represent the physical distance measured at the receptor plane between the centers of each pixel of the FOV image:

- $D_i =$ imager pixel spacing between two adjacent columns;
- $D_j =$ imager pixel spacing between two adjacent rows.

The **zoom factor** represents the ratio between Imager Pixel Spacing (0018,1164) and Detector Element Spacing (0018,7022). It may be different from the detector binning (e.g., when a digital zoom has been applied to the pixel data).

- Zoom factor (columns) = $D_i / D_{det}$;
- Zoom factor (rows) = $D_j / D_{det}$.

![Physical detector matrix and field of view](image)

**Figure FFF.1.2-9. Physical Detector and Field of View Areas**

### FFF.1.2.5.2 Field of View

The following concepts illustrate the model of the field of view:

The **field of view** (FOV) corresponds to a region of the physical detector array that has been irradiated.

The **field of view image** is the matrix of pixels of a rectangle circumscribing the field of view. Each pixel of the field of view image may be generated by multiple physical detector elements.

The attribute **FOV Origin** (0018,7030) contains the two values $(FOV_{idet}, FOV_{jdet})$, which represent the offset of the center of the detector element at the TLHC of the field of view image, before rotation or flipping, from the center of the detector TLHC element. It is measured in physical detector elements. FOV Origin = (0,0) means that the detector TLHC element is at the TLHC of a rectangle circumscribing the field of view.

The attribute **FOV Dimension** (0018,9461) contains the two values FOV row dimension and FOV column dimension, which represent the dimension of the FOV in mm:

- FOV row dimension = dimension in mm of the field of view in the row direction;
- FOV column dimension = dimension in mm of the field of view in the column direction.

**FOV pixel coordinates** represented as $(i, j)$ are columns and rows of the pixels in the field of view image.

**FOV TLHCpixel** is the pixel in the Top Left Hand Corner of the field of view image and corresponds to $(i, j) = (0,0)$.

As an example, the point $(ISO_P_{idet}, ISO_P_{jdet})$ representing the projection of the Isocenter on the field of view image, and measured in FOV pixels as the offset from the center of the FOV TLHC pixel, can be calculated as follows:
ISO_P_i = (ISO_P_i_{det} - FOV_{i_{det}} \cdot D_{i_{det}} / D_i - (1 - D_{i_{det}} / D_i) / 2

ISO_P_j = (ISO_P_j_{det} - FOV_{j_{det}} \cdot D_{j_{det}} / D_j - (1 - D_{j_{det}} / D_j) / 2

---

**Figure FFF.1.2-10. Field of View Image**

**FFF.1.2.5.3 Field of View Rotation and Flip**

The attribute **FOV Rotation** (0018,7032) represents the clockwise rotation in degrees of field of view relative to the physical detector.

The attribute **FOV Horizontal Flip** (0018,7034) defines whether or not a horizontal flip has been applied to the field of view after rotation relative to the physical detector.

The attribute **Pixel Data** (7FE0,0010) contains the FOV image after rotation and/or flipping.

**Pixel data coordinates** is the couple (c,r) where c is the column number and r is the row number.

---

**Figure FFF.1.2-11. Examples of Field of View Rotation and Horizontal Flip**
FFF.1.3 Calibration

The X-Ray Projection Pixel Calibration Macro of the Section C.8.19.6.9 “X-Ray Projection Pixel Calibration Macro” in PS3.3 specifies the attributes of the image pixel size calibration model in X-Ray conic projection, applicable to the Enhanced XA SOP Class.

In this model, the table plane is specified relative to the Isocenter. As default value for the attribute Distance Object to Table Top (0018,9403), the half distance of the patient thickness may be used.

Oblique projections are considered in this model by the encoding of the attribute Beam Angle (0018,9449), which can be calculated from Positioner Primary Angle (0018,1510) and Positioner Secondary Angle (0018,1511) as follows:

For Patient Positions HFS, FFS, HFP, FFP: Beam Angle = \( \arccos( |\cos(\text{Positioner Primary Angle})| \cdot |\cos(\text{Positioner Secondary Angle})| ) \).

For Patient Positions HFDR, FFDR, HFDL, FFDL: Beam Angle = \( \arccos( |\sin(\text{Positioner Primary Angle})| \cdot |\cos(\text{Positioner Secondary Angle})| ) \).

The resulting pixel spacing, defined as \( D_{\text{Px}} \cdot \frac{SOD}{SID} \), is encoded in the attribute Object Pixel Spacing in Center of Beam (0018,9404). Its accuracy is practically limited to a beam angle range of +/- 60 degrees.

FFF.1.4 X-Ray Generation

This chapter illustrates the relationships between the X-Ray generation parameters:

\[
\begin{array}{c|c|c|c|c|c}
\hline
\text{X-Ray Current} & \text{Time} & \Delta t_1 (\text{ms}) & \Delta t_2 (\text{ms}) & \Delta t_3 (\text{ms}) & \Delta t_4 (\text{ms}) \\
\hline
\text{mA}_1 & \text{mA}_2 & \text{mA}_3 & \text{mA}_4 & \text{mA}_5 \\
\hline
\end{array}
\]

Figure FFF.1.4-1. Example of X-Ray Current Per-Frame of the X-Ray Acquisition

Values per frame are represented by the following symbols in this section:

In the Frame Content Sequence (0020,9111):

\[ \cdot \text{Frame Acquisition Duration (0018,9220) in ms of frame « i » = } D_t_i \]

In the Frame Acquisition Sequence (0018,9417):

\[ \cdot \text{KVP (0018,0060) of frame « i » = } kVp_i \]
\[ \cdot \text{X-Ray Tube Current in mA (0018,9330) of frame « i » = } mA_i \]

The following shows an example of calculation of the cumulative and average values per image relative to the values per-frame:

\[ \cdot \text{Number of Frames (0028,0008) = N} \]
\[ \cdot \text{Exposure Time (0018,9328) in ms = } \text{SUM}_N (Dt_i) \]
\[ \cdot \text{X-Ray Tube Current (0018,9330) in mA = } 1/N \cdot \text{SUM}_N (mA_i) \]
\[ \cdot \text{Average Pulse Width (0018,1454) in ms = } 1/N \cdot \text{SUM}_N (Dt_i) \]
• KVP (0018,0060) = 1/N * \[\text{SUM}_{kVp}\]
• Exposure (0018,9332) in mAs = \[\text{SUM}_{D_i \cdot mA_i / 1000}\]

**FFF.1.5 Pixel Data Properties and Display Pipeline**

This chapter describes the concepts of the display pipeline.

The X-Ray intensity (I) at the image receptor is inversely proportional to the exponential function of the product of the object's thickness (x) traversed by the X-Ray beam and its effective absorption coefficient (m): 

\[I \sim e^{-m\cdot x}\]

The X-Ray intensity that comes into contact with the image receptor is converted to the stored pixel data by applying specific signal processing. As a first step in this conversion, the amplitude of the digital signal out of the receptor is linearly proportional to the X-Ray intensity. In further steps, this digital signal is processed in order to optimize the rendering of the objects of interest present on the image.

The Enhanced XA IOD includes attributes that describe the characteristics of the stored pixel data, allowing to relate the stored pixel data to the original X-Ray intensity independently from the fact that the image is "original" or "derived".

When the attribute Pixel Intensity Relationship (0028,1040) equals LIN:

- \[P \sim I\]: The pixel values (P) are approximately proportional to X-Ray beam intensity (I).

When the attribute Pixel Intensity Relationship (0028,1040) equals LOG:

- \[P \sim x\]: The pixel values (P) are approximately proportional to the object thickness (x).

In order to ensure consistency of the displayed stored pixel data, the standard display pipeline is defined.

On the other side, the stored pixel data is also used by applications for further analysis like segmentation, structure detection and measurement, or for display optimization like mask subtraction. For this purpose, the Pixel Intensity Relationship LUT described in Section C.7.6.16.2.13.1 "Pixel Intensity Relationship LUT" in PS3.3 defines a transformation LUT enabling the conversion from the stored pixel data values to linear, logarithmic or other relationship.

For instance, if the image processing applied to the X-Ray intensity before storing the Pixel Data allows returning to LIN, then a Pixel Intensity Relationship LUT with the function "TO_LINEAR" is provided. The following figure shows some examples of image processing, and the corresponding description of the relationship between the stored pixel data and the X-Ray intensity.

![Diagram showing image processing steps and Pixel Intensity Relationship LUTs](image-url)

---

**Figure FFF.1.5-1. Examples of Image Processing prior to the Pixel Data Storage**

No solution is proposed in the Enhanced XA SOP Class to standardize the subtractive display pipeline. As the Enhanced XA image is not required to be stored in a LOG relationship, the Pixel Intensity Relationship LUT may be provided to convert the images to the logarithmic space before subtraction. The creation of subtracted data to be displayed is a manufacturer-dependent function.

As an example of subtractive display, the pixel values are first transformed to a LOG relationship, and then subtracted to bring the background level to zero and finally expanded to displayable levels by using a non-linear function EXP similar to an exponential.
FFF.2 Application Cases

This chapter describes different scenarios and application cases organized by domains of application. Each application case is basically structured in four sections:

1) **User Scenario**: Describes the user needs in a specific clinical context, and/or a particular system configuration and equipment type.

2) **Encoding Outline**: Describes the specificities of the XA SOP Class and the Enhanced XA SOP Class related to this scenario, and highlights the key aspects of the Enhanced XA SOP Class to address it.

3) **Encoding Details**: Provides detailed recommendations of the key attributes of the object(s) to address this particular scenario.

4) **Example**: Presents a typical example of the scenario, with realistic sample values, and gives details of the encoding of the key attributes of the object(s) to address this particular scenario. In the values of the attributes, the text in bold face indicates specific attribute values; the text in italic face gives an indication of the expected value content.

FFF.2.1 Acquisition

**FFF.2.1.1 ECG Recording at Acquisition Modality**

This application case is related to the results of an X-Ray acquisition and parallel ECG data recording on the same equipment.

**FFF.2.1.1.1 User Scenario**

The image acquisition system records ECG signals simultaneously with the acquisition of the Enhanced XA multi-frame image. All the ECG signals are acquired at the same sampling rate.

The acquisition of both image and ECG data are not triggered by an external signal.

The information can be exchanged via Offline Media or Network.

Synchronization between the ECG Curve and the image frames allows synchronized navigation in each of the data sets.

---

*Figure FFF.2.1-1. Scenario of ECG Recording at Acquisition Modality*
FFF.2.1.1.2 Encoding Outline

The General ECG IOD is used to store the waveform data recorded in parallel to the image acquisition encoded as Enhanced XA IOD.

The Synchronization Module is used to specify a common time-base.

The option of encoding trigger information is not recommended by this case.

The solution assumes implementation on a single imaging modality and therefore the mutual UID references between the General ECG and Enhanced XA objects is recommended. This will allow faster access to the related object.

FFF.2.1.1.3 Encoding Details

This section provides detailed recommendations of the key attributes to address this particular scenario.

FFF.2.1.1.3.1 Enhanced XA Image

| Table FFF.2.1-1. Enhanced X-Ray Angiographic Image IOD Modules |
|-------------------------------|----------------|-----------------|
| IE                    | Module              | PS3.3 Reference | Usage                                                                 |
| Series                | General Series      | C.7.3.1         | The General Series Module Modality (0008,0060) attribute description in PS3.3 enforces the storage of waveform and pixel data in different Series IE. |
| Frame of Reference    | Synchronization     | C.7.4.2         | Specifies that the image acquisition is synchronized. Will have the same content as the General ECG SOP Instance. |
| Equipment             | General Equipment   | C.7.5.1         | Same as in the General ECG SOP Instance. |
| Image                 | Cardiac Synchronization | C.7.6.18.1 | Contains information of the type of relationship between the ECG waveform and the image. |
|                       | Enhanced XA/XRF Image | C.8.19.2       | Contains UID references to the related General ECG SOP Instance. |

| Table FFF.2.1-2. Enhanced XA Image Functional Group Macros |
|---------------------------------|-----------------|----------------|
| Functional Group Macro          | PS3.3 Reference | Usage                                      |
| Frame Content                   | C.7.6.16.2.2    | Provides timing information to correlate each frame to the recorded ECG samples. |
| Cardiac Synchronization         | C.7.6.16.2.7    | Provides time relationships between the angiographic frames and the cardiac cycle. |

FFF.2.1.1.3.1.1 Synchronization Module Recommendations

The usage of this Module is recommended to encode a "synchronized time" condition.

The specialty of Synchronization Triggers is not part of this scenario.

| Table FFF.2.1-3. Synchronization Module Recommendations |
|---------------------------------|---------|------------------------------------------------|
| Attribute Name                  | Tag     | Comment                                         |
| Synchronization Frame of Reference UID | (0020,0200) | Same UID as in the related General ECG SOP Instance. |
| Synchronization Trigger         | (0018,106A) | In this scenario with no external trigger signal, the value "NO TRIGGER" is used. |
| Acquisition Time Synchronized   | (0018,1800) | The value "Y" is used in this scenario. |
FFF.2.1.3.1.2 General Equipment Module Recommendations

The usage of this Module is recommended to assure that the image contains identical equipment identification information as the referenced General ECG SOP Instance.

FFF.2.1.3.1.3 Cardiac Synchronization Module Recommendations

The usage of this module is recommended to indicate that the ECG is not used to trig the X-Ray acquisition, rather to time relate the frames to the ECG signal.

Table FFF.2.1-4. Cardiac Synchronization Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cardiac Synchronization Technique</td>
<td>(0018,9037)</td>
<td>The value &quot;REAL TIME&quot; is used in this scenario.</td>
</tr>
<tr>
<td>Cardiac Signal Source</td>
<td>(0018,9085)</td>
<td>In this scenario, the value &quot;ECG&quot; is used to indicate that the cardiac waveform is an electrocardiogram.</td>
</tr>
</tbody>
</table>

FFF.2.1.3.1.4 Enhanced XA/XRF Image Module Recommendations

The usage of this module is recommended to reference from the image object to the related General ECG SOP Instance that contains the ECG data recorded simultaneously.

Table FFF.2.1-5. Enhanced XA/XRF Image Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Referenced Instance Sequence</td>
<td>(0008,114A)</td>
<td>Reference to &quot;General ECG SOP Instance&quot; acquired in conjunction with this image. Contains a single item.</td>
</tr>
<tr>
<td>&gt;Referenced SOP Class UID</td>
<td>(0008,1150)</td>
<td>&quot;1.2.840.10008.5.1.4.1.1.9.1.2&quot; i.e., reference to an General ECG SOP Instance</td>
</tr>
<tr>
<td>&gt;Referenced SOP Instance UID</td>
<td>(0008,1155)</td>
<td>Instance UID of referenced waveform</td>
</tr>
<tr>
<td>&gt;Purpose of Reference Code Sequence</td>
<td>(0040,A170)</td>
<td>CID 7004 &quot;Waveform Purposes of Reference&quot; is used; identify clear reason for the Reference.</td>
</tr>
</tbody>
</table>

FFF.2.1.3.1.5 Cardiac Synchronization Macro Recommendations

If there is a specific ECG analysis that determines the time between the R-peaks and the angiographic frames, the usage of this macro is recommended.

As the frames are acquired at a frame rate independent of cardiac phases, this macro is used in a "per frame functional group" to encode the position of each frame relative to its prior R-peak.

FFF.2.1.3.1.6 Frame Content Macro Recommendations

In this scenario the timing information is important to correlate each frame to the recorded ECG.

If there is a specific ECG analysis, this macro allows the encoding of the position in the cardiac cycle that is most representative of each frame.

The following table gives recommendations for usage in this scenario.

Table FFF.2.1-6. Frame Content Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Content Sequence</td>
<td>(0020,9111)</td>
<td></td>
</tr>
<tr>
<td>&gt;Frame Reference DateTime</td>
<td>(0018,9151)</td>
<td>Exact Time taken from the internal clock.</td>
</tr>
<tr>
<td>&gt;Frame Acquisition DateTime</td>
<td>(0018,9074)</td>
<td>Exact Time taken from the internal clock.</td>
</tr>
<tr>
<td>&gt;Cardiac Cycle Position</td>
<td>(0018,9236)</td>
<td>Optional, if ECG analysis is available.</td>
</tr>
</tbody>
</table>
FFF.2.1.3.2 General ECG Object

This IOD will encode the recorded ECG waveform data, which is done by the image acquisition system. Since this is not a dedicated waveform modality device, appropriate defaults for most of the data have to be recommended to fulfill the requirements according to PS3.3.

Table FFF.2.1-7. General ECG IOD Modules

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Series</td>
<td>General Series</td>
<td>C.7.3.1</td>
<td>The General Series Module Modality (0008,0060) attribute description in PS3.3 enforces the storage of waveform and pixel data in different Series IE.</td>
</tr>
<tr>
<td>Frame of Reference</td>
<td>Synchronization</td>
<td>C.7.4.2</td>
<td>Specifies that the waveform acquisition is synchronized. Will have the same content as the image.</td>
</tr>
<tr>
<td>Equipment</td>
<td>General Equipment</td>
<td>C.7.5.1</td>
<td>Same as in the image.</td>
</tr>
<tr>
<td>Waveform</td>
<td>Waveform Identification</td>
<td>C.10.8</td>
<td>Contains references to the related image object.</td>
</tr>
<tr>
<td></td>
<td>Waveform</td>
<td>C.10.9</td>
<td>Contains one multiplex group with the same sampling rate.</td>
</tr>
</tbody>
</table>

FFF.2.1.3.2.1 General Series Module Recommendations

A new Series is created to set the modality "ECG" for the waveform.

Most of the attributes are aligned with the contents of the related series level attributes in the image object.

The Related Series Sequence (0008,1250) is not recommended because instance level relationship can be applied to reference the image instances.

Table FFF.2.1-8. General Series Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Modality</td>
<td>(0008,0060)</td>
<td>&quot;ECG&quot;</td>
</tr>
<tr>
<td>Series Instance UID</td>
<td>(0020,000E)</td>
<td>Different from the one of the image object.</td>
</tr>
<tr>
<td>Series Date</td>
<td>(0008,0021)</td>
<td>Identical to the contents of related image object</td>
</tr>
<tr>
<td>Series Time</td>
<td>(0008,0031)</td>
<td>Identical to the contents of related image object</td>
</tr>
<tr>
<td>Other attributes of General Series Module</td>
<td></td>
<td>Match contents of related image object, if set there.</td>
</tr>
</tbody>
</table>

FFF.2.1.3.2.2 Synchronization Module Recommendations

The usage of this Module is recommended to encode a "synchronized time" condition, which was previously implicit when using the curve module.

Table FFF.2.1-9. Synchronization Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Synchronization Frame of Reference UID</td>
<td>(0020,0200)</td>
<td>Same UID as in the related image object.</td>
</tr>
<tr>
<td>Synchronization Trigger</td>
<td>(0018,106A)</td>
<td>The value &quot;NO TRIGGER&quot; is used in this scenario with no external trigger signal.</td>
</tr>
<tr>
<td>Acquisition Time Synchronized</td>
<td>(0018,1800)</td>
<td>The value &quot;Y&quot; is used to allow synchronized navigation.</td>
</tr>
</tbody>
</table>

FFF.2.1.3.2.3 General Equipment Module Recommendations

The usage of this Module is recommended to assure that the General ECG SOP Instance contains identical equipment identification information as the referenced image objects.
**FFF.2.1.3.2.4 Waveform Identification Recommendations**

The usage of this module is recommended to relate the acquisition time of the waveform data to the image acquired simultaneously.

The module additionally includes an instance level reference to the related image.

**Table FFF.2.1-10. Waveform Identification Module Recommendations**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition DateTime</td>
<td>(0008,002A)</td>
<td>Exact start of the waveform acquisition taken from common (or synchronized) clock.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Note</td>
</tr>
<tr>
<td></td>
<td></td>
<td>In case the ECG acquisition started before the image acquisition itself, the given DateTime value is not the same as for the image.</td>
</tr>
<tr>
<td>Referenced Instance Sequence</td>
<td>(0008,114A)</td>
<td>Only one item used in this application case.</td>
</tr>
<tr>
<td>&gt;Referenced SOP Class UID</td>
<td>(0008,1150)</td>
<td>&quot;1.2.840.10008.5.1.4.1.12.1.1&quot; i.e., Enhanced XA</td>
</tr>
<tr>
<td>&gt;Referenced SOP Instance UID</td>
<td>(0008,1155)</td>
<td>Instance UID of Enhanced XA Image Object to which this parallel ECG recording is related.</td>
</tr>
<tr>
<td>&gt;Purpose of Reference Code Sequence</td>
<td>(0040,A170)</td>
<td>The referenced image is related to this ECG.</td>
</tr>
</tbody>
</table>

**FFF.2.1.3.2.5 Waveform Module Recommendations**

The usage of this module is a basic requirement of the General ECG IOD.

Any application displaying the ECG is recommended to scale the ECG contents to its output capabilities (esp. the amplitude resolution).

If more than one ECG signal needs to be recorded, the grouping of the channels in multiplex groups depends on the ECG sampling rate. All the channels encoded in the same multiplex group have identical sampling rate.

**Table FFF.2.1-11. Waveform Module Recommendations**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waveform Sequence</td>
<td>(5400,0100)</td>
<td>Only one item is used in this application case, as all the ECG signals have the same sampling rate.</td>
</tr>
<tr>
<td>&gt; Multiplex Group Time Offset</td>
<td>(0018,1068)</td>
<td>If needed, specify the Group Offset from the Acquisition DateTime.</td>
</tr>
<tr>
<td>&gt; Waveform Originality</td>
<td>(003A,0004)</td>
<td>The value &quot;ORIGINAL&quot; is used in this scenario.</td>
</tr>
</tbody>
</table>

**FFF.2.1.1.4 Examples**

In the two following examples, the Image Modality acquires a multi-frame image of the coronary arteries lasting 4 seconds, at 30 frames per second.

Simultaneously, the same modality acquires two channels of ECG from a 2-Lead ECG (the first channel on Lead I and the second on Lead II) starting one second before the image acquisition starts, and lasting 5 seconds, with a sampling frequency of 300 Hz on 16 bits signed encoding, making up a number of 1500 samples per channel. The first ECG sample is 10 ms after the nominal start time of the ECG acquisition. Both ECG channels are sampled simultaneously. The time skew of both channels is 0 ms.
Figure FFF.2.1-2. Example of ECG Recording at Acquisition Modality

**FFF.2.1.4.1 Enhanced XA Image Without Cardiac Synchronization**

In this example, the Enhanced XA image does not contain information of the cardiac cycle phases.

The attributes that define the two different SOP Instances (Enhanced XA and General ECG) of this example are described in Figure FFF.2.1-3.

**Enhanced XA SOP Instance**
Figure FFF.2.1-3. Attributes of ECG Recording at Acquisition Modality
**FFF.2.1.4.2 Enhanced XA Image With Cardiac Synchronization**

In this example, the heart rate is 75 beats per minute. As the image is acquired during a period of four seconds, it contains five heartbeats.

The ECG signal is analyzed to determine the R-peaks and to relate them to the angiographic frames. Thus the Enhanced XA image contains information of this relationship between the ECG signal and the frames.

![Diagram of ECG information in Enhanced XA image](image)

**Figure FFF.2.1-4. Example of ECG information in the Enhanced XA image**

The attributes that define the two different SOP Instances (Enhanced XA and General ECG) of this example are described in the figures of the previous example, in addition to the attributes described in Figure FFF.2.1-5.

**Enhanced XA SOP Instance**

![Attributes of Cardiac Synchronization in ECG Recording at Acquisition Modality](image)

**Figure FFF.2.1-5. Attributes of Cardiac Synchronization in ECG Recording at Acquisition Modality**

**FFF.2.1.2 Multi-modality Waveform Synchronization**

These application cases are related to the results of an X-Ray acquisition and simultaneous ECG data recording on different equipment. The concepts of synchronized time and triggers are involved.

The two modalities may share references on the various entity levels below the Study, i.e., Series and Image UID references using non standard mechanisms. Nothing in the workflow requires such references. For more details about UID referencing, refer to the previous application case "ECG Recording at Acquisition Modality" (see Section FFF.2.1.1).
If both modalities share a common data store, a dedicated post-processing station can be used for combined display of waveform and image information, and/or combined functional analysis of signals and pixel data to time relate the cardiac cycle phases to the angiographic frames. The storage of the waveform data and images to PACS or media will preserve the combined functional capabilities.

In these application cases, this post-processing activity is outside the scope of the acquisition modalities. For more details about the relationship between cardiac cycle and angiographic frames, refer to the previous application case "ECG Recording at Acquisition Modality" (see Section FFF.2.1.1).

**FFF.2.1.2.1 Both Modalities Synchronized Via NTP**

**FFF.2.1.2.1.1 User Scenario**

Image runs are taken by the image acquisition modality. Waveforms are recorded by the waveform acquisition modality. Both modalities are time synchronized via NTP. The time server may be one of the modalities or an external server. The resulting objects will include the time synchronization concept.

![Figure FFF.2.1-6. Scenario of Multi-modality Waveform Synchronization](image)

**Figure FFF.2.1-6. Scenario of Multi-modality Waveform Synchronization**

**FFF.2.1.2.1.2 Encoding Outline**

Dedicated Waveform IODs exist to store captured waveforms. In this case, General ECG IOD is used to store the waveform data.

Depending on the degree of coupling of the modalities involved, the usage of references on the various entity levels can vary. While there is a standard DICOM service to share Study Instance UID between modalities (i.e., Worklist), there are no standard DICOM services for sharing references below the Study level, so any UID reference to the Series and Image levels is shared in a proprietary manner.

With the Synchronization Module information, the method to implement the common time-base can be documented.

The Enhanced XA IOD provides a detailed "per frame" timing to encode timing information related to each frame.

**FFF.2.1.2.1.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.

**FFF.2.1.2.1.3.1 Enhanced XA Image**

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame of Reference</td>
<td>Synchronization</td>
<td>C.7.4.2</td>
<td>Specifies that the image acquisition is time synchronized with the ECG acquisition. Will have the same content as the General ECG SOP Instance.</td>
</tr>
<tr>
<td>Image</td>
<td>Enhanced XA/XRF Image</td>
<td>C.8.19.2</td>
<td>Specifies the date and time of the image acquisition.</td>
</tr>
</tbody>
</table>
Table FFF.2.1-13. Enhanced XA Image Functional Group Macros

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Content</td>
<td>C.7.6.16.2.2</td>
<td>Provides timing information to correlate each frame to any externally recorded waveform.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.1.3.1.1 Synchronization Module Recommendations**

This Module is used to document the synchronization of the two modalities.

Table FFF.2.1-14. Synchronization Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Synchronization Frame of Reference UID</td>
<td>(0020,0200)</td>
<td>The UTC Synchronization UID &quot;1.2.840.10008.15.1.1&quot; is used in this case.</td>
</tr>
<tr>
<td>Synchronization Trigger</td>
<td>(0018,106A)</td>
<td>The value &quot;NO TRIGGER&quot; is used for the case of time synchronization via NTP.</td>
</tr>
<tr>
<td>Acquisition Time Synchronized</td>
<td>(0018,1800)</td>
<td>The value &quot;Y&quot; is used in this scenario.</td>
</tr>
<tr>
<td>Time Source</td>
<td>(0018,1801)</td>
<td>The same value as in the related General ECG SOP Instance is used in this scenario.</td>
</tr>
<tr>
<td>Time Distribution Protocol</td>
<td>(0018,1802)</td>
<td>The value &quot;NTP&quot; is used in this scenario.</td>
</tr>
<tr>
<td>NTP Source Address</td>
<td>(0018,1803)</td>
<td>The same value as in the related General ECG SOP Instance is used in this scenario.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.1.3.1.2 Enhanced XA/XRF Image Module Recommendations**

This module includes the acquisition date and time of the image, which is in the same time basis as the acquisition date and time of the ECG in this scenario.

**FFF.2.1.2.1.3.1.3 Frame Content Macro Recommendations**

In this scenario the timing information is important to correlate each frame to any externally recorded waveform.

Table FFF.2.1-15. Frame Content Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Content Sequence</td>
<td>(0020,9111)</td>
<td></td>
</tr>
<tr>
<td>&gt;Frame Reference DateTime</td>
<td>(0018,9151)</td>
<td>Exact date and time taken from the synchronized clock.</td>
</tr>
<tr>
<td>&gt;Frame Acquisition DateTime</td>
<td>(0018,9074)</td>
<td>Exact date and time taken from the synchronized clock.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.1.3.2 Waveform Object**

The ECG recording system will take care of filling in the waveform-specific contents in the General ECG SOP Instance. This section will address only the specifics for attributes related to synchronization.

Table FFF.2.1-16. Waveform IOD Modules

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame of Reference</td>
<td>Synchronization</td>
<td>C.7.4.2</td>
<td>Specifies that the ECG acquisition is time synchronized with the image acquisition. Will have the same content as the Enhanced XA SOP Instance. See Section FFF.2.1.2.1.3.1.1.</td>
</tr>
<tr>
<td>Waveform</td>
<td>Waveform Identification</td>
<td>C.10.8</td>
<td>Provides timing information to correlate the waveform data to any externally recorded image.</td>
</tr>
</tbody>
</table>
FFF.2.1.3.2.1 Waveform Identification Recommendations

The usage of this module is recommended to relate the acquisition time of the waveform data to the related image(s).

Table FFF.2.1-18. Waveform Identification Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition DateTime</td>
<td>(0008,002A)</td>
<td>Exact start of the waveform acquisition: taken from synchronized clock.</td>
</tr>
</tbody>
</table>

FFF.2.1.2.1.4 Example

In this example, there are two modalities that are synchronized with an external clock via NTP. The Image Modality acquires three multi-frame images within the same Study and same Series. Simultaneously, the Waveform Modality acquires the ECG non-stop during the same period, leading to one single Waveform SOP Instance on a different Study.

In this example, there is no UID referencing capability between the two modalities.

Figure FFF.2.1-7. Example of Multi-modality Waveform Synchronization

The attributes that define the relevant content in the two different SOP Instances (Enhanced XA and General ECG) are described in Figure FFF.2.1-8.
Figure FFF.2.1-8. Attributes of Multi-modality Waveform NTP Synchronization

**FFF.2.1.2.2 One Modality Sends Trigger to The Other Modality**

**FFF.2.1.2.2.1 User Scenario**

Image runs are taken by the image acquisition modality. Waveforms are recorded by waveform recording modality. Both modalities are time synchronized via NTP. The acquisition in one modality is triggered by the other modality. The resulting objects will include the time synchronization and trigger synchronization concepts.

There are two cases depending on the triggering modality:

1- At X-Ray start, the image modality sends a trigger signal to the waveform modality.

2- The waveform modality sends trigger signals to the image modality to start the acquisition of each frame.

Figure FFF.2.1-9. Scenario of Multi-modality Waveform Synchronization

**FFF.2.1.2.2.2 Encoding Outline**

Dedicated Waveform IODs exist to store captured waveforms. In this case, General ECG IOD is used to store the waveform data.
With the Synchronization Module information, the method to implement the triggers can be documented.

The Enhanced XA IOD provides per-frame encoding of the timing information related to each frame.

**FFF.2.1.2.2.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.

**FFF.2.1.2.2.3.1 Enhanced XA Image**

### Table FFF.2.1-19. Enhanced X-Ray Angiographic Image IOD Modules

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame of Reference</td>
<td>Synchronization</td>
<td>C.7.4.2</td>
<td>Specifies that the image acquisition triggers (or is triggered by) the ECG acquisition, and that they are time synchronized.</td>
</tr>
<tr>
<td>Image</td>
<td>Enhanced XA/XRF Image</td>
<td>C.8.19.2</td>
<td>Specifies the date and time of the image acquisition.</td>
</tr>
</tbody>
</table>

### Table FFF.2.1-20. Enhanced XA Image Functional Group Macros

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Content</td>
<td>C.7.6.16.2.2</td>
<td>Provides timing information of each frame.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.2.3.1.1 Synchronization Module Recommendations**

The usage of this Module is recommended to document the triggering role of the image modality.

### Table FFF.2.1-21. Synchronization Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Synchronization Frame of Reference UID</td>
<td>(0020,0200)</td>
<td>The UTC Synchronization UID &quot;1.2.840.10008.15.1.1&quot; is used in this case.</td>
</tr>
<tr>
<td>Synchronization Trigger</td>
<td>(0018,106A)</td>
<td>The value &quot;SOURCE&quot; is used when the image modality sends a trigger signal to the waveform modality. The value &quot;EXTERNAL&quot; is used when the image modality receives a trigger signal from the waveform modality.</td>
</tr>
<tr>
<td>Trigger Source or Type</td>
<td>(0018,1061)</td>
<td>If Synchronization Trigger (0018,106A) equals SOURCE, then ID of image equipment. If Synchronization Trigger (0018,106A) equals EXTERNAL, then ID of waveform equipment if it is known.</td>
</tr>
<tr>
<td>Acquisition Time Synchronized</td>
<td>(0018,1800)</td>
<td>The value &quot;Y&quot; is used in this scenario.</td>
</tr>
<tr>
<td>Time Source</td>
<td>(0018,1801)</td>
<td>The same value as in the related General ECG SOP Instance is used in this scenario.</td>
</tr>
<tr>
<td>Time Distribution Protocol</td>
<td>(0018,1802)</td>
<td>The value &quot;NTP&quot; is used in this scenario.</td>
</tr>
<tr>
<td>NTP Source Address</td>
<td>(0018,1803)</td>
<td>The same value as in the related General ECG SOP Instance is used in this scenario.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.2.3.1.2 Enhanced XA/XRF Image Module Recommendations**

This module includes the acquisition date and time of the image.
Table FFF.2.1-22. Enhanced XA/XRF Image Module Recommendations

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition DateTime</td>
<td>(0008,002A)</td>
<td>Exact date and time taken from the synchronized clock.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.2.3.1.3 Frame Content Macro Recommendations**

In this scenario the timing information does not allow relating each frame to any externally recorded waveform.

Table FFF.2.1-23. Frame Content Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Content Sequence</td>
<td>(0020,9111)</td>
<td>Exact date and time taken from the synchronized clock.</td>
</tr>
<tr>
<td>&gt;Frame Reference DateTime</td>
<td>(0018,9151)</td>
<td>Exact date and time taken from the synchronized clock.</td>
</tr>
<tr>
<td>&gt;Frame Acquisition DateTime</td>
<td>(0018,9074)</td>
<td>Exact date and time taken from the synchronized clock.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.2.3.2 Waveform Object**

The recording system will take care of filling in the waveform-specific contents, based on the IOD relevant for the type of system (e.g., EP, Hemodynamic, etc.). This section will address only the specifics for attributes related to synchronization.

Table FFF.2.1-24. Waveform IOD Modules

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame of Reference</td>
<td>Synchronization</td>
<td>C.7.4.2</td>
<td>Specifies that the ECG acquisition triggers (or is triggered by) the image acquisition, and that they are time synchronized.</td>
</tr>
<tr>
<td>Waveform</td>
<td>Waveform Identification</td>
<td>C.10.8</td>
<td>Specifies the date and time of the ECG acquisition.</td>
</tr>
<tr>
<td>Waveform</td>
<td>Waveform</td>
<td>C.10.9</td>
<td>Specifies the time relationship between the trigger signal and the ECG samples.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.2.3.2.2 Synchronization Module Recommendations**

The usage of this Module is recommended to document the triggering role of the waveform modality.

Table FFF.2.1-25. Synchronization Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Synchronization Frame of Reference UID</td>
<td>(0020,0200)</td>
<td>The UTC Synchronization UID &quot;1.2.840.10008.15.1.1&quot; is used in this case.</td>
</tr>
<tr>
<td>Synchronization Trigger</td>
<td>(0018,106A)</td>
<td>The value &quot;EXTERNAL&quot; is used when the waveform modality receives a trigger signal from the image modality. The value &quot;SOURCE&quot; is used when the waveform modality sends a trigger signal to the image modality.</td>
</tr>
<tr>
<td>Trigger Source or Type</td>
<td>(0018,1061)</td>
<td>If Synchronization Trigger (0018,106A) equals SOURCE, then ID of Waveform equipment. If Synchronization Trigger (0018,106A) equals EXTERNAL, then ID of image equipment if it is known.</td>
</tr>
<tr>
<td>Synchronization Channel</td>
<td>(0018,106C)</td>
<td>Number or ID of Synchronization channel recorded in this waveform.</td>
</tr>
<tr>
<td>Acquisition Time Synchronized</td>
<td>(0018,1800)</td>
<td>The value &quot;Y&quot; is used in this scenario.</td>
</tr>
<tr>
<td>Attribute Name</td>
<td>Tag</td>
<td>Comment</td>
</tr>
<tr>
<td>------------------------</td>
<td>----------</td>
<td>-------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Time Source</td>
<td>(0018,1801)</td>
<td>The same value as in the related image SOP Instance is used in this scenario.</td>
</tr>
<tr>
<td>Time Distribution Protocol</td>
<td>(0018,1802)</td>
<td>The value &quot;NTP&quot; is used in this scenario.</td>
</tr>
<tr>
<td>NTP Source Address</td>
<td>(0018,1803)</td>
<td>The same value as in the related image SOP Instance is used in this scenario.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.3.3 Waveform Identification Module Recommendations**

This module includes the acquisition date and time of the waveform, which may be different than the acquisition date and time of the image in this scenario.

**Table FFF.2.1-26. Waveform Identification Module Recommendations**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
</table>
| Acquisition DateTime   | (0008,002A) | Exact date and time taken from the internal clock of the Waveform modality.  
                        |           | It may be different from the Acquisition DateTime of the Enhanced XA SOP instance. |

**FFF.2.1.2.3.4 Waveform Module Recommendations**

The usage of this module is recommended to encode the time relationship between the trigger signal and the ECG samples.

**Table FFF.2.1-27. Waveform Module Recommendations**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waveform Sequence</td>
<td>(5400,0100)</td>
<td>Only one item is used in this application case, as all the ECG signals have the same sampling rate.</td>
</tr>
<tr>
<td>&gt;Multiplex Group Time Offset</td>
<td>(0018,1068)</td>
<td>If needed, specify the Group Offset from the Acquisition DateTime.</td>
</tr>
<tr>
<td>&gt;Waveform Originality</td>
<td>(003A,0004)</td>
<td>The value &quot;ORIGINAL&quot; is used in this scenario.</td>
</tr>
<tr>
<td>&gt;Trigger Time Offset</td>
<td>(0018,1069)</td>
<td>In case the waveform recording started with a synchronization trigger from the image modality, this value allows specifying the time relationship between the trigger and the ECG samples.</td>
</tr>
<tr>
<td>&gt;Trigger Sample Position</td>
<td>(0018,106E)</td>
<td>In case the waveform recording started with a synchronization trigger from the image modality, this value allows specifying the waveform sample corresponding to the trigger sent from the image modality.</td>
</tr>
</tbody>
</table>

**FFF.2.1.2.4 Examples**

**FFF.2.1.2.4.1 Image modality sends trigger to the waveform modality**

In this example, there are two modalities that are synchronized with an external clock via NTP. The Image Modality acquires three multi-frame images within the same Study and same Series. Simultaneously, the Waveform Modality acquires the ECG non-stop during the same period, leading to one single Waveform SOP Instance on a different Study. The ECG sampling frequency is 300 Hz on 16 bits signed encoding, making up a number of 1500 samples per channel. The first ECG sample is acquired at nominal start time of the ECG acquisition.

The image modality sends a trigger to the waveform modality at the start time of each of the three images. This signal is stored in one channel of the waveform modality, together with the ECG signal.

In this example, there is no UID referencing capability between the two modalities.
Figure FFF.2.1-10. Example of Image Modality as Source of Trigger

The attributes that define the relevant content in the two different SOP Instances (Enhanced XA and General ECG) are described in Figure FFF.2.1-11.
Figure FFF.2.1-11. Attributes when Image Modality is the Source of Trigger

**FFF.2.1.2.2.4.2** Waveform modality sends trigger to the image modality

In this example, there are two modalities that are synchronized with an external clock via NTP.
The Image Modality starts the X-Ray image acquisition and simultaneously the Waveform Modality acquires the ECG and analyzes the signal to determine the phases of the cardiac cycles. At each cycle, the waveform modality sends a trigger to the image modality to start the acquisition of a frame. This trigger is stored in one channel of the waveform modality, together with the ECG signal.

The ECG sampling frequency is 300 Hz on 16 bits signed encoding, making up a number of 1500 samples per channel. The first ECG sample is acquired 10 ms after the nominal start time of the ECG acquisition.

In this example, there is no UID referencing capability between the two modalities.

**Figure FFF.2.1-12. Example of Waveform Modality as Source of Trigger**

The attributes that define the relevant content in the two different SOP Instances (Enhanced XA and General ECG) are described in Figure FFF.2.1-13.
Figure FFF.2.1-13. Attributes when Waveform Modality is the Source of Trigger
**FFF.2.1.3 Mechanical Movement**

**FFF.2.1.3.1 Rotational Acquisition**

This section provides information on the encoding of the movement of the X-Ray Positioner during the acquisition of a rotational angiography.

The related image presentation parameters of the rotational acquisition that are defined in the Enhanced XA SOP Class, such as the mask information of subtracted display, are described in further sections of this annex.

**FFF.2.1.3.1.1 User Scenario**

The multi-frame image acquisition is performed during a continuous rotation of the X-Ray Positioner, starting from the initial incidence and acquiring frames in a given angular direction at variable angular steps and variable time intervals.

Typically such rotational acquisition is performed with the purpose of further 3D reconstruction. The rotation axis is not necessarily the patient head-feet direction, which may lead to images where the patient is not heads-up oriented.

There may be one or more rotations of the X-Ray Positioner during the same image acquisition, performed by following different patterns, such as:

- One rotation for non-subtracted angiography;
- Two rotations in the same or in opposite angular directions, for subtracted angiography;
- Several rotations at different time intervals for cardiac triggered acquisitions.

**FFF.2.1.3.1.2 Encoding Outline**

The XA SOP Class encodes the absolute positioner angles as the sum of the angle of the first frame and the increments relative to the first frame. The Enhanced XA SOP Class encodes per-frame absolute angles.

In the XA SOP Class, the encoding of the angles is always with respect to the patient, so-called anatomical angles, and the image is assumed to be patient-oriented (i.e., heads-up display). In case of positioner rotation around an axis oblique to the patient, not aligned with the head-feet axis, it is not possible to encode the rotation of the image necessary for 3D reconstruction.

The Enhanced XA SOP Class encodes the positioner angles with respect to the patient as well as with respect to a fixed coordinate system of the equipment.

**FFF.2.1.3.1.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>XA/XRF Acquisition</td>
<td>C.8.19.3</td>
<td>Specifies the type of positioner.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Positioner</td>
<td>C.8.19.6.10</td>
<td>Specifies the anatomical angles per-frame.</td>
</tr>
<tr>
<td>X-Ray Isocenter Reference System</td>
<td>C.8.19.6.13</td>
<td>Specifies the angles of the positioner per-frame in equipment coordinates for further applications based on the acquisition geometry (e.g., 3D reconstruction, registration…).</td>
</tr>
</tbody>
</table>

**FFF.2.1.3.1.3.1 XA/XRF Acquisition Module Recommendations**

The usage of this module is recommended to define the type of positioner.
Table FFF.2.1-30. XA/XRF Acquisition Module Example

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Positioner Type</td>
<td>(0018,1508)</td>
<td>The value CARM is used in this scenario.</td>
</tr>
<tr>
<td>C-arm Positioner Tabletop Relationship</td>
<td>(0018,9474)</td>
<td>Both values YES and NO are applicable to this scenario.</td>
</tr>
</tbody>
</table>

Note
On mobile systems where this attribute equals NO, it is possible to do rotation and 3D reconstruction. In such case, the table is assumed to be static during the acquisition.

FFF.2.1.3.1.3.2 X-Ray Positioner Macro Recommendations

This macro is used in the per-frame context in this scenario.

Table FFF.2.1-31. X-Ray Positioner Macro Example

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Positioner Position Sequence</td>
<td>(0018,9405)</td>
<td>Angle with respect to the patient coordinate system.</td>
</tr>
<tr>
<td>&gt;Positioner Primary Angle</td>
<td>(0018,1510)</td>
<td>Angle with respect to the patient coordinate system.</td>
</tr>
<tr>
<td>&gt;Positioner Secondary Angle</td>
<td>(0018,1511)</td>
<td>Angle with respect to the patient coordinate system.</td>
</tr>
</tbody>
</table>

FFF.2.1.3.1.3.3 X-Ray Isocenter Reference System Macro Recommendations

If the value of the C-arm Positioner Tabletop Relationship (0018,9474) is NO, the following macro may not be provided by the acquisition modality. This macro is used in the per-frame context in this scenario.

Table FFF.2.1-32. X-Ray Isocenter Reference System Macro Example

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Isocenter Reference System Sequence</td>
<td>(0018,9462)</td>
<td>Angle with respect to the Isocenter coordinate system, independent of table angulations and how the patient is positioned on the table.</td>
</tr>
<tr>
<td>&gt;Positioner Isocenter Primary Angle</td>
<td>(0018,9463)</td>
<td>Angle with respect to the Isocenter coordinate system, independent of table angulations and how the patient is positioned on the table.</td>
</tr>
<tr>
<td>&gt;Positioner Isocenter Secondary Angle</td>
<td>(0018,9464)</td>
<td>Angle with respect to the Isocenter coordinate system, independent of table angulations and how the patient is positioned on the table.</td>
</tr>
<tr>
<td>&gt;Positioner Isocenter Detector Rotation Angle</td>
<td>(0018,9465)</td>
<td>Angle with respect to the Isocenter coordinate system, independent of table angulations and how the patient is positioned on the table.</td>
</tr>
</tbody>
</table>

FFF.2.1.3.1.4 Example

In this example, the patient is on the table, in position "Head First Prone". The table horizontal, tilt and rotation angles are equal to zero.

The positioner performs a rotation of 180 deg from the left to the right side of the patient, with the image detector going above the back of the patient, around an axis parallel to the head-feet axis of the patient.
Figure FFF.2.1-14. Detector Trajectory during Rotational Acquisition

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-15.

Figure FFF.2.1-15. Attributes of X-Ray Positioning Per-frame on Rotational Acquisition

**FFF.2.1.3.2 Peripheral/stepping Acquisition**

This section provides information on the encoding of the movement of the X-Ray Table during the acquisition of a stepping angiography. The related image presentation parameters of the stepping acquisition that are defined in the Enhanced XA SOP Class, such as the mask information of subtracted display, are described in further sections of this annex.
**FFF.2.1.3.2.1 User Scenario**

The multi-frame image acquisition is performed during a movement of the X-Ray Table, starting from the initial position and acquiring frames in a given direction along the Z axis of the table at variable steps and variable time intervals.

There may be one or more "stepping movements" of the X-Ray Table during the same image acquisition, leading to one or more instances of the Enhanced XA SOP Class. The stepping may be performed by different patterns, such as:

- One stepping for non-subtracted angiography;
- Two stepping acquisitions, one for each leg, for non-subtracted angiography, stored in two different multi-frame images;
- Two or more stepping acquisitions for subtracted angiography, in the same or in opposite directions.

**FFF.2.1.3.2.2 Encoding Outline**

The XA SOP Class encodes table position as increments relative to the position of the first frame, while the position of the first frame is not encoded.

The Enhanced XA SOP Class encodes per-frame absolute table vertical, longitudinal and lateral position, as well as table horizontal rotation angle, table head tilt angle and table cradle tilt angle.

This allows registration between separate multi-frame images in the same table frame of reference, as well as accounting for magnification ratio and other aspects of geometry during registration. Issues of patient motion during acquisition of the images is not addressed in this scenario.

**FFF.2.1.3.2.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>XA/XRF Acquisition</td>
<td>C.8.19.3</td>
<td>Specifies the relationship between the table and the positioner.</td>
</tr>
</tbody>
</table>

**Table FFF.2.1-34. Enhanced XA Image Functional Group Macros**

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Table Position</td>
<td>C.8.19.6.11</td>
<td>Specifies the table position per-frame in three dimensions.</td>
</tr>
<tr>
<td>X-Ray Isocenter Reference System</td>
<td>C.8.19.6.13</td>
<td>Specifies the position and the angles of the table per-frame in equipment coordinates, for further applications based on the acquisition geometry (e.g., registration...).</td>
</tr>
</tbody>
</table>

**FFF.2.1.3.2.3.1 XA/XRF Acquisition Module Recommendations**

The usage of this module is recommended to specify the relationship between the table and the positioner.

**Table FFF.2.1-35. XA/XRF Acquisition Module Example**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>C-arm Positioner Tabletop Relationship</td>
<td>(0018,9474)</td>
<td>Both values YES and NO are applicable to this scenario. Note</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>On mobile systems where this attribute equals NO, it is possible to</td>
</tr>
<tr>
<td></td>
<td></td>
<td>do table stepping. In such case, the system is not able to determine</td>
</tr>
<tr>
<td></td>
<td></td>
<td>the absolute table position relative to the Isocenter, which is</td>
</tr>
<tr>
<td></td>
<td></td>
<td>necessary for 2D-2D registration.</td>
</tr>
</tbody>
</table>
**FFF.2.1.3.2.3.2 X-Ray Table Position Macro Recommendations**

This macro is used in the per-frame context in this scenario.

**Table FFF.2.1-36. X-Ray Table Position Macro Example**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Table Position Sequence</td>
<td>(0018,9406)</td>
<td></td>
</tr>
<tr>
<td>&gt;Table Top Vertical Position</td>
<td>(300A,0128)</td>
<td>The same value for all frames.</td>
</tr>
<tr>
<td>&gt;Table Top Longitudinal Position</td>
<td>(300A,0129)</td>
<td>The same value for all frames.</td>
</tr>
<tr>
<td>&gt;Table Top Lateral Position</td>
<td>(300A,012A)</td>
<td>Different values per frame, corresponding to</td>
</tr>
<tr>
<td></td>
<td></td>
<td>the &quot;stepping&quot; intervals in the table plane.</td>
</tr>
<tr>
<td>&gt;Table Horizontal Rotation Angle</td>
<td>(0018,9469)</td>
<td>The same value for all frames.</td>
</tr>
<tr>
<td>&gt;Table Head Tilt Angle</td>
<td>(0018,9470)</td>
<td>The same value for all frames.</td>
</tr>
<tr>
<td>&gt;Table Cradle Tilt Angle</td>
<td>(0018,9471)</td>
<td>The same value for all frames.</td>
</tr>
</tbody>
</table>

**FFF.2.1.3.2.3.3 X-Ray Isocenter Reference System Macro Recommendations**

If the value of the C-arm Positioner Tabletop Relationship (0018,9474) is NO, the following macro may not be provided by the acquisition modality. This macro is used in the per-frame context in this scenario.

**Table FFF.2.1-37. X-Ray Isocenter Reference System Macro Example**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Isocenter Reference System Sequence</td>
<td>(0018,9462)</td>
<td></td>
</tr>
<tr>
<td>&gt;Table X Position to Isocenter</td>
<td>(0018,9466)</td>
<td>X-position of a fixed point in the table top,</td>
</tr>
<tr>
<td></td>
<td></td>
<td>changes per-frame if table horizontal rotation</td>
</tr>
<tr>
<td></td>
<td></td>
<td>is not zero</td>
</tr>
<tr>
<td>&gt;Table Y Position to Isocenter</td>
<td>(0018,9467)</td>
<td>Vertical position of a fixed point in the</td>
</tr>
<tr>
<td></td>
<td></td>
<td>table top, it changes per-frame if</td>
</tr>
<tr>
<td></td>
<td></td>
<td>table head tilt is not zero</td>
</tr>
<tr>
<td>&gt;Table Z Position to Isocenter</td>
<td>(0018,9468)</td>
<td>Z-position of a fixed point in the table</td>
</tr>
<tr>
<td></td>
<td></td>
<td>top, it changes per-frame</td>
</tr>
<tr>
<td>&gt;Table Horizontal Rotation Angle</td>
<td>(0018,9469)</td>
<td>The same value for all frames.</td>
</tr>
<tr>
<td>&gt;Table Head Tilt Angle</td>
<td>(0018,9470)</td>
<td>The same value for all frames.</td>
</tr>
<tr>
<td>&gt;Table Cradle Tilt Angle</td>
<td>(0018,9471)</td>
<td>The same value for all frames.</td>
</tr>
</tbody>
</table>

**FFF.2.1.3.2.4 Example**

In this example, the patient is on the table in position "Head First Supine". The table is tilted of -10 degrees, with the head of the patient below the feet, and the image detector is parallel to the tabletop plane. The table cradle and rotation angles are equal to zero.

The image acquisition is performed during a movement of the X-Ray Table in the tabletop plane, at constant speed and of one meter of distance, acquiring frames from the abdomen to the feet of the patient in one stepping movement for non-subtracted angiography.

The table is related to the C-arm positioner so that the coordinates of the table position are known in the isocenter reference system. This allows determining the projection magnification of the table top plane with respect to the detector plane.
Figure FFF.2.1-16. Table Trajectory during Table Stepping

Figure FFF.2.1-17. Example of table positions per-frame during table stepping

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-18.
**Figure FFF.2.1-18. Attributes of the X-Ray Table Per Frame on Table Stepping**

### FFF.2.1.4 Changes in X-Ray Controls

#### FFF.2.1.4.1 Exposure Regulation Control

This section provides information on the encoding of the "sensitive areas" used for regulation control of the X-Ray generation of an image that resulted from applying these X-Rays.

**FFF.2.1.4.1.1 User Scenario**

The user a) takes previous selected regulation settings or b) manually enters regulation settings or c) automatically gets computer-calculated regulation settings from requested procedures.

Acquired images are networked or stored in offline media.

Later problems of image quality are determined and user wants to check for reasons by assessing the positions of the sensing regions.

**FFF.2.1.4.1.2 Encoding Outline**

The Enhanced XA IOD includes a module to supply information about active regulation control sensing fields, their shape and position relative to the pixel matrix.

**FFF.2.1.4.1.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.
Table FFF.2.1-38. Enhanced XA Image Functional Group Macros

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Exposure Control Sensing Regions</td>
<td>C.8.19.6.3</td>
<td>Specifies the shape and size of the sensing regions in pixels, as well as their position relative to the top left pixel of the image.</td>
</tr>
</tbody>
</table>

**FFF.2.1.4.1.3.1 X-Ray Exposure Control Sensing Regions Macro Recommendations**

This macro is recommended to encode details about sensing regions.

If the position of the sensing regions is fixed during the multi-frame acquisition, the usage of this macro is shared.

If the position of the sensing regions was changed during the multi-frame acquisition, this macro is encoded per-frame to reflect the individual positions.

The same number of regions is typically used for all the frames of the image. However it is technically possible to activate or deactivate some of the regions during a given range of frames, in which case this macro is encoded per-frame.

Table FFF.2.1-39. X-Ray Exposure Control Sensing Regions Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exposure Control Sensing Regions Sequence</td>
<td>(0018,9434)</td>
<td>As many items as number of regions.</td>
</tr>
</tbody>
</table>

**FFF.2.1.4.1.4 Example**

In this section, two examples are given.

The first example shows how three sensing regions are encoded: 1) central (circular), 2) left (rectangular) and 3) right (rectangular).

![Example of X-Ray Exposure Control Sensing Regions inside the Pixel Data matrix](image-url)
The encoded values of the key attributes of this example are shown in Figure FFF.2.1-20.

![Figure FFF.2.1-20. Attributes of the First Example of the X-Ray Exposure Control Sensing Regions](image)

The second example shows the same regions, but the field of view region encoded in the Pixel Data matrix has been shifted of 240 pixels right and 310 pixels down, thus the left rectangular sensing region is outside the Pixel Data matrix as well as both rectangular regions overlap the top row of the image matrix.
Figure FFF.2.1-21. Example of X-Ray Exposure Control Sensing Regions partially outside the Pixel Data matrix

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-22.

Figure FFF.2.1-22. Attributes of the Second Example of the X-Ray Exposure Control Sensing Regions
FFF.2.1.5 Image Detector and Field of View

This section provides information on the encoding of the image detector parameters and field of view applied during the X-Ray acquisition.

FFF.2.1.5.1 User Scenario

The user selects a given size of the field of view before starting the acquisition. This size can be smaller than the size of the Image Detector.

The position of the field of view in the detector area changes during the acquisition in order to focus on an object of interest.

Acquired image is networked or stored in offline media, then the image is:

- Displayed and reviewed in cine mode, and the field of view area needs to be displayed on the viewing screen;
- Used for quality assurance, to relate the pixels of the stored image to the detector elements, for instance to understand the image artifacts due to detector defects;
- Used to measure the dimension of organs or other objects of interest;
- Used to determine the position in the 3D space of the projection of the objects of interest.

FFF.2.1.5.2 Encoding Outline

The XA SOP Class does not encode some information to fully characterize the geometry of the conic projection acquisition, such as the position of the Positioner Isocenter on the FOV area. Indeed, the XA SOP Class assumes that the isocenter is projected in the middle of the FOV.

The Enhanced XA SOP Class encodes the position of the Isocenter on the detector, as well as specific FOV attributes (origin, rotation, flip) per-frame or shared. It encodes some existing attributes from DX to specify information of the Digital Detector and FOV. It also allows differentiating the image intensifier vs. the digital detector and then defines conditions on attributes depending on image intensifier or digital detector.

FFF.2.1.5.3 Encoding Details

This section provides detailed recommendations of the key attributes to address this particular scenario.

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>XA/XRF Acquisition</td>
<td>C.8.19.3</td>
<td>Specifies the type of detector.</td>
</tr>
<tr>
<td>X-Ray Image Intensifier</td>
<td>C.8.19.4</td>
<td>Conditional to type of detector. Applicable in case of IMG_INTENSIFIER.</td>
<td></td>
</tr>
<tr>
<td>X-Ray Detector</td>
<td>C.8.19.5</td>
<td>Conditional to type of detector. Applicable in case of DIGITAL_DETECTOR.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Field of View</td>
<td>C.8.19.6.2</td>
<td>Specifies the field of view.</td>
</tr>
<tr>
<td>XA/XRF Frame Pixel Data Properties</td>
<td>C.8.19.6.4</td>
<td>Specifies the Imager Pixel Spacing.</td>
</tr>
</tbody>
</table>

FFF.2.1.5.3.1 XA/XRF Acquisition Module Recommendations

The usage of this module is recommended to specify the type and details of the receptor.
Table FFF.2.1-42. XA/XRF Acquisition Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Receptor Type</td>
<td>(0018,9420)</td>
<td>Two values are applicable to this scenario:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IMG_INTENSIFIER</td>
</tr>
<tr>
<td></td>
<td></td>
<td>or</td>
</tr>
<tr>
<td></td>
<td></td>
<td>DIGITAL_DETECTOR</td>
</tr>
<tr>
<td>Distance Receptor Plane to Detector</td>
<td>(0018,9426)</td>
<td>Applicable to this scenario, regardless the type of receptor.</td>
</tr>
<tr>
<td>Housing</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Distance Receptor Plane to Detector Housing (0018,9426) is a positive value except in the case of an image intensifier where the receptor plane is a virtual plane located outside the detector housing, which depends on the magnification factor of the intensifier.

The Distance Receptor Plane to Detector Housing (0018,9426) may be used to calculate the pixel size of the plane in the patient when markers are placed on the detector housing.

**FFF.2.1.5.3.2 X-Ray Image Intensifier Module Recommendations**

When the X-Ray Receptor Type (0018,9420) equals "IMG_INTENSIFIER" this module specifies the type and characteristics of the image intensifier.

![Figure FFF.2.1-23. Schema of the Image Intensifier](image)

The Intensifier Size (0018,1162) is defined as the physical diameter of the maximum active area of the image intensifier. The active area is the region of the input phosphor screen that is projected on the output phosphor screen. The image intensifier device may be configured for several predefined active areas to allow different levels of magnification.

The active area is described by the Intensifier Active Shape (0018,9427) and the Intensifier Active Dimension(s) (0018,9428).

The field of view area is a region equal to or smaller than the active area, and is defined as the region that is effectively irradiated by the X-Ray beam when there is no collimation. The stored image is the image resulting from digitizing the field of view area.

There is no attribute that relates the FOV origin to the intensifier. It is commonly assumed that the FOV area is centered in the intensifier.

The position of the projection of the isocenter on the active area is undefined. It is commonly understood that the X-Ray positioner is calibrated so that the isocenter is projected in the approximate center of the active area, and the field of view area is centered in the active area.
**FFF.2.1.5.3.3 X-Ray Detector Module Recommendations**

When the X-Ray Receptor Type (0018,9420) equals "DIGITAL_DETECTOR" this module specifies the type and characteristics of the image detector.

The size and pixel spacing of the digital image generated at the output of the digital detector are not necessarily equal to the size and element spacing of the detector matrix. The detector binning is defined as the ratio between the pixel spacing of the detector matrix and the pixel spacing of the digital image.

If the detector binning is higher than 1.0 several elements of the detector matrix contribute to the generation of one single digital pixel.

The digital image may be processed, cropped and resized in order to generate the stored image. The schema below shows these two steps of the modification of the pixel spacing between the detector physical elements and the stored image:

![Diagram showing the modification of pixel spacing between detector matrix and stored image](image)

**Figure FFF.2.1-24. Generation of the Stored Image from the Detector Matrix**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Detector Binning</td>
<td>(0018,701A)</td>
<td>The ratio between the pixel spacing of the detector matrix and the pixel spacing of the digital image. It does not describe any further post-processing to resize the pixels to generate the stored image.</td>
</tr>
<tr>
<td>Detector Element Spacing</td>
<td>(0018,7022)</td>
<td>Pixel spacing of the detector matrix.</td>
</tr>
<tr>
<td>Position of Isocenter Projection</td>
<td>(0018,9430)</td>
<td>Relates the position of the detector elements to the isocenter reference system. It is independent from the detector binning and from the field of view origin. This attribute is defined if the Isocenter Reference System Sequence (0018,9462) is present.</td>
</tr>
</tbody>
</table>

**FFF.2.1.5.3.4 X-Ray Field of View Macro Recommendations**

The usage of this macro is recommended to specify the characteristics of the field of view.

When the field of view characteristics change across the multi-frame image, this macro is encoded on a per-frame basis.

The field of view region is defined by a shape, origin and dimension. The region of irradiated pixels corresponds to the interior of the field of view region.

When the X-Ray Receptor Type (0018,9420) equals "IMG_INTENSIFIER", the intensifier TLHC is undefined. Therefore the field of view origin cannot be related to the physical area of the receptor. It is commonly understood that the field of view area corresponds to the intensifier active area, but there is no definition in the DICOM standard that forces a manufacturer to do so. As a consequence, it is impossible to relate the position of the pixels of the stored area to the isocenter reference system.

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Field of View Sequence</td>
<td>(0018,9432)</td>
<td>Applicable in this scenario.</td>
</tr>
<tr>
<td>&gt;Field of View Shape</td>
<td>(0018,1147)</td>
<td>Applicable in this scenario.</td>
</tr>
<tr>
<td>&gt;Field of View Dimension(s) in Float</td>
<td>(0018,9461)</td>
<td>Applicable in this scenario.</td>
</tr>
<tr>
<td>&gt;Field of View Origin</td>
<td>(0018,7030)</td>
<td>Applicable only in the case of digital detector.</td>
</tr>
<tr>
<td>&gt;Field of View Rotation</td>
<td>(0018,7032)</td>
<td>Applicable regardless the type of receptor.</td>
</tr>
<tr>
<td>&gt;Field of View Horizontal Flip</td>
<td>(0018,7034)</td>
<td>Applicable regardless the type of receptor.</td>
</tr>
</tbody>
</table>
Free text defining the type of field of view as displayed by the manufacturer on the acquisition system. For display purposes.

Table FFF.2.1-45. XA/XRF Frame Pixel Data Properties Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Pixel Data Properties Sequence</td>
<td>(0028,9443)</td>
<td></td>
</tr>
<tr>
<td>&gt;Imager Pixel Spacing</td>
<td>(0018,1164)</td>
<td>Applicable regardless the type of receptor.</td>
</tr>
</tbody>
</table>

In case of image intensifier, the Imager Pixel Spacing (0018,1164) may be non-uniform due to the pincushion distortion, and this attribute corresponds to a manufacturer-defined value (e.g., average, or value at the center of the image).

**FFF.2.1.5.4 Examples**

**FFF.2.1.5.4.1 Field of View On Image Intensifier**

This example illustrates the encoding of the dimensions of the intensifier device, the intensifier active area and the field of view in case of image intensifier.

In this example, the diameter of the maximum active area is 410 mm. The image acquisition is performed with an electron lens that focuses the photoelectron beam inside the intensifier so that an active area of 310 mm of diameter is projected on the output phosphor screen.

The X-Ray beam is projected on an area of the input phosphor screen of 300 mm of diameter, and the corresponding area on the output phosphor screen is digitized on a matrix of 1024 x 1024 pixels. This results on a pixel spacing of the digitized matrix of 0.3413 mm.

The distance from the Receptor Plane to the Detector Housing in the direction from the intensifier to the X-Ray tube is 40 mm.

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-25.
Figure FFF.2.1-25. Attributes of the Example of Field of View on Image Intensifier

FFF.2.1.5.4.2 Field of View On Digital Detector

The following examples show three different ways to create the stored image from the same detector matrix.

In the figures below:

• The blue dotted-line squares represent the physical detector pixels;

• The blue square represents the TLHC pixel of the physical detector area;

• The purple square represents the physical detector pixel in whose center the Isocenter is projected;

• The dark green square represents the TLHC pixel of the region of the physical detector that is exposed to X-Ray when there is no collimation inside the field of view;

• The light green square represents the TLHC pixel of the stored image;

• The thick black straight line square represents the stored image, which is assumed to be the field of view area. The small thin black straight line squares represent the pixels of the stored image;

• The blue dotted-line arrow represents Field Of View Origin (0018,7030);

• The purple arrow represents the position of the Isocenter Projection (0018,9430).

Note that the detector active dimension is not necessarily the FOV dimension.

In all the examples,

• The physical detector area is a matrix of 10x10 square detector elements, the TLHC element being the element (1,1);

• The detector elements irradiated during this acquisition (defining the field of view) are in a matrix of 8x8 whose TLHC element is the element (3,3) of the physical detector area.

In the first example, there is neither binning nor resizing between the detector matrix and the stored image.

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-26.
Figure FFF.2.1-26. Attributes of the First Example of Field of View on Digital Detector

In the second example, there is a binning factor of 2 between the detector matrix and the digital image. There is no resizing between the digital image (binned) and the stored image.

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-27.
Figure FFF.2.1-27. Attributes of the Second Example of Field of View on Digital Detector

In the third example, in addition to the binning factor of 2 between the detector matrix and the digital image, there is a resizing of 0.5 (downsizing) between the digital image (binned) and the stored image.

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-28.
Figure FFF.2.1-28. Attributes of the Third Example of Field of View on Digital Detector

Note that the description of the field of view attributes (dimension, origin) is the same in these three examples. The field of view definition is independent from the binning and resizing processes.

**FFF.2.1.6 Acquisitions With Contrast**

This section provides information on the encoding of the presence and type of contrast bolus administered during the X-Ray acquisition.

**FFF.2.1.6.1 User Scenario**

The user performs image acquisition with injection of contrast agent during the X-Ray acquisition. Some frames are acquired without contrast, some others with contrast.

The type of contrast agent can be radio-opaque (e.g., iodine) or radio-transparent (e.g., CO2).

The information of the type of contrast and its presence or absence in the frames can be used by post-processing applications to set up e.g., vessel detection or image quality algorithms automatically.

**FFF.2.1.6.2 Encoding Outline**

The Enhanced XA SOP Class encodes the characteristics of the contrast agent(s) used during the acquisition of the image, including the type of absorption (radio-opaque or radio-transparent).

The Enhanced XA SOP Class also allows encoding the presence of contrast in a particular frame or set of frames, by encoding the Contrast/Bolus Usage per-frame.
FFF.2.1.6.3 Encoding Details

This section provides detailed recommendations of the key attributes to address this particular scenario.

Table FFF.2.1-46. Enhanced X-Ray Angiographic Image IOD Modules

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>Enhanced Contrast/Bolus</td>
<td>C.7.6.4b</td>
<td>Specifies the characteristics of the contrast agent(s) administered.</td>
</tr>
</tbody>
</table>

Table FFF.2.1-47. Enhanced XA Image Functional Group Macros

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contrast/Bolus Usage</td>
<td>C.7.6.16.2.12</td>
<td>Specifies the presence of contrast in the frame(s).</td>
</tr>
</tbody>
</table>

FFF.2.1.6.3.1 Enhanced Contrast/bolus Module Recommendations

The usage of this module is recommended to specify the type and characteristics of the contrast agent administered.

FFF.2.1.6.3.2 Contrast/bolus Usage Macro Recommendations

The usage of this macro is recommended to specify the characteristics of the contrast per-frame.

Table FFF.2.1-48. Contrast/Bolus Usage Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contrast/Bolus Usage Sequence</td>
<td>(0018,9341)</td>
<td>One item per contrast agent used in this frame.</td>
</tr>
<tr>
<td>&gt;Contrast/Bolus Agent Number</td>
<td>(0018,9337)</td>
<td>Contains the internal number of the agent administered as specified in the Enhanced Contrast/Bolus Module.</td>
</tr>
<tr>
<td>&gt;Contrast/Bolus Agent Administered</td>
<td>(0018,9342)</td>
<td>The value &quot;YES&quot; indicates that the contrast may be visible on the frame, but not necessarily if the frame is acquired before the contrast reaches the imaged region.</td>
</tr>
<tr>
<td>&gt;Contrast/Bolus Agent Detected</td>
<td>(0018,9343)</td>
<td>The value &quot;YES&quot; is used if the contrast is visible on that particular frame. Note that it is not expected to be YES if Contrast/Bolus Agent Administered (0018,9342) equals NO.</td>
</tr>
</tbody>
</table>

FFF.2.1.6.4 Example

In this example, the user starts the X-Ray acquisition at 4 frames per second at 3:35pm. After one second the user starts the injection of 45 milliliters of contrast media Iodipamide (350 mg/ml Cholographin (Bracco) ) at a flow rate of 15 ml/sec during three seconds, in intra-arterial route. When the injection of contrast agent is finished, the user continues the X-Ray acquisition for two seconds until wash out of the contrast agent.

There could be two ways to determine the presence of contrast agent on the frames:

- The injector is connected to the X-Ray acquisition system, the presence of contrast agent is determined based on the injector start/stop signals and a preconfigured delay to allow the contrast to reach the artery of interest, or.

- The X-Ray system processes the images in real time and detects the presence or absence of contrast agent on the images.

In this example, the image acquired contains 25 frames: From frames 5 to 17, the contrast is being injected. From frames 8 to 23, the contrast is visible on the pixel data.

The figure below shows the attributes of this example in a graphical representation of the multi-frame acquisition.
Figure FFF.2.1-29. Example of contrast agent injection

The encoded values of the key attributes of this example are shown in Figure FFF.2.1-30.
Figure FFF.2.1-30. Attributes of Contrast Agent Injection

FFF.2.1.7 Acquisition Parameters For X-Ray Generation (kVp, mA, ...)  

This section provides information on the encoding of the parameters related to the X-Ray generation.

FFF.2.1.7.1 User Scenario  

The user performs X-Ray acquisitions during the examination. Some of them are dynamic acquisitions where the positioner and/or the table have moved between frames of the multi-frame image, the acquisition parameters such as kVp, mA and pulse width may change per-frame to be adapted to the different anatomy characteristics.

Later quality assurance wants to assess the X-Ray generation techniques in order to understand possible degradation of image quality, or to estimate the level of irradiation at different skin areas and body parts examined.
**FFF.2.1.7.2 Encoding Outline**

The XA SOP Class encodes the attributes kVp, mA and pulse duration as a unique value for the whole multi-frame image. For systems that can provide only average values of these attributes, this SOP Class is more appropriate.

The Enhanced XA SOP Class encodes per-frame kVp, mA and pulse duration, thus the estimated dose per frame can be now correlated to the positioner angles and table position of each frame.

In order to accurately estimate the dose per body area, other attributes are needed such as positioner angles, table position, SID, ISO distances, Field of View, etc.

**FFF.2.1.7.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>XA/XRF Acquisition</td>
<td>C.8.19.3</td>
<td>Specifies average values for the X-Ray generation techniques.</td>
</tr>
</tbody>
</table>

**Table FFF.2.1-50. Enhanced XA Image Functional Group Macros**

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Content</td>
<td>C.7.6.16.2.2</td>
<td>Specifies the frame duration.</td>
</tr>
<tr>
<td>X-Ray Frame Acquisition</td>
<td>C.8.19.6.8</td>
<td>Specifies the kVp and mA per frame.</td>
</tr>
</tbody>
</table>

**FFF.2.1.7.3.1 XA/XRF Acquisition Module Recommendations**

The usage of this module is recommended to specify the average values of time, voltage and current applied during the acquisition of the multi-frame image.

It gives general information of the X-Ray radiation during the acquisition of the image. In case of dynamic acquisitions, this module is not sufficient to estimate the radiation per body area and additional per-frame information is needed.

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>KVP</td>
<td>(0018,0060)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>Radiation Setting</td>
<td>(0018,1155)</td>
<td>The values &quot;SC&quot; and &quot;GR&quot; give a rough indication of the level of the dose such as &quot;low&quot; or &quot;high&quot;, nevertheless they are used more for quality assurance and/or display purposes, not for estimation of radiation values.</td>
</tr>
<tr>
<td>X-Ray Tube Current in mA</td>
<td>(0018,9330)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>Exposure Time in ms</td>
<td>(0018,9328)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>Exposure in mAs</td>
<td>(0018,9332)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>Average Pulse Width</td>
<td>(0018,1154)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>Radiation Mode</td>
<td>(0018,115A)</td>
<td>The value of this attribute is used more for quality assurance and/or display purposes, not for estimation of radiation values.</td>
</tr>
</tbody>
</table>

Note that the three attributes X-Ray Tube Current in mA (0018,9330), Exposure Time in ms (0018,9328) and Exposure in mAs (0018,9332) are mutually conditional to each other but all three may be present. In this scenario it is recommended to include the three attributes.
FFF.2.1.7.3.2 Frame Content Macro Recommendations

The usage of this macro is recommended to specify the duration of each frame of the multi-frame image.

Note that this macro is allowed to be used only in a per-frame context, even if the pulse duration is constant for all the frames.

FFF.2.1.7.3.3 X-Ray Frame Acquisition Macro Recommendations

The usage of this macro is recommended to specify the values of voltage (kVp) and current (mA) applied for the acquisition of each frame of the multi-frame image.

If the system can provide only average values of kVp and mA, the usage of the X-Ray Frame Acquisition macro is not recommended, only the XA/XRF Acquisition Module is recommended.

If the system predefines the values of the kVp and mA to be constant during the acquisition, the usage of the X-Ray Frame Acquisition macro in a shared context is recommended in order to indicate that the value of kVp and mA is identical for each frame.

If the system is able to change dynamically the kVp and mA during the acquisition, the usage of the X-Ray Frame Acquisition macro in a per-frame context is recommended.

Table FFF.2.1-52. X-Ray Frame Acquisition Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Acquisition Sequence</td>
<td>(0018,9417)</td>
<td>Recommended in this scenario if both values kVp and mA are known for each frame.</td>
</tr>
</tbody>
</table>

FFF.2.1.7.4 Example

For more details, refer to the Section FFF.1.4

FFF.2.2 Review

FFF.2.2.1 Variable Frame-rate Acquisition With Skip Frames

This application case provides information on how X-Ray acquisitions with variable time between frames can be organized by groups of frames to be reviewed with individual group settings.

FFF.2.2.1.1 User Scenario

The image acquisition system performs complex acquisition protocols with groups of frames to be displayed at different frame rates and others to be skipped.

Allow frame-rates in viewing applications to be different than acquired rates.

FFF.2.2.1.2 Encoding Outline

The XA IOD provides only one group of frames between start and stop trim.

The Enhanced XA/XRF IOD allows encoding of multiple groups of frames (frame collections) with dedicated display parameters.

The Enhanced XA IOD provides an exact acquisition time for each frame.

FFF.2.2.1.3 Encoding Details

This section provides detailed recommendations of the key attributes to address this particular scenario.
Table FFF.2.2.1. Enhanced X-Ray Angiographic Image IOD Modules

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>XA/XRF Multi-frame Presentation</td>
<td>C.8.19.7</td>
<td>Specifies the groups of frames and their display parameters.</td>
</tr>
</tbody>
</table>

**FFF.2.2.1.3.1 XA/XRF Multi-frame Presentation Module Recommendations**

The usage of this module is recommended to encode the grouping of frames (one or more groups) for display purposes and the related parameters for each group.

**Table FFF.2.2-2. XA/XRF Multi-frame Presentation Module Recommendations**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preferred Playback Sequencing</td>
<td>(0018,1244)</td>
<td>Specifies the direction of the playback.</td>
</tr>
<tr>
<td>Frame Display Sequence</td>
<td>(0008,9458)</td>
<td>Specifies the details on how frames are grouped for display purposes.</td>
</tr>
</tbody>
</table>

**FFF.2.2.1.4 Example**

An example of a 4 position peripheral stepping acquisition with different frame-rates is provided. One group is only 2 Frames (e.g., due to fast contrast bolus) and will be skipped for display purposes.

The whole image is reviewed in looping mode:

- The first group, from frames 1 to 17, is to be reviewed at 4 frames per second;
- The second group, from frames 18 to 25, is to be reviewed at 2 frames per second;
- The third group, of frames 26 and 27, is not to be displayed;
- The fourth group, from frames 28 to 36, is to be reviewed at 1.5 frames per second.

The encoded values of the key attributes of this example are shown in Figure FFF.2.2-1.

![Figure FFF.2.2-1. Attributes of the Example of the Variable Frame-rate Acquisition with Skip Frames](image)

- Standard -
FFF.2.3 Display

FFF.2.3.1 Standard Pipeline With Enhanced XA

This section provides information on the encoding of the density and geometry characteristics of the stored pixel data and the ways to display it.

FFF.2.3.1.1 User Scenario

The image acquisition may be performed with a variety of settings on the detector image pre-processing component that modifies the way the gray levels are stored in the pixel data.

In particular, it may impact the relationship between the X-Ray intensity and the gray level stored (e.g., non-linear function), as well as the geometry of the X-Ray beam (e.g., pincushion distortion).

Based on the characteristics of the stored pixel data, the acquisition system determines automatically an optimal way to display the pixel data on a frame-by-frame basis, which is expected to be applied by the viewing applications.

FFF.2.3.1.2 Encoding Outline

The XA SOP Class encodes the VOI settings to be common to all the frames of the image. It also restricts the Photometric Interpretation (0028,0004) to MONOCHROME2.

The Enhanced XA SOP Class encodes per-frame VOI settings. Additionally it allows the Photometric Interpretation (0028,0004) to be MONOCHROME1 in order to display low pixel values in white while using window width and window center VOI. Other characteristics and settings can be defined, such as:

- Relationship between X-Ray intensity and the pixel value stored;
- Edge Enhancement filter strength;
- Geometrical properties.

FFF.2.3.1.3 Encoding Details

This section provides detailed recommendations of the key attributes to address this particular scenario.

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>Enhanced XA/XRF Image</td>
<td>C.8.19.2</td>
<td>Specifies the sign of the slope of the VOI transformation to be applied during display.</td>
</tr>
<tr>
<td></td>
<td>XA/XRF Multi-frame Presentation</td>
<td>C.8.19.7</td>
<td>Specifies the subtractive mode and the edge enhancement filter characteristics to be applied during display.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame VOI LUT</td>
<td>C.7.6.16.2.10</td>
<td>Specifies the VOI transformation to be applied during display.</td>
</tr>
<tr>
<td>Pixel Intensity Relationship LUT</td>
<td>C.7.6.16.2.13</td>
<td>Specifies the different LUTs to transform the stored pixel values to a given function of the X-Ray intensity.</td>
</tr>
<tr>
<td>XA/XRF Frame Pixel Data Properties</td>
<td>C.8.19.6.4</td>
<td>Specifies geometrical characteristics of the pixel data.</td>
</tr>
</tbody>
</table>

FFF.2.3.1.3.1 Enhanced XA/XRF Image Module Recommendations

The usage of this module is recommended to specify the sign of the slope of the VOI transformation to be applied during display of the multi-frame image.
The value MONOCHROME1 indicates negative slope (i.e., minimum pixel value is intended to be displayed as white), and the value MONOCHROME2 indicates positive slope (i.e., minimum pixel value is intended to be displayed as black).

The values IDENTITY and INVERSE are applicable.

The recommended filter percentage does not guaranty a full consistency of the image presentation across applications, rather gives an indication of the user sensitivity to such filtering to be applied consistently. To optimize the consistency of the filtering perception, the applications sharing the same images should be customized to calibrate the highest filtering (i.e., 100%) to similar perception by the users. Setting the application to the lowest filtering (i.e., 0%) means that no filter is applied at all.

The usage of this macro is recommended to specify the windowing to be applied to the pixel data in native mode, i.e., non-subtracted.

The usage of this macro is recommended to enable the applications to get the values of the stored pixel data back to a linear relationship with the X-Ray intensity.

When the value of Pixel Intensity Relationship (0028,1040) equals LOG, a LUT to get back to linear relationship (TO_LINEAR) is present to allow applications to handle linear pixel data.

Other LUTs can be added, for instance to transform to logarithmic relationship for subtraction (TO_LOG) in case the relationship of the stored pixel data is linear. Other LUTs with manufacturer-defined relationships are also allowed.

The LUTs of this macro are not used for the standard display pipeline.

The usage of this macro is recommended to specify some properties of the values of the stored pixel data with respect to the X-Ray intensity (i.e., gray level properties) and with respect to the geometry of the detector (i.e., pixel geometrical properties).

In this example, two different systems perform an X-Ray Acquisition of the coronary arteries injected with radio-opaque contrast agent.

The system A is equipped with a digital detector, and stores the pixel data with the lower level corresponding to the lower X-Ray intensity. Then the user creates two instances: one to display the injected vessels as black, and other to display the injected vessels as white.

The system B is equipped with an image intensifier configured to store the pixel data with the lower level corresponding to the higher X-Ray intensity. Then the user creates two instances: one to display the injected vessels as black, and other to display the injected vessels as white.
FFF.2.3.2 Mask Subtraction

This section provides information on the usage of attributes to encode an image acquisition in subtracted display mode.

**FFF.2.3.2.1 User Scenario**

A straightforward DSA acquisition is performed. The first few frames do not contain contrast, then the rest of frames contain contrast. An "averaged mask" may be selected to average some of the first frames without contrast.

A peripheral stepping DSA acquisition is performed. The acquisition is running in N steps and is timed to perform a mask run (e.g., from feet to abdomen) and then perform contrast runs at the positions of each mask, as triggered by the user.

One or more ranges of contrast frames will be used for subtraction from the mask for loop display. During the display, some ranges are to be fully subtracted, some others may be partially subtracted allowing a certain degree of visibility of the anatomical background visible on the mask, and finally some ranges are to be displayed un-subtracted.

**FFF.2.3.2.2 Encoding Outline**

The Enhanced XA SOP Class allows the encoding of the mask attributes similar to what the XA SOP Class provides.

The Enhanced XA SOP Class allows defining of specific display settings to be applied to a subset of frames, for instance the recommended viewing mode and the degree of visibility of the mask.

**FFF.2.3.2.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>Mask</td>
<td>C.7.6.10</td>
<td>Specifies the subtraction parameters.</td>
</tr>
<tr>
<td>XA/XRF Multi-frame Presentation</td>
<td></td>
<td>C.8.19.7</td>
<td>Specifies display settings of the groups of frames.</td>
</tr>
</tbody>
</table>

Figure FFF.2.3-1. Example of usage of Photometric Interpretation
**FFF.2.3.2.3.1 Mask Module Recommendations**

This module is used to specify the subtraction parameters. The number of items depends on the number of Subtractions to be encoded. Typically, in case of AVG_SUB, the number of items is at least the number of ranges of contrast frames to be subtracted from a different mask.

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Recommended Viewing Mode</td>
<td>(0028,1090)</td>
<td>Recommended in this scenario, a value of &quot;SUB&quot; is used in this case.</td>
</tr>
<tr>
<td>Mask Subtraction Sequence</td>
<td>(0028,6100)</td>
<td>Recommended in this scenario. Items can be used to specify:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• A range of contrast frames is to be subtracted from a generated mask;</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• A different set of pixel-shift pairs is to be applied to a range of contrast frames.</td>
</tr>
</tbody>
</table>

**FFF.2.3.2.3.2 XA/XRF Multi-frame Presentation Module Recommendations**

The frame ranges of this module typically include all the masks and contrast frames defined in the Mask Module, and their presentation settings are consistent with the Mask Module definitions.

The mask frames are typically displayed non-subtracted, i.e., Recommended Viewing Mode (0028,1090) equals NAT.

If there is a frame range without mask association, the value "NAT" is used for Recommended Viewing Mode (0028,1090) in the item of the Frame Display Sequence (0008,9458) of that frame range.

In case where Recommended Viewing Mode (0028,1090) equals "NAT", the display is expected to be un-subtracted even if the Recommended Viewing Mode (0028,1090) of the Mask module equals "SUB".

**FFF.2.3.2.4 Examples**

The user performs an X-Ray acquisition in three steps:

- First step of 5 frames for mask acquisition, without contrast agent injection;
- Second step of 20 frames to assess the arterial phase, with contrast agent injection, to be subtracted to the average of the 5 mask frames acquired in the first phase;
- Third step of 10 frames to assess the venous phase, without further contrast agent injection, to be subtracted to a new mask related to that phase and with a 20% of mask visibility.

In the three steps, the system automatically identifies the mask frame(s) to be associated with the contrast frames.

The encoded values of the key attributes of this example are shown in Figure FFF.2.3-2.
**Figure FFF.2.3-2. Attributes of Mask Subtraction and Display**

**FFF.2.3.3 Pixel-shift**

This section provides information on the attribute encoding for use with image acquisitions that require subtracted display modes with multiple pixel shift ranges e.g., multiple subtracted views on a DSA acquisition.

**FFF.2.3.3.1 User Scenario**

When performing DSA acquisitions, the acquisition system may choose a default subtraction pixel-shift to allow review of the whole multi-frame, as acquired.

With advanced post-processing function the medical user may add further subtraction pixel-shifts to carve out certain details or improve contrast bolus visualization of a part of the anatomy that suffered from different movement during the acquisition.

**FFF.2.3.3.2 Encoding Outline**

The Mask Module is used to encode the various subtractions applicable to a multi-frame image.

The Enhanced XA IOD allows creating groups of mask-contrast pairs in the Mask Module, each group identified by a unique Subtraction Item ID within the multi-frame image.

The Enhanced XA IOD, with per frame macro encoding, supports multiple and different pixel-shift values per frame, each pixel-shift value is related to a given Subtraction Item ID.

It has to be assured that all the frames in the scope of a Subtraction Item ID have the pixel-shift values defined under that Subtraction Item ID.
In case a frame does not belong to any Subtraction Item ID, that frame does not necessarily have a pixel shift value encoded.

**FFF.2.3.3 Encoder Details**

This section provides detailed recommendations of the key attributes to address this particular scenario. The usage of the "Frame Pixel Shift" macro in a 'per frame' context is recommended. Only the usage of Mask Module and the Frame Pixel Shift Macro is further detailed.

<table>
<thead>
<tr>
<th>Table FFF.2.3-6. Enhanced X-Ray Angiographic Image IOD Modules</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>IE</strong></td>
</tr>
<tr>
<td>Image</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Table FFF.2.3-7. Enhanced XA Image Functional Group Macros</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Functional Group Macro</strong></td>
</tr>
<tr>
<td>Frame Pixel Shift</td>
</tr>
</tbody>
</table>

**FFF.2.3.3.1 Mask Module Recommendations**

This module is recommended to specify the subtraction parameters. The number of items depends on the number of Subtractions to be applied (see Section FFF.2.3.2).

<table>
<thead>
<tr>
<th>Table FFF.2.3-8. Mask Module Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Attribute Name</strong></td>
</tr>
<tr>
<td>Recommended Viewing Mode</td>
</tr>
<tr>
<td>Mask Subtraction Sequence</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>

**FFF.2.3.3.2 Frame Pixel Shift Macro Recommendations**

The usage in this scenario is on a "per frame" context to allow individual pixel shift factors for each Subtraction Item ID.

The Subtraction Item ID specified in the Mask Subtraction Sequence (0028,6100) as well as in the Frame Pixel Shift Sequence (0028,9415) allows creating a relationship between the subtraction (mask and contrast frames) and a corresponding set of pixel shift values.

The Pixel Shift specified for a given frame in the Frame Pixel Shift Macro is the shift to be applied when this frame is subtracted to its associated mask for the given Subtraction Item ID.

Not all frames may have the same number of items in the Frame Pixel Shift Macro, but all frames that are in the scope of a Subtraction Item ID and identified as "contrast" frames in the Mask module are recommended to have a Frame Pixel Shift Sequence item with the related Subtraction Item ID.

<table>
<thead>
<tr>
<th>Table FFF.2.3-9. Frame Pixel Shift Macro Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Attribute Name</strong></td>
</tr>
<tr>
<td>Frame Pixel Shift Sequence</td>
</tr>
</tbody>
</table>
FFF.2.3.3.4 Examples

FFF.2.3.3.4.1 Usage of Pixel Shift Macro in Shared Context

In this example, the pixel shift -0.3\2.0 is applied to the frames 2 and 3 when they are subtracted to the mask frame 1 as defined in the Mask Subtraction Sequence.

```
= AVG_SUB
= 100
= 2/3
= 1
= Default Subtraction
All the frames
= 100
= ... FrameRange (0028,6102)
= Left Leg
Frame1
= 100
= 0.0\0.0
Frame2
= 100
= 0.0\1.2
Frame3
= 100
= ... FrameRange (0028,6102)
= Left Leg
```

Figure FFF.2.3-3. Example of Shared Frame Pixel Shift Macro

FFF.2.3.3.4.2 Usage of Pixel Shift Macro in "per Frame" Context

The usage in a per-frame context is expected in a typical clinical scenario where the shift between the mask and the contrast frames is not constant across the frames of the multi-frame image to compensate for patient/organ movement.

The encoded values of the key attributes of this example are shown in Figure FFF.2.3-4.

```
= AVG_SUB
= 100
= 2/3
= 1
= Left Leg
Frame1
= 100
= 0.0\0.0
Frame2
= 100
= 0.0\1.2
Frame3
= 100
= 1.0\1.4
```

Figure FFF.2.3-4. Example of Per-Frame Frame Pixel Shift Macro

FFF.2.3.3.4.3 Usage of Pixel Shift Macro in "per Frame" Context For Multiple Shifts

The usage in a per-frame context is also appropriate to specify more than one set of shifts in case of more than one region of interest suffered from patient/organ movement independently, like in case of the two legs imaged simultaneously.
In this example, two Subtraction Item IDs are defined in the Mask Subtraction Sequence. The encoded values of the key attributes of this example are shown in Figure FFF.2.3-5.

![Diagram of Mask Subtraction Sequence and Per-Frame Functional Groups Sequence]

Figure FFF.2.3-5. Example of Per-Frame Frame Pixel Shift Macro for Multiple Shifts

**FFF.2.4 Processing**

### FFF.2.4.1 Projection Pixel Calibration

This section provides information on the encoding of the projection pixel size calibration and the underlying geometry.

#### FFF.2.4.1.1 User Scenario

The user wants to measure the size of objects in the patient with a default system calibration based on the acquisition geometry and the default distance from the table to the object. In order to have more accurate measurements than this default calibration, the user may provide information of the distance from the table to the object to be measured.

The image is stored in an archive system and retrieved by a second user who wants to re-use the calibration and needs to know which object this calibration applies to.

This second user may need to re-calibrate based on another object at a different geometry.
FFF.2.4.1.2 Encoding Outline

In conic projection imaging, the pixel size in the patient is not constant. If a value of Pixel Spacing (0028,0030) is provided, it is best appropriate at a given distance from the X-Ray source to the object of interest in the patient (patient plane). It is less exact for other objects at other distances.

In addition, the distance from the X-Ray source to the object of interest may change per frame in case of gantry or table motion. In this case the Enhanced XA SOP Class allows the pixel size in the patient to be defined per-frame.

A macro provides a compound set of all relevant attributes.

The value "Table to Object Height" can be used for individual patient plane definition.

Automatic isocenter calibration method is supported.

Values of gantry and table positions are provided to complete all necessary attributes for a later re-calibration.

FFF.2.4.1.3 Encoding Details

This section provides detailed recommendations of the key attributes to address this particular scenario. See Section C.8.19.6.9.1 in PS3.3 for detailed description of the attributes involved in the calculation of the calibration.

Table FFF.2.4-1. Enhanced X-Ray Angiographic Image IOD Modules

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>XA/XRF Acquisition</td>
<td>C.8.19.3</td>
<td>Specifies system characteristics relevant for this scenario.</td>
</tr>
</tbody>
</table>

Table FFF.2.4-2. Enhanced XA Image Functional Group Macros

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>XA/XRF Frame Pixel Data Properties</td>
<td>C.8.19.6.4</td>
<td>Specifies the pixel spacing on the receptor plane.</td>
</tr>
<tr>
<td>X-Ray Projection Pixel Calibration</td>
<td>C.8.19.6.9</td>
<td>Specifies the calibration-specific attributes.</td>
</tr>
</tbody>
</table>

FFF.2.4.1.3.1 XA/XRF Acquisition Module Recommendations

In order to check if a calibration is appropriate, certain values have to be set in the XA/XRF Acquisition Module.

Table FFF.2.4-3. XA/XRF Acquisition Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Receptor Type</td>
<td>(0018,9420)</td>
<td>Recommended in this scenario. The values IMG_INTENSIFIER or DIGITAL_DETECTOR can provide information about exactness of the image plane.</td>
</tr>
<tr>
<td>Positioner Type</td>
<td>(0018,1508)</td>
<td>Recommended in this scenario. The value of CARM is typically expected for equipment providing geometry information required for calibration.</td>
</tr>
<tr>
<td>C-arm Positioner Tabletop Relationship</td>
<td>(0018,9474)</td>
<td>A value of YES is recommended in this scenario, to allow use of related information for calibration because table and gantry are geometrically aligned.</td>
</tr>
</tbody>
</table>

FFF.2.4.1.3.2 XA/XRF Frame Pixel Data Properties Macro Recommendations

This macro is recommended to provide the Pixel Spacing in the receptor plane. Typically the Image Pixel Spacing is identical for all frames. Future acquisition system techniques may result in per frame individual values.
Table FFF.2.4-4. XA/XRF Frame Pixel Data Properties Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Pixel Data Properties Sequence</td>
<td>(0028,9443)</td>
<td></td>
</tr>
<tr>
<td>&gt;Imager Pixel Spacing</td>
<td>(0018,1164)</td>
<td>Recommended for this scenario, regardless the type of receptor.</td>
</tr>
</tbody>
</table>

**FFF.2.4.1.3.3 X-Ray Projection Pixel Calibration Macro Recommendations**

This macro contains the core inputs and results of calibration.

When there is no movement of the gantry and table, the macro is typically used in shared functional group context.

The attribute Beam Angle (0018,9449) is supplementary for the purpose of calibration; it is derived from the Primary and Secondary Positioner Angles but is not intended to replace them as they provide information for other purposes.

Table FFF.2.4-5. X-Ray Projection Pixel Calibration Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Projection Pixel Calibration Sequence</td>
<td>(0018,9401)</td>
<td></td>
</tr>
<tr>
<td>&gt;Distance Object to Table Top</td>
<td>(0018,9403)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>&gt;Object Pixel Spacing in Center of Beam</td>
<td>(0018,9404)</td>
<td>Recommended in this scenario. The value pair corresponds to the patient plane defined by the other parameters in this macro.</td>
</tr>
<tr>
<td>&gt;Table Height</td>
<td>(0018,1130)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>&gt;Beam Angle</td>
<td>(0018,9449)</td>
<td>Recommended in this scenario.</td>
</tr>
</tbody>
</table>

**FFF.2.4.1.3.4 X-Ray Geometry Macro Recommendations**

When there is no change of the geometry, the macro is used in shared functional group context.

**FFF.2.4.1.4 Example**

The user performs an X-Ray acquisition with movement of the positioner during the acquisition. The patient is in Head First Supine position. During the review of the multi-frame image, a measurement of the object of interest in the frame "i" needs to be performed, which requires the calculation of the pixel spacing at the object location for that frame.

For the frame "i", the Positioner Primary Angle is -30.0 degrees, and the Positioner Secondary Angle is 20.0 degrees. According to the definition of the positioner angles and given the patient position, the Beam Angle is calculated as follows:

\[
\text{Beam Angle} = \arccos\left( |\cos(-30.0)| \times |\cos(20.0)| \right) = 35.53 \text{ degrees}
\]

The value of the other attributes defining the geometry of the acquisition for the frame "i" are the following:

\[
\begin{align*}
\text{ISO} &= 750 \text{ mm} \\
\text{SID} &= 983 \text{ mm} \\
\text{TH} &= 187 \text{ mm} \\
\Delta P_x \text{ (Imager Pixel Spacing)} &= 0.2 \text{ mm/pix}
\end{align*}
\]

The user provides, via the application interface, an estimated value of the distance from the object of interest to the tabletop: \( TO = 180 \text{ mm} \). This value can be encoded in the attribute Distance Object to Table Top (0018,9403) of the Projection Pixel Calibration Sequence (0018,9401) for further usage.

This results in an \( SOD \) of 741.4 mm (according to the equation \( SOD = 750 \text{ mm} - \left\lfloor \frac{(187\text{ mm} - 180\text{ mm})}{\cos(35.53^\circ)} \right\rfloor \)), and in a magnification ratio of \( \frac{\text{SID}}{SOD} \) of 1.32587.

The resulting pixel spacing at the object location and related to the center of the X-Ray beam is calculated as \( \Delta P_x \times \frac{SOD}{SID} = 0.150844 \text{ mm/pix} \). This value can be encoded in the attribute Object Pixel Spacing in Center of Beam (0018,9404) of the Projection Pixel Calibration Sequence (0018,9401) for further usage.
The encoded values of the key attributes of this example are shown in Figure FFF.2.4-1.

Figure FFF.2.4-1. Attributes of X-Ray Projection Pixel Calibration

### FFF.2.4.2 Image Derivation and Pixel Data Properties

This section provides information on the encoding of the derivation process and the characteristics of the stored pixel data.

#### FFF.2.4.2.1 User Scenario

An acquisition system performs several processing steps on an original image, and then it creates a derived image with the processed pixel data.

A viewing application applies post-processing algorithms to that derived image, e.g., measurements, segmentation etc. This application needs to know what kind of post-processing can or cannot be applied depending on the characteristics of the derived image.

#### FFF.2.4.2.2 Encoding Outline

The XA SOP Class does not encode any specific attribute values to characterize the type of derivation.

The Enhanced XA SOP Class encodes defined terms for processing applied to the Pixel Data, and allows getting back to linear relationship between pixel values and X-Ray intensity. Viewing applications can consistently interpret the stored pixel data and enable/disable applications like edge detection algorithms, subtraction, filtering, etc.

#### FFF.2.4.2.3 Encoding Details

This section provides detailed recommendations of the key attributes to address this particular scenario.

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>Enhanced XA/XRF Image</td>
<td>C.8.19.2</td>
<td>Specifies the image type: ORIGINAL or DERIVED.</td>
</tr>
</tbody>
</table>
# Table FFF.2.4-7. Enhanced XA Image Functional Group Macros

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Derivation Image</td>
<td>C.7.6.16.2.6</td>
<td>Specifies the different derivation steps (including the latest step) that led to this instance.</td>
</tr>
<tr>
<td>Pixel Intensity Relationship LUT</td>
<td>C.7.6.16.2.13</td>
<td>Specifies the relationship between the stored pixel data values and the X-Ray intensity of the resulting derived instance.</td>
</tr>
<tr>
<td>XA/XRF Frame Characteristics</td>
<td>C.8.19.6.1</td>
<td>Specifies the latest derivation step that led to this instance.</td>
</tr>
<tr>
<td>XA/XRF Frame Pixel Data Properties</td>
<td>C.8.19.6.4</td>
<td>Specifies the characteristics of the derived pixel data, both geometric and densitometric.</td>
</tr>
</tbody>
</table>

## FFF.2.4.2.3.1 Enhanced XA/XRF Image Module Recommendations

The usage of this module is recommended to specify the image type.

# Table FFF.2.4-8. Enhanced XA/XRF Image Module Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image Type</td>
<td>(0008,0008)</td>
<td>The first value is DERIVED in this scenario.</td>
</tr>
</tbody>
</table>

## FFF.2.4.2.3.2 Derivation Image Macro Recommendations

The usage of this macro is recommended to encode the information of the different derivation processes and steps, as well as the source SOP instance(s) when the image or frame are derived from other SOP Instance(s).

# Table FFF.2.4-9. Derivation Image Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Derivation Image Sequence</td>
<td>(0008,9124)</td>
<td>Contains one item per derivation process that led to this SOP Instance.</td>
</tr>
<tr>
<td>&gt;Derivation Description</td>
<td>(0008,2111)</td>
<td>Free text description of this derivation process, typically for display purposes.</td>
</tr>
<tr>
<td>&gt;Derivation Code Sequence</td>
<td>(0008,9215)</td>
<td>Contains as many items as derivation steps in this derivation process.</td>
</tr>
<tr>
<td>&gt;Source Image Sequence</td>
<td>(0008,2112)</td>
<td>Contains one item per source SOP Instance used in this derivation process.</td>
</tr>
</tbody>
</table>

If this image is not derived from source SOP Instances, the Derivation Image macro is not present, and the XA/XRF Frame Characteristics macro is used instead.

## FFF.2.4.2.3.3 Pixel Intensity Relationship LUT Macro Recommendations

The usage of this macro is recommended to enable the applications to get the pixel values back to a linear relationship with the X-Ray intensity.

If readers of the image do not recognize the Pixel Intensity Relationship value, readers can use the value "OTHER" as default.

The number of bits in the LUT Data attribute (0028,3006) may be different from the value of Bits Stored attribute (0028,0101).

## FFF.2.4.2.3.4 XA/XRF Frame Characteristics Macro Recommendations

The usage of this macro is recommended to specify the derivation characteristics.

# Table FFF.2.4-10. XA/XRF Frame Characteristics Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>XA/XRF Frame Characteristics Sequence</td>
<td>(0018,9412)</td>
<td></td>
</tr>
</tbody>
</table>
If the image is derived from one or more SOP Instances, the XA/XRF Frame Characteristics Sequence always contains the same values as the last item of the Derivation Image Sequence.

If the image is derived but not from other SOP Instances, it means that the derivation was performed on the Acquisition system, and the Acquisition Device Processing Description (0018,1400) and the Acquisition Device Processing Code (0018,1401) contain the information of that derivation.

An image derived from a derived image will change the Derivation Description but not the Acquisition Device Processing Description.

**FFF.2.4.2.3.5 XA/XRF Frame Pixel Data Properties Macro Recommendations**

The usage of this macro is recommended to specify the type of processing applied to the stored pixel data of the derived frames.

### Table FFF.2.4-11. XA/XRF Frame Pixel Data Properties Macro Recommendations

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frame Pixel Data Properties Sequence</td>
<td>(0028,9443)</td>
<td>Recommended in this scenario.</td>
</tr>
<tr>
<td>&gt;Frame Type</td>
<td>(0008,9007)</td>
<td>The first value is DERIVED in this scenario</td>
</tr>
<tr>
<td>&gt;Image Processing Applied</td>
<td>(0028,9446)</td>
<td>In case of derivation from a derived image, this attribute contains a concatenation of the previous values plus the new value(s) of the latest derivation process.</td>
</tr>
</tbody>
</table>

**FFF.2.4.4 Examples**

**FFF.2.4.2.4.1 Various Successive Derivations**

In this example, the acquisition modality creates two instances of the Enhanced XA object: the instance "A" with mask frames and the instance "B" with contrast frames. A temporal filtering has been applied by the modality before the creation of the instances.

The workstation 1 performs a digital subtraction of the frames of the instance "B" by using the frames of the instance "A" as mask, then the resulting subtracted frames are stored in a new instance "C".

Finally the workstation 2 processes the instance "C" by applying a zoom and edge enhancement, and the resulting processed frames are stored in a new instance "D".

**Figure FFF.2.4-2. Example of various successive derivations**

Figure FFF.2.4-3 shows the values of the attributes of the instance "D" in the corresponding modules and macros related to derivation information. The Source Image Sequence (0008,2112) of the Derivation Image Sequence (0008,9124) does not contain the attribute Referenced Frame Number (0008,1160) because all the frames of the source images are used to generate the derived images.
Figure FFF.2.4-3. Attributes of the Example of Various Successive Derivations
FFF.2.4.2.4.2 Derivation by Applying A Square Root Transformation

In this example, the acquisition modality creates the instance "A" of the Enhanced XA object with 14 bits stored where the relationship between the pixel intensity and the X-Ray intensity is linear.

A workstation reads the instance "A", transforms the pixel values of the stored pixel data by applying a square root function and stores the resulting frames on the instance "B" with 8 bits stored.

![Diagram of Derivation Process](image)

**Figure FFF.2.4-4. Example of Derivation by Square Root Transformation**

The following figure shows the values of the attributes of the instance "B" in the corresponding modules and macros related to derivation information.

Note that the Derivation Code Sequence (0008,9215) is present when the Derivation Image Sequence (0008,9124) includes one or more items, even if the derivation code is not defined in the CID 7203 “Image Derivation”.

The Pixel Intensity Relationship LUT Sequence (0028,9422) contains a LUT with the function "TO_LINEAR" to allow the calculation of the gray level intensity to be linear to the X-Ray intensity. Since the instance "B" has 8 bits stored, this LUT contains 256 entries (starting the mapping at pixel value 0) and is encoded in 16 bits.

The value of the Pixel Intensity Relationship (0028,1040) in the Frame Pixel Data Properties Sequence (0028,9443) could be "OTHER" as it is described in the defined terms. However, a more explicit term like "SQRT" is also allowed and will have the same effect in the reading application.

In the case of a modification of the pixel intensity relationship of an image, the value of the attribute Image Processing Applied (0028,9446) in the Frame Pixel Data Properties Sequence (0028,9443) can be "NONE" in order to indicate to the reading applications that there was no image processing applied to the original image that could modify the spatial or temporal characteristics of the pixels.
Figure FFF.2.4-5. Attributes of the Example of Derivation by Square Root Transformation

**FFF.2.5 Registration**

**FFF.2.5.1 Tracking An Object of Interest On Multiple 2d Images**

This section provides information on the encoding of the acquisition geometry in a fixed reference system.

**FFF.2.5.1.1 User Scenario**

The operator identifies the position of an object of interest projected on the stored pixel data of an image A, and estimates the magnification of the conic projection by a calibration process.

The operator wants to know the position of the projection of such object of interest on a second image B acquired under different geometry, assuming that the patient does not move between image A and image B (i.e., the images share the same frame of reference).

**FFF.2.5.1.2 Encoding Outline**

The XA SOP Class encodes the information in a patient-related coordinate system.
The Enhanced XA SOP Class additionally encodes the geometry of the acquisition system with respect to a fixed reference system defined by the manufacturer, so-called Isocenter reference system. Therefore, it allows encoding the absolute position of an object of interest and to track the projection of such object across the different images acquired under different geometry.

**FFF.2.5.1.3 Encoding Details**

This section provides detailed recommendations of the key attributes to address this particular scenario.

**Table FFF.2.5-1. Enhanced X-Ray Angiographic Image IOD Modules**

<table>
<thead>
<tr>
<th>IE</th>
<th>Module</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Image</td>
<td>Image Pixel</td>
<td>C.7.6.3</td>
<td>Specifies the dimension of the pixel array of the frames.</td>
</tr>
<tr>
<td></td>
<td>XA/XRF Acquisition</td>
<td>C.8.19.3</td>
<td>Describes some characteristics of the acquisition system that enables this scenario.</td>
</tr>
<tr>
<td></td>
<td>X-Ray Detector</td>
<td>C.8.19.5</td>
<td>Specifies the type and characteristics of the image detector.</td>
</tr>
</tbody>
</table>

**Table FFF.2.5-2. Enhanced XA Image Functional Group Macros**

<table>
<thead>
<tr>
<th>Functional Group Macro</th>
<th>PS3.3 Reference</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Field of View</td>
<td>C.8.19.6.2</td>
<td>Specifies the dimension of the Field of View as well as the flip and rotation transformations.</td>
</tr>
<tr>
<td>X-Ray Isocenter Reference System</td>
<td>C.8.19.6.13</td>
<td>Specifies the acquisition geometry in a fixed reference system.</td>
</tr>
<tr>
<td>XA/XRF Frame Pixel Data Properties</td>
<td>C.8.19.6.4</td>
<td>Specifies the dimensions of the pixels at the image reception plane.</td>
</tr>
</tbody>
</table>

**FFF.2.5.1.3.1 Image Pixel Module Recommendations**

The usage of this module is recommended to specify the number of rows and columns of the Pixel Data, as well as the aspect ratio.

**FFF.2.5.1.3.2 XA/XRF Acquisition Module Recommendations**

The usage of this module is recommended to give the necessary conditions to enable the calculations of this scenario.

**Table FFF.2.5-3. XA/XRF Acquisition Module Recommendations**

<table>
<thead>
<tr>
<th>Attribute Name</th>
<th>Tag</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>X-Ray Receptor Type</td>
<td>(0018,9420)</td>
<td>DIGITAL_DETECTOR is used in this scenario.</td>
</tr>
<tr>
<td>Positioner Type</td>
<td>(0018,1508)</td>
<td>CARM is used in this scenario.</td>
</tr>
<tr>
<td>C-arm Positioner Tabletop Relationship</td>
<td>(0018,9474)</td>
<td>YES is necessary in this scenario.</td>
</tr>
</tbody>
</table>

In case of X-Ray Receptor Type (0018,9420) equals "IMG_INTENSIFIER", there are some limitations that prevent the calculations described on this scenario:

- The position of the projection of the isocenter on the intensifier active area is undefined;
- The Field of View Origin (0018,7030) cannot be related to the physical area of the receptor because the Intensifier TLHC is undefined.

As a consequence, in case of image intensifier it is impossible to relate the position of the pixels of the stored area to the isocenter reference system.

**FFF.2.5.1.3.3 X-Ray Detector Module Recommendations**

In case of X-Ray Receptor Type (0018,9420) equals "DIGITAL_DETECTOR" the usage of this module is recommended to specify the type and characteristics of the image detector.
FFF.2.5.1.3.4 X-Ray Field of View Macro Recommendations

The usage of this macro is recommended to specify the characteristics of the field of view.

The field of view characteristics may change per-frame across the multi-frame image.

FFF.2.5.1.3.5 X-Ray Isocenter Reference System Macro Recommendations

The usage of this macro is recommended to specify the fixed reference system of the acquisition geometry.

FFF.2.5.1.3.6 X-Ray Geometry Macro Recommendations

The usage of this macro is recommended to specify the distances between the X-Ray source, isocenter and X-Ray detector.

FFF.2.5.1.3.7 XA/XRF Frame Pixel Data Properties Macro Recommendations

The usage of this macro is recommended to specify the dimensions of the pixels at the image reception plane.

FFF.2.5.1.4 Example

In this example, the operator identifies the position \((i, j)\) of an object of interest projected on the stored pixel data of an image A, and estimates the magnification of the conic projection by a calibration process.

The operator wants to know the position of the projection of such object of interest on a second image B acquired under different geometry.

The attributes that define the geometry of both images A and B are described in the following figure:
Figure FFF.2.5-1. Attributes of the example of tracking an object of interest on multiple 2D images

The following steps describe the process to calculate the position \((i, j)_{A}\) of the projection of the object of interest in the Pixel Data of the image B, assuming that \((i, j)_{A}\) is known and is the offset of the projection of the object of interest from the TLHC of the Pixel Data of the image A, measured in pixels of the Pixel Data matrix as a column offset "i" followed by a row offset "j". TLHC is defined as \((0,0)\).

**Step 1:** Calculate the point \((i, j)_{A}\) in FOV coordinates of the image A.

**Step 2:** Calculate the point \((i, j)_{A}\) in physical detector coordinates of the image A.

**Step 3:** Calculate the point \((P_{x}, P_{y}, P_{z})_{A}\) in positioner coordinates of the image A.

**Step 4:** Calculate the point \((P_{x}', P_{y}', P_{z}')_{A}\) in positioner coordinates of the image A.

**Step 5:** Calculate the point \((P_{x}, P_{y}, P_{z})_{A}\) in Isocenter coordinates of the image A.

**Step 6:** Calculate the point \((P_{x}, P_{y}, P_{z})_{A}\) in Table coordinates of the image A.

**Step 7:** Calculate the point \((P_{x}', P_{y}', P_{z}')_{B}\) in Table coordinates in mm of the image B.

**Step 8:** Calculate the point \((P_{x}, P_{y}, P_{z})_{B}\) in Isocenter coordinates in mm of the image B.

**Step 9:** Calculate the point \((P_{x}', P_{y}', P_{z}')_{B}\) in positioner coordinates of the image B.
Step 10: Calculate the point \((P_u, P_v)_B\) in positioner coordinates of the image B.

Step 11: Calculate the point \((i, j)_B\) in physical detector coordinates of the image B.

Step 12: Calculate the point \((i, j)_B\) in FOV coordinates of the image B.

Step 13: Calculate the point \((i, j)_B\) in Pixel Data of the image B.

In this example let's assume:

\((i, j)_A = (310, 122)\) pixels

Magnification ratio = 1.3

Step 1: Image A: Point \((i, j)_A\) in FOV coordinates

In this step, the FOV coordinates are calculated by taking into account the FOV rotation and Horizontal Flip applied to the FOV matrix when the Pixel Data were created:

1.1: Horizontal Flip: YES

new \(i = (\text{columns} - 1) - i = 850 - 1 - 310 = 539\)

new \(j = j = 122\)

1.2: Image Rotation: 90 (clockwise)

new \(i = j = 122\)

new \(j = (\text{columns} - 1) - i = 850 - 1 - 539 = 310\)

\((i, j)_A = (122, 310)\) in stored pixel data.

Step 2: Image A: Point \((i, j)_A\) in physical detector coordinates

In this step, the physical detector coordinates are calculated by taking into account the FOV origin and the ratio between Imager Pixel Spacing and Detector Element Spacing:

\(D_i = \text{Imager Pixel Spacing (column)} = 0.2 \text{ mm}\)

\(D_j = \text{Imager Pixel Spacing (row)} = 0.2 \text{ mm}\)

\(D_{det} = \text{Detector Element Spacing between two adjacent columns} = 0.2 \text{ mm}\)

\(D_{det} = \text{Detector Element Spacing between two adjacent rows} = 0.2 \text{ mm}\)

Zoom Factor (column) = \(D_i / D_{det} = 1.0\)

Zoom Factor (row) = \(D_j / D_{det} = 1.0\)

FOV Origin (column) = \(\text{FOV}_{det} = 600.0\)

FOV Origin (row) = \(\text{FOV}_{det} = 600.0\)

new \(i = \text{FOV}_{det} + (i + (1 - D_{det} / D_i) / 2) * D_j / D_{det} = 600 + 122 * 1.0 = 722\)

new \(j = \text{FOV}_{det} + (j + (1 - D_{det} / D_j) / 2) * D_i / D_{det} = 600 + 310 * 1.0 = 910\)

\((i, j)_A = (722, 910)\) in detector elements.

Step 3: Image A: Point \((P_u, P_v)_A\) in positioner coordinates

In this step, the \((P_u, P_v)_A\) coordinates in mm are calculated from \((i, j)_A\) by taking into account the projection of the Isocenter in physical detector coordinates, and the Detector Element Spacing:
ISO_P_{det} = Position of Isocenter Projection (column) = 1024.5
ISO_P_{jdet} = Position of Isocenter Projection (row) = 1024.5
D_{det} = Detector Element Spacing between two adjacent columns = 0.2 mm
D_{jdet} = Detector Element Spacing between two adjacent rows = 0.2 mm

Pu = (i - ISO_P_{det}) * D_{det} = (722 - 1024.5) * 0.2 = -60.5 mm
Pv = (ISO_P_{jdet} - j) * D_{jdet} = (1024.5 - 910) * 0.2 = 22.9 mm

(P_u, P_v)_A = (-60.5, 22.9) in mm.

**Step 4: Image A: Point (P_{Xp}, P_{Yp}, P_{Zp})_A in positioner coordinates**

In this step, the positioner coordinates (P_{Xp}, P_{Yp}, P_{Zp})_A are calculated from (P_u, P_v)_A by taking into account the magnification ratio, the Distance Source to Detector and the Distance Source to Isocenter:

SID = Distance Source to Detector = 1300 mm
ISO = Distance Source to Isocenter = 780 mm

Magnification ratio = SID / (ISO - P_{Yp}) = 1.3
P_{Yp} = ISO - SID / 1.3 = 780 - 1300/1.3 = -220 mm
P_{Xp} = Pu / Magnification ratio = -60.5 / 1.3 = -46.54 mm
P_{Zp} = Pv / Magnification ratio = 22.9 / 1.3 = 17.62 mm

(P_{Xp}, P_{Yp}, P_{Zp})_A = (-46.54, -220, 17.62) in mm.

**Step 5: Image A: Point (P_{X}, P_{Y}, P_{Z})_A in isocenter coordinates**

In this step, the isocenter coordinates (P_{X}, P_{Y}, P_{Z})_A are calculated from the positioner coordinates (P_{Xp}, P_{Yp}, P_{Zp})_A by taking into account the positioner angles of the image A in the Isocenter coordinate system:

Ap_1 = Positioner Isocenter Primary Angle = 60.0 deg
Ap_2 = Positioner Isocenter Secondary Angle = 20.0 deg
Ap_3 = Positioner Isocenter Detector Rotation Angle = 0.0 deg

(P_{X}, P_{Y}, P_{Z})_T = (R_{2} \cdot R_{1})^T \cdot (R_{3}^T \cdot (P_{Xp}, P_{Yp}, P_{Zp})^T)

(P_{X}, P_{Y}, P_{Z})_A = (150.55, -65.41, 91.80) in mm.

**Step 6: Image A: Point (P_{Xt}, P_{Yt}, P_{Zt})_A in Table coordinates**

In this step, the table coordinates (P_{Xt}, P_{Yt}, P_{Zt})_A are calculated from the isocenter coordinates (P_{X}, P_{Y}, P_{Z})_A by taking into account the table position and angles of the image A in the Isocenter coordinate system:

Tx = Table X Position to Isocenter = 10.0 mm
Ty = Table Y Position to Isocenter = 30.0 mm
Tz = Table Z Position to Isocenter = 100.0 mm

At_1 = Table Horizontal Rotation Angle = -10.0 deg
At_2 = Table Head Tilt Angle = 0.0 deg
At_3 = Table Cradle Tilt Angle = 0.0 deg
\[
(R_1 = \begin{bmatrix}
\cos(A_1) & 0 & -\sin(A_1) \\
0 & 1 & 0 \\
\sin(A_1) & 0 & \cos(A_1)
\end{bmatrix})
\]

\[
(R_2 = \begin{bmatrix}
1 & 0 & 0 \\
0 & \cos(A_2) & -\sin(A_2) \\
0 & \sin(A_2) & \cos(A_2)
\end{bmatrix})
\]

\[
(R_3 = \begin{bmatrix}
\cos(A_3) & -\sin(A_3) & 0 \\
\sin(A_3) & \cos(A_3) & 0 \\
0 & 0 & 1
\end{bmatrix})
\]

\[
(P_{Xt}, P_{Yt}, P_{Zt})^T = (R_3 \cdot R_2 \cdot R_1) \cdot ((P_X, P_Y, P_Z)^T - (T_X, T_Y, T_Z)^T)
\]

\[
(P_{Xt}, P_{Yt}, P_{Zt})^A = (136.99, -95.41, -32.48) \text{ in mm.}
\]

**Step 7: Image B: Point \((P_{Xt}, P_{Yt}, P_{Zt})^B\) in Table coordinates**

In this step, the table has moved from image A to image B. The table coordinates of the object of interest are the same on image A and image B because it is assumed that the patient is fixed on the table.

\[
(P_{Xt}, P_{Yt}, P_{Zt})^B = (136.99, -95.41, -32.48) \text{ in mm.}
\]

**Step 8: Image B: Point \((P_X, P_Y, P_Z)^B\) in Isocenter coordinates**

In this step, the isocenter coordinates \((P_X, P_Y, P_Z)^B\) are calculated from the table coordinates \((P_{Xt}, P_{Yt}, P_{Zt})^B\) by taking into account the table position and angles of the image B in the Isocenter coordinate system:

\[
T_x = \text{Table X Position to Isocenter} = 20.0 \text{ mm}
\]

\[
T_y = \text{Table Y Position to Isocenter} = 100.0 \text{ mm}
\]

\[
T_z = \text{Table Z Position to Isocenter} = 0.0 \text{ mm}
\]

\[
A_{t1} = \text{Table Horizontal Rotation Angle} = 0.0 \text{ deg}
\]

\[
A_{t2} = \text{Table Head Tilt Angle} = 10.0 \text{ deg}
\]

\[
A_{t3} = \text{Table Cradle Tilt Angle} = 0.0 \text{ deg}
\]

\[
(P_X, P_Y, P_Z)^B = (R_3 \cdot R_2 \cdot R_1)^T \cdot ((P_{Xt}, P_{Yt}, P_{Zt})^B - (T_X, T_Y, T_Z)^T)
\]

\[
(P_X, P_Y, P_Z)^B = (156.99, -12.11, -48.55) \text{ in mm.}
\]

**Step 9: Image B: Point \((P_{xp}, P_{yp}, P_{zp})^B\) in Positioner coordinates**

In this step, the positioner coordinates \((P_{xp}, P_{yp}, P_{zp})^B\) are calculated from the isocenter coordinates \((P_X, P_Y, P_Z)^B\) by taking into account the positioner angles of the image B in the Isocenter coordinate system:

\[
A_{p1} = \text{Positioner Isocenter Primary Angle} = -30.0 \text{ deg}
\]

\[
A_{p2} = \text{Positioner Isocenter Secondary Angle} = 0.0 \text{ deg}
\]

\[
A_{p3} = \text{Positioner Isocenter Detector Rotation Angle} = 0.0 \text{ deg}
\]

\[
(P_{xp}, P_{yp}, P_{zp})^B = (R_3 \cdot (R_2 \cdot R_1) \cdot (P_X, P_Y, P_Z)^T)
\]

\[
(P_{xp}, P_{yp}, P_{zp})^B = (142.01, 68.00, -48.55) \text{ in mm.}
\]

**Step 10: Image B: Point \((P_u, P_v)^B\) in Positioner coordinates**

In this step, the \((P_u, P_v)^B\) coordinates in mm are calculated from the positioner coordinates \((P_{xp}, P_{yp}, P_{zp})^B\) by taking into account the Distance Source to Detector and the Distance Source to Isocenter of the image B:
SID = Distance Source to Detector = 1000 mm
ISO = Distance Source to Isocenter = 800 mm

Magnification ratio = SID / (ISO - PY) = 1200/(800-68) = 1.366
Pu = PX * Magnification ratio = 142.01 * 1.64 = 194.00 mm
Pv = PZ * Magnification ratio = -48.55 * 1.64 = -66.33 mm

\((Pu, Pv) = (194.00, -66.33)\) in mm.

**Step 11: Image B: Point \((i, j)\) in physical detector coordinates**

In this step, the physical detector coordinates \((i, j)\) are calculated from the positioner coordinates \((Pu, Pv)\) by taking into account the projection of the Isocenter in physical detector coordinates, and the Detector Element Spacing of the image B:

ISO_Pidet = Position of Isocenter Projection (column) = 1024.5
ISO_Pjdet = Position of Isocenter Projection (row) = 1024.5

\(D_{idet}\) = Detector Element Spacing between two adjacent columns = 0.2
\(D_{jdet}\) = Detector Element Spacing between two adjacent rows = 0.2

\[i = ISO_Pidet + Pu / D_{idet} = 1024.5 + 194.00 / 0.2 = 1994.5\]

\[j = ISO_Pjdet - Pv / D_{jdet} = 1024.5 - (-66.33) / 0.2 = 1356.2\]

\((i, j)_B = (1994.5, 1356.2)\) in detector elements.

**Step 12: Image B: Point \((i, j)\) in FOV coordinates**

In this step, the FOV coordinates are calculated from the physical detector coordinates by taking into account the FOV origin and the ratio between Imager Pixel Spacing and Detector Element Spacing of the image B:

\(D_i\) = Imager Pixel Spacing (column) = 0.4 mm
\(D_j\) = Imager Pixel Spacing (row) = 0.4 mm

\(D_{idet}\) = Detector Element Spacing between two adjacent columns = 0.2 mm
\(D_{jdet}\) = Detector Element Spacing between two adjacent rows = 0.2 mm

Zoom Factor (column) = \(D_i / D_{idet} = 2.0\)
Zoom Factor (row) = \(D_j / D_{jdet} = 2.0\)

FOV Origin (column) = FOV_idet = 25.0
FOV Origin (row) = FOV_jdet = 25.0

\[\text{new } i = (i - FOV_idet) \cdot D_{idet} / D_i \cdot (1 - D_{idet} / D_i) / 2 = (1994.5 - 25.0) / 2.0 - 0.25 = 984.5\]

\[\text{new } j = (j - FOV_jdet) \cdot D_{jdet} / D_j \cdot (1 - D_{jdet} / D_j) / 2 = (1356.2 - 25.0) / 2.0 - 0.25 = 665.35\]

\((i, j)_B = (984.50, 665.35)\) in stored pixel data.

**Step 13: Image B: Point \((i, j)\) in Pixel Data**

In this step, the position \((i, j)_B\) of the projection of the object of interest in the Pixel Data of the image B is calculated from the FOV coordinates by taking into account the FOV rotation and Horizontal Flip applied to the FOV matrix when the Pixel Data were created:

1.1: Horizontal Flip: NO
new \( i = i = 984.50 \)
new \( j = j = 665.35 \)

**1.2: Image Rotation:** 180 (clockwise)

new \( i = (\text{columns} - 1) - i = 1000 - 1 - 984.50 = 14.50 \)
new \( j = (\text{rows} - 1) - j = 1000 - 1 - 665.35 = 333.65 \)

\((i, j)_B = (14.50, 333.65)\) in stored pixel data.
GGG Unified Worklist and Procedure Step - UPS (Informative)

GGG.1 Introduction

This section provides examples of different implementations and message sequencing when using the Unified Worklist and Procedure Step SOP Classes (UPS Push, UPS Pull, UPS Watch and UPS Event).

The examples are intended to provide a sense of how the UPS SOP Classes can be used to support a variety of workflow use cases. For the detailed specification of how the underlying DIMSE Services function, please refer to Annex CC “Unified Procedure Step Service and SOP Classes (Normative)”. For the detailed specification of how the RESTful services function, please refer to Section 6.9 “UPS-RS Worklist Service” in PS3.18.

The Unified Worklist and Procedure Step Service Class combines the information that is conveyed separately by the Modality Worklist and Modality Performed Procedure Step into a single normalized object. This object is created to represent the planned step and then updated to reflect its progress from scheduled to complete and record details of the procedure performed and the results created. Additionally, the Unified Worklist supports subscription based notifications of progress and completion.

The Unified Worklist and Modality Procedure Step Service Class does not include support for complex internal task structures. It describes a single task to be performed in terms of the task request and the task results. Additional complexity is managed by the business logic.

The UPS SOP Classes define services so UPSs can be created, their status managed, notifications sent and their attributes set, queried, and retrieved. DICOM intentionally leaves open the many combinations in which these services can be implemented and applied to enact a variety of approaches to workflow.

Pull Workflow and Push Workflow

Similar to previous SOP Classes like Modality Worklist, UPS allows a performing system (using the UPS Pull SOP Class as a C-FIND SCU) to query a worklist manager (the SCP) for relevant tasks and choose which one to start working on. This is sometimes called "Pull Workflow" since the performer pulls down the list and selects an item.

UPS adds the ability for a scheduling system (using the UPS Push SOP Class as an N-CREATE SCU) to "push" a workitem onto the performing system (here an SCP). In "Push Workflow" the scheduler makes the choice of which system becomes responsible for the workitem.

Performing systems (again as an SCP) could also schedule/create their own workitems, while allowing other systems (using the UPS Watch and UPS Event SOP Classes as N-EVENT-REPORT SCUs and N-GET SCUs) to receive notifications of the activities of the performer and examine the results.

Push and Pull can also be combined in various ways. A high level departmental scheduler could break down orders and push tasks onto the acquisition worklist manager and reporting worklist manager from which modalities and reporting workstations could pull their tasks. In another scenario, a modality that has pulled an acquisition workitem off a worklist, could push a follow-up task onto a workstation to perform 3D processing or CAD on the results.

Reliable Watchers and Deletion Locks

Some UPS features (specifically the Deletion Lock - See Section CC.2.3.2, “Service Class User Behavior”) were introduced to support Reliable Watchers. By subscribing with a Deletion Lock, an SCU wishing to be a reliable watcher can signal the SCP to persist instances until the watcher has been able to retrieve final state information and remove the lock.

This means that network latency, slight delays in processing threads, or even the watcher being offline for a short time, will not prevent the watcher from reliably collecting the final state details from UPS instances it is interested in. This can be very important since the watcher may be responsible for monitoring completion of those instances, extracting details from them, and based on that and other internal logic, creating subsequent UPS Instances and populating the input data fields with information from the completed UPS. Without some form of persistence guarantee, UPS instances could disappear immediately upon entering a completed state.
Having established the Deletion Lock mechanism, it is possible that, due to equipment or processing errors, there could be cases where locks are not properly removed and some UPS instances might remain when they are no longer needed. Most SCP implementations will likely provide a way for such orphaned UPS instances to be removed under administrator control.

**GGG.2 Implementation Examples**

The following sections describe ways UPS workflows could be used to address some typical scenarios.

**GGG.2.1 Typical SOP Class Implementations**

The decision of which SOP Classes to implement in which systems will revolve partly around where it makes the most sense for the business logic to reside, what information each system would have access to, and what kind of workflow is most effective for the users.

Table GGG.1-1 shows a number of hypothetical systems and the combination of SOP Classes they might implement. For example, a typical worklist manager would support all four SOP Classes as an SCP. A typical scheduling system might want to be a UPS Push SCU to submit work items to the worklist manager, a UPS Watch SCU to subscribe for notifications and get details of the results, and a UPS Event SCU to receive the progress notifications. A simple "pull performer" might only be a UPS Pull SCU, similar to modalities today.

Other examples are listed for:

- "Minimal Scheduler", a requesting system that is not interested in monitoring progress or results.
- "Watcher", a system interested in tracking the progress and/or results of Unified Procedure Steps.
- "General Contractor", a system that accepts work items pushed to it, then uses internal business logic to subdivide/create work items that it pushes or makes available to systems that will actually perform the work.
- "Push Performer", a system, for example a CAD system, that has work pushed to it, and provides status and results information to interested observers.
- "Self-Scheduled Performer", which internally schedules it's own work, but supports notifications and N-GET so the details of the work can be made available to other departmental systems.
- "Self-Scheduled Pull Performer", which pushes a workitem onto a worklist manager and then pulls it off to perform it. This allows it to work on "unscheduled" procedures without taking on the responsibility of being an SCP for notifications and events.

**Table GGG.1-1. SOP Classes for Typical Implementation Examples**

<table>
<thead>
<tr>
<th>SOP Classes</th>
<th>SCU</th>
<th>SCP</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>UPS Push</td>
<td>UPS Watch</td>
</tr>
<tr>
<td>Non-Performing SCUs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minimal Scheduler</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Typical Scheduler</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Watcher</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Worklist SCPs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Worklist Manager</td>
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<td>X</td>
</tr>
<tr>
<td>General Contractor</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Performing SCPs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Push Performer</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>Self-Scheduled Performer</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Performing SCUs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pull Performer</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Self-Scheduled Pull Performer</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>
A system that implements UPS Watch as an SCP will also need to implement UPS Event as an SCP to be able to send Event Reports to the systems from whom it accepts subscriptions.

GGG.2.2 Typical Pull Workflow

This example shows how a typical pull workflow could be used to manage the work of a 3D Lab. A group of 3D Workstations query a 3D Worklist Manager for work items that they perform and report their progress. In this example, the RIS would be a "Typical Scheduler", the 3D Workstation is a "Pull Performer" as seen in Table GGG.1-1 and the PACS and Modality do not implement any UPS SOP Classes.

We will assume the RIS decides which studies require 3D views and puts them on the worklist once the acquiring modality has reported it's MPPS complete. The RIS identifies the required 3D views and lists the necessary input objects in the UPS based on the image references recorded in the MPPS.

Assume the RIS has subscribed globally for all UPS instances managed by the 3D Worklist Manager.

Figure GGG.2-1. Diagram of Typical Pull Workflow

GGG.2.3 Reporting Workflow With "hand-off"

This example shows a reporting workflow with a "hand-off". Reporting Workstations query a RIS for work items to interpret/report. In this example, the RIS is a "Worklist Manager", the Reporting Workstation is both a "Pull Performer" and a "Minimal Scheduler" as shown in Table GGG.1-1 and the PACS and Modality do not implement any UPS SOP Classes. A reporting workstation claims Task X but can't complete it and "puts it back on the worklist" by canceling Task X and creating Task Y as a replacement, recording Task X as the Replaced Procedure Step.

Assume the RIS is picking up where example GGG.2.2 left off and was waiting for the 3D view generation task to be complete before putting the study on the reading worklist. The RIS identifies the necessary input objects in the UPS based on the image references recorded in the acquisition MPPS and the 3D UPS.
Figure GGG.3-1. Diagram of Reporting Workflow

You could also imagine the 3D workstation is a Mammo CAD workstation. If the first radiologist completed the report, the RIS could easily schedule Task Y as the over-read by another radiologist.

For further discussion, refer to the Section GGG.2.7 material on Hand-offs, Fail-overs and Putting Tasks Back on the Worklist.

GGG.2.4 Third Party Cancel

Cancel requests are always directed to the system managing the UPS instance since it is the SCP. When the UPS is being managed by one system (for example a Treatment Management System) and performed by a second system (for example a Treatment Delivery System), a third party would send the cancel request to the TMS and cancellation would take place as shown below.

Performing SCUs are not required to react to cancel requests, or even to listen for them, and in some situations would be unable to abort the task represented by the UPS even if they were listening. In the diagram below we assume the performing SCU is listening, willing, and able to cancel the task.

If the User had sent the cancel request while the UPS was still in the SCHEDULED state, the SCP (i.e., the TMS) could simply have canceled the UPS internally. Since the UPS state was IN PROGRESS, it was necessary to send the messages as shown. Note that since the TDS has no need for the UPS instance to persist, it subscribed without setting a Deletion Lock, and so it didn't need to bother unsubscribing later.
User decides to cancel Task X
Create Transaction UID
Update Task X Details (N-SET(T-UID))
Start Task X (N-ACTION IN PROGRESS [T-UID])

Display Cancel Request for Task X and Contact URI to Operator
Operator Pauses Task & Uses Contact URI to call User & discusses cancel

Figure GGG.4-1. Diagram of Third Party Cancel

GGG.2.5 Radiation Therapy Dose Calculation Push Workflow

In this example, users schedule tasks to a shared dose calculation system and need to track progress. This example is intended as a demonstration of UPS and should not be taken as prescriptive of RT Therapy procedures.

Pushing the tasks avoids problems with a pull workflow such as the server having to continually poll worklists on (a large number of) possible clients; needing to configure the server to know about all the clients; reporting results to a user who might be at several locations; and associating the results with clients automatically. Also, when performing machines each have unique capabilities, the scheduling must target individual machines, and there can be advantages for integrating the scheduling and performing activities like this.

Although not shown in the diagram, the User could have gone to a User Terminal (“Watcher”) and monitored the progress from there by doing a C-FIND and selecting/subscribing to Task X.

Figure GGG.5-1. Diagram of Radiation Therapy Planning Push Workflow
In a second example, the User monitors progress from another User Terminal ("Watcher") and decides to request cancellation after 3 beams.

Figure GGG.5-2. Diagram of Remote Monitoring and Cancel

GGG.2.6 X-Ray Clinic Push Workflow

In this example, arriving patients are admitted at the RIS and sent to a specific X-Ray room for their exam.

The RIS is shown here subscribing globally for events from each Room. Alternatively the RIS could subscribe individually to each Task right after the N-CREATE is requested.

It is left open whether the patient demographics have been previously registered and the patients scheduled on the RIS or whether they are registered on the RIS when they arrive.
GGG.2.7 Other Examples

A wide variety of workflow methods are possible using the UPS SOP Classes. In addition to those diagrammed in the previous sections, a few more are briefly described here. These include examples of ways to handle unscheduled tasks, grouped tasks, append cases, "event forwarding", etc.

Self-Scheduling Push & Pull: Unscheduled and Append Cases

In radiation therapy a previously unscheduled ("emergency") procedure may be performed on a Treatment Delivery System. Normally a TDS performs scheduled procedures as a Performing SCU in a Typical Pull Workflow like that shown in GGG.2.2. A TDS that might need to perform unscheduled procedures could additionally implement UPS Push (as an SCU) and push the "unscheduled" procedure to the departmental worklist server then immediately set it IN PROGRESS as a UPS Pull SCU. The initial Push to the departmental server allows the rest of the departmental workflow to "sync up" normally to the new task on the schedule.

A modality choosing to append some additional images after the original UPS was completed could use a similar method. Since the original UPS can no longer be modified, the modality could push a new UPS instance to the Worklist Manager and then immediately set it IN PROGRESS. Many of the attribute values in the new UPS would be the same as the original UPS.

Note that for a Pull Performer that wants to handle unscheduled cases, this Push & Pull approach is pretty simple to implement. Becoming a UPS Push SCU just requires N-CREATE and N-ACTION (Request Cancel) that are quite similar to the N-SET and N-ACTION it already supports as a UPS Pull SCU.

The alternative would be implementing both UPS Watch and UPS Event as an SCP, which would be more work. Further, potential listeners would have to be aware of and monitor the performing system to track the unscheduled steps, instead of just monitoring the departmental Pull SCP.

Self-Scheduling Performer

An example of an alternative method for handling unscheduled procedures is a CAD workstation that decides for itself to perform processing on a study. By implementing UPS Watch as an SCP and UPS Event as an SCP, the workstation can create UPS instances internally and departmental systems such as the RIS can subscribe globally to the workstation to monitor its activities.

The workstation might create the UPS tasks in response to having data pushed to it, or potentially the workstation could itself also be a Watch and Event SCU and subscribe globally to relevant modality or PACS systems and watch for appropriate studies.
Push Daisy Chain

Sometimes the performer of the current task is in the best position to decide what the next task should be.

An alternative to centralized task management is daisy-chaining where each system pushes the next task to the next performer upon completion of the current task. Using a workflow similar to the X-Ray Clinic example in GGG.6, a modality could push a task to a CAD workstation to process the images created by the modality. The task would specify the necessary images and perhaps parameters relevant to the acquisition technique. The RIS could subscribe globally with the CAD workstation to track events. Another example of push daisy chain would be for the task completed at each step in a reporting process to be followed by scheduling the next logical task.

Hand-offs, Fail-overs and Putting Tasks Back on the Worklist

Sometimes the performer of the current task, after setting it to IN PROGRESS, may determine it cannot complete the task and would like the task performed by another system. It is not permitted to move the task backwards to the SCHEDULED state.

One approach is for the performer to cancel the old UPS and schedule a new UPS to be pulled off the worklist by another system or by itself at some point in the future. The new UPS would be populated with details from the original. The details of the new UPS, such as the Input Information Sequence (0040,4021), the Scheduled Workitem Code Sequence (0040,4018), and the Scheduled Processing Parameters Sequence (0074,1210), might be revised to reflect any work already completed in the old UPS. By including the "Discontinued Procedure Step rescheduled" code in the Procedure Step Discontinuation Reason Code Sequence (0074,100e) of the old UPS, the performer can allow watchers and other systems monitoring the task to know that there is a replacement for the old canceled UPS. By referencing the UID of the old UPS in the Replaced Procedure Step Sequence (0074,1224) of the new UPS, the performer can allow watchers and other systems to find the new UPS that replaced the old. A proactive SCP might even subscribe watchers of the old UPS to the new UPS that replaces it.

Alternatively, if the performer does not have the capability to create a new UPS, it could include the "Discontinued Procedure Step rescheduling recommended" code in the Procedure Step Discontinuation Reason Code Sequence (0074,100e). A very smart scheduling system could observe the cancellation reason and create the new replacement UPS as described above on behalf of the performer.

Another approach is for the performer to "sub-contract" to another system by pushing a new UPS onto that system and marking the original UPS complete after the sub-contractor finishes.

Yet another approach would be for the performer to deliver the Locking UID (by some unspecified mechanism) to another system allowing the new system to continue the work on the existing UPS. Coordination and reconciliation would be very important since the new system would need to review the current contents of the UPS, understand the current state, update the performing system information, etc.

GGG.3 Other Features

GGG.3.1 What Was Scheduled Vs. What Was Performed

The performing system for a UPS instance determines what details to put in the attributes of the Performed Procedure Information Module. It is possible that the procedure performed may differ in some details from the procedure scheduled. It is up to the performing system to decide how much the performed procedure can differ from the scheduled procedure before it is considered a different procedure, or how much must be performed before the procedure is considered complete.

In the case of cancellation, it is possible that some details of the situation may be indeterminable. Beyond meeting the Final State requirements, accurately reflecting in the CANCELED UPS instance the actual state of the task (e.g., reflecting partial work completed and/or any cleanup performed during cancellation), is at the discretion of the performing system.

In general it is expected that:

- An SCU that completes a UPS differently than described in the scheduled details, but accomplishes the intended goal, would record the details as performed in the existing UPS and set it to COMPLETED. Interested systems may choose to N-GET the Performed Codes from the UPS and confirm whether they match the Scheduled Codes.

- An SCU that completes part of the work described in a UPS, but does not accomplish the intended goal, would set the Performed Protocol Codes to reflect what work was fully or partially completed, set the Output Sequence to reflect the created objects and set the UPS state to CANCELED since the goal was not completed.
An SCU that completes a step with a different intent and scope in place of a scheduled UPS would cancel the original scheduled UPS, listing no work output products, and schedule a new UPS describing what was actually done, and reference the original UPS that it replaces in the Replaced Procedure Step Sequence to facilitate monitoring systems “closing the loop”.

An SCU that completes multiple steps, scheduled as separate UPS instances (e.g., a dictation & a transcription & a verification), as a block would individually report each of them as completed.

An SCU that completes additional unscheduled work in the course of completing a scheduled UPS would either report additional procedure codes in the completed UPS, or create one or more new UPS instances to record the unscheduled work.

GGG.3.2 Complex Procedure Steps

There are cases where it may be useful to schedule a complex procedure that is essentially a grouping of multiple workitems. Placing multiple workitem codes in the Scheduled Workitem Code Sequence is not permitted (partly due to the additional complexities that would result related to sequencing, dependency, partial completion, etc.)

One approach is to schedule separate UPS instances for each of the component workitems and to identify the related UPS instances based on their use of a common Study UID or Order Number.

Another approach is for the site to define a single workitem code that means a pre-defined combination of what would otherwise be separate workitems, along with describing the necessary sequencing, dependencies, etc.

GGG.3.3 Gift Subscriptions

The UPS Subscription allows the Receiving AE Title to be different than the AE Title of the SCU of the N-ACTION request. This allows an SCU to sign up someone else who would be interested for a subscription. For example, a reporting workflow manager could subscribe the RIS to UPSs the reporting workflow manager creates for radiology studies, and subscribe the CIS to UPSs it creates for cardiology studies. Or a RIS could subscribe the MPPS broker or the order tracking system to the high level UPS instances and save them from having independent business logic to determine which ones are significant.

This can provide an alternative to systems using global subscriptions to stay on top of things. It also has the benefit of providing a way to avoid having to “forward” events. All interested SCUs get their events directly from the SCP. Instead of SCU A forwarding relevant events to SCU B, SCU A can simply subscribe SCU B to the relevant events.
HHH Evolution of WADO to Web and Rest Services (Informative)

This annex discusses the design considerations that went into the definition of the WADO extension to Web and REST services.

HHH.1 Request and Response Parameters

HHH.1.1 Request Parameters

The new service based on WS should continue to support all the request parameters defined by WADO, for maintaining backward compatibility with the present URI based WADO, including the options to return either native DICOM objects or a rendered object (JPEG, PDF etc.).

The WADO-RS and STOW-RS requests have no parameters because data is requested through well defined URLs and content negotiation through HTTP headers.

The WADO-WS request parameters are summarized as below:

| Table HHH.1-1. Summary of DICOM/Rendered URI Based WADO Parameters |
| --- | --- | --- |
| Parameter | Allowed for | Requirement in Request |
| requestType | DICOM & Rendered | Required |
| studyUID | DICOM & Rendered | Required |
| seriesUID | DICOM & Rendered | Required |
| objectUID | DICOM & Rendered | Required |
| contentType | DICOM & Rendered | Optional |
| charset | DICOM & Rendered | Optional |
| anonymize | DICOM | Optional |
| annotation | Rendered | Optional |
| Rows, columns | Rendered | Optional |
| region | Rendered | Optional |
| windowCenter, windowWidth | Rendered | Optional |
| imageQuality | DICOM & Rendered | Optional |
| presentationUID | Rendered | Optional |
| presentationSeriesUID | Rendered | Optional |
| transferSyntax | | |
| frameNumber | DICOM & Rendered | Optional |

For the WS "DICOM Requester" transaction, the parameters will be the following:

<p>| Table HHH.1-2. Summary of &quot;DICOM Requester&quot; WADO-WS Parameters |
| --- | --- | --- |
| Parameter | Requirement in Request | Multiplicity |
| StudyRequest | Required | One |
| &gt;SeriesRequest | Required | One or more |
| &gt;&gt;DocumentRequest | Required | One or more |
| &gt;&gt;&gt;RepositoryUniqueId | Optional | One |
| &gt;&gt;&gt;DocumentUniqueId | Required | One |</p>
<table>
<thead>
<tr>
<th>Parameter</th>
<th>Requirement in Request</th>
<th>Multiplicity</th>
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</thead>
<tbody>
<tr>
<td>&gt;&gt;&gt;HomeCommunityId</td>
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<td>&gt;&gt;&gt;FrameNumber</td>
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**Table HHH.1-3. Summary of "Rendered Requester" WADO-WS Parameters**

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<th>Parameter</th>
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**Table HHH.1-4. Summary of "Metadata Requester" WADO-WS Parameters**

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<td>Optional</td>
<td>One</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;Anonymize</td>
<td>Optional</td>
<td>One</td>
</tr>
<tr>
<td>&gt;&gt;&gt;&gt;XPath</td>
<td>Required</td>
<td>One</td>
</tr>
</tbody>
</table>
HHH.1.2 Response Parameters

HHH.1.2.1 URI WADO-URI

In the URI based WADO, the response is the single payload returned in the HTTP Get response. It may be the DICOM object in a DICOM format or in a rendered format.

HHH.1.2.2 WADO-WS

In the Web Services implementation, for the "DICOM Requester" and the "Rendered Requester" transactions, one or more DICOM objects are returned using the MTOM/XOP mechanism as well as associated metadata.

For the "Metadata Requester" transaction, the response will contain the an XML encoded part containing the information selected from the retrieved objects header using the "XPath" filter as described in the Native DICOM Model defined in PS3.19.

HHH.1.2.3 WADO-RS

The WADO-RS Service is a transport service, as opposed to a rendering service, which provides resources that enable machine to machine transfers of binary instances, pixel data, bulk data, and metadata. These services are not primarily intended to be directly displayable in a browser.

In the REST Services implementation:

- For the "DICOM Requester", one or more multipart/related parts are returned containing PS3.10 binary DICOM instances of a Study, Series, or a single Instance.
- For the "Frame Pixel Data Requester", one or more multipart/related parts are returned containing the pixel data of a multi-frame SOP Instance.
- For the "Bulk Data Requester", one or more multipart/related parts are returned containing the bulk data of a Study, Series or SOP Instance.
- For the "Metadata Requester", an item is returned containing the XML encoded metadata selected from the retrieved objects header as described in the Native DICOM Model defined in PS3.19.

HHH.1.2.4 STOW-RS

The STOW-RS Service provides the ability to STore Over the Web using RESTful Services (i.e., HTTP/1.1 based functionality equivalent to C-Store).

- For the "DICOM Creator", one or more multipart/related parts are stored (posted to a STOW-RS Service) containing one or more DICOM Composite SOP Instances.
- For the "Metadata and Bulk Data Creator", one or more multipart/related parts are stored (posted to a STOW-RS Service) containing the XML encoded metadata defined in PS3.19 and one or more parts containing the bulk data of a Study, Series or SOP Instance.

HHH.2 Web and Rest Services Implementation

The implementation architecture has to maximize interoperability, preserve or improve performance and minimize storage overhead.

The Web and REST Services technologies have been selected to:

a. be firewall friendly and supporting security,

b. be supported by and interoperable between multiple development environments, and

c. have sufficient performance for both large and small text and for binary data.

The XML implementation of the messages uses the CamelCase parameter style used in SOAP 1.2 (element names starting with an upper case character, e.g., ElementOne, attribute names starting with a lower case character e.g., attributeOne).
The WADO-WS response will be provided as list of instances in MTOM/XOP ("DICOM" or "Rendered" Requesters), XML encoded additional information resulting from the XPath filters applied on every objects selected ("Information Requester")

The WADO-RS response will be provided as a list of XML and/or binary instances in a multipart/related response. The type of response depends on the media types listed in the Accept header.

The STOW-RS response is a standard HTTP/1.1 status line and possibly an XML response message body. The meaning of the success, warning, or failure statuses are defined in PS3.18.

**HHH.3 Uses For WADO-WS, WADO-RS and STOW-RS Services**

**HHH.3.1 General Requirements**

Imaging information is important in the context of EMR/EHR. But EMR/EHR systems often do not support the DICOM protocol. The EMR/EHR vendors need access using web and web service technologies to satisfy their users.

**HHH.3.2 Analysis of Use Cases**

Examples of use cases / clinical scenarios, as the basis to develop the requirements, include:

- Providing access to images and reports from a point-of-service application e.g., EMR.
- Following references to significant images used to create an imaging report and displaying those images.
- Following references / links to relevant images and imaging reports in email correspondence or clinical reports e.g., clinical summary.
- Providing access to anonymized DICOM images and reports for clinical research and teaching purposes.
- Providing access to a DICOM encoded imaging report associated with the DICOM IE (patient/study/series/objects) to support remote diagnostic workflows e.g., urgent medical incidents, remote consultation, clinical training, teleradiology/telemedicine applications.
- Providing access to summary or selected information from DICOM objects.
- Providing access to complete studies for caching, viewing, or image processing.
- Storing DICOM SOP Instances using HTTP over a Network from PACS to PACS, from PACS to VNA, from VNA to VNA, from clinical application to PACS, or any other DICOM SCP.
- Web clients, including mobile ones, retrieving XML and bulk data from a WADO-RS Service and adding new instances to a study.

Examples of the use cases described in 1 above are:

a. The EMR displays in JPEG one image with annotations on it (patient and/or technique related), based upon information provided in a report.

b. The EMR retrieves from a "Manifest" document all the referenced objects in DICOM and launches a DICOM viewer for displaying them (use case addressed by the IHE XDS-I.b profile).

c. The EMR displays in JPEG one image per series with information describing every series (e.g., series description).

d. The EMR displays in JPEG all the images of a series with information describing the series as well as every image (e.g., instance number and slice location for scanner images).

e. The EMR populates in its database for all the instances referred in a manifest (KOS) the relevant information (study ID/UID/AccessionNumber/Description/DateTime, series UID/Modality/Description/DateTime, instance UID/InstanceNumber/SliceLocation).

f. The EMR displays patient demographics and image slices in a browser by accessing studies through URLs that are cached and rendered in a remote data center.

g. A hospital transfers a DICOM Study over a network to another healthcare provider without needing special ports opened in either firewall.
h. A diagnostic visualization client, during post-processing, adds a series of Instances containing measurements, annotations, or reports.

i. A healthcare provider transfers a DICOM Study to a Patient Health Record (PHR) at the request of the patient.

As an example, the 1c use case is decomposed in the following steps (all the other use cases can be implemented through a similar sequence of basic transactions):

A. The EMR sends to the DICOM server the list of the objects ("selection"), asking for the object content.

B. The DICOM server sends back the JPEG images corresponding to the listed objects.

C. The EMR sends to the DICOM server the "selection" information for obtaining the relevant information about the objects retrieved.

D. The DICOM server sends back the corresponding information in the form of a "metadata" document, converted in XML.

**HHH.3.3 Description of The Use Cases**

The use cases described above in terms of clinical scenarios correspond to the following technical implementation scenarios. In each case the use is distinguished by the capabilities of the requesting system:

- Does it prefer the URI based requests, or the web-services based requests.
- Does it have the ability to decode and utilize the DICOM PS3.10 format or not.
- Does it need the metadata describing the image and its acquisition, and/or does it need an image to be displayed.

These then become the following technical use cases.

**HHH.3.3.1 URI Based WADO Use Case**

A. The requesting system is Web Browser or other application that can make simple HTTP/HTTPS requests,

B. Reference information is provided as URL or similar information that can be easily converted into a URL.

C. The request specifies:
   1. Individual SOP Instance
   2. Desired format and subset selection for information to be returned

D. The Response provides
   1. SOP instance, reformatted and subset as requested. This may be encoded as a DICOM PS3.10 instance, or rendered into a generic image format such as JPEG.

**HHH.3.3.2 DICOM (Encoded Content) Requester**

A. The requesting system is an application capable of making Web Service requests and able to process data encoded as a DICOM File, per DICOM PS3.10 encodings.

B. Reference information may come in a wide variety of forms. It is expected to include at least the Study UID, Series UID, and Individual SOP instance information. This may be encoded as part of an HL7 reference within a CDA document, a DICOM SOP Instance reference, or other formats.

C. The request specifies
   1. Requested Data set
      a. Study UID
      b. List of Series UID
      c. List of SOP Instance UIDs
2. Optionally, it may also specify subset information
   a. Instance and Frame Level Retrieve SOP classes subset information for selecting frames
   b. No-pixel data request (using the Transfer Syntax parameter)
   c. Anonymization

D. The response provides
   1. SOP Instances, encoded per DICOM PS3.10.

**HHH.3.3.3 Rendered (JPEG/PDF) Requester**

A. The requesting system: application capable of making Web Service requests. System is not capable of decoding DICOM PS3.10 formats. The system is capable of processing images in JPEG or other more generic formats.

B. Reference information may come in a wide variety of forms. It is expected to include at least the Study UID, Series UID, and Individual SOP instance information. This may be encoded as part of an HL7 reference within a CDA document, a DICOM SOP Instance reference, or other formats.

C. Request information
   1. Requested Data set
      a. Study UID
      b. List of Series UID
      c. List of SOP Instance UIDs
   2. Desired format and subset information
      a. JPEG/PDF/etc. selection, subset area, presentation information
      b. Frame selection for subsets of multi-frame objects
      c. What should be done for requests where image shapes and SOP classes vary and a subset is requested?
      d. Anonymize or not.

D. Response information
   1. JPEGs
      a. Should JPEGs include tag information within the JPEG? If so, what information?
      b. How will JPEGs be related to multi-frame and multi-instance requests? Order? Tag?
   2. PDFs
      a. How will PDFs be related to multi-frame and multi-instance requests? One per frame? One per instance? One for entire set?
   3. Other encodings?

**HHH.3.3.4 Metadata (XML Without Pixel Data, Waveform Data, etc.) Requester**

A. The requesting system: application capable of making Web Service requests. The requesting System is not capable of decoding DICOM PS3.10 formats. The system is capable of processing metadata that describes the image, provided that the metadata is encoded in an XML format. The system can be programmed based upon the DICOM definitions for XML encoding and attribute meanings.
B. Reference information may come in a wide variety of forms. It is expected to include at least the Study UID, Series UID, and Individual SOP instance information. This may be encoded as part of an HL7 reference within a CDA document, a DICOM SOP Instance reference, or other formats.

C. Request information

1. Requested Data set
   a. Study UID
   b. List of Series UID
   c. List of SOP Instance UIDs

2. Desired format and subset information
   a. XPath definition for subset or total metadata selection
   b. What should be done when SOP classes vary and a subset is requested? The XPath will fail.
   c. Frame selection for subsets of multi-frame objects
   d. Anonymize or not.
   e. Response information

D. Response information

1. XML encoded metadata.

HHH.3.3.5 DICOM Requester

A. The requesting system is an application capable of making HTTP Service requests and able to process data encoded as a DICOM File, per DICOM PS3.10 encodings.

B. Requesting information for DICOM Instances may come from a wide variety of forms. It is expected to include at least the Study UID. This may be encoded as part of an HL7 reference within a CDA document, a DICOM SOP Instance reference, or other formats.

C. The request specifies

1. Requested Data set
   a. Study UID

2. Optionally, it may also specify subset information
   a. Series UID
   b. SOP Instance UID

D. The response provides

1. SOP Instances, encoded per DICOM PS3.10.

HHH.3.3.6 Frame Pixel Data Requester

A. The requesting system is an application capable of making HTTP requests and able to process pixel data.

B. Requesting information for pixel data may come in a wide variety of forms. It is expected to include at least the Study UID, Series UID, Individual SOP Instance, and Frame List information. This may be encoded as part of an HL7 reference within a CDA document, a DICOM SOP Instance reference, or other formats.

C. The request specifies
1. Requested Data set
   a. Study UID
   b. Series UID
   c. SOP Instance UID
   d. Frame List comprised of one or more frame numbers

D. The response provides pixel data

**HHH.3.3.7 Bulk Data Requester**

A. The requesting system is an application capable of making HTTP requests and able to process bulk data.

B. Requesting information for bulk data may come in a wide variety of forms. It is expected to include the Bulk Data URL as provided by the RetrieveMetadata resource. This may be encoded as part of an HL7 reference within a CDA document, a DICOM SOP Instance reference, or other formats.

C. The request specifies
   1. Requested Data set
      a. Bulk Data URL

D. The response provides bulk data

**HHH.3.3.8 Metadata Requester**

A. The requesting system is an application capable of making HTTP requests and able to process data encoded as a XML, per DICOM PS3.19 encodings.

B. The Study UID may be obtained as part of an HL7 reference within a CDA document, a DICOM SOP Instance reference, or other formats.

C. Request information
   1. Requested Data set
      a. Study UID

D. The response provides full study metadata encoded in XML, encoded per DICOM PS3.19.

**HHH.3.3.9 DICOM Creator**

A. The requesting system is an application capable of making HTTP/1.1 Service requests and able to process data encoded as PS3.10 binary instances.

B. The request specifies
   1. The STOW-RS Service to store POST requests.
   2. Optionally, it may also specify Study Instance UID indicating all POST requests are for the indicated study.
   3. SOP Instances, per DICOM PS3.10 encoding.

C. The response is a standard HTTP/1.1 status line and an XML response message body. The meaning of the success, warning, or failure statuses are defined in PS3.18.
HHH.3.3.10 Metadata and Bulk Data Creator

A. The requesting system is an application capable of making HTTP/1.1 requests and able to process data encoded as PS3.19 XML metadata.

B. The request specifies
   1. The STOW-RS Service to store POST requests.
   2. Optionally, it may also specify Study Instance UID indicating all POST requests are for the indicated study.
   3. XML metadata, per DICOM PS3.19 encodings, and bulk data.

C. The response is a standard HTTP/1.1 status line and an XML response message body. The meaning of the success, warning, or failure statuses are defined in PS3.18.

HHH.4 Uses For QIDO Services

HHH.4.1 General Requirements

Imaging information is important in the context of EMR/EHR. But EMR/EHR systems often do not support DICOM service classes. The EMR/EHR vendors need access using web and web service technologies to satisfy their users.

HHH.4.2 Analysis of Use Cases

Examples of use cases / clinical scenarios, used as the basis for the development of the QIDO-RS requirements, include:

a. Search from EMR
b. Populating FHIR resources
c. Worklist in Viewer
d. Study Import Duplication Check
e. Multiple System Query
f. Clinical Reconstruction
g. Mobile Device Access

HHH.4.2.1 Search From EMR

A General Practitioner (GP) in a clinic would like to check for imaging studies for the current patient. These studies are stored in a PACS, Vendor Neutral Archive (VNA) or HIE that supports QIDO functionality. The GP launches an Electronic Medical Record (EMR) application, and keys in the patient demographics to search for the patient record within the EMR. Once the record is open, the EMR, using QIDO, makes requests to the back-end systems, supplying Patient ID (including issuer) and possibly other parameters (date of birth, date range, modality, etc.). That system returns the available studies along with meta-data for each study that will help the GP select the study to open. The meta-data would include, but is not limited to, Study Description, Study Date, Modality, and Referring Physician.

HHH.4.2.2 Populating FHIR Resources

HL7 has introduced FHIR (Fast Healthcare Interoperability Resources) as a means of providing access to healthcare informatics information using RESTful web services.

While FHIR will not replicate the information contained in a PACS or other medical imaging storage system, it is desirable for FHIR to present a view of the medical imaging studies available for a particular patient along with the means of retrieving the imaging data using other RESTful services.
HHH.4.2.3 Worklist in Viewer

A Radiologist, is reading studies in the office, using software that maintains diagnostic orders for the facility. This system produces the radiology worklist of studies to be read and provides meta-data about each scheduled procedure, including the Study Instance UID. When the next study is selected to be read on the worklist, the system, using the Study Instance UID, makes a QIDO request to the local archive to discover the instances and relevant study meta-data associated with the procedure to display. Subsequent QIDO requests are made to the local archive and to connected VNA archives to discover candidate relevant prior studies for that patient.

For each candidate relevant prior, the full study metadata will be retrieved using WADO-RS and processed to generate the list of relevant priors.

HHH.4.2.4 Multiple Systems Query

A Radiologist is working in a satellite clinic, which has a system with QIDO functionality and small image cache. The main hospital with which the clinic is affiliated has a system with QIDO functionality and a large historical image archive or VNA. The viewing software displays a worklist of patients, and a study is selected for viewing. The viewer checks for prior studies, by making QIDO requests to both the local cache and remote archive using the Patient ID, Name and Date of Birth, if available. If the Patient Identifier isn’t available, other means (such as by other demographics, or a Master Patient Index) could be utilized. Any studies that meet relevant prior criteria can be pre-fetched.

HHH.4.2.5 Clinical Reconstruction

A Neurologist is preparing a surgical plan for a patient with a brain tumor using three-dimensional reconstruction software, which takes CT images and builds a 3D model of various structures. After supplying the patient demographics (or Patient Identifier), the software requests a list of appropriate studies for reconstruction (based on Study Date, Body Region and Modality). Once the user has selected a study and series, the software contacts the QIDO server again, requesting the SOP Instance UIDs of all images of a certain thickness (specified in specific DICOM tags) and frame of reference to be returned. The software then uses this information to retrieve, using the WADO-RS service, the appropriate DICOM objects needed to prepare the rendered volume for display.

HHH.4.2.6 Mobile Device Access

A General Practitioner (GP) has left the medical ward for a few hours, and is paged with a request to look at a patient X-Ray image in order to grant a discharge. The GP carries a smart phone that has been pre-loaded with credentials and secured. The device makes a QIDO request to the server, to look for studies from the last hour that list the GP as the Referring Physician. The GP is able to retrieve and view the matching studies, and can make a determination whether to return to the ward for further review or to sign the discharge order using the phone.

HHH.4.3 Description of The Use Cases

The use cases described above in terms of clinical scenarios correspond to the following technical implementation scenarios. In each case the use is distinguished by the capabilities of the requesting system:

a. Does it prefer XML or JSON results?

b. Does it need to perform searches at the Series and Instance level or can it process the full Study metadata?

c. What attributes does it need to search against?

d. What attributes does it need for each matching Study, Series or Composite Instance?

These questions can be applied to the use cases:

a. Search from EMR
   1. JSON or XML
   2. Study
   3. Study Instance UID, Patient ID
4. Accession Number, Issuer of Accession Number, Study Description, Study Date, Modality, Number of Series, Number of Instances

b. Populating FHIR resources
   1. JSON or XML
   2. Study, Series and Instance
   3. Patient ID and Issuer of Patient ID
   4. All attributes required by the FHIR Imaging Study Resource (see http://www.hl7.org/implement/standards/fhir/imagingstudy.htm)

c. Worklist in Viewer
   1. JSON or XML
   2. Study
   3. Study Instance UID, Patient ID, Issuer of Patient ID
   4. Series Instance UIDs, SOP Instance UIDs, patient demographics, Study Description, Study Date, Modality, Referring Physician

d. Study Import Duplication Check
   1. JSON or XML
   2. Study
   3. Study Instance UID, Series Instance UID, SOP Instance UID
   4. Study Instance UID

e. Multiple System Query
   1. JSON or XML
   2. Study
   3. Patient ID, Issuer of Patient ID, Patient Name, Patient Date of Birth
   4. Study Instance UID, Accession Number, Study Description, Study Date, Modalities in Study

f. Clinical Reconstruction
   1. JSON or XML
   2. Study, Series, Instance
   3. Study Instance UID, Series Instance UID
   4. SOP Instance UID, Image Instance Level Attributes

g. Mobile Device Access
   1. JSON
   2. Study, Series and Instance
   3. Patient ID, Issuer of Patient ID, Patient Name, Patient Date of Birth, Study Date, Referring Physician
   4. Instance Date/time, Modalities in Study

These then become the following technical use cases.
HHH.4.3.1 XML Study Search Use Case

A. The requesting web-based application can make QIDO-RS requests, parse XML and then make WADO-RS requests

B. The request specifies:
   1. Multipart XML
   2. Search parameters, including:
      a. Patient ID
      b. Issuer of Patient ID
      c. Patient Name
      d. Study Description
      e. Study Date
      f. Modalities in Study
      g. Referring Physician
      h. etc.

C. The Response provides
   1. One PS3.19 XML NativeDicomModel element for each matching Study
   2. All requested DICOM attributes for each matching Study
   3. WADO-RS Retrieve URL for each matching Study

D. The requesting system identifies the Studies of interest and uses WADO-RS to retrieve data

HHH.4.3.2 XML Study, Series and Instance Search Use Case

A. The requesting system is a simple web-based application that can make QIDO-RS requests and parse XML and then make WADO URL requests

B. The request specifies:
   1. Multipart XML
   2. Search parameters, including:
      a. Patient ID
      b. Issuer of Patient ID
      c. Patient Name
      d. Patient Date of Birth
      e. Study Description
      f. Study Date
      g. Modalities in Study
      h. Referring Physician

C. The Response provides
1. One PS3.19 XML NativeDicomModel element for each matching Study

2. All requested DICOM attributes for each matching Study

D. The requesting system identifies the Study of interest and uses Search For Series to identify a series of interest

E. [repeat B-D for Series, Instance]

F. The requesting system uses WADO URL to retrieve specific instances

**HHH.4.3.3 JSON Use Case**

A. The requesting system is a mobile application that can make QIDO-RS requests, parse JSON and then make WADO URL requests.

B. The request specifies:

1. JSON

2. Search parameters, including:
   a. Patient ID
   b. Issuer of Patient ID
   c. Patient Name
   d. Patient Date of Birth
   e. Study Description
   f. Study Date
   g. Modalities in Study
   h. Referring Physician

C. The Response provides

1. One DICOM JSON element containing all matching Studies

2. All requested DICOM attributes for each matching Study

D. The requesting system identifies the Study of interest and uses Search For Series to identify a series of interest

E. [repeat B-D for Series, Instance]

F. The requesting system uses WADO URL to retrieve specific instances

**HHH.5 IHE ITI Compatibility**

There is a strong desire that the ITI Transaction RAD-69 be a proper implementation of the DICOM WS-* transaction. Note that RAD-69 is not the entire suite of XD* transactions. It is the "Retrieve Imaging Document Set" transaction.

The RAD-69 transaction is quite simple, can be difficult to find all the parts of the ITI documentation. In summary, the RAD-69 transaction is a WS request to the IHE "RequestDocumentSet" action and related endpoints. The request is a list of "DocumentRequest", each "DocumentRequest" has three elements: required OID, required RepositoryID, and optional CommunityID. The response is a list of "DocumentResponse". Each "DocumentResponse" has four elements: required OID, required RepositoryID, required Document, and optional CommunityID.

The mapping to DICOM for OID would be SOP Instance UID, and Document the DICOM contents. RepositoryID is analogous to the AE Title. It is not a perfect mapping. IHE considers the configuration where one system acts as a front end for multiple other systems, each identified by a RepositoryID. The CommunityID is an extension of this to "communities" that exchange data through gateways. The gateways will use the RepositoryID to identify internal repository systems.
RAD-69 requires no understanding of document contents. They are binary blobs that are identified by an OID.

**HHH.6 Proxy Agent For Non-WS DICOM Archive**

Rapid acceptance will be enhanced if a proxy system that automatically converts between the WS notation and the older DICOM C-FIND/etc transaction can be defined; and if this conversion can be simple. Proxy systems can also simplify security configuration.

**HHH.7 Uses for Server Options Services**

Clients would like to be able to discover a list of devices that support DICOM RESTful services and query a DICOM RESTful service to determine which options are supported, such as:

- Supported services and transactions
- Supported Transfer Syntaxes and Media Types
- Supported Accept header values
- Supported query parameters

**HHH.7.1 WADL Example (XML)**

The following WADL XML example contains all the required elements for an origin-server that supports WADO-RS, QIDO-RS and STOW-RS with all required services and parameters.

```xml
<application xsi:schemaLocation="http://wadl.dev.java.net/2009/02 wadl.xsd"
   xmlns:xsd="http://www.w3.org/2001/XMLSchema"
   xmlns="http://wadl.dev.java.net/2009/02">
  <resources base="http://medical.examplehospital.org/dicomweb">
    <resource path="studies">
      <method name="GET" id="SearchForStudies">
        <request>
          <param name="Accept" style="header">
            <default value="multipart/related; type=application/dicom+xml"/>
          </param>
          <param name="Cache-control" style="header">
            <option value="no-cache"/>
          </param>
          <param name="limit" style="query"/>
          <param name="offset" style="query"/>
          <param name="StudyDate" style="query"/>
          <param name="00080020" style="query"/>
          <param name="StudyTime" style="query"/>
          <param name="00080030" style="query"/>
          <param name="AccessionNumber" style="query"/>
          <param name="00080050" style="query"/>
          <param name="ModalitiesInStudy" style="query"/>
          <param name="00080061" style="query"/>
          <param name="ReferringPhysicianName" style="query"/>
          <param name="00080090" style="query"/>
          <param name="PatientName" style="query"/>
          <param name="00100010" style="query"/>
          <param name="PatientID" style="query"/>
          <param name="00100020" style="query"/>
          <param name="StudyInstanceUID" style="query"/>
          <param name="0020000D" style="query"/>
          <param name="StudyID" style="query"/>
        </request>
      </method>
    </resource>
  </resources>
</application>
```
<response status="200">
<param name="Warning" style="header"
    fixed="299 (SERVICE): The fuzzymatching parameter is not supported.
    Only literal matching has been performed." />
<representation mediaType="multipart/related; type=application/dicom+xml" />
<representation mediaType="application/json" />
</response>
</method>

<method name="POST" id="StoreInstances">
<request>
<param name="Accept" style="header" default="application/dicom+xml">
<option value="application/dicom+xml" />
</param>
<representation mediaType="multipart/related; type=application/dicom" />
<representation mediaType="multipart/related; type=application/dicom;
    transfer-syntax=1.2.840.10008.1.2.1" />
<representation mediaType="multipart/related; type=application/dicom+xml" />
</request>
</method>

<method name="POST" id="StoreStudyInstances">
<request>
<param name="Accept" style="header" default="application/dicom+xml">
<option value="application/dicom+xml" />
</param>
<representation mediaType="multipart/related; type=application/dicom" />
<representation mediaType="multipart/related; type=application/dicom;
    transfer-syntax=1.2.840.10008.1.2.1" />
<representation mediaType="multipart/related; type=application/dicom+xml" />
</request>
<br />
</response>
</method>
<resource path="series">
<method name="GET" id="SearchForStudySeries">
$request>
@param name="Accept" style="header"
   default="multipart/related; type=application/dicom+xml">
   <option value="multipart/related; type=application/dicom+xml"/>
   <option value="application/json"/>
</param>
@param name="Cache-control" style="header">
   <option value="no-cache"/>
</param>
@param name="limit" style="query"/>
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- Standard -
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III Ophthalmic Thickness Map Use Cases (Informative)

III.1 Introduction

Several ophthalmic devices produce thickness and/or height measurements of certain anatomical features of the posterior eye (e.g., optic nerve head topography, retinal thickness map, etc.). The measurements are mapped topographically as monochromatic images with pseudo color maps, and used extensively for diagnostic purposes by clinicians.

III.2 Macular Retinal Thickness Example

Quantitative ophthalmic OCT image analysis provides essential thickness measurement data of the retina. In the clinical practice two thickness parameters are commonly used: total retinal thickness (TR) in macular region and retinal nerve fiber layer thickness (RNFL) in optic nerve head (ONH) region. TR is widely applied to assess various retinal pathologies involving macula (e.g., cystoid macular edema, age-related macular degeneration, macular hole, etc.). The RNFL thickness measurement is most commonly used for glaucoma assessment.

Figure III.2-1 is an example of 2D TR map computed on a 3D OCT cube data from a healthy eye. The color bar on the left provides a color-to-thickness representation to allow interpretation of the false color coded 2D thickness map in the middle. The image on the right shows one OCT frame representing a retinal cross section along the red line (across the middle of the thickness map). TR is defined as the thickness between internal limiting membrane (white line on the OCT frame on the right) and RPE/Choroid interface (blue line on the OCT frame). These two borders are automatically detected using a segmentation algorithm applied to the entire 3D volume.

![Figure III.2-1. Macular Example Mapping](image)

III.3 RNFL Example

Figure III.3-1 is an example of a 2D RNFL map computed on a 3D OCT cube data from a healthy eye. The figure layout is the same as the previous example. The RNFL thickness is limited to the thickness of this single layer of the retina that is comprised of the ganglion cell axons that course to the optic nerve head and exit the eye as the optic nerve. Note that this image depicts a BMP mask in the center of the map where the optic nerve head (ONH) exists and no RNFL measurements can be obtained. In this example, the mask is displayed as a black area, which does not contain any thickness information (not zero micron thickness). Since the color bar representation is not relevant at the ONH, common practice is to mask it to avoid confusion or misinterpretation due to meaningless thickness data in this area.
III.4 Diabetic Macular Edema Example

A 48 year old Navajo male with diabetes, decreased visual acuity and fundoscopic stigmata of diabetic retinopathy receives several tests to assess his likelihood of macular edema. Optical coherence tomography (OPT) is performed to assess the thickness of the retina in the macular area. This is performed with retinal thickness depicted by ophthalmic mapping. The results is an Ophthalmic Thickness Map SOP instance with the Ophthalmic Thickness Mapping Type Code Sequence (0022,1436) set to "Absolute Ophthalmic Thickness" and the Measurements Units Code Sequence (0040,08EA) in the Real World Value Mapping Macro, set to "micrometer". The OPT image is also referenced in attribute Referenced Instance Sequence (0008,114A).

Since the thickness of the macula varies normally based upon a number of dependencies such as age, gender, race, etc. Interpretation of the retinal thickness in any given patient may be done in the context of normative data that accounts for these variables. The thickness data used to generate the thickness map is analyzed using a manufacturer specific algorithm for comparison to normative data relevant to this specific patient. The results of this analysis is depicted on a second thickness "map" (second SOP Instance) showing each pixel’s variation from normal in terms of confidence that the variation is real and not due to chance. Specific confidence levels are then depicted by arbitrary color mapping registered to the fundus photograph. This is typically noted as the percent probability that the variation is abnormal e.g., p >5%, p <5%, p <1% etc. The results is an Ophthalmic Thickness Map SOP instance with the
III.5 Glaucoma Example

A patient was presented with normal visual acuity OU (both eyes), intraocular pressures (IOP) of 18 mm Hg OU (both eyes), and 0.7 C/D OD (right eye) and 0.6 C/D ratio OS (left eye). Corneal pachymetry showed slight thinning in both eyes at 523µ OD (right eye) and 530µ OS (left eye). Static threshold perimetry testing showed nonspecific defects OU (both eyes) and was unreliable due to multiple fixation losses. Confocal scanning laser ophthalmoscopy produced OPM topographic representations of both optic nerves suggestive of glaucoma. The contouring of the optic nerve head (ONH) in the left eye showed a slightly enlarged cup with diffuse thinning of the superior rim. In the right eye, there was greater enlargement of the cup and sloping of the cup superior-temporally with a clear notch of the neural rim at the 12:30 position. Corneal compensated scanning laser polarimetry was performed bilaterally. Analysis of the OPM representation of the retinal nerve fiber layer (RNFL) thickness map showed moderate retinal nerve fiber loss with accentuation at the superior pole bilaterally. The patient was diagnosed with normal tension glaucoma and started on a glaucoma medication. Follow-up examinations showed stable reduction in his IOP to 11 mm Hg OU (both eyes) and no further progression of his ONH or RNFL defects.

III.6 Retinal Thickness Definition

Using OCT technology, there are typically 2 major highly reflective bands generally visible; inner and outer highly reflective bands (IHRB and OHRB).

The inner band corresponds to the inner portion of the retina, which consists of ILM (internal limiting membrane), RNFL (retinal nerve fiber layer), GCL (ganglion cell layer), IPL (inner plexiform layer), INL (inner nuclear layer), and OPL (outer plexiform layer). In terms of the reflectivity, they present a high-low-high-low-high pattern, in general. Presumably RNFL, IPL, and OPL are the highly reflective layers and GCL and INL are of low reflectivity. ILM itself may or may not be visible in OCT images (depending on the scanning beam incidence angle), but for convenience it is used to label the vitreo-retinal interface.

The outer band is considered as the RPE (retinal pigment epithelium) /Choroid complex that consist of portion of photoreceptor, RPE, Bruch's membrane, and portion of choroid. Within the RPE/Choroid complex, there are 3 highly reflective interfaces identifiable, presumably corresponding to IS/OS (photoreceptor inner/out segment junction), RPE, and Bruch's membrane.
Clinically 3 retinal thickness measurements are generally acknowledged and utilized; RNFL thickness, GCC (ganglion cell complex) thickness, and total retinal thickness.

RNFL thickness is defined as the distance between ILM and outer interface of the inner most highly reflective layer presumably RNFL.

GCC thickness is defined as the distance between ILM and the outer interface of the second inner highly reflective layer presumably the outer border of inner plexiform layer (IPL).

Total retinal thickness definition varies among OCT manufacturers. The classic definition is the distance between ILM and the first highly reflective interface (presumably IS-OS) in the OHRB (Total retinal thickness (ILM to IS-OS) ). A second definition is the distance between ILM and the second highly reflective interface (presumably RPE) in the OHRB (Total retinal thickness (ILM to RPE) ). A third definition is the distance between ILM and the third highly reflective interface (presumably Bruch's membrane) in the OHRB (Total retinal thickness (ILM to BM) ).

![Figure III.6-1. Observable Layer Structures](image)

**Figure III.6-1. Observable Layer Structures**

**III.7 Thickness Calculations Between Various Devices**

When interpreting quantitative data obtained from imaging devices, comparing may be an issue. Using different devices manufactured by different companies usually ends up with non-comparable measurements because they use different optics and different algorithms to make measurements.

Currently there are multiple SD-OCT devices independently manufactured, and data comparability has become problematic. When patients change doctors or otherwise receive care from more than one provider, previously acquired data may occur on different devices and become almost useless simply because the present doctor has no access to the same device. Another problem occurs with longitudinal assessments on the same device after it has undergone upgrade to a newer generation. In this case new baseline measurements must be obtained due to incomparability of the data (this happens even for the same make different generation devices). Attempts to normalize the measurements have been unsuccessful.

The manufacturer, model, serial number, and software version information are available in the Equipment Module, and is very important for considering the significant importance of the information to the quantitative data between various SOP Instances.
JJJ Optical Surface Scan

JJJ.1 General Information

When supporting textures within one acquisition process, multiple series are generated. There is one Series containing the Surfaces and another containing the textures. References are used to link Instances in different series together.

Figure JJJ.1-1. Optical Surface Scan Relationships

JJJ.2 One Single Shot Without Texture Acquisition As Point Cloud

Use cases: A single surface record of a patient is made, for example teeth, nose, or breast. If third party software does the post-processing only the point cloud needs to be stored.

The Surface Scan Point Cloud instance will be used because a point cloud is stored. A study with a single series is created.

Figure JJJ.2-1. One Single Shot Without Texture Acquisition As Point Cloud

JJJ.3 One Single Shot With Texture Acquisition As Mesh

Use cases: A scanner device providing triangulated objects with textures, e.g., for documentation of burns or virtual autopsy.

The Surface Scan Mesh instance will be used because a triangulated object is stored. A study with two series will be created. One series contains a Surface Mesh instance and the other series a VL Photographic Image instance. The latter stores the texture, which is mapped on the surface mesh and is linked to the Surface Scan Mesh instance via the UV Mapping Sequence (0080,0008).
**JJJ.4 Storing Modified Point Cloud With Texture As Mesh**

Use cases: The surface of a textured object has been modified, for example artifacts have been manually removed after the study or surgery. The new result is stored.

In the study of the origin Surface Scan Point Cloud instance a Surface Scan Mesh instance is created in its own series containing the modified mesh. The Referenced Surface Data Sequence (0080,0013) will be used to reference the original instance. The mesh as well as the point cloud points to the texture using the Referenced Surface Data Sequence (0080,0012).

**JJJ.5 Multishot Without Texture As Point Clouds and Merged Mesh**

Use-case: Objects, which need to be scanned from multiple points of view, such as the nose.

After the acquired point clouds have been merged by a post-processing software application, the calculated surface mesh is stored in the same study in a new series. The Referenced Surface Data Sequence (0080,0013) points to all origin Surface Scan Point Cloud instances that have been used for reconstruction. The Registration Method Code Sequence (0080,0003) is used to indicate that multiple point clouds have been merged.

**JJJ.6 Multishot With Two Texture Per Point Cloud**

Use-case: In the application field of dental procedures some products support switching between two different textures for the same surface.

In this case a number of VL Photographic Image instances are stored in the same series.
The UV Mapping Sequence (0080,0008) is used to associate the VL Photographic Image instances with the Surface Scan Point Cloud instance. The Texture Label (0080,0009) is used to identify the textures of one point cloud.

**Figure JJJ.6-1. Multishot With Two Texture Per Point Cloud**

**JJJ.7 Using Colored Vertices Instead of Texture**

Use-case: A single surface record of a patient is made, for example teeth, nose, or breast. If third party software does the post-processing only the point cloud needs to be stored. Gray or color values can be assigned to each point in the point cloud.

The point cloud is stored in a Surface Scan Point Cloud instance. A study with a single series is created. One or both of the attributes Surface Point Presentation Value Data (0080,0006), or Surface Point Color CIELab Value Data (0080,0007) may be used to assign gray or color values to each point in the point cloud.

**Figure JJJ.7-1. Using Colored Vertices Instead of Texture**

**JJJ.8 4D Surface Data Analysis**

Use-case: To replay a sequence of multiple 3D shots of different facial expressions of a patient before facial surgeries such as facial transplantation.

A time stamp for each shot is stored in the Acquisition DateTime attribute (0008,002A).

**JJJ.9 Referencing A Texture From Another Series**

Use-case: A texture from another series must be applied to a point cloud.

The Referenced Instances And Access Macro is used within the Referenced Textures Sequence (0080,0012) to reference a VL Photographic Image instance from a different study.

**Figure JJJ.9-1. Referencing A Texture From Another Series**
KKK Use-cases For Conversion of Classic Single Frame Images to Legacy Converted Enhanced Multi-frame Images (Informative)

KKK.1 Introduction

Traditionally, images from cross-sectional modalities like CT, MR and PET have been stored with one reconstructed slice in a single frame instance. Large studies with a large number of slices potentially pose a problem for many existing implementations, both for efficient transfer from the central store to the user's desktop for viewing or analysis, and for bulk transfer between two stores (e.g., between a PACS and another archive or a regional image repository).

There are two primary issues:

• Transporting large numbers of slices as separate single instances (files) is potentially extremely inefficient due to the overhead associated with each transfer (such as C-STORE acknowledgment and database insertion).

• Replicating the Attributes describing the entire patient/study/series/acquisition in every separate single instance is also potentially extremely inefficient, and though the size of the this information is trivial by comparison with the bulk data, the effort to repeatedly parse it and sort out what it means as a whole on the receiving end is not trivial.

The Enhanced family of modality-specific multi-frame IODs is intended to address both these concerns, but there is a large installed base of older equipment that does not yet support these, both on the sending and receiving end, and a large archive of single frame instances.

An interim step, a legacy transition strategy for a mixed environment containing older and newer modalities, PACS and workstations, is described here. It is predicated on the ability to "convert" single frame instances into new "enhanced multi-frame instances".

KKK.2 Enhanced Legacy Converted Image Storage IODs

The Enhanced family of modality-specific multi-frame IODs contain many requirements that cannot be satisfied by the limited information typically available in the older single frame objects. A family of Multi-frame Secondary Capture IODs is available, but their use would mean that a recipient could not depend on the presence of important cross-sectional information like spacing, position and orientation. Accordingly, a new family of modality-specific Legacy Converted Enhanced Image Storage IODs has been defined that bridge the gap in conversion complexity and usability between these two extremes.

KKK.3 Heterogeneous Environment

Figure KKK-1 illustrates the approach to enabling a heterogeneous environment with conversion from single to multi-frame objects as appropriate. In this figure, modalities that generate single or enhanced images peacefully co-exist with PACS or workstations that support either or both.
Figure KKK-1. Heterogeneous environment with conversion between single and multi-frame objects

The following use-cases are explicitly supported:

• A PACS that accepts single frame images, and converts them to multi-frame images for its own internal use.
• A PACS that accepts single frame images, and converts them to multi-frame images for externalization via DICOM services (Query/Retrieval) so that they can be used by external workstations (or other processing applications) that support multi-frame images.
• A PACS that accepts multi-frame images from a modality, and converts them to single frame images for its own internal use.
• A PACS that accepts true and/or legacy converted enhanced multi-frame images, and converts them to single frame images for externalization via DICOM services (Query/Retrieval) so that they can be used by external workstations (or other processing applications) that do not support multi-frame images.
• A modality that can create true enhanced multi-frame images, as well as receive true (+/- legacy converted) enhanced multi-frame images.
• Return of results from workstations in either single frame or true or legacy converted enhanced multi-frame form.

The amount of standard information is the same in single frame and transitional legacy-converted multi-frame images, but greater in the true enhanced multi-frame images, and this affects the level of functionality obtainable within the PACS or with an external workstation (without depending on private information).

Since the transitional legacy-converted and true enhanced multi-frame images share a common structure and common functional group macros, this scalability can be implemented incrementally.

It is NOT the expectation that modalities will generate Legacy Converted Enhanced Image Storage SOP Instances; rather, they should create True Enhanced Image Storage SOP Instances fully populated with the appropriate standard attributes and codes.

KKK.4 Compatibility With Modality Association Negotiation

This strategy is compatible with an approach commonly implemented on acquisition modalities when deciding which SOP Class to use to encode images.

Normally a modality will propose in the Association that images be transferred using the SOP Class for which the IOD provides the richest set of information (i.e., the True Enhanced Image Storage SOP Class), and will choose the corresponding Abstract Syntax for C-STORE Operations if the Association Acceptor accepts multiple choices of SOP Class.
Consider a modality that supports the appropriate modality-specific Enhanced Image Storage SOP Class, but which is faced with the dilemma of a PACS that does not. In this case, it will commonly “fall back” to sending images the “old” way as single-frame SOP Class Instances, either because it has been pre-configured that way by service personnel, or because it discovers this limitation during Association Negotiation. This strategy is also common amongst modalities for which there are different choices of single frame SOP Class (e.g., DX versus CR versus Secondary Capture, for Digital X-Rays). In some cases, this may be implemented formally using the ability during Association Negotiation to specify a Related General SOP Class (Section B.4.2.1 “SCU Fall-Back Behavior” in PS3.4).

If the PACS is upgraded to include multi-frame conversion capability, and no change is made in the configuration of the modality, or in the SOP Classes accepted by the PACS, then in this scenario, the PACS can potentially convert the single-frame instances into Legacy Converted Enhanced instances. The net result is continuing sacrifice of information compared to what the modality is actually capable of.

A better choice, since the PACS is now capable of handling multi-frame images, is to also reconfigure it to also accept the “true” Enhanced Image rather than just “transitional” Legacy Converted Enhanced Storage SOP Classes. Since the two SOP Class families use the same structure and common important Functional Groups, in all likelihood the PACS will be able to use either class of objects, and in a future upgrade take advantage of the additional information in the superior object (perhaps for more complex processing or annotation or rendering). In any case, storing the modality’s best output in the archive will benefit future re-use as priors and may enable greater functionality in external workstations.

A special consideration is when prior images need to be displayed on the modality before starting a new study (perhaps to setup a comparable protocol or better understand the request). In this case, care needs to be taken with respect to which images are accessible to the modality (either pushed to it or retrieved by it), and the question of “round trip fidelity” of conversion arises.

**KKK.5 Query and Retrieval**

The coexistence (either actually or logically) of two different representations of the same information creates a potential challenge in that the user must not be presented with both sets simultaneously.

A naïve conversion that added converted images to the study without an ability to distinguish or “filter” them from view would not only be confusing but would potentially result in twice as much data to transfer.

Accordingly, the Query/Retrieve mechanism is extended with an optional extended negotiation capability to specify which “view” of the information is required by the SCU:

- A "classic" view, which includes either original (as received) classic single frame images or enhanced multi-frame images converted to single frame.
- An "enhanced" view, which includes either original (as received) enhanced multi-frame images, or classic single frame images converted to true or legacy converted enhanced multi-frame.

**KKK.6 Referential Integrity**

Often instances within a Study will cross-reference each other. For example, a Presentation State or a Structured Report or an RT Structure Set will reference the images to which they apply, cross-sectional images may reference localizer images, and images that were acquired with annotations may contain references to Presentation States encoding those annotations.

Accordingly, when there are multiple "views" of the same study content (classic or enhanced), the instances will have different SOP Instance and Series Instance UIDs for converted content in each view. Hence any references within an instance to a converted instance needs to be updated as well. In doing such an update of references to UIDs, instances that might not otherwise have needed to be converted do need to be converted, and so on, until the entire set of instances within the scope of the conversion for the view has referential integrity.

In practice, the only instances that do not need to be converted (and assigned new UIDs) are those that contain no references and are not classic or enhanced images to be converted.

Whether or not assignment of a converted instance to a new Series triggers the need to convert all instances in that Series to the new Series, even if they would not otherwise be converted, is not defined (i.e., it is neither required nor prohibited, and hence a Series can be "split" as a consequence of conversion).
The scope of referential integrity required is defined to be the Patient. Instances in one Study may be referenced from another (e.g., as prior images).

**KKK.7 Persistence and Determinism**

The rules for conversion specify that the SOP Instance and Series Instance UIDs of converted images be changed, and that the same UIDs be used each time that a query or retrieval is performed. The strict separation of the two "views" of the same information, coupled with the "determinism" that results in the same identification and organization of each view every time, are required for stability across successive operations.

Were this not to be the case, for example, the results of a query (C-FIND) might be different from the results of a subsequent retrieval (C-MOVE or C-GET), or for that matter, successive queries. Further, references to specific instance UID in either view may be recorded in external systems (e.g., in an EMR), hence it is important that these remain stable and accessible.

This places a burden on the Q/R SCP to either retain a record of the mapping of UIDs from one view to the other, or to use some deterministic process that results in the same UIDs (one could envisage some hashing scheme, for instance). How this is implemented is beyond the scope of the standard to define. The determinism requirement does not remove the uniqueness requirement; in particular it is not appropriate to attempt to derive new UIDs by adding a suffix to a UID generated by a different application, for example.

There is no time limit placed on the determinism; it is expected to be indefinite, at least within the control of the system. This is a factor that should be taken into account both in the design of federated Q/R SCPs that may integrate subsidiary SCPs that support this mechanism. It should also be considered during migration to a new Q/R SCP, which ideally should support the mechanism, and should support the same mapping from one view to another as was provided by the Q/R SCP being migrated. This may be non-trivial, since the algorithm for conversion may be different between the two systems. It may be necessary to define some persistent, standard, serialized mapping of one set of UIDs to the other.

**KKK.8 Source References**

It is also useful to save references in converted SOP instances to their source. Accordingly, converted instances are required to contain such references, both for image conversions as well as for ancillary instances that may be updated, such as Presentation States and Structured Reports.

Obviously, the references to the source instances for the conversion are excluded from conversion themselves. If the instances have been converted on different systems, however, there is a possibility that the source references will be "replaced" and a record of the "chain" of multiple conversions will not be persisted.

There is no mechanism to define forward references in the source to the converted instances, since that would imply changing the source instances from their original form, and while this is acceptable within the scope of the normal "coercion" that a Storage SCP is permitted to perform, it is probably not sufficiently useful to justify the effort. This does imply some asymmetry however, depending on the direction of conversion (classic to enhanced or vice versa); only one set will contain the references.

In performing round trip conversion, without access to the source instances, the referenced source UIDs can be used as the UIDs for the newly created converted instances.

**KKK.9 Uncertainty Principle**

When does a converted view come into existence? By definition, when it is "observed". However, a practical question is when to start conversion. A Study is never, theoretically, complete, yet the semantics for conversion and consistency are defined at the Study level.

Another practical question is whether or not to make the received instances available, even though the converted ones may not yet have been created.

In the absence of the concept of "study completion" in DICOM, no firm rules can be defined. However, in practice, most systems have an internal "completion" concept, which may or may not be related to the completion of the Performed Procedure Steps that are related to the sets of instances in question, or may be established through some other mechanism, such as operator intervention, possibly via a RIS message (e.g., after QC checks are signed off as complete, or after a Study has been declared as "ready to read").

A system may elect to "dynamically" begin conversion as instances arrive and update the information in the conversion as new instances are encountered, or it may wait until some state is established that allows it to perform the conversion "statically". In either case, the information in the converted view via the query/retrieval mechanisms should be immutable once made available. I.e., once a conversion...
has been "distributed", it would be desirable for the system to block subsequent changes to the Study, except to the extent that there is a need for correction and management of errors (in which case mechanisms such as IHE Image Object Change Management (IOCM) may be appropriate).
LLL Conversion of Single Frame Images to Legacy Converted Enhanced Multi-frame Images (Informative)

LLL.1 Introduction

LLL.2 Simple CT Example

In this example, two consecutive transverse CT slices encoded as CT Image SOP Class Instances are shown, with a Grayscale Softcopy Presentation State reference to one of them, compared to the converted Legacy Converted Enhanced CT Image SOP Class Instance and a revised Grayscale Softcopy Presentation State that applies to it.

LLL.2.1 Images

LLL.2.1.1 First Slice As Classic Image

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|                 | KVP           | (0018,0060) | DS | 0004            | 120                                      |
|                 | Data Collection Diameter | (0018,0090) | DS | 000a            | 500.0000000                              |
|                 | Reconstruction Diameter | (0018,1100) | DS | 000a            | 375.000000                              |
|                 | Distance Source to Detector | (0018,1110) | DS | 000a            | 949.075012                               |
|                 | Distance Source to Patient | (0018,1111) | DS | 000a            | 541.000000                               |
|                 | Gantry/Detector Tilt | (0018,1120) | DS | 0008            | 0.0000000                                |
|                 | Table Height | (0018,1130) | DS | 000a            | 170.500000                               |
|                 | Rotation Direction | (0018,1140) | CS | 0002            | CW                                       |
|                 | Exposure Time | (0018,1150) | IS | 0004            | 500                                      |
|                 | X-Ray Tube Current | (0018,1151) | IS | 0004            | 298                                      |
|                 | Exposure      | (0018,1152) | IS | 0002            | 4                                       |
|                 | Filter Type   | (0018,1160) | SH | 000c            | BODY FILTER                              |
|                 | Generator Power | (0018,1170) | IS | 0006            | 36000                                    |
|                 | Focal Spot(s) | (0018,1190) | DS | 0008            | 0.7000000                                |
|                 | Convolution Kernel | (0018,1210) | SH | 0004            | LUNG                                     |
|                 | Revolution Time | (0018,9305) | FD | 0008            | 0.5                                      |
|                 | Single Collimation Width | (0018,9306) | FD | 0008            | 0.625                                    |
|                 | Total Collimation Width | (0018,9307) | FD | 0008            | 40                                       |
|                 | Table Speed   | (0018,9309) | FD | 0008            | 78.75                                    |
|                 | Table Feed per Rotation | (0018,9310) | FD | 0008            | 39.375                                   |
|                 | Spiral Pitch Factor | (0018,9311) | FD | 0008            | 0.984375                                 |
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### LLL.2.2 Presentation States

#### LLL.2.2.1 Presentation State Referencing Classic Image That Contains The First Slice

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- Standard -
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#### Study Time

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#### Accession Number

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#### Modality

- Tag: (0008,0060) 0008 0002 PR

#### Manufacturer

- Tag: (0008,0070) 0008 0000

#### Referring Physician's Name

- Tag: (0008,0090) 0008 0000

#### Referenced Series Sequence

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#### Referenced SOP Class UID

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#### Query/Retrieve View

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- Tag: (0010,0010) 0010 0008 277654^

#### Patient ID

- Tag: (0010,0020) 0010 0010 RIDER-2357766186

#### Patient's Birth Date

- Tag: (0010,0030) 0010 0008 19301018
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MMM Query and Retrieval of Legacy Converted Enhanced Multi-frame Images (Informative)

MMM.1 Introduction

This Annex contains examples of query and retrieval when the images are supplied in one form, and both forms are accessible via the two alternative CLASSIC and ENHANCED views.

Baseline (non-extended negotiation) is not illustrated, since the instances were supplied to the SCP in their Classic form, and hence the responses would be identical to those illustrated for the CLASSIC view, except for the presence of or value returned in Query/Retrieve View (0008,0053).

MMM.2 CT Example with Images and Presentation States

This example presumes that the Q/R SCP contains the same images and presentation states described in Annex LLL.

MMM.2.1 C-FIND and C-MOVE At Study Level With Classic View

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Note

Only the Classic image instances and the original Presentation State that refers to it are transferred with this STUDY level request.

**MMM.2.2 C-FIND and C-MOVE at Study Level with Enhanced View**

Study Root Study Level C-FIND Request with Patient ID and Accession Number as keys:

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Note

This is exactly the same as for the CLASSIC view, except that Query/Retrieve View (0008,0053) has a value of ENHANCED rather than CLASSIC.

Study Root Study Level C-FIND Response:

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|         | SOP Classes in Study                                     | (0008,0062) | UI | 3a       | 1.2.840.10008.5.1.4.1.1.11.1 \
|         |                                                          |             |     |          | 1.2.840.10008.5.1.4.1.1.2.2               |
|         | Referring Physician's Name                               | (0008,0090) | PN | 0        | 0                                          |
|         | Study Description                                        | (0008,1030) | LO | 0        | 0                                          |
|         | Physicians of Record                                     | (0008,1048) | PN | 0        | 0                                          |
|         | Name of Physicians Reading Study                         | (0008,1060) | PN | 0        | 0                                          |
|         | Admitting Diagnoses Description                          | (0008,1080) | LO | 0        | 0                                          |
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Patient's Birth Time | (0010,0032) | TM | 0 |  |  
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Patient's Age | (0010,1010) | AS | 0 |  |  
Patient's Size | (0010,1020) | DS | 0 |  |  
Patient's Weight | (0010,1030) | DS | 0 |  |  
Occupation | (0010,2180) | SH | 0 |  |  
Additional Patient History | (0010,21b0) | LT | 0 |  |  
Patient Comments | (0010,4000) | LT | 0 |  |  
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Number of Study Related Series | (0020,1206) | IS | 2 | 2 |  
Number of Study Related Instances | (0020,1208) | IS | 2 | 2 |  

**Note**

This is the same as for the CLASSIC view, except that Query/Retrieve View (0008,0053) has a value of ENHANCED rather than CLASSIC, the SOP Classes in Study (0008,0062) has a different value for the Image Storage SOP Class, and the Number of Study Related Instances (0020,1208) is fewer.

### Study Root Study Level C-MOVE Request with Study Instance UID as unique key:

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Study Instance UID | (0020,000d) | UI | 3e | 1.3.6.1.4.1.9328.50.1.331429121990566779475389049484716775937 |  

**Note**

This is exactly the same as for the CLASSIC view, except that Query/Retrieve View (0008,0053) has a value of ENHANCED rather than CLASSIC. In particular, the same Study Instance UID is retrieved.

### Study Root Study Level C-MOVE Pending Responses illustrating SOP Instances retrieved:

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- Standard -
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Note

Only the converted instances are transferred with this STUDY level request, including the Legacy Enhanced image and the converted Presentation State with updated UID references.
NNN Corneal Topography and Tomography Maps (Informative)

NNN.1 Introduction

Several ophthalmic devices produce curvature and/or elevation measurements of corneal anterior and posterior surfaces (e.g., maps that display corneal curvatures, corneal elevations, and corneal power, etc.). The principle methods used include reflection of light from the corneal surface (e.g., Placido ring topography) and multiple optical sectioning or slit beam imaging (e.g., Scheimpflug tomography). The measurements are mapped topographically as pseudo-color maps, and used extensively for diagnostic purposes by clinicians and to fit contact lenses in difficult cases. The underlying data from these measurements is also used to guide laser sculpting in keratorefractive surgery.

NNN.2 Corneal Topography Scales and Color Palettes

The method for presenting corneal topography maps with pseudo-colored images has been studied extensively. Contour maps are effective for diagnostic purposes. The proper scaling is important so that clinically important detail is not obscured as well irrelevant detail masked. This can be done with a scale that has fixed dioptic intervals. The choice of color palette to represent different levels of corneal power is equally important. There must be enough contrast between adjacent contour colors to provide pattern recognition; it is the corneal topography pattern that is used for clinical interpretation. A color palette can be chosen so that lower corneal powers are represented with cooler colors (blue shades), while higher corneal powers are represented with the warmer colors (red shades). Green shades are used to represent corneal powers associated with normal corneas. The standard scale is shown in Figure NNN.2-1.

![Figure NNN.2-1. Scale and Color Palette for Corneal Topography Maps](image)

NNN.3 Corneal Topography Examples

Quantitative measurements of anterior corneal surface curvature (corneal topography) are made with the Placido ring approach. Patterns on an illuminated target take the form of mires or a grid pattern. Their reflection from the anterior corneal surface tear film, shown in Figure NNN.3-1, is captured with a video camera. Their positions relative to the instrument axis are determined through image analysis and these data are used to calculate anterior corneal curvature distribution.
Figure NNN.3-1. Placido Ring Image Example

Corneal curvature calculations are accomplished with three different methods that provide corneal powers. The axial power map, shown in Figure NNN.3-2, is most useful clinically for routine diagnostic use as the method of calculation presents corneal topography maps that match the transitions known for corneal shape—the cornea is relatively steep in its central area, flattening toward the periphery. This figure shows an example where the map is superimposed over the source image based upon the corneal vertex Frame of Reference. The Blending Presentation State SOP Class may be used to specify this superimposed processing.

Figure NNN.3-2. Corneal Topography Axial Power Map Example

The instantaneous power map, shown in Figure NNN.3-3, reveals more detail for corneas that have marked changes in curvature as with the transition zone that rings the intended optical zone of a refractive surgical procedure.
Figure NNN.3-3. Corneal Topography Instantaneous Power Map Example

The refractive power map, shown in Figure NNN.3-4, uses Snell's Law of refraction to calculate corneal power to reveal, for example, uncompensated spherical aberration.

Figure NNN.3-4. Corneal Topography Refractive Power Map Example

The height map, shown in Figure NNN.3-5, displays the height of the cornea relative to a sphere or ellipsoid.
Knowledge of the anterior corneal shape is helpful in the fitting of contact lenses particularly in corneas that are misshapen by trauma, surgery, or disease. A contact lens base curve inventory or user design criteria are provided and these are used to evaluate contact lens fit and wear tolerance using a simulated clinical fluorescein test, shown in Figure NNN.4-1. The fluorescein pattern shows the contact lens clearance over the cornea. Numbers indicate local clearance in micrometers.
**NNN.5 Wavefront Map Example**

Ocular wavefront produces a measurement of optical path difference (OPD) between ideal optical system and the one being measured. Typically the OPD is measured and displayed in units of microns. Wavefront maps can be produced from the corneal surfaces, most often the front surface, since this is the major refracting surface in the eye account for about 80% of the ocular power.

Wavefront maps can be calculated directly from corneal elevation data most often using the Zernike polynomial fitting series. With this method, corneal optical characteristics such as astigmatism, spherical aberration, and coma can be calculated. Generally, the lower order (LO) aberrations (offsets, refractive error and prism) are eliminated from display, so that only the higher order (HO) aberrations remain, shown in Figure NNN.5-1.

![Wavefront Map Example](image)

**Figure NNN.5-1. Corneal Axial Topography Map of keratoconus (left) with its Wavefront Map showing higher order (HO) aberrations (right)**

Numbers indicate deviations from a perfect optical element.
OOO Radiopharmaceutical Radiation Dose Structured Report (Informative)

OOO.1 Purpose of This Annex

This Annex describes the use of the Radiopharmaceutical Radiation Dose (RRD) object. PET, Nuclear Medicine and other non-imaging procedures necessitate that radiopharmaceuticals are administered to patients. The RRD records the amount of activity and estimates patient dose. Radiopharmaceuticals are often administered to patients several minutes before the imaging step begins. A dose management system records the amount of activity administered to the patients. Currently these systems can be configured to receive patient information from HIS/RIS systems via HL7 or DICOM messaging. Figure OOO-1 demonstrates a workflow for a "typical" Nuclear Medicine or PET department.

![Workflow Diagram](image)

**Figure OOO-1. Workflow for a "Typical" Nuclear Medicine or PET Department**

OOO.2 Real-World Nuclear Medicine and PET Radiopharmaceutical Radiation Dose (RRD) SR Workflow

Figure OOO-2 demonstrates a Hot Lab management system as the RRD creator. It records the activity amount and the administration time. It creates the RRD report and sends it to the modality. Consistent time is required to accurately communicate activity amount. The consistent time region highlights systems and steps where accurate time reporting is essential. A DICOM Store moves the report to the modality.
Figure OOO-2. Hot Lab Management System as the RRD Creator

Figure OOO-3 demonstrates RRD workflow where a radiopharmaceutical is administered to a patient for a non-imaging procedure. The report is sent to the image manager/image archive for storage and reporting.

Figure OOO-3. Workflow for a Non-imaging Procedure

Figure OOO-4 demonstrates when an infusion system or a radioisotope generator is the RRD creator.
Figure OOO-4. Workflow for an Infusion System or a Radioisotope Generator

Figure OOO-5 is a UML sequence diagram to illustrate steps for creation and downstream use case for Radiopharmaceutical Radiation Dose report and CT dose report for the PET-CT system. The RRD is stored to an image archive and retrieved by the PET-CT scanner.

Figure OOO-5. UML Sequence Diagram for Typical Workflow

Figure OOO-6 is a UML sequence diagram to illustrate steps for creation and downstream use for radiopharmaceutical that is administered when the modality starts acquisition. The diagram illustrates that the dose report is reconciled with the image at later time by an image processing step.
Figure OOO-6. UML Sequence Diagram for when Radiopharmaceutical and the Modality are Started at the Same Time

OOO.3 Real-World Radiopharmaceutical and Radiopharmaceutical Components Identification

The Radiopharmaceutical Radiation Dose (RRD) template provides a means to report the radiopharmaceutical identification number and the identification numbers of its components.

A typical use case is that when a radio-pharmacist elutes a radionuclide from a generator into a vial. The radionuclide elution is given an identification number (Radionuclide Vial Identifier). The pharmacists then draws some radionuclide from the vial to compound with a reagent (Reagent Vial Identifier) creating a multidose vial of a radiopharmaceutical. The multidose vial is given identification number (Radiopharmaceutical Lot Identifier). Individual doses are drawn from the multidose vial for administration to patients. Each of the doses is given an identification number (Radiopharmaceutical Identifier).

A second use case is that when a patient is prescribed 2 MBq of an oral radiopharmaceutical. The radio-pharmacist dispenses two 1 MBq capsules. Each capsule may have different lot number (Radiopharmaceutical Lot Identifier). The two capsules are administered at the same time as one dose (Radiopharmaceutical Identifier). The report may contain two Radiopharmaceutical Lot Identifiers one for each capsule and one radiopharmaceutical identifier for the dose.

Figure OOO-7 is a diagram the displays the hierarchical relationship between the radiopharmaceutical dispense unit identifier, radiopharmaceutical lot identifier, reagent vial identifier and the radionuclide vial identifier.
Figure OOO-7. Radiopharmaceutical and Radiopharmaceutical Component Identification Relationship
PPP Examples of Communication of Display Parameters (Informative)

PPP.1 The Relationship Between AE and Display System

The Display System SCU and the Display System SCP are peer DICOM Communication of Display Parameters management application entities. The application entity of the Display System SCP supports one or more display subsystems.

Display System SCU and the SCP establish an association by using the association services of the OSI upper layer service.

While the association is being established, each of application entity negotiates the supported SOP classes.

PPP.2 Examples of Message Sequencing

This section provides an examples of message sequencing when using the Display System SOP Class. This section is not intended to provide an exhaustive set of use cases but rather an informative example. There are other valid message sequences that could be used to obtain an equivalent outcome.

PPP.2.1 Example of Retrieval of Status and Configuration From Display Systems

QC Management Station: Manages display systems status and Configurations. This works as an SCU.

Display System A and B: Have display devices. Each display device may be other display vendor's. These work as SCPs.

Generation and notification of change events are out of a scope of DICOM.

PPP.3 Examples of Display System SOP Class

PPP.3.1 An Example of A Typical Display System

A typical Display System is shown in Figure PPP.3.1-1.
Display System X

DD #3
H: 1280
V: 1024

DD #6
H: 1536
V: 2048

DD #8
H: 1536
V: 2048

Legend:
DSS = Display Subsystem
DD = Display Device

Figure PPP.3.1-1. A Typical Display System

The following is an example of an N-GET Request/Response pair for the Display System SOP Class.

This example is encoded with Undefined Sequence Length and Undefined Item Length, so it contains Sequence Delimitation Items and Item Delimitation Items.

N-GET:

ANP Attribute Not Present.

VNP Attribute Present but Value Not Present.

- Not specified.

Table PPP.3.1-1. N-GET Request/Response Example

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### Display System Module

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| &gt;Display Subsystem Name | (0028,7004) | - | DSS1ofWSX |
| &gt;Display Subsystem Description | (0028,7005) | - | For viewing a list and reports |
| &gt;Display Device Type Code Sequence | (0028,7022) | - | |
| &gt;&gt;Item #1 of Display Device Type Code Sequence | (FFFE,E000) | - | |
| &gt;&gt;Code Value | (0008,0100) | - | 109992 |
| &gt;&gt;Coding Scheme Designator | (0008,0102) | - | DCM |
| &gt;&gt;Code Meaning | (0008,0104) | - | Liquid Crystal Display |
| &gt;&gt;Item Delimiter of Item #1 of Display Device Type Code Sequence | (FFFE,E00D) | - | |
| &gt;&gt;Sequence Delimiter of Display Device Type Code Sequence | (FFFE,E0DD) | - | |
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| &gt;Device Serial Number | (0018,1000) | - | C201300011 |
| &gt;Manufacturer's Model Name | (0008,1090) | - | 1MC |
| &gt;System Status | (0028,7006) | - | NORMAL |
| &gt;System Status Comment | (0028,7007) | - | |
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**Target Luminance Characteristics Module**

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| >Display Function Type                       | (0028,7019) | -   | GAMMA |
| >Target Minimum Luminance                    | (0028,701D) | -   | 0.75 |
| >Target Maximum Luminance                    | (0028,701E) | -   | 250 |
| >Gamma Value                                 | (0028,701A) | -   | 2.2 |
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| >Target Maximum Luminance                    | (0028,701F) | -   | 250 |
| >Gamma Value                                 | (0028,7020) | -   | 2.2 |
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| >Target Maximum Luminance                    | (0028,701F) | -   | 250 |
| >Gamma Value                                 | (0028,7020) | -   | 2.2 |

- Standard -
### Table PPP.3.1-2. Example of N-GET Request/Response for QA Result Module

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### PPP.3.2 An Example of A Tablet Display

A Tablet Display System is shown in Figure PPP.3.2-1.

![Figure PPP.3.2-1. A Tablet Display System](image)

The following is an example of an N-GET Request/Response pair for the Display System SOP Class.

This example is encoded with Undefined Sequence Length and Undefined Item Length, so it contains Sequence Delimitation Items and Item Delimitation Items.

N-GET:

**ANP**  Attribute Not Present.
VNP  Attribute Present but Value Not Present.
-  Not specified.

### Table PPP.3.2-1. N-GET Request/Response Example

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**Target Luminance Characteristics Module**

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**QA Result Module**

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QQQ Parametric Maps (Informative)

QQQ.1

This Annex contains examples of the use of the Parametric Map IOD.

QQQ.1.1

This Section contains an example of the use of the Parametric Map IOD to encode Ktrans for a Dynamic Contrast Enhanced (DCE) MR.

The frames comprise a single traversal of a regularly sampled 3D volume, described as a single stack and a single quantity, with dimensions of Stack ID, In-Stack Position Number and Quantity. A reference is also provided to the (single entire multi-frame) MR image from which the parametric map was derived. Only the Frame Content Sequence and Plane Position Sequence vary per-frame; all other functional groups are shared in this example.

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</table>
RRR Measurement Report SR Document for Planar and Volumetric ROI (Informative)

This Annex contains examples of the use of ROI templates within Measurement Report SR Documents.

RRR.1 Measurement Report SR Document Volumetric ROI on CT Example

This CT example describes the minimum content necessary to encode a single measurement (volume) made from a single volumetric ROI encoded as a single segment that spans two source CT images.

Note

1. References to Segmentation Image or Surface Segmentation objects are encoded as IMAGE references, with a single value specified in Referenced Segment Number.

2. The method of volume calculation is not described in this example.

<table>
<thead>
<tr>
<th>Node</th>
<th>Code Meaning of Concept Name</th>
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<th>TID</th>
</tr>
</thead>
<tbody>
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<td>Language of Content Item and Descendants</td>
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<td>Observation Context</td>
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<td>TID 1001</td>
</tr>
<tr>
<td>1.2.1</td>
<td>Person Observer Name</td>
<td>Doe^Jane</td>
<td>TID 1003</td>
</tr>
<tr>
<td>1.3</td>
<td>Procedure Reported</td>
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<td>TID 1500</td>
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<td>TID 1500</td>
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<td>Object1</td>
<td>TID 1411</td>
</tr>
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<td>Tracking Unique Identifier</td>
<td>1.2.276.0.7230010...</td>
<td>TID 1411</td>
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<td>1.4.1.3</td>
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<td>IMAGE - Segmentation, Segment #1</td>
<td>TID 1411</td>
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<td>IMAGE - CT image #1</td>
<td>TID 1411</td>
</tr>
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<td>1.4.1.5</td>
<td>Source image for segmentation</td>
<td>IMAGE - CT image #2</td>
<td>TID 1411</td>
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<td>1.4.1.6</td>
<td>Volume</td>
<td>3267.46 mm3</td>
<td>TID 1419</td>
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</table>

RRR.2 Measurement Report SR Document Volumetric ROI on CT Example

This CT example describes a set of measurements (volume, long axis and mean attenuation coefficient) made from a single volumetric ROI encoded as a single segment that spans two source CT images, and includes a description of the measurement methods and the finding site, as well as an image library to describe characteristics of the images used, and categorical observations at the measurement group and entire subject level.

Note

1. For a different modality than CT, the choice of measurement for the mean intensity would not be (122713, DCM, "Attenuation Coefficient").

2. For MR one might use (110852, DCM, "MR signal intensity"), or (110804, DCM, "T1 Weighted MR Signal Intensity"), etc. See also CID 7180 "Abstract Multi-dimensional Image Model Component Semantics" for various appropriate signal intensity types for MR and other modalities.
3. For PET one might use (110821, DCM, "Nuclear Medicine Tomographic Activity"), in which case the specific type of signal would be apparent from the units, e.g. ((SU/Vbw)g/ml, UCUM, "Standardized Uptake Value body weight") or for activity-concentration, (Bq/ml, UCUM, "Becquerels/milliliter"). See also CID 84 "PET Units".

4. Care should be taken when selecting modifiers such as (G-C036, SRT, "Measurement Method") versus (121401, DCM, "Derivation").

5. The finding site and laterality within the measurement template (TID 1419 “ROI Measurements”) are factored out and shared by both measurements.

6. The pattern used for the image library uses TID 4020 “CAD Image Library Entry”, though commonality may be refactored.

**Table RRR.2-1. Volumetric ROI on CT Example**

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<tr>
<th>Node</th>
<th>Code Meaning of Concept Name</th>
<th>Code Meaning or Example Value</th>
<th>TID</th>
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<td>TID 1500</td>
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<td>1.1</td>
<td>Language of Content Item and Descendants</td>
<td>English</td>
<td>TID 1204</td>
</tr>
<tr>
<td>1.2</td>
<td>Observation Context</td>
<td></td>
<td>TID 1001</td>
</tr>
<tr>
<td>1.2.1</td>
<td>Person Observer Name</td>
<td>Doe^Jane</td>
<td>TID 1003</td>
</tr>
<tr>
<td>1.3</td>
<td>Procedure Reported</td>
<td>Chest+Abd CT W+WO contr IV</td>
<td>TID 1500</td>
</tr>
<tr>
<td>1.4</td>
<td>Image Library</td>
<td></td>
<td>TID 1500</td>
</tr>
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<td>Study Date</td>
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<td>TID 4020</td>
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<td>IMAGE - CT image #2</td>
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<td>TID 4020</td>
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<td></td>
<td>TID 1500</td>
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<td>1.5.1</td>
<td>Measurement Group</td>
<td></td>
<td>TID 1411</td>
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<td>Tracking Identifier</td>
<td>Object1</td>
<td>TID 1411</td>
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<td>1.5.1.2</td>
<td>Tracking Unique Identifier</td>
<td>1.2.276.0.7230010...</td>
<td>TID 1411</td>
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<td>1.5.1.3</td>
<td>Referenced Segment</td>
<td>IMAGE - Segmentation, Segment #1</td>
<td>TID 1411</td>
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<tr>
<td>1.5.1.4</td>
<td>Source image for segmentation</td>
<td>IMAGE - CT image #1</td>
<td>TID 1411</td>
</tr>
<tr>
<td>1.5.1.5</td>
<td>Source image for segmentation</td>
<td>IMAGE - CT image #2</td>
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</tr>
<tr>
<td>1.5.1.6</td>
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<td>1.5.1.6.1</td>
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<td>Right</td>
<td>TID 1419</td>
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<td>1.5.1.7</td>
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<td>1.5.1.7.1</td>
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<td>Sum of segmented voxel volumes</td>
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RRR.3 Measurement Report SR Document Planar ROI on DCE-MR Tracer Kinetic Model Example

This DCE-MR example illustrates encoding measurements of mean and standard deviation Ktrans values in a planar ROI.

Note
1. The measurement method and finding site and laterality within the measurement template (TID 1419) are factored out and shared by both measurements.

Table RRR.3-1. Planar ROI on DCE-MR Example

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<td>Language of Content Item and Descendants</td>
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<td>1.2</td>
<td>Observation Context</td>
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<td>TID 1001</td>
</tr>
<tr>
<td>1.2.1</td>
<td>Person Observer Name</td>
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<td>TID 1003</td>
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<td>Object1</td>
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<td>1.4.1.2</td>
<td>Tracking Unique Identifier</td>
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<td>IMAGE - Segmentation, Segment #1</td>
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RRR.4 Measurement Report SR Document Volumetric and SUV ROI on FDG PET Example

This FDG PET example illustrates encoding measurements of various SUVbw related measurements.

Note
1. The real world value map reference (for intensity, not size measurements) and finding site within the measurement template (TID 1419) are factored out and shared by measurements.
2. The time point is described in this case only with a simple label.

Table RRR.4-1. SUV ROI on FDG PET Example

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<td>Language of Content Item and Descendants</td>
<td>English</td>
<td>TID 1204</td>
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<td>1.2</td>
<td>Observation Context</td>
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<td>1.2.1</td>
<td>Person Observer Name</td>
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<td>TID 1003</td>
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<td>1.3</td>
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</tr>
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<td>1.4.1.6</td>
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<td>TID 1411</td>
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<tr>
<td>1.4.1.7</td>
<td>Finding Site</td>
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CID 7464
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</table>
Use of Image Libraries in SR Documents (Informative)

This Annex contains examples of the use of Image Library templates within SR Documents.

SSS.1 Image Library for PET-CT Example

This PET-CT example illustrates an Image Library in which attributes of images for two modalities are described, with common attributes factored out of the individual image references.

Note

1. Only the attributes of relevance to SUV and spatial measurements are included, not a complete description of all aspects of acquisition.

2. Only two images for each modality are described, rather than all slices acquired, since it is usually only necessary to describe images that are referenced elsewhere in the SR content tree, e.g., on which a region of interest is specified from which measurements are made.

Table SSS.1-1. Image Library for PET-CT Example

<table>
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<th>Code Meaning or Example Value</th>
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